

**TRADEMARK ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

**CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Staar Surgical Company		06/08/2006	CORPORATION: DELAWARE

**RECEIVING PARTY DATA**

Name:	Wells Fargo Bank, National Association
Street Address:	245 South Los Robles Avenue, Suite 700
City:	Pasadena
State/Country:	CALIFORNIA
Postal Code:	91101
Entity Type:	National Association:

**PROPERTY NUMBERS Total: 27**

Property Type	Number	Word Mark
Registration Number:	3095971	
Registration Number:	2724735	COLLAMER
Registration Number:	2521311	ELASTIC LENS
Registration Number:	1994507	ELASTIMIDE
Registration Number:	2556234	EYECL
Registration Number:	2018209	FINELINE
Registration Number:	2157871	MICRO TIP CARTRIDGE
Registration Number:	1994506	MICROSTAAR
Registration Number:	1994505	SOFTRANS
Registration Number:	3076342	STAAR
Registration Number:	2219893	STAAR
Registration Number:	2213661	STAAR SURGICAL
Registration Number:	2044353	STAARVISC
Registration Number:	2175782	SUPER FUNNEL CARTRIDGE

CH \$690.00 3095971

Registration Number:	2635933	CLEO 2000
Serial Number:	78704538	AS INDIVIDUAL AS YOU ARE
Serial Number:	78320843	
Serial Number:	78356073	
Serial Number:	78345127	STAAR
Serial Number:	78356081	STAAR
Serial Number:	78844916	TICL
Serial Number:	78704714	T-OPTIMIZED
Serial Number:	78320529	VISIAN
Serial Number:	78319259	VISIAN
Serial Number:	78319286	VISIAN ICL
Serial Number:	78704516	VISIAN TICL
Serial Number:	76408445	TORIC ICL

**CORRESPONDENCE DATA**

Fax Number: (213)630-5728  
*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*  
Phone: 213-891-5011  
Email: jhawke@buchalter.com  
Correspondent Name: Jody Hawke  
Address Line 1: 1000 Wilshire Boulevard, Suite 1500  
Address Line 4: Los Angeles, CALIFORNIA 90017-2457

ATTORNEY DOCKET NUMBER:	W3323-0034
NAME OF SUBMITTER:	Jody Hawke
Signature:	/Jody Hawke/
Date:	06/30/2006

Total Attachments: 42  
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## PATENT AND TRADEMARK SECURITY AGREEMENT

This Agreement, dated as of June 8, 2006, is made by and between Staar Surgical Company, a Delaware corporation having a business location at the address set forth below next to its signature (the "Debtor"), and Wells Fargo Bank, National Association (the "Secured Party"), acting through its Wells Fargo Business Credit operating division, and having a business location at the address set forth below next to its signature.

### Recitals

The Debtor and the Secured Party are parties to a Credit and Security Agreement of even date herewith (as the same may hereafter be amended, supplemented or restated from time to time, the "Credit Agreement") setting forth the terms on which the Secured Party may now or hereafter extend credit to or for the account of the Debtor.

As a condition to extending credit to or for the account of the Debtor, the Secured Party has required the execution and delivery of this Agreement by the Debtor.

ACCORDINGLY, in consideration of the mutual covenants contained in the Loan Documents and herein, the parties hereby agree as follows:

1. Definitions. All terms defined in the Recitals hereto or in the Credit Agreement that are not otherwise defined herein shall have the meanings given to them therein. In addition, the following terms have the meanings set forth below:

"Obligations" means each and every debt, liability and obligation of every type and description arising under or in connection with any Loan Document (as defined in the Credit Agreement) which the Debtor may now or at any time hereafter owe to the Secured Party, whether such debt, liability or obligation now exists or is hereafter created or incurred and whether it is or may be direct or indirect, due or to become due, absolute or contingent, primary or secondary, liquidated or unliquidated, independent, joint, several or joint and several, and including specifically, but not limited to, the Obligations (as defined in the Credit Agreement).

"Patents" means all of the Debtor's right, title and interest in and to patents or applications for patents, fees or royalties with respect to each, and including without limitation the right to sue for past infringement and damages therefor, and licenses thereunder, all as presently existing or hereafter arising or acquired, including without limitation the patents listed on Exhibit A.

"Security Interest" has the meaning given in Section 2.

"Trademarks" means all of the Debtor's right, title and interest in and to:  
(i) trademarks, service marks, collective membership marks, registrations and applications for registration for each, and the respective goodwill associated with each, (ii) licenses, fees or royalties with respect to each, (iii) the right to sue for

past, present and future infringement, dilution and damages therefor, and (iv) licenses thereunder, all as presently existing or hereafter arising or acquired, including, without limitation, the marks listed on Exhibit B.

2. Security Interest. The Debtor hereby irrevocably pledges and assigns to, and grants the Secured Party a security interest (the "Security Interest") with power of sale to the extent permitted by law, in the Patents and in the Trademarks to secure payment of the Obligations. As set forth in the Credit Agreement, the Security Interest is coupled with a security interest in substantially all of the personal property of the Debtor. This Agreement grants only the Security Interest herein described, is not intended to and does not affect any present transfer of title of any trademark registration or application and makes no assignment and grants no right to assign or perform any other action with respect to any intent to use trademark application, unless such action is permitted under 15 U.S.C. § 1060.

3. Representations, Warranties and Agreements. The Debtor represents, warrants and agrees as follows:

(a) **Existence; Authority.** The Debtor is a corporation duly organized, validly existing and in good standing under the laws of its state of incorporation, and this Agreement has been duly and validly authorized by all necessary corporate action on the part of the Debtor.

(b) **Patents.** Exhibit A accurately lists all Patents owned or controlled by the Debtor as of the date hereof, or to which the Debtor has a right as of the date hereof to have assigned to it, and accurately reflects the existence and status of applications and letters patent pertaining to the Patents as of the date hereof. If after the date hereof, the Debtor owns, controls or has a right to have assigned to it any Patents not listed on Exhibit A, or if Exhibit A ceases to accurately reflect the existence and status of applications and letters patent pertaining to the Patents, then the Debtor shall within 60 days provide written notice to the Secured Party with a replacement Exhibit A, which upon acceptance by the Secured Party shall become part of this Agreement.

(c) **Trademarks.** Exhibit B accurately lists all Trademarks owned or controlled by the Debtor as of the date hereof and accurately reflects the existence and status of Trademarks and all applications and registrations pertaining thereto as of the date hereof; provided, however, that Exhibit B need not list common law marks (i.e., Trademarks for which there are no applications or registrations) which are not material to the Debtor's or any Affiliate's business(es). If after the date hereof, the Debtor owns or controls any Trademarks not listed on Exhibit B (other than common law marks which are not material to the Debtor's or any Affiliate's business(es)), or if Exhibit B ceases to accurately reflect the existence and status of applications and registrations pertaining to the Trademarks, then the Debtor shall promptly provide written notice to the Secured Party with a replacement Exhibit B, which upon acceptance by the Secured Party shall become part of this Agreement.

(d) **Affiliates.** As of the date hereof, no Affiliate owns, controls, or has a right to have assigned to it any items that would, if such item were owned by the Debtor, constitute Patents or Trademarks. If after the date hereof any Affiliate owns, controls, or has a right to have assigned to it any such items, then the Debtor shall promptly either: (i) cause such Affiliate to assign all of its rights in such item(s) to the Debtor; or (ii) notify the Secured Party of such item(s) and cause such Affiliate to execute and deliver to the Secured Party a patent and trademark security agreement substantially in the form of this Agreement.

