

# RECORDATION FORM COVER SHEET TRADEMARKS ONLY

Tab settings ⇨ ⇨ ⇨ ▼ ▼ ▼ ▼ ▼ ▼ ▼

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

iLight Technologies, Inc.

- Individual(s)
- General Partnership
- Corporation-State
- Other \_\_\_\_\_
- Association
- Limited Partnership

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other \_\_\_\_\_
- Merger
- Change of Name

Execution Date: AUGUST 9, 2003

2. Name and address of receiving party(ies)

Name: LaSalle Bank National Association

Internal

Address: \_\_\_\_\_

Street Address: 3201 North Ashland Avenue

City: Chicago State: IL Zip: 60201

- Individual(s) citizenship \_\_\_\_\_
- Association \_\_\_\_\_
- General Partnership \_\_\_\_\_
- Limited Partnership \_\_\_\_\_
- Corporation-State \_\_\_\_\_
- Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)  
Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration number(s):

A. Trademark Application No.(s) \_\_\_\_\_

B. Trademark Registration No.(s) 2,648,918;

2,704,863; 2,528,585

Additional number(s) attached  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Levenfeld Pearlstein

Internal Address: Attn: Katharine E. Seidelman

Street Address: 211 Waukegan Road, Suite 300

City: Northfield State: IL Zip: 60093

6. Total number of applications and registrations involved: \_\_\_\_\_

**3**

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

502035

**DO NOT USE THIS SPACE**

9. Signature.

Katharine E. Seidelman, Paralegal

Name of Person Signing

  
Signature

12/17/05

Date

Total number of pages including cover sheet, attachments, and document: **15**

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

CH \$90.00 602036 2704863

6/16/04

06-22-2004



U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

Form PTO-1594  
(Rev. 10/02)  
OMB No. 0651-0027 (exp. 6/30/2005)

102773905

Tab settings

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- Association
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RECEIVED  
JUN 16 AM 7:53  
PR/FINANCE

DO NOT USE THIS SPACE

9. Signature.

Katharine E. Seidelman, Legal Assistant  
Name of Person Signing

Signature

06/11/04

Date

Total number of pages including cover sheet, attachments, and document: 15

06/21/2004 LAMILLER 00000050 502035 2648918

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Commissioner of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

01 FC:8521 40.00 BA  
02 FC:8522 50.00 BA

TRADEMARK  
REEL: 003014 FRAME: 0959

**PREPARED BY AND WHEN  
RECORDED MAIL TO:**

Gregg G. Rotter, Esq.  
Levenfeld Pearlstein  
211 Waukegan Road  
Suite 300  
Northfield, Illinois 60093

**PATENT, TRADEMARK AND LICENSE MORTGAGE -  
iLight Technologies, Inc.**

THIS PATENT, TRADEMARK AND LICENSE MORTGAGE (the "Mortgage") made as of August 19, 2003, by iLight Technologies, Inc., a Delaware corporation, with offices at 2130 Green Bay Road, Evanston, Illinois 60201 ("Mortgagor"), in favor of LaSalle Bank National Association, with offices at 3201 North Ashland Avenue, Chicago, Illinois 60657 ("Mortgagee"):

**WITNESSETH:**

WHEREAS, pursuant to a certain U.S. Small Business Administration Loan Authorization No. PLP647-298-4002 dated July 8, 2003, as may be amended from time to time, and instruments, documents and other agreements related thereto, defined therein, or contemplated thereby (the foregoing, as may be amended, modified and/or restated from time to time shall hereinafter be collectively referred to as the "Loan Agreement"), Mortgagee has made or will hereafter make loans and advances to Mortgagor; and

WHEREAS, the Loan Agreement provides for the grant by Mortgagor to Mortgagee of a security interest in all of Mortgagor's assets, including, without limitation, its patents, patent applications, trademarks, trademark applications, trade names, service marks, service mark applications, goodwill and licenses;

NOW, THEREFORE, in consideration of the premises set forth herein and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Mortgagor agrees as follows:

1. Incorporation of Loan Agreement. The Loan Agreement and the terms and provisions thereof are hereby incorporated herein in their entirety by this reference thereto.

