

U.S. PATENT AND TRADEMARK OFFICE

APPENDIX B

DOCKET NO. 1211T023

Form PTO 1595

3/18/04 R

03-22-2004



U.S. Department of Commerce

To the Honorable Commissioner of Patents:

102700516

...nal documents on hand thereof.

1. Name of conveying party(ies)

Specialty Equipment Companies, Inc.  
750 North Blackhawk Boulevard  
Rockton, IL 62072

Additional name(s) of conveying parties attached Yes  No

Individual Citizenship  Corporation State  
 Association  Other \_\_\_\_\_  
 General Partnership \_\_\_\_\_  
 Limited Partnership \_\_\_\_\_

2. Name and address of receiving party(ies):

Name: Carrier Corporation  
Address: Carrier Parkway  
P.O. Box 4800  
Syracuse, NY 13221

Additional name(s) attached?  Yes  No  
 Individual Citizenship  Corporation State  
 Association  Other \_\_\_\_\_  
 General Partnership \_\_\_\_\_  
 Limited Partnership \_\_\_\_\_

Nature of Conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date December 12, 2001

If assignee is not domiciled in the USA, a domestic representative designation is attached  Yes  No  
(Designations must be a separate document from assignment)

OPR/ FINANCE  
2001 MAR 19 AM 9:06

4. Application number(s) or registration number(s)

If this application is being filed together with a new application the execution date of this application is

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

2,232,886

Additional Numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name Dana F. Bigelow  
Address: WALL MARJAMA & BILINSKI LLP  
101 South Salina Street  
Suite 400  
Syracuse NY 13202  
(315)425-9000

6. Total number of application and registrations involved: 1

7. Total fee (37 CFR 3.4) \$40.00

Enclosed  
 Authorized to charge any deficiency or credit any over payment to Deposit Account No. 50-0289

8. Charge Deposit account  
(Attach duplicate copy of this page if paying by deposit)

DO NOT USE THIS SPACE

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dana F. Bigelow  
Name of Person Signing

Signature

March 16, 2004  
Date

Total Number of Pages Comprising Cover Sheet 5

OMB NO 0651-0011 (EXP. 4/94)

DO NOT DETACH THIS PORTION

Mail documents to be recorded with required cover sheet information to:

03/19/2004 DBYRNE 00000004 2232886

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40.00 OP

COMMISSIONER OF PATENTS AND TRADEMARKS  
BOX ASSIGNMENTS  
WASHINGTON D.C. 20231

TRADEMARK  
REEL: 002935 FRAME: 0850

(MON) 12.31.01 17:01/ST. X6  
S /// STATE OF DELAWARE /// V  
T. X6 SECRETARY OF STATE /// O  
A DIVISION OF CORPORATIONS /// I  
M FILED 10/30/01/12/31/2001 /// D  
P /// 010676715 /// 2864256 ///  
BY April Wright

**CERTIFICATE OF MERGER  
OF  
SPECIALTY EQUIPMENT COMPANIES, INC.  
INTO  
CARRIER CORPORATION**

The undersigned corporation organized and existing under and by virtue of the General Corporation Law of Delaware,

**DOES HEREBY CERTIFY:**

**FIRST:** That the name and state of incorporation of each of the constituent corporations of the merger is as follows:

<u>NAME</u>	<u>STATE OF INCORPORATION</u>
Carrier Corporation	Delaware
Specialty Equipment Companies, Inc.	Delaware

**SECOND:** That an agreement of merger between the parties to the merger has been approved, adopted, certified, executed and acknowledged by each of the constituent corporations in accordance with the requirements of Section 251 of the General Corporation Law of Delaware.

**THIRD:** That the name of the surviving corporation of the merger is Carrier Corporation ("Surviving Corporation").

**FOURTH:** That the Certificate of Incorporation of Carrier Corporation, a Delaware corporation, which will survive the merger, shall be the Certificate of Incorporation of the Surviving Corporation.

COMSBOCcarrier4


**FIFTH:** That the executed Agreement of Merger is on file at an office of the Surviving Corporation, the address of which is One Carrier Place, Farmington, CT 06034.

**SIXTH:** That a copy of the Agreement of Merger will be furnished by the Surviving Corporation, on request and without cost, to any stockholder of any constituent corporation.

**SEVENTH:** That this merger shall be effective on December 31, 2001 at 11:58 p.m. Eastern Standard Time.

Dated: December 12<sup>th</sup>, 2001

**CARRIER CORPORATION**

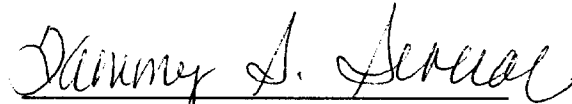
By   
Robert E. Galli  
Vice President, General Counsel & Secretary

COMSEC

I, TAMMY SENEAL, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF AN ORIGINAL CERTIFICATION BY THE DELAWARE SECRETARY OF STATE REGARDING THE ATTACHED CERTIFICATE OF MERGER, WHICH MERGES:

“SPECIALTY EQUIPMENT COMPANIES, INC”, A DELAWARE CORPORATION,

WITH AND INTO “CARRIER CORPORATION” UNDER THE NAME OF “CARRIER CORPORATION”, A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE.

  
Tammy Seneal, Notary Public

**TAMMY S. SENEAL**  
Notary Public, State of New York  
Qual. in Oswego Co. No. 01SE6077414  
Commission Expires July 8, 20 06

# Delaware

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*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"SPECIALTY EQUIPMENT COMPANIES, INC.", A DELAWARE CORPORATION,

WITH AND INTO "CARRIER CORPORATION" UNDER THE NAME OF "CARRIER CORPORATION", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2001, AT 10:30 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE THIRTY-FIRST DAY OF DECEMBER, A.D. 2001, AT 11:58 O'CLOCK P.M.



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

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AUTHENTICATION: 2018561

DATE: 10-03-02

RECORDED: 03/18/2004

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