(e) **Title.** The Debtor has absolute title to each Patent and each Trademark listed on Exhibits A and B, free and clear of all Liens except Permitted Liens. The Debtor (i) will have, at the time the Debtor acquires any rights in Patents or Trademarks hereafter arising, absolute title to each such Patent or Trademark free and clear of all Liens except Permitted Liens, and (ii) will keep all Patents and Trademarks free and clear of all Liens except Permitted Liens.

(f) **No Sale.** Except as permitted in the Credit Agreement, the Debtor will not assign, transfer, encumber or otherwise dispose of the Patents or Trademarks, or any interest therein, without the Secured Party's prior written consent.

(g) **Defense.** The Debtor will at its own expense and using commercially reasonable efforts, protect and defend the Patents and Trademarks against all claims or demands of all Persons other than those holding Permitted Liens.

(h) **Maintenance.** The Debtor will at its own expense maintain the Patents and the Trademarks to the extent reasonably advisable in its business including, but not limited to, filing all applications to obtain letters patent or trademark registrations and all affidavits, maintenance fees, annuities, and renewals possible with respect to letters patent, trademark registrations and applications therefor. The Debtor covenants that it will not abandon nor fail to pay any maintenance fee or annuity due and payable on any Patent or Trademark, nor fail to file any required affidavit or renewal in support thereof, without first providing the Secured Party: (i) sufficient written notice, of at least 30 days, to allow the Secured Party to timely pay any such maintenance fees or annuities which may become due on any Patents or Trademarks, or to file any affidavit or renewal with respect thereto, and (ii) a separate written power of attorney or other authorization to pay such maintenance fees or annuities, or to file such affidavit or renewal, should such be necessary or desirable.

(i) **Secured Party's Right to Take Action.** If the Debtor fails to perform or observe any of its covenants or agreements set forth in this Section 3, and if such failure continues for a period of ten (10) calendar days after the Secured Party gives the Debtor written notice thereof (or, in the case of the agreements contained in subsection (h), immediately upon the occurrence of such failure, without notice or lapse of time), or if the Debtor notifies the Secured Party

that it intends to abandon a Patent or Trademark, the Secured Party may (but need not) perform or observe such covenant or agreement or take steps to prevent such intended abandonment on behalf and in the name, place and stead of the Debtor (or, at the Secured Party's option, in the Secured Party's own name) and may (but need not) take any and all other actions which the Secured Party may reasonably deem necessary to cure or correct such failure or prevent such intended abandonment.

(j) **Costs and Expenses.** Except to the extent that the effect of such payment would be to render any loan or forbearance of money usurious or otherwise illegal under any applicable law, the Debtor shall pay the Secured Party on demand the amount of all moneys expended and all costs and expenses (including reasonable attorneys' fees and disbursements) incurred by the Secured Party in connection with or as a result of the Secured Party's taking action under subsection (i) or exercising its rights under Section 6, together with interest thereon from the date expended or incurred by the Secured Party at the Default Rate.

(k) **Power of Attorney.** To facilitate the Secured Party's taking action under subsection (i) and exercising its rights under Section 6, the Debtor hereby irrevocably appoints (which appointment is coupled with an interest) the Secured Party, or its delegate, as the attorney-in-fact of the Debtor with the right (but not the duty) from time to time to create, prepare, complete, execute, deliver, endorse or file, in the name and on behalf of the Debtor, any and all instruments, documents, applications, financing statements, and other agreements and writings required to be obtained, executed, delivered or endorsed by the Debtor under this Section 3, or, necessary for the Secured Party, after an Event of Default, to enforce or use the Patents or Trademarks or to grant or issue any exclusive or non-exclusive license under the Patents or Trademarks to any third party, or to sell, assign, transfer, pledge, encumber or otherwise transfer title in or dispose of the Patents or Trademarks to any third party. The Debtor hereby ratifies all that such attorney shall lawfully do or cause to be done by virtue hereof. The power of attorney granted herein shall terminate upon the termination of the Credit Agreement as provided therein and the payment and performance of all Obligations.

4. Debtor's Use of the Patents and Trademarks. The Debtor shall be permitted to control and manage the Patents and Trademarks, including the right to exclude others from making, using or selling items covered by the Patents and Trademarks and any licenses thereunder, in the same manner and with the same effect as if this Agreement had not been entered into, so long as no Event of Default occurs and remains uncured.

5. Events of Default. Each of the following occurrences shall constitute an event of default under this Agreement (herein called "Event of Default"): (a) an Event of Default, as defined in the Credit Agreement, shall occur; or (b) the Debtor shall fail promptly to observe or perform any covenant or agreement herein binding on it; or (c) any of the representations or

warranties contained in Section 3 shall prove to have been incorrect in any material respect when made.

6. Remedies. Upon the occurrence of an Event of Default and at any time thereafter, the Secured Party may, at its option, take any or all of the following actions:

(a) The Secured Party may exercise any or all remedies available under the Credit Agreement.

(b) The Secured Party may sell, assign, transfer, pledge, encumber or otherwise dispose of the Patents and Trademarks.

(c) The Secured Party may enforce the Patents and Trademarks and any licenses thereunder, and if Secured Party shall commence any suit for such enforcement, the Debtor shall, at the request of Secured Party, do any and all lawful acts and execute any and all proper documents required by Secured Party in aid of such enforcement.

7. Miscellaneous. This Agreement can be waived, modified, amended, terminated or discharged, and the Security Interest can be released, only explicitly in a writing signed by the Secured Party. A waiver signed by the Secured Party shall be effective only in the specific instance and for the specific purpose given. Mere delay or failure to act shall not preclude the exercise or enforcement of any of the Secured Party's rights or remedies. All rights and remedies of the Secured Party shall be cumulative and may be exercised singularly or concurrently, at the Secured Party's option, and the exercise or enforcement of any one such right or remedy shall neither be a condition to nor bar the exercise or enforcement of any other. All notices to be given to Debtor under this Agreement shall be given in the manner and with the effect provided in the Credit Agreement. The Secured Party shall not be obligated to preserve any rights the Debtor may have against prior parties, to realize on the Patents and Trademarks at all or in any particular manner or order, or to apply any cash proceeds of Patents and Trademarks in any particular order of application. This Agreement shall be binding upon and inure to the benefit of the Debtor and the Secured Party and their respective participants, successors and assigns and shall take effect when signed by the Debtor and delivered to the Secured Party, and the Debtor waives notice of the Secured Party's acceptance hereof. The Secured Party may execute this Agreement if appropriate for the purpose of filing, but the failure of the Secured Party to execute this Agreement shall not affect or impair the validity or effectiveness of this Agreement. A carbon, photographic or other reproduction of this Agreement or of any financing statement signed by the Debtor shall have the same force and effect as the original for all purposes of a financing statement. This Agreement shall be governed by the internal law of California without regard to conflicts of law provisions. If any provision or application of this Agreement is held unlawful or unenforceable in any respect, such illegality or unenforceability shall not affect other provisions or applications which can be given effect and this Agreement shall be construed as if the unlawful or unenforceable provision or application had never been contained herein or prescribed hereby. All representations and warranties contained in this Agreement shall survive the execution, delivery and performance of this Agreement and the creation and payment of the Obligations.




