FORM PTO-1594 U.S. DEPARTMENT OF COMMERCE **RECOR** (Rev. 07/05) United States Patent and Trademark Office OMB No. 0651-0027 (exp. 06/30/2008) 103128277 ٦. ٠.<u>--</u>. To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Pavilion Technologies, Inc. Additional name(s) of conveying parties attached? Tyes No. Name: Silicon Valley Bank Internal Address Loan Documentation HF 154 Individual(s) Association General Partnership Limited Partnership Street Address: 3003 Tasman Dr. Corporation-State ☐ Other City Santa Clara State CA Additional name(s) of conveying parties attached? ☐Yes ☑ No Country USA 3. Nature of conveyance/ Execution Date(s): Zip 95054 Execution Date(s): 11/02/05 Association Citizenship General Partnership Citizenship Assignment ☐ Merger Limited Partnership Citizenship Corporation Citizenship Security Agreement Change of Name Other Citizenship If assignee is not domiciled in the United States, a domestic representative designation is attached: 

Yes 

No ☐ Other (Designations must be a separate document from assignment) 4. Application number(s) or registration number(s) and identification or description of the Trademark: A. Trademark Application No.(s) B. Trademark Registration No.(s) 78/514.888 78/413.576 78/506,933 2,863,416 2,386,329 2,204,891 78/421,640 76/542,937 78/421,643 2,212,218 2,039,892 2,146,200 78/216,449 76/542,936 2,957,763 1,949,520 2,273,132 2,412,060 C. Identification or Description of Trademark(s) (and Filing Date if Application or Additional sheets attached? ☐ Yes ☒ No Registration Number is unknown): 5. Name and address of party to whom correspondence 6. Total number of applications and concerning document should be mailed: registrations involved: 18 Name: Silicon Valley Bank 7. Total fee (37 CFR 2.6 (b)(6) & 3.41): \$465.00 Internal Address: Loan Collateral HF154 Authorized to be charged by credit card Authorized to be charged to deposit account Street Address: 3003 Tasman Dr. Enclosed City: Santa Clara State: CA ZIP: 95054 8. Payment Information: Phone Number: (408) 654-4042 a. Credit Card Last 4 Numbers **Expiration Date** Fax Number: (408) 654-6313 b. Deposit Account Number Email Address: Idc@svbank.com Authorized User Name 00000011 78514888 1/29/2005 DBYRNE

> Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

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Total number of pages including cover sheet, attachments, and document: 34

### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement")dated as of November 2, 2005 (as defined in the Loan Agreement) is between SILICON VALLEY BANK ("Bank") and PAVILION TECHNOLOGIES, INC., a Texas corporation ("Grantor").

### **RECITALS**

- A. Bank has made advances to Grantor ("Loans") as described in that certain Loan and Security Agreement dated as of December 17, 2004 (as the same may from time to time be further amended, modified, supplemented or restated, the "Loan Agreement"). Capitalized terms not otherwise defined herein shall the meaning set forth in the Loan Agreement.
- B. Borrower has requested that Bank amend the Loan Agreement, and Bank as agreed, subject to, among other things, Grantor's granting to Bank a security interest in Grantor's Copyrights, Trademarks, Patents, and Mask Works and other intellectual property (the "Intellectual Property Collateral").
- C. Grantor has granted Bank a security interest in all of its right, title and interest, presently existing or later acquired to all the Collateral.

### **AGREEMENT**

Grantor grants Bank a security interest in all of its right, title and interest in its Intellectual Property Collateral (such as the Copyrights, Patents, Trademarks and Mask Works listed on Schedules A, B, C and D), and all proceeds (such as license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements rights throughout the world and all reissues, divisions, continuations, renewals, extensions and continuations-in-part.

This security interest is granted in conjunction with the security interest granted under the Loan Agreement. Bank's rights and remedies in the security interest are in addition to those in the Loan Agreement and the other Loan Documents, and those available in law or equity. Bank's rights powers and interests are cumulative with every right, power or remedy provided here. Bank's exercise of its rights, powers or remedies in this Agreement, the Loan Agreement or any other Loan Document, does not preclude the simultaneous or later exercise of any or all other right, power or remedy.

