

Form PTO-1594

(Rev. 03/01)  
OMB No. 0651-0027 (exp. 5/31/2002)

### RECORDATION FORM COVER SHEET TRADEMARKS ONLY

U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): Swiss Re Asset Management (Americas) Inc. (formerly Swiss Re Investors, Inc.)</p> <p><input type="checkbox"/> Individual(s)                      <input type="checkbox"/> Association <input type="checkbox"/> General Partnership              <input type="checkbox"/> Limited Partnership <input checked="" type="checkbox"/> Corporation-State <u>New York</u> <input type="checkbox"/> Other _____</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies) Name: <u>Conning Asset Management Company</u> Internal Address: _____ Street Address: <u>City Place II, 185 Asylum Street</u> City: <u>Hartford</u> State: <u>CT</u> Zip: <u>06103</u></p> <p><input type="checkbox"/> Individual(s) citizenship _____ <input type="checkbox"/> Association _____ <input type="checkbox"/> General Partnership _____ <input type="checkbox"/> Limited Partnership _____ <input checked="" type="checkbox"/> Corporation-State <u>Missouri</u> <input type="checkbox"/> Other _____</p>
<p>3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment                      <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement              <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____</p> <p>Execution Date: <u>May 11, 2004</u></p>	<p>If assignee is not domiciled in the United States, a domestic representative designation is attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (Designations must be a separate document from assignment) Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
<p>4. Application number(s) or registration number(s): A. Trademark Application No.(s) <u>75/856,634</u></p> <p>Additional number(s) attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>B. Trademark Registration No.(s)</p>
<p>5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Dorothy R. Whitney</u> Internal Address: <u>Cowan, Liebowitz &amp; Latman, P.C.</u></p> <p>Street Address: <u>1133 Avenue of the Americas</u> <u>35th Floor</u> City: <u>New York</u> State: <u>NY</u> Zip: <u>10036</u></p>	<p>6. Total number of applications and registrations involved: ..... <u>1</u></p> <p>7. Total fee (37 CFR 3.41) ..... <u>\$40.00</u> <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>03-3415</u> (Attach duplicate copy of this page if paying by deposit account)</p>

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Dorothy R. Whitney                      Dorothy R. Whitney                      5-13-04  
Name of Person Signing                      Signature                      Date

Total number of pages including cover sheet, attachments, and document: 3

#### CERTIFICATE OF FACSIMILE TRANSMISSION

The undersigned hereby certifies that the above-captioned correspondence is being transferred by facsimile to the United States Patent and Trademark Office on the date shown above.

Dianna Pecha  
Name: Dianna Pecha  
Date: 5-13-04

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CONFIRMATORY TRADEMARK ASSIGNMENT

THIS ASSIGNMENT is a confirmation of an oral assignment previously made the 1st day of January, 2000 between Swiss Re Asset Management (Americas) Inc. (formerly Swiss Re Investors, Inc.), a corporation organized and existing under the laws of New York with its principal place of business at 55 East 52<sup>nd</sup> Street, New York, New York, 10055 ("Assignor") and Conning Asset Management Company, a corporation organized and existing under the laws of Missouri with its principal place of business at City Place II, 185 Asylum Street, Hartford, CT 06103 ("Assignee").

WHEREAS, as of January 1, 2002 Assignor assigned to Assignee all of its right, title and interest in and to the mark FIRM and the good will associated with the mark Application No. 75/856,634, (the "Trademark"); and

NOW, THEREFORE, for good and valuable consideration, already received and herewith acknowledged, Assignor does confirm that it has assigned and does assign, transfer, and convey unto Assignee all of its right, title and interest in and to the Trademark, whether statutory or at common law, in and to the Trademark together with the goodwill of the business symbolized by it, and all registrations and pending applications, renewals or extensions relating thereto as listed on Schedule A, including the right to sue for, collect and retain all damages and profits and all other remedies for past infringements thereof.

IN WITNESS WHEREOF, Assignor has executed the Confirmatory Trademark Assignment on May 11<sup>th</sup>, 2004.

SWISS RE ASSET MANAGEMENT  
(AMERICAS) INC.

By: Mark P. Eliseo

Name: Mark P. Eliseo

Title: Senior Vice President & Treasurer

# SCHEDULE A

<u>Trademark</u>	<u>Registration/Application Serial No.</u>	<u>Date</u>
FIRM	75,856,634	November 24, 1999