2. Mortgage of Patents, Trademarks and Licenses. To secure the complete and timely satisfaction of any and all indebtedness, obligations and liabilities of Mortgagor to Mortgagee pursuant to the Loan Agreement (collectively, the "Liabilities"), Mortgagor hereby grants, bargains, assigns, mortgages, pledges, sells, creates a security interest in, transfers and conveys to Mortgagee, as and by way of a first mortgage and security interest having priority over all other security interests, with power of sale, to the extent permitted by law and upon the occurrence of a default or event of default (as such terms are defined in the Loan Agreement) (hereinafter an "Event of Default"), all of Mortgagor's right, title and interest in and to all of its now existing and hereafter created or acquired:

- (i) patents and patent applications, including, without limitation, the inventions and improvements described and claimed therein, and those patents listed on Exhibit A attached hereto and hereby made a part hereof, and (a) the reissues, divisions, continuations, renewals, extensions and continuations-in-part thereof, (b) all income, damages and payments now and hereafter due or payable under or with respect thereto, including, without limitation, damages and payments for past or future infringements thereof, (c) the right to sue for past, present and future infringements thereof, and (d) all rights corresponding thereto throughout the world (all of the foregoing patents and applications, together with the items described in clauses (a)-(d) of this subsection 2(i), are sometimes hereinafter referred to individually as a "Patent" and, collectively, as the "Patents");
- (ii) trademarks, trademark registrations, trademark applications, trade names, service marks, service mark registrations and service mark applications, including, without limitation, the trademarks, trade names, service marks and applications and registrations thereof listed on Exhibit B attached hereto and hereby made a part hereof, and (a) renewals or extensions, thereof, (b) all income, damages and payments now and hereafter due or payable with respect thereto, including, without

limitation, damages and payments for past or future infringements thereof, (c) the right to sue for past, present and future infringements thereof, and (d) all rights corresponding thereto throughout the world (all of the foregoing trademarks, trade names, service marks and applications and registrations thereof, together with the items described in clauses (a) - (d) of this subsection 2(ii), are sometimes hereinafter referred to individually as a "Trademark" and, collectively, as the "Trademarks");

- (iii) to the extent assignable, all license agreements with respect to any of the Patents or the Trademarks or any other patent, trademark, service mark or any application or registration thereof or any other trade name between Mortgagor and any other party, whether Mortgagor is a licensor or licensee under any such license agreement (all of the foregoing license agreements and Mortgagor's rights thereunder are hereinafter referred to collectively as the "Licenses"); and
- (iv) the goodwill of Mortgagor's business connected with, and symbolized by, the Trademarks.

3. Warranties and Representations. Mortgagor warrants and represents to

that:

- (i) The Patents, Trademarks and Licenses have not been adjudged invalid or unenforceable and have not been canceled, in whole or in part, and are presently subsisting;
- (ii) Each of the Patents, Trademarks and Licenses is valid and enforceable;
- (iii) Mortgagor is the sole and exclusive owner of the entire and unencumbered right, title and interest in and to each of the Patents, Trademarks and Licenses, free and clear of any liens, charges and encumbrances, including, without limitation, licenses, shop rights and covenants by Mortgagor not to sue third persons;

- (iv) Mortgagor has adopted, used and is currently using all of the Trademarks;
- (v) Mortgagor has no notice of any suits or actions commenced or threatened with reference to the Patents, Trademarks or Licenses; and
- (vi) Mortgagor has the unqualified right to execute and deliver this Mortgage and perform its terms and has entered or will enter into written agreements with each of its present and future employees, agents and consultants which will enable it to comply with the covenants contained herein.

