

10-15-2004

Form PTO-1594 (Rev. 06/04)
OMB Collection 0651-0027 (exp. 6/30/

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



102859686

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Meridian Investment Management Corporation

- Individual(s)
- General Partnership
- Corporation-State (Colorado)
- Other
- Association
- Limited Partnership

Citizenship (see guidelines)

Execution Date(s) December 9, 2003

Additional names of conveying parties attached? Yes No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other
- Merger
- Change of Name

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? Yes No

Name: ICON Advisers, Inc.

Internal

Address:

Street Address: 5299 DTC Boulevard
Suite 1200

City: Greenwood Village

State: Colorado

Country: U.S.A. Zip: 801

- Association
- General Partnership
- Limited Partnership
- Corporation
- Other

Citizenship _____
Citizenship _____
Citizenship _____
Citizenship Colorado
Citizenship _____
If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s)
75/433,260; 76/573,966;
76/573,965

B. Trademark Registration No.(s)
2,695,974

Additional sheet(s) attached? Yes No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

75/433,260 - ICON INSITE; 76/573,966 - ICON DISTRIBUTORS;
76/573,965 - ICON ADVISERS; 2,695,974 - ICON FUNDS

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: James E. Pittenger, Esq.
Dorr, Carson, Sloan, Birney & Kramer,
Internal Address: P.C.

Street Address: 3010 East 6th Avenue

City: Denver

State: CO Zip: 80206

Phone Number: _____

Fax Number: _____

Email Address: _____

6. Total number of applications and registrations involved:

4

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ 160

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed

8. Payment Information:

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 04-1414

Authorized User Name _____

9. Signature:

James E. Pittenger
Signature

October 8, 2004

Date

James E. Pittenger - 26,217

Total number of pages including cover sheet, attachments, and document: 2

Name of Person Signing

Documents recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to: Mail Stop Assignment and Registration Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

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ARTICLES OF AMENDMENT TO
ARTICLES OF INCORPORATION (PROFIT)

Form 205 Revised October 1, 2002

Filing fee: \$25.00

Deliver to: Colorado Secretary of State
Business Division

1560 Broadway, Suite 200

Denver, CO 80202-5169

This document must be typed or machine printed

Copies of filed documents may be obtained at www.sos.state.co.us

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STATE OF COLORADO

ABOVE SPACE FOR OFFICE USE ONLY

Pursuant to § 7-110-106 and part 3 of article 90 of title 7, Colorado Revised Statutes (C.R.S.), these Articles of Amendment to its Articles of Incorporation are delivered to the Colorado Secretary of State for filing.

1. The name of the corporation is: Meridian Investment Management Corporation
(If changing the name of the corporation, indicate name of corporation BEFORE the name change)

2. The date the following amendment(s) to the Articles of Incorporation was adopted: 12/09/03

3. The text of each amendment adopted (include attachment if additional space needed):
Name of the corporation is hereby changed from Meridian Investment Management Corporation to
ICON Advisers, Inc.

4. If changing the corporation name, the new name of the corporation is:
ICON Advisers, Inc.

5. If providing for an exchange, reclassification, or cancellation of issued shares, provisions for implementing the amendment if not contained in the amendment itself:

6. Indicate manner in which amendment(s) was adopted (mark only one):

- No shares have been issued or Directors elected – Adopted by Incorporator(s)
 No shares have been issued but Directors have been elected – Adopted by the board of directors
 Shares have been issued but shareholder action was not required – Adopted by the board of directors
 The number of votes cast for the amendment(s) by each voting group entitled to vote separately on the amendment(s) was sufficient for approval by that voting group – Adopted by the shareholders

7. Effective date (if not to be effective upon filing) Jan 1, 2004 (Not to exceed 90 days)

8. The (a) name or names, and (b) mailing address or addresses, of any one or more of the individuals who cause this document to be delivered for filing, and to whom the Secretary of State may deliver notice if filing of this document is refused, are:
Andra C. Ozols, Vice President and General Counsel

Causing a document to be delivered to the secretary of state for filing shall constitute the affirmation or acknowledgment of each individual causing such delivery, under penalties of perjury, that the document is the individual's act and deed or the act and deed of the entity on whose behalf the individual is causing the document to be delivered for filing and that the facts stated in the document are true.

Disclaimer: This form, and any related instructions, are not intended to provide legal, business or tax advice, and are offered as a public service without representation or warranty. While this form is believed to satisfy minimum legal requirements as of its revision date, compliance with applicable law, as the same may be amended from time to time, remains the responsibility of the user of this form. Questions should be addressed to the user's attorney.

RECORDED: 10/08/2004

TRADEMARK
REEL: 003062 FRAME: 0261