**THE PARTIES WAIVE ANY RIGHT TO TRIAL BY JURY IN ANY ACTION OR PROCEEDING BASED ON OR PERTAINING TO THIS AGREEMENT.**

[Signatures begin on next page]

IN WITNESS WHEREOF, the parties have executed this Patent and Trademark Security Agreement as of the date written above.

Staar Surgical Company  
1911 Walker Avenue  
Monrovia, California 91016

STAAR SURGICAL COMPANY

By:   
Name: Deborah Andrews  
Title: CFO

Wells Fargo Bank, National Association,  
Acting through its Wells Fargo Business Credit  
operating division  
245 South Los Robles Avenue  
Suite 700  
Pasadena, California 91101

WELLS FARGO BANK, NATIONAL  
ASSOCIATION, acting through its Wells  
Fargo Business Credit operating division

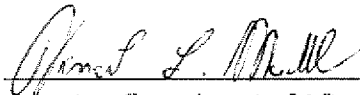
By:   
Name: VINCENT L. MADALA  
Title: ASST. VICE PRESIDENT

EXHIBIT A

PATENTS

Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-59589/ ORD	United States of America	6737448 18-May-2004	Issued 03-Jun-2022	JKF
		<b>Title:</b> HIGH REFRACTIVE INDEX, OPTICALLY CLEAR AND SOFT HYDROPHOBIC ACRYLAMIDE COPOLYMERS		KS
		<i>Client Reference:</i>		
-62538/ CON	United States of America	6506195 14-Jan-2003	Issued 30-Sep-2012	JKF
		<b>Title:</b> DEFORMABLE INTRAOCULAR LENS INSERTION SYSTEM		KS
		<i>Client Reference:</i>		
-62595/ CON	United States of America	6712848 30-Mar-2004	Issued 30-Sep-2012	JKF
		<b>Title:</b> DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS WITH TRANSVERSE HINGED LENS CARTRIDGE		KS
		<i>Client Reference:</i>		
-62597/ DIV	United States of America	5902307 11-May-1999	Issued 12-Nov-2016	JKF
		<b>Title:</b> METHOD OF LOADING AN INTRAOCULAR LENS INTO A LENS INJECTING APPARATUS, AND IMPLANTING THE INTRAOCULAR LENS THROUGH A SMALL INCISION MADE IN THE EYE		KS
		<i>Client Reference:</i>		
-62612/ CON	United States of America	6921405 26-Jul-2005	Issued 30-Sep-2012	JKF
		<b>Title:</b> DISPOSABLE INTRAOCULAR LENS INSERTION SYSTEM		KS
		<i>Client Reference:</i>		
-62613/ CIP	United States of America	5910537 08-Jun-1999	Issued 07-Jun-2015	JKF
		<b>Title:</b> BIOCOMPATIBLE OPTICALLY TRANSPARENT, ULTRAVIOLET LIGHT ABSORBING, POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING		KS
		<i>Client Reference:</i>		

Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-62614/ CON	United States of America	5868751 09-Feb-1999	Issued 30-Sep-2012	JKF
<i>Title:</i> INTRAOCULAR LENS INSERTION SYSTEM				KS
<i>Client Reference:</i>				
-62615/ DIV	United States of America	5936256 10-Aug-1999	Issued 29-Jan-2017	JKF
<i>Title:</i> METHOD OF PREPARING A BIOLOGICAL MATERIAL FOR USE IN OPHTHALMOLOGY				KS
<i>Client Reference:</i>				
-62633/ CIP	United States of America	5807400 15-Sep-1998	Issued 15-Sep-2015	JKF
<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INSERTION SYSTEM				KS
<i>Client Reference:</i>				
-62704/ DIV	United States of America	6059791 09-May-2000	Issued 30-Sep-2012	JKF
<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INJECTION SYSTEM, AND METHOD THEREOF				KS
<i>Client Reference:</i>				
-62705/ ORD	United States of America	5993796 30-Nov-1999	Issued 18-Nov-2016	JKF
<i>Title:</i> BIOCOMPATIBLE POLYMERIC MATERIALS, METHODS OF PREPARING SUCH MATERIALS AND USES THEREOF				KS
<i>Client Reference:</i>				
-62706/ ORD	United States of America	5893837 13-Apr-1999	Issued 28-Feb-2017	JKF
<i>Title:</i> A GLAUCOMA DRAIN IMPLANTING DEVICE AND METHOD				KS
<i>Client Reference:</i>				

Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-62918/ CIP	United States of America	5928245 27-Jul-1999	Issued 30-Sep-2012	JKF
	<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS WITH TRANSVERSE HINGED LENS CARTRIDGE			KS
<i>Client Reference:</i>				
-62937/ CON	United States of America	5913898 22-Jun-1999	Issued 06-Oct-2014	JKF
	<i>Title:</i> INTRAOCULAR CONTACT LENS AND METHOD OF IMPLANTATION			KS
<i>Client Reference:</i>				
-62942/ ORD	United States of America	5856120 05-Jan-1999	Issued 29-Jan-2017	JKF
	<i>Title:</i> METHOD OF PREPARING A BIOLOGICAL MATERIAL FOR USE IN OPHTHALMOLOGY			KS
<i>Client Reference:</i>				
-62943/ CON	United States of America	6537316 25-Mar-2003	Issued 10-Dec-2017	JKF
	<i>Title:</i> FROSTED HAPTIC INTRAOCULAR LENS			KS
<i>Client Reference:</i>				
-63210/ CON	United States of America	5891152 06-Apr-1999	Issued 30-Sep-2012	JKF
	<i>Title:</i> INTRAOCULAR LENS INSERTION DEVICE			KS
<i>Client Reference:</i>				
-63215/ CON	United States of America	6048348 11-Apr-2000	Issued 30-Sep-2012	JKF
	<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INJECTION SYSTEM, AND METHOD THEREOF			KS
<i>Client Reference:</i>				

Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-63555/ CIP	United States of America	5941886 24-Aug-1999	Issued 24-Aug-2016	JKF
		<i>Title:</i> HINGELESS CARTRIDGE FOR INSERTION OF DEFORMABLE INTRAOCULAR LENS		KS
		<i>Client Reference:</i>		
-63558/ CIP	United States of America	5620450 15-Apr-1997	Issued 04-Jan-2015	JKF
		<i>Title:</i> TRANSVERSE HINGED DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS		KS
		<i>Client Reference:</i>		
-63559/ CON	United States of America	5876440 02-Mar-1999	Issued 14-Feb-2014	JKF
		<i>Title:</i> METHODS OF IMPLANTATION OF DEFORMABLE INTRAOCULAR LENS		KS
		<i>Client Reference:</i>		
-63560/ CON	United States of America	6001107 14-Dec-1999	Issued 30-Sep-2012	JKF
		<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS		KS
		<i>Client Reference:</i>		
-63578/ CON	United States of America	5582614 10-Dec-1996	Issued 01-Apr-2014	JKF
		<i>Title:</i> INTRAOCULAR LENS INSERTION SYSTEM		KS
		<i>Client Reference:</i>		
-63579/ CON	United States of America	6162229 19-Dec-2000	Issued 30-Sep-2012	JKF
		<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS WITH DEFORMABLE TIP		KS
		<i>Client Reference:</i>		

Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-63580/ CIP	United States of America	6106553 22-Aug-2000	Issued 06-Oct-2014	JKF
		<i>Title:</i> INTRAOCULAR REFRACTIVE CORRECTION LENS		KS
		<i>Client Reference:</i>		
-63581/ CON	United States of America	6074397 13-Jun-2000	Issued 02-Aug-2016	JKF
		<i>Title:</i> SPRING BIASED DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS		KS
		<i>Client Reference:</i>		
-63635/ CON	United States of America	6241737 05-Jun-2001	Issued 30-Sep-2012	JKF
		<i>Title:</i> HINGELESS INTRAOCULAR LENS MICROCARTRIDGES		KS
		<i>Client Reference:</i>		
-63636/ ORD	United States of America	6129759 10-Oct-2000	Issued 10-Dec-2017	JKF
		<i>Title:</i> FROSTED HAPTIC INTRAOCULAR LENS		KS
		<i>Client Reference:</i>		
-63656/ CIP	United States of America	5772666 30-Jun-1998	Issued 25-Oct-2015	JKF
		<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS WITH DEFORMABLE TIP		KS
		<i>Client Reference:</i>		
-63663/ DIV	United States of America	6406481 18-Jun-2002	Issued 18-Nov-2014	JKF
		<i>Title:</i> METHOD PRELOADING A DEFORMABLE INTRAOCULAR LENS INTO INJECTING APPARATUS FOR STORAGE AND/OR SHIPMENT		KS
		<i>Client Reference:</i>		



Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-63765/ CON	United States of America	5494484 27-Feb-1996	Issued 27-Feb-2013	JKF
		<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INJECTING DEVICE		KS
		<i>Client Reference:</i>		
-65034/ CIP	United States of America	6056757 02-May-2000	Issued 30-Sep-2012	JKF
		<i>Title:</i> IMPLANTATION DEVICE WITH DEFORMABLE NOZZLE TIP FOR IMPLANTING A DEFORMABLE INTRAOCULAR LENS		KS
		<i>Client Reference:</i> 80-230-CIP		
-65035/ CON	United States of America	6629979 07-Oct-2003	Issued 30-Sep-2012	JKF
		<i>Title:</i> IMPLANTATION DEVICE WITH DEFORMABLE NOZZLE TIP FOR IMPLANTING A DEFORMABLE INTRAOCULAR LENS		KS
		<i>Client Reference:</i> 80-230-CIP1(WLK)		
-65186/ CIP	United States of America	5616148 01-Apr-1997	Issued 30-Sep-2012	JKF
		<i>Title:</i> TRANSVERSE HINGED DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS		KS
		<i>Client Reference:</i> 80-134P-CIP		
-65918/ DIV	United States of America	6022358 08-Feb-2000	Issued 30-Sep-2012	JKF
		<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INJECTING DEVICE		KS
		<i>Client Reference:</i> 80-135P-DIV		
-70327/ ORD	United States of America	6155975 05-Dec-2000	Issued 06-Nov-2018	JKF
		<i>Title:</i> PHACOEMULSIFICATION APPARATUS WITH PERSONAL COMPUTER		KS
		<i>Client Reference:</i>		

Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-70505/ ORD	United States of America	5480428 02-Jan-1996	Issued 22-Apr-2014	JKF KS
<i>Title:</i> CORRECTIVE INTRAOCULAR LENS <i>Client Reference:</i>				
-70506/ CIP	United States of America	5499987 19-Mar-1996	Issued 19-Mar-2013	JKF KS
<i>Title:</i> DEFORMABLE INTRAOCULAR LENS <i>Client Reference:</i>				
-70508/ CIP	United States of America	5654349 05-Aug-1997	Issued 05-Aug-2014	JKF KS
<i>Title:</i> BIOCOMPATIBLE OPTICALLY TRANSPARENT POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING <i>Client Reference:</i>				
-70509/ CIP	United States of America	5654363 05-Aug-1997	Issued 05-Aug-2014	JKF KS
<i>Title:</i> BIOCOMPATIBLE OPTICALLY TRANSPARENT POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING <i>Client Reference:</i>				
-70510/ CIP	United States of America	5654388 05-Aug-1997	Issued 05-Aug-2014	JKF KS
<i>Title:</i> BIOCOMPATIBLE OPTICALLY TRANSPARENT POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING <i>Client Reference:</i>				
-70511/ CIP	United States of America	5661218 26-Aug-1997	Issued 26-Aug-2014	JKF KS
<i>Title:</i> BIOCOMPATIBLE OPTICALLY TRANSPARENT POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING <i>Client Reference:</i>				

Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-70512/ ORD	United States of America	5766181 16-Jun-1998	Issued 02-Aug-2016	JKF
		<i>Title:</i> SPRING BIASED DEFORMABLE INTRAOCULAR INJECTING APPARATUS		KS
		<i>Client Reference:</i>		
-70513/ ORD	United States of America	5766245 16-Jun-1998	Issued 30-Dec-2016	JKF
		<i>Title:</i> INTRAOCULAR LENS FOR CORRECTING MODERATE TO SEVERE HYPERMETROPIA		KS
		<i>Client Reference:</i>		
-70514/ CON	United States of America	5800442 01-Sep-1998	Issued 30-Sep-2012	JKF
		<i>Title:</i> DEFORMABLE INTRAOCULAR LENS INJECTING SYSTEM		KS
		<i>Client Reference:</i>		
-70516/ CIP	United States of America	5860984 19-Jan-1999	Issued 30-Sep-2012	JKF
		<i>Title:</i> SPRING BASED DEFORMABLE INTRAOCULAR INJECTING APPARATUS		KS
		<i>Client Reference:</i>		
-70517/ CON	United States of America	6143000 07-Nov-2000	Issued 30-Sep-2012	JKF
		<i>Title:</i> HINGELESS INTRAOCULAR LENS MICROCARTRIDGES		KS
		<i>Client Reference:</i>		
-70518/ CON	United States of America	6174315 16-Jan-2001	Issued 15-Feb-2014	JKF
		<i>Title:</i> SPRING BIASED DEFORMABLE INTRAOCULAR INJECTING APPARATUS		KS
		<i>Client Reference:</i>		

Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-70523/ ORD	United States of America	6312433 06-Nov-2001	Issued 22-Oct-2019	JKF
	<i>Title:</i>	DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS AND METHOD		KS
	<i>Client Reference:</i>			
-70525/ CON	United States of America	6387101 14-May-2002	Issued 22-Oct-2019	JKF
	<i>Title:</i>	DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS AND METHOD		KS
	<i>Client Reference:</i>			
-70526/ ORD	United States of America	6319220 20-Nov-2001	Issued 03-Dec-2019	JKF
	<i>Title:</i>	PHACOEMULSIFICATION APPARATUS		KS
	<i>Client Reference:</i>			
-70528/ CPA	United States of America	6543453 08-Apr-2003	Issued	JKF
	<i>Title:</i>	METHOD OF REFRACTIVE CORRECTION OF THE EYE		KS
	<i>Client Reference:</i>			
-70529/ CIP	United States of America	6165490 26-Dec-2000	Issued 05-Apr-2016	JKF
	<i>Title:</i>	BIOLOGICAL MATERIAL, METHOD OF PREPARING SUCH MATERIALS, USES THEROF AND PRODUCTS MADE THEREFROM		KS
	<i>Client Reference:</i>			
-70636/ ORD	United States of America	5876406 02-Mar-1999	Issued 02-Aug-2016	JKF
	<i>Title:</i>	DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS WITH TRANSVERSE HINGED LENS CARTRIDGE		
	<i>Client Reference:</i>			