4. Restrictions on Future Agreements. Mortgagor agrees that until the Liabilities shall have been satisfied in full, Mortgagor shall not sell or assign its interest in, or grant any license under, the Patents, Trademarks or Licenses, or enter into any other agreement with respect to the Patents, Trademarks or Licenses which is inconsistent with Mortgagor's obligations under this Mortgage, without the prior written consent of Mortgagee, and Mortgagor further agrees that it shall not take any action, or permit any action to be taken by others subject to its control, including licensees, or fail to take any action, which would affect the validity or enforcement of the rights transferred to Mortgagee under this Mortgage; provided, however, that nothing in this Section 4 shall be deemed to limit Mortgagor's ability to grant or obtain rights under license agreements pertaining to the Patents, Trademarks or Licenses entered into by Mortgagor after the date hereof in the ordinary course of Mortgagor's business, which license agreements and Mortgagor's rights thereunder shall constitute Licenses and be subject to the terms of this Mortgage. Mortgagor and Mortgagee mutually acknowledge that Mortgagor may wish to license the use of some or all of the Patents in foreign countries. Mortgagee hereby consents to such licenses in foreign countries done in the ordinary course of business.

5. New Patents, Trademarks and Licenses. Mortgagor represents and warrants that, to the best of Mortgagor's knowledge, the Patents and Trademarks listed on Exhibits A and B, respectively, constitute all of the Patents and Trademarks now owned by Mortgagor. If, before Mortgagor's Liabilities shall have been satisfied in full, Mortgagor shall (i) become aware of any existing Patents, Trademarks or Licenses of which Mortgagor has not previously informed Mortgagee, (ii) obtain rights to any new patentable inventions, Patents, Trademarks or Licenses, or (iii) become entitled to the benefit of any Patents, Trademarks, or Licenses or any improvement on any Patent, the provisions of this Mortgage shall automatically apply thereto and Mortgagor shall give to Mortgagee prompt written notice thereof. Mortgagor hereby authorizes Mortgagee to modify this Mortgage by amending Exhibits A and B, as applicable, to include any such Patents and Trademarks.

6. Royalties: Terms. The term of the mortgages granted herein shall extend until the earlier of: (i) the expiration of each of the respective Patents, Trademarks and Licenses assigned hereunder; or (ii) the Liabilities have been paid in full. Upon the occurrence of an Event of Default, Mortgagor agrees that the use by Mortgagee of all Patents, Trademarks and

Licenses shall be worldwide and without any liability for royalties or other related charges from Mortgagee to the Mortgagor.

7. Mortgagee's Right to Inspect. Mortgagee shall have the right, at any time and from time to time during normal business hours and prior to payment in full of the Liabilities, to inspect Mortgagor's premises and to examine Mortgagor's books, records and operations, including, without limitation, Mortgagor's quality control processes. Mortgagor agrees (i) to maintain the quality of any and all products in connection with which the Trademarks are used, consistent with the quality of said products as of the date hereof, and (ii) to provide Mortgagee, upon Mortgagee's request from time to time, with a certificate of an officer of Mortgagor certifying Mortgagor's compliance with the foregoing. Upon the occurrence of an Event of Default, Mortgagor agrees that Mortgagee, or an agent appointed by Mortgagee, shall have the right to establish such additional product quality controls as Mortgagee, or said agent, in its sole judgment, may deem necessary to assure maintenance of the quality of products sold, leased or licensed by Mortgagor under the Trademarks.

8. Release of Mortgage. This Mortgage is made for collateral purposes only. Upon payment in full of the Liabilities, Mortgagee shall execute and deliver to Mortgagor all deeds, assignments and other instruments, and shall take such other actions, as may be necessary or proper to revest in Mortgagor full title to the Patents, Trademarks and Licenses, subject to any disposition thereof which may have been made by Mortgagee pursuant hereto or pursuant to the Loan Agreement.