BANK:

SILICON VALLEY BANK

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(Title)

**GRANTOR:** 

PAVILION TECHNOLOGIES, INC.,

a Texas corporation

(Signature

(Title)

TRADEMARK

REEL: 003262 FRAME: 0883

### **EXHIBIT A**

Copyrights

Description

Registration/Application Number

Registration/Application <u>Date</u>

### **EXHIBIT B**

**Patents** 

Registration/Application

<u>Number</u>

Registration/Application <u>Date</u>

### PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART ISSUED PATENTS

### PAVILION CONFIDENTIAL FOR INTERNAL DISTRIBUTION ONLY

### PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART ISSUED PATENTS

FR	FR	FR	Ŗ	EPO	EPO	EPO	EPO	EPO	EPO	DE	DE	FILING
94 903278.3	94924531.1	94 907888.5	94 909493.2	2761503.8	1926681.6	94924533.1	94922774.2	94 907888.5	94 909493.2	97 926598.0	94922772.2	APPLICATION NO
11/19/1993	7/27/1994	1/25/1994	1/25/1994	03/18/04	11/05/02	7/27/1994	7/27/1994	1/25/1994	1/25/1994	05/05/97	7/27/1994	FILE DATE
69332980.7-08	0712509	0680639	0680637			0712509	0712463	0680639	0680637	897560 B1	69418199.4-08	PATENT NO
5/14/2003	04/05/00	04/05/00	06/20/01			04/05/00	04/28/99	04/05/00	06/20/01	12/18/2002	04/28/99	ISSUE DATE
11/19/13		01/25/14	01/25/14			07/27/14	07/27/14	01/25/14	01/25/14	05/05/17	07/27/14	ISSUE DATE EXPIRATION DATE
Method and Apparatus for Operating a Neural Network with Missing and/or Incomplete Data	Virtual Continuous Monitoring System with Sensor Validation	Predictive Network with Learned Preprocessing Parameters	Method and Apparatus for Preprocessing Input Data to a Neural Network	System and Method for Real-Time Economic Optimization	System and Method for Enterprise Modeling, Optimization and Control	Virtual Continuous Monitoring System with Sensor Validation	Virtual Emissions Monitor for Automobile	Predictive Network with Learned Preprocessing Parameters	Method and Apparatus for Preprocessing Input Data to a Neural Network	Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction< Control, and Optimization	Virtual Emissions Monitor for Automobile	TITLE

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### PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART ISSUED PATENTS

Sn	SE	SE	PCT	GB	GB	GB	GB	GB	GB	GB	FR	FILING
07/896,755	94922773.2	94 909493.2	US01/11214	97 926598.0	97 926598.0	94924532.1	94922772.2	94 907888.5	94 909493.2	94 903278.3	97 926598.0	APPLICATION NO
6/10/1992	7/24/1994	1/25/1994	04/05/01	05/05/97	05/05/97	7/27/1994	7/27/1994	1/25/1994	1/25/1994	11/19/1993	05/05/97	FILE DATE
5,353,207	0712463	0680637		897560 B1	897560 B1	0712509	0712463	0680639	0680637	0671038	897560 B1	PATENT NO
10/04/94	04/28/99	06/20/01		12/18/2002	12/18/2002	04/05/00	04/28/99	04/05/00	06/20/01	5/14/2003	12/18/2002	ISSUE DATE
10/03/11	07/27/14	01/25/14		05/05/17 .	05/05/17	07/27/14	07/27/14	01/25/14	01/25/14	11/19/13	05/05/17	ISSUE DATE EXPIRATION DATE
Residual Activation Neural Network	Virtual Emissions Monitor for Automobile	Method and Apparatus for Preprocessing Input Data to a Neural Network	System and Method for Enterprise Modeling, Optimization and Control	Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction< Control, and Optimization	Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction< Control, and Optimization	Virtual Continuous Monitoring System with Sensor Validation	Virtual Emissions Monitor for Automobile	Predictive Network with Learned Preprocessing Parameters	Method and Apparatus for Preprocessing Input Data to a Neural Network	Method and Apparatus for Operating a Neural Network with Missing and/or Incomplete Data	Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction< Control, and Optimization	

# PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART ISSUED PATENTS

Method and Apparatus for Automatically Constructing a Data Flow Architecture	06/16/15	06/16/98	5,768,475	5/25/1995	08/450,086	US
Method and Apparatus for Preprocessing Input Data to a Neural Network	11/24/12	03/17/98	5,729,661	1/25/1993	08/008,170	US
Virtual Emissions Monitor for Automobile and Associated Control System	11/05/13	10/28/97	5,682,317	7/23/1996	08/685,072	S
Method and Apparatus for Operating Neural Network with Missing and/or Incomplete Data	09/20/15	03/18/97	5,613,041	11/24/1992	07/531,100	SN
Residual Activation Neural Network	09/24/13	09/24/96	5,559,690	9/16/1994	08/307,521	US
Virtual Continuous Emission Monitoring System	08/20/13	08/20/96	5,548,528	1/30/1995	08/380,062	US
Virtual Emissions Monitor for Automobile	07/23/16	07/23/96	5,539,638	11/5/1993	08/149,216	SU
Predictive Network With Learned Preprocessing Parameters	12/26/12	12/26/95	5,479,573	1/25/1993	08/008,218	Sn
Virtual Continuous Emission Monitoring System with Sensor Validation	01/31/12	01/31/95	5,386,373	8/5/1993	08/102,405	US
TITLE	ISSUE DATE EXPIRATION DATE	ISSUE DATE	PATENT NO	FILE DATE	APPLICATION NO	FILING

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Predictive Network with Graphically Determined Preprocess Transforms	09/20/15	12/14/99	6,002,839	12/22/95 08/21/97	08/576,581 08/915,850	SN
Method and Apparatus for Automatically Constructing a Data Flow Architecture	05/25/15	09/07/99	5,950,182	1/30/1998	09/015,984	US
Method and Apparatus for Dynamic and Steady State Modeling Over Desired Path Between Two End Points	05/06/16	08/03/99	5,933,345	5/6/1996	08/643,464	SU
Residual Activation Neural Network	06/10/12	01/12/99	5,859,773	9/23/1996	08/717,719	Sn
Method for Operating a Neural Network with Missing and/or Incomplete Data	11/24/12	11/24/98	5,842,189	9/27/1997	08/936,679	US
Method and Apparatus for Determining the Sensitivity of Inputs to a Neural Network on Output Parameters	03/02/13	10/20/98	5,825,646	6/3/1996	08/656,703	SU
Method for Operating a Neural Network with Missing and/or Incomplete Data	11/24/12	10/06/98	5,819,006	10/1/1996	08/742,377	US
Method and Apparatus for Analyzing a Neural Network within Desired Operating Parameter Constraints	03/02/13	07/14/98	5,781,432	12/4/1996	08/759,539	Sn
TITLE	ISSUE DATE EXPIRATION DATE	ISSUE DATE	PATENT NO	FILE DATE	APPLICATION NO	FILING

TRADEMARK REEL: 003262 FRAME: 0890

PAVILION TECHNOLOGIES, INC.
PATENT STATUS CHART
ISSUED PATENTS

### PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART ISSUED PATENTS

Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction Control, and Optimization	05/06/16	11/26/2002	6,487,459	02/16/99	09/250,432	S
Kiln Thermal and Combustion Control	05/06/16	8/20/2002	6,438,430	05/09/00	09/568,190	SU
A Method for Optimizing a Plant with Multiple Inputs	05/06/16	04/30/02	6,381,504	12/31/98	09/224,648	SU
Residual Activation Neural Network	06/10/12	03/26/02	6,363,289	01/12/99	09/228,962	S
Method for Operating a Neural Network on Missing and/or Incomplete Data	11/24/12	11/06/01	6,314,414	12/08/98	09/207,719	SU
A Method for On-Line Optimization of a Control System.	05/06/16	08/21/01	6,278,899	10/6/1998	09/167,504	SU
Automated Method for Building a Model	11/24/12	06/05/01	6,243,696	3/24/1998	09/047,853	SU
Method and Apparatus for Determining the Sensitivity of Inputs to a Neural Network on Output Parameters	03/02/13	04/10/01	6,216,048	10/19/1998	09/174,860	S
Method for Operating a Neural Network with Missing and/or Incomplete Data	11/24/12	01/02/01	6,169,980	10/6/1998	09/167,400	SN
Predictive Network with Learned Preprocessing Parameters	11/24/12	11/07/00	6,144,952	6/11/1999	09/330,326	SU
Method for Steady-State Identification Based upon Identified Dynamics	05/06/16	04/04/00	6,047,221	10/3/1997	08/943,489	SU
TITLE	ISSUE DATE EXPIRATION DATE	ISSUE DATE	PATENT NO	FILE DATE	APPLICATION NO	FILING

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PATENT STATUS CHART
ISSUED PATENTS