Wednesday, April 26, 2006

## Granted Patents

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Client-Matter No/Subcase Case Type	Country	Patent Number Issue Date	Status Expiration Date	Attorney(s)
-70711/ CON	United States of America	5258025 02-Nov-1993	Issued 21-Dec-2010	JKF
<i>Title:</i> CORRECTIVE INTRAOCULAR LENS				
<i>Client Reference:</i>				
-70712/ ORD	United States of America	4978352 18-Dec-1990	Issued 23-May-2009	JKF
<i>Title:</i> PROCESS FOR PRODUCING COLLAGEN-BASED CROSS-LINKED BIOPOLYMER, AN IMPLANT FROM ASID BIOPOLYMER, METHOD FOR PRODUCING SAID IMPLANT, AND METHOD FOR HERMETIZATION OF CORNEAL OR SCLERAL WOUNDS INVOLVED IN EYE INJURIES USING SAID IMPLANT				
<i>Client Reference:</i>				
-70714/ CON	United States of America	5728102 17-Mar-1998	Issued 18-Nov-2014	JKF
<i>Title:</i> DISPOSABLE INTRAOCULAR LENS INSERTION SYSTEM				
<i>Client Reference:</i>				
-72974/ ORD	United States of America	6155975 05-Dec-2000	Issued 06-Nov-2018	JKF KS
<i>Title:</i> PHACOEMULSIFICATION APPARATUS WITH PERSONAL COMPUTER				
<i>Client Reference:</i>				

Patent List

Owner: STAAR STAAR SURGICAL COMPANY

Client-Matter No/Subcase Country Name	Case Application Type Number/Date	Publication Number/Date	Patent Number/Date	Status Expiration Date
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STAAR-60917/ United States of America	ORD 10/308803 03-Dec-2002	04-0105071-A1 03-Jun-2004		Published
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*Client:* STAAR SURGICAL COMPANY a Delaware Corporation  
*Agent Name:*  
*Title:* HIGH REFRACTIVE INDEX AND OPTICALLY CLEAR COPOLY (CARBOSILANE AND SILOXANE) ELASTOMERS  
*Attorney(s):* JKJ  
*Client Ref:*  
*Agent Ref:*

STAAR-62709/ European Patent Convention	PCT 96916659.4 24-May-1996			Pending
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*Client:* STAAR SURGICAL COMPANY a Delaware Corporation  
*Agent Name:* URQUHART-DYKES & LORD  
*Title:* DEFORMABLE INTRAOCULAR LENS INSERTION SYSTEM, AND METHOD THEREOF  
*Attorney(s):* JKJ  
*Client Ref:*  
*Agent Ref:* KTC/P06689SEP

STAAR-62721/ European Patent Convention	PCT 97938042.5 31-Jul-1997			Pending
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*Client:* STAAR SURGICAL COMPANY a Delaware Corporation  
*Agent Name:* URQUHART-DYKES & LORD  
*Title:* SPRING BIASED DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS  
*Attorney(s):* JKJ  
*Client Ref:*  
*Agent Ref:* 079522EP

STAAR-62722/ Canada	PCT 2201936 05-Oct-1995	18-Apr-1996		Published
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*Client:* STAAR SURGICAL COMPANY a Delaware Corporation  
*Agent Name:* SMART & BIGGAR (VANCOUVER)  
*Title:* INTRAOCULAR CONTACT LENS AND METHOD OF IMPLANTATION  
*Attorney(s):* JKJ  
*Client Ref:*  
*Agent Ref:* 80302-267

TRADEMARK

Owner: STAAR STAAR SURGICAL COMPANY

Client-Matter No/Subcase Country Name	Case Application Type Number/Date	Publication Number/Date	Patent Number/Date	Status Expiration Date
STAAR-62723/ Canada	PCT 2220370 24-May-1996	28-Nov-1996		Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation <i>Agent Name:</i> SMART & BIGGAR (VANCOUVER) <i>Title:</i> INJECTION SYSTEM AND METHOD FOR USE WITH A DEFORMABLE INTRAOCULAR LENS			
				<i>Attorney(s):</i> JFK <i>Client Ref:</i> <i>Agent Ref:</i> 80302-268
STAAR-63214/ Canada	PCT 2262891 31-Jul-1997	12-Feb-1998		Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation <i>Agent Name:</i> SMART & BIGGAR (VANCOUVER) <i>Title:</i> SPRING BIASED DEFORMABLE INTRAOCULAR LENS INJECTING APPARATUS			
				<i>Attorney(s):</i> JFK <i>Client Ref:</i> <i>Agent Ref:</i> 80302-286
STAAR-63219/ Canada	PCT 2289834 28-May-1998	03-Dec-1998		Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation <i>Agent Name:</i> SMART & BIGGAR (VANCOUVER) <i>Title:</i> BIOCOMPATIBLE OPTICALLY TRANSPARENT, ULTRAVIOLET LIGHT ABSORBING, POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING SAME			
				<i>Attorney(s):</i> JFK <i>Client Ref:</i> <i>Agent Ref:</i> 80302-289
STAAR-63538/ Japan	PCT 51263096 05-Oct-1995	2006-095343 13-Apr-2006		Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation <i>Agent Name:</i> ASAMURA PATENT OFFICE <i>Title:</i> INTRAOCULAR CONTACT LENS AND METHOD OF IMPLANTATION			
				<i>Attorney(s):</i> JFK <i>Client Ref:</i> <i>Agent Ref:</i> PA-29533

TRADEMARK

Friday, June 09, 2006

Patent List

Owner: STAAR SURGICAL COMPANY

Client-Matter No/Subcase Country Name	Case Application Type Number/Date	Publication Number/Date	Patent Number/Date	Status Expiration Date
STAAR-63637/ Japan	PCT 535934/96 24-May-1996	11-510711 21-Sep-1999		Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation			
	<i>Agent Name:</i> ASAMURA PATENT OFFICE			
	<i>Title:</i> INJECTION SYSTEM AND METHOD FOR USE WITH A DEFORMABLE INTRAOCULAR LENS			
				<i>Attorney(s):</i> JKF
				<i>Client Ref:</i>
				<i>Agent Ref:</i> PA-29536
STAAR-63657/ Canada	PCT 2223442 07-Jun-1996	19-Dec-1996		Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation			
	<i>Agent Name:</i> SMART & BIGGAR (VANCOUVER)			
	<i>Title:</i> BIOCOMPATIBLE OPTICALLY TRANSPARENT POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING			
				<i>Attorney(s):</i> JKF
				<i>Client Ref:</i>
				<i>Agent Ref:</i>
STAAR-63758/ Australia	PCT 61701/96 07-Jun-1996	13-Apr-2000	718546	Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation			
	<i>Agent Name:</i> PETER MAXWELL AND ASSOCIATES			
	<i>Title:</i> BIOCOMPATIBLE OPTICALLY TRANSPARENT POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING			
				<i>Attorney(s):</i> JKF
				<i>Client Ref:</i>
				<i>Agent Ref:</i>
STAAR-63911/ Japan	PCT 9-502151 09-Jun-1996			Pending
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation			
	<i>Agent Name:</i> ASAMURA PATENT OFFICE			
	<i>Title:</i> BIOCOMPATIBLE OPTICALLY TRANSPARENT POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING			
				<i>Attorney(s):</i> JKF
				<i>Client Ref:</i>
				<i>Agent Ref:</i> PA-29537