9. Expenses. All expenses incurred in connection with the performance of any of the agreements set forth herein shall be borne by Mortgagor. All fees, costs and expenses, of whatever kind or nature, including reasonable attorneys' fees and costs, incurred by Mortgagee in connection with the filing or recording of any documents (including all taxes in connection therewith) in public offices, the payment or discharge of any taxes, counsel fees, maintenance fees, encumbrances or otherwise in protecting, maintaining or preserving the Patents, Trademarks and Licenses, or in defending or prosecuting any actions or proceedings arising out of or related to the Patents, Trademarks and Licenses, shall be borne by and paid by Mortgagor on demand by Mortgagee and until so paid shall be added to the principal amount of the Liabilities and shall bear interest at the rate prescribed in the Loan Agreement.

10. Duties of Mortgagor. Mortgagor shall have the duty (i) to prosecute diligently any patent, trademark or service mark applications pending as of the date hereof or thereafter until the Liabilities shall have been paid in full, (ii) to make application on unpatented but patentable inventions and on trademarks and service marks, as appropriate, and to the extent commercially reasonable and consistent with the corporation's prior practices and (iii) to preserve and maintain all rights in the Patents, Trademarks and Licenses. Any expenses incurred in connection with Mortgagor's obligations under this Section 10 shall be borne by Mortgagor. Mortgagor shall not abandon any right to file a patent, trademark or service mark application, or abandon any pending patent application, or any other Patent, Trademark or License without the consent of Mortgagee.

11. Mortgagee's Right to Sue. Mortgagee shall have the right, but shall in no way be obligated, to bring suit in its own name to enforce the Patents, Trademarks and Licenses, and, if Mortgagee shall commence any such suit, Mortgagor shall, at the request of Mortgagee, do any and all lawful acts and execute any and all proper documents required by Mortgagee in aid of such enforcement and Mortgagor shall promptly, upon demand, reimburse and indemnify Mortgagee for all reasonable costs and expenses incurred by Mortgagee in the exercise of its rights under this Section 11.

12. Waivers. No course of dealing between Mortgagor and Mortgagee, nor any failure to exercise, nor any delay in exercising, on the part of Mortgagee, any right, power or privilege hereunder or under the Loan Agreement shall operate as a waiver thereof; nor shall any single or partial exercise of any right, power or privilege hereunder or thereunder preclude any other or further exercise thereof or the exercise of any other right, power or privilege.

13. Severability. The provisions of this Mortgage are severable, and if any clause or provision shall be held invalid and unenforceable in whole or in part in any jurisdiction, then such invalidity or unenforceability shall affect only such clause or provision, or part thereof, in such jurisdiction, and shall not in any manner affect such clause or provision in any other jurisdiction, or any other clause or provision of this Mortgage in any jurisdiction.

14. Modification. This Mortgage cannot be altered, amended or modified in any way, except as specifically provided in Section 5 hereof or by a writing signed by the parties hereto.

15. Cumulative Remedies; Power of Attorney; Effect on Financing Agreement. All of Mortgagee's rights and remedies with respect to the Patents, Trademarks and Licenses, whether established hereby or by the Loan Agreement, or by any other agreements or by law shall be cumulative and may be exercised singularly or concurrently. Upon the occurrence of an Event of Default, Mortgagor hereby authorizes Mortgagee to make, constitute and appoint any officer or agent of Mortgagee as Mortgagee may select, in its sole discretion, as Mortgagor's true and lawful attorney-in-fact, with power to: (i) endorse Mortgagor's name on all applications, documents, papers and instruments necessary or desirable for Mortgagee in the use of the Patents, Trademarks and Licenses; (ii) take any other actions with respect to the Patents, Trademarks and Licenses as Mortgagee deems to be in the best interest of Mortgagee; (iii) grant or issue any exclusive or non-exclusive license under the Patents, Trademarks or Licenses to anyone; or (iv) assign, pledge, convey or otherwise transfer title in or dispose of the Patents, Trademarks or Licenses to anyone. Mortgagor hereby ratifies all that such attorney shall lawfully do or cause to be done by virtue hereof. This power of attorney shall be irrevocable until the Liabilities shall have been paid in full and have been terminated. Mortgagor acknowledges and agrees that this Mortgage is not intended to limit or restrict in any way the rights and remedies of Mortgagee under the Loan Agreement but rather is intended to facilitate the exercise of such rights and remedies. Mortgagee shall have, in addition to all other rights and remedies given it by the terms of this Mortgage and the Loan Agreement, all rights and remedies allowed by law and the rights and remedies of a secured party under the Uniform Commercial Code as enacted in any jurisdiction in which the Patents, Trademarks or Licenses may be located.