Control System and Method					021000000	Ç
Computer Neural Network Regulatory Process	7/25/2011	12/9/1998	69130603.6	7/25/1991	60130603.6	7
Computer Neural Network Supervisory Process Control System and Method	7/25/2011	10/29/1997	69128071.1	7/25/1991	69128071.1	DE
Batch Process Control Using Expert Systems	4/5/2010	2/11/1998	69032038.8	4/5/1990	69032038.8	DE
Computer Neural Network Process Measurement and Control System and Method	//25/2011	9/23/1998	69130253.7	7/25/1991	91913785.1	ÐE
Expert System with Natural Language Rule opositing	9/30/2008	11/1//1999	3856379.7	9/30/1988	88909446.2	DE
Neural Network/Expert System Process Control System and Method	7/25/2011	2/26/2002	2,066,739	7/25/1991	2,066,739	CA
On-Line I raining Neural Network for Process Control	4/30/2003	2,066,159	NA	7/25/1991	2,066,159	CA
On-Line Process Control Neural Network Using Data Pointers	1/13/2004 07/25/011	1/13/2004	2,066,279	7/25/1991	2,066,279	S
Computer Neural Network Regulatory Process Control System and Method	7/25/2011	10/1/2002	2,066,237	7/25/1991	2,066,237	Ç
Computer Neural Network Supervisory Process Control System and Method		2/26/2002	2,066,278	7/25/1991	2,066,278	CA
Computer Neural Network Process Measurement and Control System and Method	4/22/2003	N/A	2,066,458	7/25/1991	2,066,458	CA
Expert System with Three Classes of Rules	11/17/2009	11/17/1992	1,310,424	9/28/1988	578705	CA
Expert System with Natural Language Rule Opdating	10/20/2009	10/20/1992	1,309,182	9/28/1988	578704	S
On-Line Training Neural Network for Process Control	7/25/2011	4/23/1997	495046	7/25/1991	91913862.8	Belgium
Computer Neural Network Process Measurement and Control System and Method	7/25/2011	9/23/1998	495044	7/25/1991	91913785.1	Belgium
Batch Process control Using Expert Systems	4/5/2010	6/11/1993	634226	4/5/1990	54209/90	Australia
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PATENTS PURCHASE FROM DUPONT

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Computer Neural Network Supervisory Process Control System and Method		10/29/1997	0495080	7/25/1991	91915577.0	GB
Batch Process control Using Expert Systems	4/5/2010	2/11/1998	0423287	4/5/1990	90906564.1	GB
Computer Neural Network Process Measurement and Control System and Method	7/25/2011	9/23/1998	0495044	7/25/1991	91913785.1	GB
Expert System with Natural Language Rule Updating	9/30/2008	11/17/1999	0335957	9/30/1988	88909446.2	ĞB
Neural Network/Expert System Process Control System and Method	7/25/2011	10/29/1997	0498880	7/25/1991	91917194.2	FR
On-Line Training Neural Network for Process Control	7/25/2011	4/23/1997	0495046	7/25/1991	91913862.8	FR
On-Line Process Control Neural Network Using Data Pointers	7/25/2011	11/19/1997	0495085	7/25/1991	91915833.7	FR
Computer Neural Network Regulatory Process Control System and Method	7/25/2011	12/9/1998	0495092	7/25/1991	91917638.8	FR
Computer Neural Network Supervisory Process Control System and Method	7/25/2011	10/29/1997	0495080	7/25/1991	91915577.0	FR
Batch Process control Using Expert Systems	4/5/2010	2/11/1998	90906564.1	4/5/1990	90906564.1	FR
Computer Neural Network Process Measurement and Control System and Method	7/25/2011	9/23/1998	0495044	7/25/1991	91913785.1	FR
Expert System with Natural Language Rule Updating	9/30/2008	11/17/1999	0335957	9/30/1988	88909446.2	FR
Neural Network/Expert System Process Control System and Method	7/25/2011	10/29/1997	69128074.6	7/25/1991	9191719.4	DE
On-Line Training Neural Network for Process Control	7/25/2011	4/23/1997	69125809	7/25/1991	91913862.8	DE
On-Line Process Control Neural Network Using Data Pointers	7/25/2011	11/19/1997	69128237.4	7/25/1991	9191583.3	DE

