

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
BT ALEX BROWN HOLDINGS INCORPORATED		11/12/2003	CORPORATION: DELAWARE
RECEIVING PARTY DATA			
Name:	DB Alex. Brown Holdings Incorporated		
Street Address:	One South Street		
City:	Baltimore		
State/Country:	MARYLAND		
Postal Code:	21202		
Entity Type:	CORPORATION: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	1846956	FLAG INVESTORS	
CORRESPONDENCE DATA			
Fax Number:	(202)223-2085		
	<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
Phone:	202-861-3900		
Email:	dctrademarks@dlapiper.com		
Correspondent Name:	Ann K. Ford		
Address Line 1:	1200 Nineteenth St., NW		
Address Line 4:	WASHINGTON, DISTRICT OF COLUMBIA 20036		
NAME OF SUBMITTER:	Thomas E. Zutic		
Signature:	/Thomas E. Zutic/		
Date:	01/05/2005		

OP \$40.00 1846956

Total Attachments: 1

900017525

**TRADEMARK
 REEL: 003001 FRAME: 0924**

State of Delaware
Secretary of State
Division of Corporations
Delivered 09:23 PM 11/18/2003
FILED 08:55 PM 11/18/2003
SRV 030741996 - 2736596 FILE

CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION

BT ALEX. BROWN HOLDINGS INCORPORATED, a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware, DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of BT Alex. Brown Holdings Incorporated, by the unanimous written consent of its members, filed with the minutes of the board, duly adopted resolutions setting forth a proposed amendment to the Certificate of Incorporation of said corporation, declaring said amendment to be advisable and calling a meeting of the stockholders of said corporation for consideration thereof. The resolution setting forth the proposed amendment is as follows:

RESOLVED, that the Certificate of Incorporation of BT Alex. Brown Holdings Incorporated (the "Corporation") be amended by changing Article I thereof so that, as amended, said Article shall be and read as follows:

"The name of the corporation (which is hereinafter referred to as the "Corporation") is:

DB ALEX. BROWN HOLDINGS INCORPORATED"

SECOND: That in lieu of a meeting and vote of stockholders, the stockholders have given unanimous written consent to said amendment in accordance with the provisions of Section 228 of the General Corporation Law of the State of Delaware.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Sections 242 and 228 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, said Corporation has caused this certificate to be signed by Richard W. Ferguson, its President and Chief Executive Officer, this 12th day of November, 2003.

BT ALEX. BROWN HOLDINGS INCORPORATED

By /s/ Richard W. Ferguson
Richard W. Ferguson
President and Chief Executive Officer