

05-24-2004

SERVICE MARK  
et No. 0896-4211

5/18/04

IN THE UNITED STATES PA  
TRADEMARK REC



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HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
BOX ASSIGNMENTS  
Washington, D.C. 20231

Sir:

Please record the attached original documents or copies thereof.

1. Submission Type:

- New
- Resubmission (Non-Recordation) – Document ID# \_\_\_\_\_
- Correction of PTO Error: Reel # \_\_\_\_\_ Frame # \_\_\_\_\_
- Corrective Document: Reel # \_\_\_\_\_ Frame # \_\_\_\_\_

2. Name Of Conveying Party(ies):

Name: Republic New York Corporation

Formerly \_\_\_\_\_

Execution Date (Month/Day/Year) December 31, 1999

- |   |  |
|---|--|
| <input type="checkbox"/> Individual(s)          | <input type="checkbox"/> Association         |
| <input type="checkbox"/> General Partnership    | <input type="checkbox"/> Limited Partnership |
| <input checked="" type="checkbox"/> Corporation | Other _____                                  |

Citizenship/State of Incorporation Maryland

Additional name(s) conveying party(ies) attached?  Yes  No

OPR/FINANCE  
20 MAY 18 AM 8:30

3. Name and address of receiving party(ies):

Name: HSBC USA, INC.

Address: 452 Fifth Avenue

City New York State New York ZIP 10018

- Individual(s)
- Association
- General Partnership
- Limited Partnership
- Corporation
- Other \_\_\_\_\_

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Citizenship/State of Incorporation Maryland

If assignee is not domiciled in the U.S., a domestic representative designation is attached:  Yes  No  
(Designation must be a separate document from Assignment).

Additional name(s) & address(es) attached?  Yes  No

4. Conveyance Type:

- Assignment
- Security Agreement
- License
- Other
- Merger
- Change of Name
- Nunc Pro Tunc Assignment

Effective Date: \_\_\_\_\_ (if nunc pro tunc assignment)

5. Application Number(s) and marks or Registration Number(s) and marks:

Trademark Application No.(s) \_\_\_\_\_ (Mark: );

Trademark registration No.(s) 2,161,439 (Mark: STEPPING UP TO SUCCESS)

Additional numbers attached?  Yes  No

6. Name and address of party to whom correspondence concerning document should be mailed:

Name: Dickerson M. Downing, Esq. c/o Morgan & Finnegan L.L.P.

Internal Address:

Street Address: 345 Park Avenue

City New York State New York ZIP 10154

Area Code and Telephone Number (212) 415-8752

7. Total number of applications and registrations involved: 1

8. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

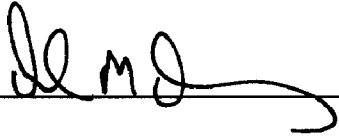
9. Deposit Account Number: 13-4500. Authorization to charge additional fees to deposit account:  Yes  No

10. Statement and Signature

*Charges to deposit account are authorized, as indicated herein*

Dickerson M. Downing

Name of Person Signing Signature Date

 S-14-04

Total amount of pages in cover sheet: 3

FORM: REC-TM.NY

Rev. 10/04/94

NO DOCUMENTATION IS REQUIRED  
FOR RECORDING A

**TRADEMARK CHANGE OF NAME**