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Batch Process Control Using Expert Systems	4/5/2010	2/11/1998	0423287	4/5/1990	90906564.1	PCT/EPO
Expert System with Natural Language Rule Updating		11/17/1999	0335957	9/30/1988	88909446.2	PCT/EPO
On-Line Training Neural Network for Process Control	//25/2011	4/23/199/	0495046	7/25/1991	91913862.8	Netherlands
Batch Process Control Using Expert Systems	0	2/11/1998	0423287	4/5/1990	90906564.1	Netherlands
Computer Neural Network Process Measurement and Control System and Method		9/23/1998	0495044	7/25/1991	91913785.1	Netherlands
Batch Process Control Using Expert Systems	P	2/11/1998	0423287	4/5/1990	90906564.1	LUX
Neural Network/Expert System Process Control System and Method	7/25/2011	10/29/1997	0498880	7/25/1991	91917194.2	ī
On-Line Training Neural Network for Process Control	7/25/2011	4/23/1997	0495046	7/25/1991	91913862.8	7
Computer Neural Network Regulatory Process Control System and Method	7/25/2011	12/9/1998	0495092	7/25/1991	91917639.8	ĪŦ
Batch Process Control Using Expert Systems	4/5/2010	2/11/1998	0423287	4/5/1990	90906564.1	Ħ
Computer Neural Network Process Measurement and Control System and Method	=	9/23/1998	0495044	7/25/1991	91913785.1	Ħ
Neural Network/Expert System Process Control System and Method	7/25/2011	10/29/1997	0498880	7/25/1991	91917194.2	GB
On-Line Training Neural Network for Process Control	7/25/2011	4/23/1997	0495046	7/25/1991	91913862.8	GB
On-Line Process Control Neural Network Using Data Pointers	7/25/2011	11/19/1997	0495085	7/25/1991	91915833.7	GB
Computer Neural Network Regulatory Process Control System and Method	7/25/2011	12/9/1998	0495092	7/25/1991	91917638.8	GB

PAVILION TECHNOLOGIES, INC.
PATENT STATUS CHART
PATENTS PURCHASE FROM DUPONT

Historical Database Training Method for Neural Networks	8/3/2010	4/18/1995	5,408,586	4/2/1993	08/042,500	SU
On-Line Process Control Neural Network Using Data Pointers	7/22/2012	6/29/1993	5,224,203	7/22/1992	07/916,684	SU
On-Line Training Neural Network System for Process Control	8/3/2010	5/18/1993	5,212,765	8/3/1990	07/563,092	SU
Computer Neural Network Regulatory Process Control System and Method	8/3/2010	3/23/1993	5,197,114	8/3/1990	07/562,462	Sn
Neural Network/Expert System Process Control System and Method	8/3/2010	6/9/1992	5,121,467	8/3/1990	07/562,327	Sn
On-Line Process Control Neural Network Using Data Pointers	8/3/2010	11/24/1992	5,167,009	8/3/1990	07/562,388	SN
Computer Neural Network Supervisory Process Control System and Method	8/3/2010	8/25/1992	5,142,612	8/3/1990	07/562,268	SU
Expert System with Natural-Language Rule Updating	9/30/2007	4/24/1990	4,920,499	9/30/1987	07/103,050	SN
Batch Process Control Using Expert Systems	4/5/2010	2/11/1998	0423287	4/5/1990	90906564.1	Switzerland
Neural Network/Expert System Process Control System and Method	7/25/2011	10/29/1997	0498880	7/25/1991	91917194.2	PCT/EPO
Computer Neural Network Regulatory Process Control System and Method	7/25/2011	12/9/1998	0495092	7/25/1991	91917638.8	PCT/EPO
On-Line Process Control Neural Network Using Data Pointers	7/25/2011	11/19/1997	0495085	7/25/1991	91915833.7	PCT/EPO
Computer Neural Network Supervisory Process Control System and Method	7/25/2011	10/29/1997	0495080	7/25/1991	91915577.0	PCT/EPO
On-Line Training Neural Network for Process Control	7/25/2011	4/23/1997	0495046	7/25/1991	91913862.8	PCT/EPO
Computer Neural Network Process Measurement and Control System and Method	7/25/2011	9/23/1998	0495044	7/25/1991	91913785.1	PCT/EPO
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Batch Process Control Using Expert Systems	4/5/2009	3/20/1990	5,058,043	4/5/1989	07/333,536	SN
Process Control System with Reconfigurable Expert Rules and Control Modules	4/9/2008	4/9/1991	5,006,992	9/30/1987	07/103,014	Sn
Process Control System with On-Line Reconfigurable Modules	10/23/2007	10/23/1990	4,965,742	9/30/1987	07/103,047	S
Process Control System with Multiple Module Sequence Options	9/30/2007	3/20/1990	4,910,691	9/30/1987	07/103,124	SU
Process Control System with Action Logging	9/30/2007	3/6/1990	4,907,167	9/30/1987	07/103,118	US
Expert System with Three Classes of Rules	9/30/2007	11/28/1989	4,884,217	9/30/1987	07/102,832	S
Computer Neural Network Process Measurement and Control	1/25/2011	1/25/1994	5,282,261	8/3/1990	07/563,095	S
Historical Database Training Method for Neural Networks	8/3/2010	10/20/1998	5,826,249	6/6/1997	08/870,659	S
Historical Database Training Method for Neural Networks	8/3/2010	6/17/1997	5,640,493	4/7/1995	08/422,955	S
				W. N. V.		