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Friday, June-09, 2006

Patent List

Owner: STAAR SURGICAL COMPANY

Client-Matter No/Subcase Country Name	Case Application Type Number/Date	Publication Number/Date	Patent Number/Date	Status Expiration Date
STAAR-64141/ Canada	PCT 2195567 21-Jul-1995	08-Feb-1996		Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation <i>Agent Name:</i> SMART & BIGGAR (VANCOUVER) <i>Title:</i> BIOCOMPATIBLE OPTICALLY TRANSPARENT POLYMERIC MATERIAL BASED UPON COLLAGEN AND METHOD OF MAKING		<i>Attorney(s):</i> JFK <i>Client Ref:</i> <i>Agent Ref:</i> 80302-291	KS
STAAR-64143/ European Patent Convention	PCT 00984540.5 19-Oct-2000	1280480 05-Feb-2003		Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation <i>Agent Name:</i> KILBURN & STRODE <i>Title:</i> SIZING A PHAKIC REFRACTIVE LENS		<i>Attorney(s):</i> JFK <i>Client Ref:</i> <i>Agent Ref:</i> P34382EP	KS
STAAR-65513/ United States of America	ORD 09/422638 22-Oct-1999			Pending
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation <i>Agent Name:</i>		<i>Attorney(s):</i> JFK <i>Client Ref:</i> <i>Agent Ref:</i>	KS
STAAR-68373/ United States of America	DIV 10/826498 16-Apr-2004	04-0198865-A1 07-Oct-2004		Published
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation <i>Agent Name:</i>		<i>Attorney(s):</i> JFK <i>Client Ref:</i> <i>Agent Ref:</i>	
	<i>Title:</i> HIGH REFRACTIVE INDEX AND OPTICALLY CLEAR COPOLY (CARBOSILANE AND SILOXANE) ELASTOMERS			

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Patent List

Owner: STAAR SURGICAL COMPANY

Client-Matter No/Subcase Country Name	Case Application Type Number/Date	Publication Number/Date	Patent Number/Date	Status Expiration Date
STAAR-69395/ Canada	PCT 2477957 26-Mar-2003			Pending
	<p><i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation  <i>Agent Name:</i> SMART &amp; BIGGAR (VANCOUVER)  <i>Title:</i> ACCOMMODATING INTRAOCULAR LENS WITH TEXTURED HAPTICS</p>			
STAAR-69396/ European Patent Convention	PCT 03714433.4 26-Mar-2003	1578307 28-Sep-2005		Published
	<p><i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation  <i>Agent Name:</i> URQUHART-DYKES &amp; LORD  <i>Title:</i> ACCOMMODATING INTRAOCULAR LENS WITH TEXTURED HAPTICS</p>			
STAAR-69848/ European Patent Convention	PCT 03734028.8 14-May-2003	1509807 02-Mar-2005		Published
	<p><i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation  <i>Agent Name:</i> URQUHART-DYKES &amp; LORD  <i>Title:</i> HIGH REFRACTIVE INDEX, OPTICALLY CLEAR AND SOFT HYDROPHOBIC ACRYLAMIDE COPOLYMERS</p>			
TAAR-71618/ United States of America	CON 11/190311 26-Jul-2005	05-0261703-A1 24-Nov-2005		Published
	<p><i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation  <i>Agent Name:</i>   <i>Title:</i> DISPOSABLE INTRAOCULAR LENS INSERTION SYSTEM</p>			

TRADEMARK

Patent List

Owner: STAAR SURGICAL AG

Client-Matter No/Subcase Country Name	Case Application Type Number/Date	Publication Number/Date	Patent Number/Date	Status Expiration Date
STAAR-62703/ United States of America	DIV 09/192527 17-Nov-1998		6037144 14-Mar-2000	Abandoned 29-Jan-2017
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation		<i>Attorney(s):</i> JFK <i>Client Ref:</i>	KS
	<i>Agent Name:</i>		<i>Agent Ref:</i>	
	<i>Title:</i> METHOD OF PREPARING A BIOLOGICAL MATERIAL FOR USE IN OPHTHALMOLOGY			

STAAR-70505/ United States of America	ORD 08/231549 22-Apr-1994		5480428 02-Jan-1996	Issued 22-Apr-2014
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation		<i>Attorney(s):</i> JFK <i>Client Ref:</i>	KS
	<i>Agent Name:</i>		<i>Agent Ref:</i>	
	<i>Title:</i> CORRECTIVE INTRAOCULAR LENS			

STAAR-70513/ United States of America	ORD 08/777445 30-Dec-1996		5766245 16-Jun-1998	Issued 30-Dec-2016
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation		<i>Attorney(s):</i> JFK <i>Client Ref:</i>	KS
	<i>Agent Name:</i>		<i>Agent Ref:</i>	
	<i>Title:</i> INTRAOCULAR LENS FOR CORRECTING MODERATE TO SEVERE HYPERMETROPIA			

STAAR-70529/ United States of America	CIP 08/931448 16-Sep-1997		6165490 26-Dec-2000	Issued 05-Apr-2016
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation		<i>Attorney(s):</i> JFK <i>Client Ref:</i>	KS
	<i>Agent Name:</i>		<i>Agent Ref:</i>	
	<i>Title:</i> BIOLOGICAL MATERIAL, METHOD OF PREPARING SUCH MATERIALS, USES THEREOF AND PRODUCTS MADE THEREFROM			

TRADEMARK

Owner: STAAR SURGICAL AG

Client-Matter No/Subcase  
Country Name

Case Application  
Type Number/Date

Publication  
Number/Date

Patent  
Number/Date

Status  
Expiration Date

STAAR-70712/  
United States of America

ORD 07/356263  
23-May-1989

4978352  
18-Dec-1990

Issued  
23-May-2009

Attorney(s): JKF

Client: STAAR SURGICAL COMPANY a Delaware Corporation

Client Ref:

Agent Name:

Agent Ref:

Title: PROCESS FOR PRODUCING COLLAGEN-BASED CROSS-LINKED BIOPOLYMER, AN IMPLANT FROM  
ASID BIOPOLYMER, METHOD FOR PRODUCING SAID IMPLANT, AND METHOD FOR HERMETIZATION  
OF CORNEAL OR SCLERAL WOUNDS INVOLVED IN EYE INJURIES USING SAID IMPLANT

Owner: CTREE Circuit Tree Medical, Inc.

Client-Matter No/Subcase  
Country Name

Case Application  
Type Number/Date

Publication  
Number/Date

Patent  
Number/Date

Status  
Expiration Date

CTREE-72854/  
United States of America

CIP 09/372476  
11-Aug-1999

6425883  
30-Jul-2002

Issued  
08-May-2018

Client: CIRCUIT TREE MEDICAL, INC.

Attorney(s): JKF  
Client Ref:  
Agent Ref:

Agent Name:

Title: METHOD AND APPARATUS FOR CONTROLLING VACUUM AS A FUNCTION OF ULTRASONIC POWER  
IN AN OPHTHALMIC PHACO ASPIRATOR

CTREE-72948/  
United States of America

ORD 09/542670  
04-Apr-2000

6884252  
26-Apr-2005

Issued  
04-Apr-2020

Client: CIRCUIT TREE MEDICAL, INC.