16. Binding Effect: Benefits. This Mortgage shall be binding upon the Mortgagor and its respective successors and assigns, and shall inure to the benefit of Mortgagee, its successors, nominees and assigns.

17. Governing Law. This Mortgage shall be governed by and construed in accordance with the laws of the State of Illinois.

18. Headings. Section headings used herein are for convenience only and shall not modify the provisions which they precede.

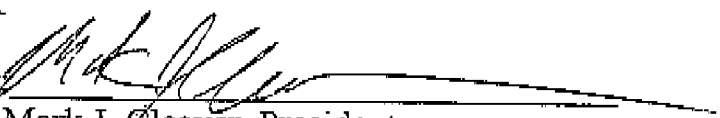
19. Further Assurances. Mortgagor agrees to execute and deliver such further agreements, instruments and documents, and to perform such further acts, as Mortgagee shall reasonably request from time to time in order to carry out the purpose of this Mortgage and agreements set forth herein.

**[THE BALANCE OF THIS PAGE IS INTENTIONALLY LEFT BLANK;  
SIGNATURE PAGE FOLLOWS]**

IN WITNESS WHEREOF, Mortgagor has duly executed this Mortgage in favor of mortgagee as of the date first written above.

BORROWER:

iLight Technologies, Inc., a Delaware corporation

By:   
Mark J. Cleaver, President

ATTEST:

By:   
Eric Eriksson, Asst. Secretary

STATE OF ILLINOIS )  
 ) SS.  
COUNTY OF COOK )

I, Karen Lennon, a Notary Public in and for said County, in the State aforesaid, DO HEREBY CERTIFY, that Mark J. Cleaver, President, and ~~Donald A. Goodwin~~<sup>Erik Eriksson</sup>, Secretary of iLight Technologies, Inc., personally are known to me to be the same persons whose names are subscribed to the foregoing instrument as such President and Secretary, appeared before me this day in person and acknowledged that such persons signed and delivered the said instrument as such persons' own free and voluntary act and as the free and voluntary act of said company, for the uses and purposes therein set forth.

GIVEN under my hand and notarial seal this 5<sup>th</sup> day of August, 2003.

Karen Lennon  
NOTARY PUBLIC

My Commission Expires: 10/03/2005



EXHIBIT A TO PATENT, TRADEMARK AND LICENSE MORTGAGE

Patents

Patent number and date, name of inventor and title of invention as stated in Patent or  
nt Application:

1. Patent number: 6,592,238  
Inventors: Mark Joseph Cleaver, Eric Olav Eriksson,  
George R. Hulse  
Application number: 09/982,705  
Filed: October 18, 2001  
Date of patent: July 15, 2003  
Title of invention: ILLUMINATION DEVICE FOR  
SIMULATION OF NEON LIGHTING
2. Patent number: US 6,557,282 B1  
Inventors: Mark J. Cleaver  
Application number: 10/062,802  
Filed: January 31, 2002  
Date of patent: May 6, 2003  
Title of invention: PORTABLE ILLUMINATED OUTDOOR  
ADVERTISING DISPLAY
3. Patent number: US 6,550,952 B1  
Inventors: George Robert Hulse, Cory Alan Smith,  
Mark Joseph Cleaver  
Application number: 09/844,212  
Filed: April 27, 2001  
Date of patent: April 22, 2003  
Title of invention: OPTICAL WAVEGUIDE  
ILLUMINATION AND SIGNAGE  
DEVICE AND METHOD FOR MAKING  
SAME
4. Patent number:  
Inventors:  
Application number: 10/385,007  
Filed: March 10, 2003  
Date of patent:  
Title of invention: ILLUMINATION DEVICE FOR  
SIMULATION OF NEON LIGHTING