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### PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART PENDING PATENTS APPLICATIONS

System and Method for Real-Time Economic Optimization	013478/0434 11/04/02	08/21/02	10/225,093	SU
A Method for Optimizing a Plant with Multiple Inputs		02/25/02	10/082,783	SU
E-Commerce System Using Modeling of Inducements to Customers	013363/0484 10/07/02	01/18/02	10/053,527	S
System and Method for Pre-Processing Input Data to a Support Vector Machine	012555/0360 01/18/02	01/18/02	10/051,574	SU
Residual Activation Neural Network		01/08/02	10/041,157	S
System and Method for Historical Database Training of Support Vector Machines	012344/0919 11/28/01	11/28/01	09/997,627	SU
System and Method for On-Line Training of a Support Vector Machine	012466/0138	09/05/01	09/946,809	US
Electronic Marketplace System and Method Using Optimization Techniques	011681 / 0710	03/27/01	09/818,218	US
Method and Apparatus for Training A System Model With Gain Constraints	011413/0321 01/08/01	9/14/2000	09/662,243	US
On-Line Training Neural Network for Process Control	N/A	10/28/1998	09/175,317	SU
A System and Method of Adaptive Control of Processes with Varying Dynamics	014787/0045 12/09/03	12/09/03	US03/39012	PCT
System and Method for Real-Time Economic Optimization		08/26/02	US02/27163	PCT
System and Method for Configuring and Electronic Commerce Site Using an Optimization Process	WO 01/55890 A2	01/26/01	US01/02644	PCT
A System and Method of Adaptive Control of Processes with Varying Dynamics		12/22/03	PCT/US03/39012	PCT
A System and Method of Adaptive Control of Processes with Varying Dynamics	014787/0045 12/09/03	06/09/05	US03/39012	India
A System and Method of Adaptive Control of Processes with Varying Dynamics	014787/0045 12/09/03	06/09/05	US03/39012 03816931.4	EPO
Parameterizing a Steady-State Model Using Derivative Constraints		01/26/04	04 001 568.7	EPO
Modeling In-Situ Reservoirs With Derivative Constraints		01/26/04	4001565.3	EPO
A System and Method of Adaptive Control of Processes with Varying Dynamics	014787/0045 12/09/03	06/09/05	US03/39012	China
TITLE	ASSIGNMENT	DATE FILED	APPLICATION NO	FILING OFFICE

## PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART PENDING PATENTS APPLICATIONS

US	US	SU	SN	US	SN	SU	US	US	US	US	S	SU	SU	SU	China
11/129,062	11/028,426	10/983,874	10/847,211	10/843,160	10/842,157	10/827,977	10/755,719	10/731,596	10/730,835	10/669,028	10/614,335	10/441,936	10/350,838	10/350,830	US03/39012
05/13/905	01/03/05	11/08/04	05/17/04	05/11/04	2/19/2004	04/20/04	01/12/04	12/09/03	12/09/03	09/23/03	07/07/03	05/20/03	01/24/03	01/24/03	06/09/05
11/129,062	015909/0836 03/31/05	014450/0260			015321/0226 05/10/04			014786/0918 12/09/03	014787/0045 12/09/03	015547/0358 07/07/04 (McGarel)		011450/0260 09/02/03			014787/0045 12/09/03
Neural Network Using Spatially Dependent Data for Controlling a Web-Based Process	Kiln/Cooler Control and Upset Recovery Using a Combination of Model Predictive Control in Series with Forward Chaining	Dynamic Cost Accounting	Method and Apparatus for Modeling Dynamic and Steady State Process for Prediction, Control and Optimization	Kiln Thermal and Combustion Control	Parametric Universal Nonlinear Dynamics Approximator and Use	Bayesian Neural Network for Optimization	Method and Apparatus for Maximizing Power Usage in a Power Plant	System and Method of Applying Adaptive Control to the Control of Particle Accelerators with Varying Dynamics Behavioral Characteristics Using a Nonlinear Model Predictive Control Technology	A System and Method of Adaptive Control of Processes with Varying Dynamics	Kiin Thermal and Combustion Control	Method and Apparatus for Operating a Neural Network with Missing and/or Incomplete Data	Dynamic Cost Accounting	Modeling In-Situ Reservoirs With Derivative Constraints	Parameterizing a Steady-State Model Using Derivative Constraints	A System and Method of Adaptive Control of Processes with Varying Dynamics

