

08-18-2004



102816312

Form PTO-1594 (Rev. 06/04)  
OMB Collection 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

8.13.04

**RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY**

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

Instinet Corporation  
3 Times Square - 10th Floor  
New York, NY 10036

- Individual(s)                       Association
- General Partnership               Limited Partnership

Corporation-State  
 Other Delaware

Citizenship (see guidelines) \_\_\_\_\_

Execution Date(s) 12/1/03

Additional names of conveying parties attached?  Yes  No

**3. Nature of conveyance:**

- Assignment                       Merger
- Security Agreement               Change of Name
- Other 12/1/03

**2. Name and address of receiving party(ies)**

Additional names, addresses, or citizenship attached?  Yes  No

Name: Instinet Clearing Services, Inc.

Address: Harborside Financial Center

Street Address: 900 Plaza 10

City: Jersey City

State: NJ

Country: \_\_\_\_\_ Zip: 07311

Association      Citizenship \_\_\_\_\_

General Partnership      Citizenship \_\_\_\_\_

Limited Partnership      Citizenship \_\_\_\_\_

Corporation      Citizenship Delaware

Other \_\_\_\_\_      Citizenship \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)

**4. Application number(s) or registration number(s) and identification or description of the Trademark.**

A. Trademark Application No.(s)

76/421,043

B. Trademark Registration No.(s)

Additional sheet(s) attached?  Yes  No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

**5. Name & address of party to whom correspondence concerning document should be mailed:**

Name: Cathy L. Lueders

Internal Address: \_\_\_\_\_

Instinet Group, LLC

Street Address: 3 Times Square  
6th Floor

City: New York

State: NY                      Zip: 10036

Phone Number: 212 310 7460

Fax Number: 646 223 9016

Email Address: \_\_\_\_\_

**6. Total number of applications and registrations involved:**

1

**7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$** \_\_\_\_\_

Authorized to be charged by credit card

Authorized to be charged to deposit account

Enclosed Previously Submitted

**8. Payment Information:**

a. Credit Card      Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

9. Signature: Cathy L. Lueders

Signature

8/10/04

Date

Cathy L. LUEDERS

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 7

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

**TRADEMARK  
REEL: 003028 FRAME: 0509**

12-17-2003

12.9.03

Form PTO-1594 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings



102625732

U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Instinet Corporation 3 Times Square - 10th Floor New York, New York 10036

- Individual(s) Association General Partnership Limited Partnership Corporation-State Delaware

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: Instinet Clearing Services, Inc. Internal Address: Harborside Financial Center

Street Address: 900 Plaza 10 City: Jersey City State: NJ Zip: 07311-4099

- Individual(s) citizenship Association General Partnership Limited Partnership Corporation-State Delaware Other

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger Security Agreement Change of Name Other

Execution Date: 12/1/2003

4. Application number(s) or registration number(s):

A. Trademark Application No.(s) 76/421,043

B. Trademark Registration No.(s)

Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathy L. Lueders

Internal Address: Instinet Corporation 10th Floor

Street Address: 3 Times Square

City: New York State: NY Zip: 10036

6. Total number of applications and registrations involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

- Enclosed Authorized to be charged to deposit account

8. Deposit account number:

2003 DEC -8 AM 10:17 OPR/FINANCE

DO NOT USE THIS SPACE

9. Signature.

Cathy L Lueders Name of Person Signing

Cathy L Lueders Signature

Date

Total number of pages including cover sheet, attachments, and document:

12/16/2003 ECUOPER 00000176 76421043

01 FC:8521

40.00

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231

TRADEMARK REEL: 003028 FRAME: 0510

**TRADEMARK ASSIGNMENT**

WHEREAS, Instinet Technologies Limited Partnership, a Massachusetts limited partnership, having its principal offices at Concord Road Corporate Center, 296 Concord Road, Billerica, Massachusetts 02110 ("ITLP") was the owner of a certain trademark and the application and future registration therefore shown in the attached Schedule "A", and of the goodwill of the business appertaining to the trademark in the United States; and

WHEREAS, on December 1, 2003, ITLP was merged into Instinet Corporation, a Delaware corporation having its principal offices at 3 Times Square, New York, New York 10036; and

WHEREAS, Instinet Corporation (hereinafter referred to as "Assignor") is now deemed to have acquired the entire right, title and interest in, to and under said trademark and the application and resulting registration thereof as listed in Schedule A and the goodwill of the business appertaining to the trademark, including the right to sue and recover for any and all past infringements; and

WHEREAS, Instinet Clearing Services, Inc., a Delaware corporation having its principal offices at Harborside Financial Center, 900 Plaza 10, Jersey City, New Jersey 07311-4099 (hereinafter referred to as "Assignee") desires to acquire all right, title and interest in and to the trademark listed in Schedule A and the goodwill of the business appertaining to the trademark, including the right to sue and recover for any and all past infringements.

NOW, THEREFORE, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor, by these presents does sell, assign and transfer to Assignee, its successors and assigns forever, the entire right, title and interest in, to and under said trademark and the application and future registration therefor shown in the attached Schedule "A", together with the goodwill of the business appertaining to said trademark, and all rights of action, both at law and in equity, for past infringements, if any, of the said trademark, the same to be held and enjoyed by Assignee, its successors and assigns forever, as fully and entirely as the same could have been enjoyed by Assignor if this assignment had not been made.

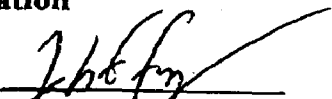
IN WITNESS WHEREOF, Assignor has caused this assignment to be executed by its duly authorized corporate officer effective as of December 1, 2003.

**Instinet Corporation**

By

Name:

Title:

  
John F. Bay  
CFO

**SCHEDULE A**

**Trademark**

**Application Serial Number**

IDAA

76/421,043