5. Patent number:  
Inventors:  
Application number: 10/198,432 (continuation of 09/982,705)  
Filed: July 16, 2002  
Date of patent:  
Title of invention: WATER SUBMURGIBLE SIMULATED  
NEON LIGHTING DEVICE
6. Patent number: 6,657,466  
Inventors: Joseph Sudjian, Santa Clara, CA  
Application number: 10/154,030 (continuation of 09/982,705)  
Filed: June 6, 2002  
Date of patent: December 2, 2003  
Title of invention: ILLUMINATION DEVICE FOR  
SIMULATING NEON LIGHTING WITH  
REFLECTOR
7. Patent number:  
Inventors: Joe Chambers  
Application number: 10/417,789  
Filed: April 17, 2003  
Date of patent:  
Title of invention: SIMULATED NEON ILLUMINATION  
DEVICE USING END-LIT WAVE GUIDE
8. Patent number:  
Inventors:  
Application number: Pending  
Filed: June 5, 2003  
Date of patent:  
Title of invention: ILLUMINATION DEVICE FOR  
SIMULATING NEON LIGHTING  
THROUGH USE OF FLUORESCENT  
DYE
9. Patent number:  
Inventors:  
Application number: 10/440,731  
Filed: May 19, 2003  
Date of patent:  
Title of invention: ILLUMINATION DEVICE AND  
METHOD FOR MAKING SAME

10. Patent number:  
Inventors:  
Application number: 60/400,349  
Filed: July 31, 2002  
Date of patent:  
Title of invention: REFLECTIVE SHROUD FOR USE WITH  
WAVEGUIDE ILLUMINATING DEVICE
11. Patent number:  
Inventors:  
Application number: 60/400,525  
Filed: July 31, 2002  
Date of patent:  
Title of invention: CEILING BRACKET FOR A  
WAVEGUIDE ILLUMINATING DEVICE
12. Patent number:  
Inventors:  
Application number: 60/449,909  
Filed: February 25, 2003  
Date of patent:  
Title of invention: ILLUMINATION DEVICE FOR  
SIMULATING NEON OR  
FLUORESCENT LIGHTING INCLUDING  
A WAVEGUIDE AND A SCATTERING  
CAP
13. Patent number:  
Inventors:  
Application number: 60/444,887  
Filed: February 4, 2003  
Date of patent:  
Title of invention: FLEXIBLE ILLUMINATION DEVICE  
FOR SIMULATING NEON LIGHTING
14. Patent number:  
Inventors:  
Application number: 60/458,154  
Filed: March 27, 2003  
Date of patent:  
Title of invention: CUTTABLE ILLUMINATION DEVICE

15. Patent number:  
Inventors:  
Application number: Pending  
Filed: May 23, 2003  
Date of patent:  
Title of invention: ILLUMINATION DEVICE FOR  
SIMULATING CHANNEL LETTERS
16. Patent number:  
Inventors:  
Application number: PCT/US01/47629  
Filed: Demand for International Preliminary  
Examination Filed August 26, 2002  
Date of patent:  
Title of invention: ILLUMINATION DEVICE FOR  
SIMULATION OF NEON LIGHTING
17. Patent number:  
Inventors:  
Application number: Pending  
Filed: Demand for International Preliminary  
Examination Filed June 5, 2003  
Date of patent:  
Title of invention: ILLUMINATION DEVICE FOR  
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THROUGH USE OF FLUORESCENT  
DYE

EXHIBIT B TO PATENT, TRADEMARK AND LICENSE MORTGAGE

Trademarks, Trade Names and Services Marks

<u>Mark</u>	<u>Registration No.</u>	<u>Registration Date</u>
Plexineon	2,648,918	November 12, 2002
iLight Technologies	2,704,863	April 8, 2003
iLight Technologies	2,528,585	January 8, 2002