TRADEMARK REEL: 003262 FRAME: 0899

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### PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART PENDING PATENTS APPLICATIONS

US	US	US	US	US	China
		60/382,301	60/382,296	60/314,749	US03/39012
		05/23/02	05/23/02	08/24/01	06/09/05
					014787/0045 12/09/03
Kiln Thermal and Combustion Control	Method and Apparatus for Training A System Model With Gain Constraints	Dynamic Cost Accounting	Dynamic Cost Accounting	System and Method for Real-Time Economic Optimization	A System and Method of Adaptive Control of Processes with Varying Dynamics

### **EXHIBIT C**

Trademarks

Registration/Application

<u>Number</u>

Registration/Application <u>Date</u>

### PAVILION TECHNOLOGIES, INC. TRADEMARK STATUS CHART TRADEMARK APPLICATIONS

Filing Office	Class	Mark	Application No.	Filing Date	Registration No	Issue Date
US	00009	BlueVue™	78/514,888	11/10/04		
Argentina	00009	BlueVue™	10504970			
Brazil	00009	BlueVue™	10504971		"	
Madrid	00009	BlueVue™	A0001440	05/09/05		
us	00009 00042	Pavilion Technologies	78/413,576	05/05/04		
US	00009	PAVILION8	78/506,933	10/27/04		
Argentina	00009	PAVILION8	2586456	04/28/05		
India	00009	PAVILION8	1365654	06/21/05		
US	00042	Predictable Results. Guaranteed™	78/421640	05/19/04		
US	00009	Software CEM ™	76/542,937	07/11/03	N/A	N/A·
			76/546,567 deleted as duplicate			
Madrid	00009	Software CEM ™ (Madrid)		07/11/03	N/A	N/A
US	00009	ValueFirst ™	78/421,643	07/11/03	N/A	N/A

### PAVILION TECHNOLOGIES, INC. REGISTERED TRADEMARKS

Filing	Class	Mark	Application No.	Filing Date	Registration No	Issue Date
Office						
00054	00009	BOOST ®	75/764,234	36371	2,436,457	03/20/01
US	00009	Envisage ®	76/467,420	37575	2,863,416	07/13/04
US	00009	INSIGHTS ®	75/556,325	36059	2,386,329	09/12/00
US	00009	PAVILION ®	75/232,582	35458	2,204,891	11/24/98
US	00009	PAVILION DATA INTERFACE ®	75/232,989	35458	2,212,218	12/22/98
US	00009	PROCESS INSIGHTS ®	75/714,999	34925	2,039,892	02/25/97
US	00009	PROCESS PERFECTER ®	75/244,382	35480	2,146,200	03/24/98
US	00009	Property Predictor®	78/216,449	37671		
US	00009	Protégé®	76/542,934	07/11/03	2,957,763	05/31/05
			76/546,564 deleted as duplicate			
US	00009	SOFT CEM ®	76/542,936	37813	N/A	N/A
			76/546,566 deleted as duplicate			
US	00009	SOFT SENSOR ®	74/487,767	34372	1,949,520	01/16/96
US	00009	VIRTUAL ONLINE ANALYZER ®	75/232,978	35458	2,273,132	08/24/99
US	00009	VOA ®	75/742,406	36343	2,412,060	12/12/00

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### **EXHIBIT D**

Mask Works

Registration/Application

<u>Description</u>

<u>Number</u>

Registration/Application <u>Date</u>

TRADEMARK
RECORDED: 11/28/2005 REEL: 003262 FRAME: 0904