Attorney(s): JKF  
Client Ref:  
Agent Ref:

Agent Name:

Title: LOW FREQUENCY CATARACT FRAGMENTING DEVICE

CTREE-72949/  
United States of America

DIV 11/078587  
11-Mar-2005

0159766  
21-Jul-2005

Published  
KS

Client: CIRCUIT TREE MEDICAL, INC.

Attorney(s): JKF  
Client Ref:  
Agent Ref:

Agent Name:

Title: LOW FREQUENCY CATARACT FRAGMENTING DEVICE

CTREE-72950/  
Patent Cooperation Treaty

ORD PCT/US01/10949  
03-Apr-2001

WO 01/89436 A1  
29-Nov-2001

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Client: CIRCUIT TREE MEDICAL, INC.

Attorney(s): JKF  
Client Ref:  
Agent Ref:

Agent Name:

Title: LOW FREQUENCY CATARACT FRAGMENTING DEVICE

TRADEMARK

Owner: CTREE, Circuit Tree Medical, Inc.

Client-Matter No/Subcase Country Name	Case Application Type Number/Date	Publication Number/Date	Patent Number/Date	Status Expiration Date
CTREE-72951/ Australia	PCT 55227/01 03-Apr-2001		781328 01-Sep-2005	Issued 03-Apr-2021
				Attorney(s): JKF Client Ref: Agent Ref: 2483240
		Client: CIRCUIT TREE MEDICAL, INC. Agent Name: DAVIES COLLISON CAVE Title: LOW FREQUENCY CATARACT FRAGMENTING DEVICE		KS

CTREE-72952/ Canada	PCT 2376632 03-Apr-2001			Pending
		Client: CIRCUIT TREE MEDICAL, INC. Agent Name: RICHES, MCKENZIE & HERBERT Title: LOW FREQUENCY CATARACT FRAGMENTING DEVICE		Attorney(s): JKF Client Ref: Agent Ref: 109101

CTREE-72953/ European Patent Convention	PCT 01928359.7 03-Apr-2001			Pending
		Client: CIRCUIT TREE MEDICAL, INC. Agent Name: POTTS, KERR & CO. Title: LOW FREQUENCY CATARACT FRAGMENTING DEVICE		Attorney(s): JKF Client Ref: Agent Ref: 3071.01

CTREE-72954/ Korea, Republic of	PCT 2001-7015613 03-Apr-2001	2002-33634 07-May-2002		Published
		Client: CIRCUIT TREE MEDICAL, INC. Agent Name: Y.S. CHANG & ASSOCIATES Title: LOW FREQUENCY CATARACT FRAGMENTING DEVICE		Attorney(s): JKF Client Ref: Agent Ref: 01P-1203

TRADEMARK

Friday, June 09, 2006

Patent List

Owner: CTREE Circuit Tree Medical, Inc.

Client-Matter No./Subcase Country Name	Case Application Type Number/Date	Publication Number/Date	Patent Number/Date	Status Expiration Date
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CTREE-72955/ Mexico	PCI 2001/012489 03-Apr-2001			Pending
	<i>Client:</i> CIRCUIT TREE MEDICAL, INC. <i>Agent Name:</i> NORIEGA Y ESCOBEDO <i>Title:</i> LOW FREQUENCY CATARACT FRAGMENTING DEVICE			<i>Attorney(s):</i> JKJF <i>Client Ref:</i> <i>Agent Ref:</i> 592

CTREE-72958/ Japan	PCI 2001-585682 03-Apr-2001			Pending
	<i>Client:</i> CIRCUIT TREE MEDICAL, INC. <i>Agent Name:</i> Yamakawa International Patent Office <i>Title:</i> LOW FREQUENCY CATARACT FRAGMENTING DEVICE			<i>Attorney(s):</i> JKJF <i>Client Ref:</i> <i>Agent Ref:</i> 14911

CTREE-72961/ United States of America	ORD 09/186993 05-Nov-1998		6117151 12-Sep-2000	Issued 05-Nov-2018
	<i>Client:</i> CIRCUIT TREE MEDICAL, INC. <i>Agent Name:</i>			<i>Attorney(s):</i> JKJF <i>Client Ref:</i> <i>Agent Ref:</i>
	<i>Title:</i> EYE INCISION TEMPERATURE PROTECTING SLEEVE			

CTREE-72962/ United States of America	ORD 6310007 12-Apr-1996		5836959 17-Nov-1998	Issued 12-Apr-2016
	<i>Client:</i> CIRCUIT TREE MEDICAL, INC. <i>Agent Name:</i>			<i>Attorney(s):</i> JKJF <i>Client Ref:</i> <i>Agent Ref:</i>
	<i>Title:</i> ULTRASONIC TIP AND A METHOD FOR INTEROCULAR SURGERY			

TRADEMARK

Friday, June 09, 2006

Patent List

Owner: CTREE Circuit Tree Medical, Inc.

Client-Matter No/Subcase Country Name	Case Application Type Number/Date	Publication Number/Date	Patent Number/Date	Status Expiration Date
CTREE-72963/ United States of America	ORD 540501 10-Oct-1995		5716342 10-Feb-1998	Issued 10-Oct-2015
	<i>Client:</i> CIRCUIT TREE MEDICAL, INC. <i>Agent Name:</i> <i>Title:</i> NON-INVASIVE PRESSURE SENSOR		<i>Attorney(s):</i> JKF <i>Client Ref:</i> <i>Agent Ref:</i>	KS
CTREE-72964/ United States of America	ORD 819301 18-Mar-1997		5879363 09-Mar-1999	Issued 18-Mar-2017
	<i>Client:</i> CIRCUIT TREE MEDICAL, INC. <i>Agent Name:</i> <i>Title:</i> DISPOSABLE SURGICAL ULTRASONIC TRANSDUCER		<i>Attorney(s):</i> JKF <i>Client Ref:</i> <i>Agent Ref:</i>	KS
CTREE-72966/ United States of America	ORD 09/546804 11-Apr-2000		6478781 12-Nov-2002	Issued 11-Apr-2020
	<i>Client:</i> CIRCUIT TREE MEDICAL, INC. <i>Agent Name:</i> <i>Title:</i> ANTERIOR CHAMBER STABILIZING DEVICE FOR USE IN EYE SURGERY		<i>Attorney(s):</i> JKF <i>Client Ref:</i> <i>Agent Ref:</i>	KS
STAAR-72974/ United States of America	ORD 09/187347 06-Nov-1998		6155975 05-Dec-2000	Issued 06-Nov-2018
	<i>Client:</i> STAAR SURGICAL COMPANY a Delaware Corporation <i>Agent Name:</i> <i>Title:</i> PHACOEMULSIFICATION APPARATUS WITH PERSONAL COMPUTER		<i>Attorney(s):</i> JKF <i>Client Ref:</i> <i>Agent Ref:</i>	KS

TRADEMARK



EXHIBIT B  
TRADEMARKS

Client: STAR SURGICAL COMPANY a Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Registration Number/Date	Status	Next Renewal	Attorney(s)
(SWIRL) DESIGN	European Community 5,9,10,16,41	-62802/	14-Nov-2002	Registered	14-Nov-2012	JKF JLW
(SWIRL) DESIGN	United States of America 5,10,16,41	-60982/	3095971 23-May-2006	Registered	23-May-2016	JKF JLW
COLLAMER	European Community 5,10,16	-65015/	3270246 18-Nov-2004	Registered	16-Jul-2013	JKF JLW
COLLAMER	United States of America 5,10	-62594/	2724735 10-Jun-2003	Registered	10-Jun-2013	JKF JLW
COLLAMER ICL	European Community 5,9,10,16,41	-65016/	3270221 18-Nov-2004	Registered	16-Jul-2013	JKF JLW
DESIGN ONLY (New Star Logo)	European Community 9,10,16	-66054/	003504041 22-Apr-2005	Registered	31-Oct-2013	JKF JLW
DESIGN ONLY (STAR)	Australia 5	-69108/	1012038 29-Nov-2004	Registered	20-Jul-2014	JKF JLW
DESIGN ONLY (STAR)	Korea, Republic of 5	-69110/	636457 26-Oct-2005	Registered	26-Oct-2015	JKF JLW

Registered Trademarks

Client: STAAR STAAR SURGICAL COMPANY, A Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Registration Number/Date	Status	Next Renewal	Attorney(s)
DESIGN ONLY (STAR)	Russian Federation 5	-69111/	288956 16-May-2005	Registered	22-Jul-2014	JKF JLW
ELASTIC LENS	United States of America 10	-63763/	2521311 18-Dec-2001	Registered	18-Dec-2011	JKF JLW
ELASTIMDE	United States of America 10	-62606/	1994507 20-Aug-1996	Registered	20-Aug-2006	JKF JLW
EYECL	United States of America 10	-63764/	2556234 02-Apr-2002	Registered	02-Apr-2012	JKF JLW
FINELINE	United States of America	-62665/	2018209 19-Nov-1996	Registered	19-Nov-2006	JKF JLW
ICL AND DESIGN (SWIRL)	European Community 5,9,10,16,41	-62803/	2953149 11-Apr-2004	Registered	14-Nov-2012	JKF JLW
MICRO TIP CARTRIDGE	United States of America 10	-63762/	2157871 12-May-1998	Registered	12-May-2008	JKF JLW
MICROSTAAR	United States of America 10	-62608/	1994506 20-Aug-1996	Registered	20-Aug-2006	JKF JLW

Friday, June 09, 2006

Registered Trademarks

Page 3

Client: STAAR STAAR SURGICAL COMPANY, a Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Registration Number/Date	Status	Next Renewal	Attorney(s)
SOFTRANS	United States of America 10	-62609/	1994505 20-Aug-1996	Registered	20-Aug-2006	JKF JLW
STAAR	European Community	-69088/	000452698	Pending		JKF JLW
STAAR	United States of America 5,9,10	-70590/	3076342 04-Apr-2006	Registered	04-Apr-2016	JKF JLW
STAAR & Design (new logo)	Australia 5	-69113/	1012039 29-Nov-2004	Registered	20-Jul-2014	JKF JLW
STAAR & Design (new logo)	European Community 5,9,10,16 and 41	-66765/	003649175 10-May-2005	Registered	05-Feb-2014	JKF JLW
STAAR & Design (new logo)	European Community 5	-69117/	003945995 03-Feb-2006	Registered	22-Jul-2014	JKF JLW
STAAR & Design (new logo)	Korea, Republic of 5	-69115/	654394 10-Mar-2006	Registered	10-Mar-2016	JKF JLW
STAAR & Design (new logo)	Russian Federation 5	-69116/	301446 21-Feb-2006	Registered	22-Jul-2014	JKF JLW

Friday, June 09, 2006 11:33 AM

Registered Trademarks

Page 4

Client: STAAR STAARSURGICAL COMPANY a Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Registration Number/Date	Status	Next Renewal	Attorney(s)
STAAR AND DESIGN	United States of America 5, 10	-62699/	2219893 26-Jan-1999	Registered	26-Jan-2009	JKF JLW
STAAR SURGICAL & DESIGN	United States of America 5, 10	-68837/	2213661 29-Dec-1998	Registered	29-Dec-2008	JKF JLW
STAARVISC	United States of America 5	-62607/	2044353 11-Mar-1997	Registered	11-Mar-2007	JKF JLW
SUPER FUNNEL CARTRIDGE	United States of America 10	-62605/	2175782 21-Jul-1998	Registered	21-Jul-2008	JKF JLW
TICL AND DESIGN (SWIRL)	European Community 5, 9, 10, 16, 41	-62804/	2953123 27-Jul-2004	Registered	14-Nov-2012	JKF JLW
T-OPTIMIZED	Australia 5, 9, 10, 16, 41	-72556/	1086692 18-Apr-2006	Registered	21-Nov-2015	JKF JLW
TORIC ICL (STYLIZED) AND DESIGN (SWIRL)	European Community 5, 9, 10, 16, 41	-62805/	002953131 14-Nov-2002	Registered	14-Nov-2012	JKF JLW
VISIAN	European Community 9, 10, 16	-66055/	003498243 15-Mar-2005	Registered	31-Oct-2013	JKF JLW

Friday, June 09, 2006

Registered Trademarks

Page: 5

Client: STARR STAR SURGICAL COMPANY, a Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Registration Number/Date	Status Next Renewal	Attorney(s)
VISIAN ICL (with Design)	European Community 5, 9, 10, 16, 41	-68446/	003795119 06-Jul-2005	Registered 26-Apr-2014	JKF JLW
VISIAN TICL (Stylized)	Australia 5, 9, 10, 16, 41	-72544/	1086691 18-Apr-2006	Registered 21-Nov-2015	JKF JLW

Friday, June 09, 2006

Registered Trademarks

Page 11

Client: CIREL CIRCUI TREF MEDICAL, INC

Trademark	Country Class(es)	Client Matter No/Subcase	Registration Number/Date	Status	Next Renewal	Attorney(s)
CLEO 2000	United States of America 10	-72956/	2635933 15-Oct-2002	Registered	15-Oct-2012	JKF JLW

Friday, June 09, 2006

Pending Trademarks

Page: 1

Client: STAAR STAAR SURGICAL COMPANY, a Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Application Number/Date	Status Publication Date	Attorney(s)
AS INDIVIDUAL AS YOU ARE	Australia 5, 9, 10, 16, 41	-72550/	1086693 21-Nov-2005	Pending	JKF JLW
AS INDIVIDUAL AS YOU ARE	China (Peoples Republic) 9	-74224/	5052588 09-Dec-2005	Pending	JKF JLW
AS INDIVIDUAL AS YOU ARE	China (Peoples Republic) 10	-74225/	5052587 09-Dec-2005	Pending	JKF JLW
AS INDIVIDUAL AS YOU ARE	China (Peoples Republic) 16	-74226/	5052586 09-Dec-2005	Pending	JKF JLW
AS INDIVIDUAL AS YOU ARE	China (Peoples Republic) 41	-74227/	5052585 09-Dec-2005	Pending	JKF JLW
AS INDIVIDUAL AS YOU ARE	European Community 5, 9, 10, 16, 41	-72552/	004737987 23-Nov-2005	Pending	JKF JLW
AS INDIVIDUAL AS YOU ARE	Japan 5, 9, 10, 16, 41	-72553/	2006-3198 18-Jan-2006	Pending	JKF JLW
AS INDIVIDUAL AS YOU ARE	Korea, Republic of 5, 9, 10, 16, 41	-72554/	45-2005-4964 22-Dec-2005	Pending	JKF JLW

TRADEMARK

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Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Application Number/Date	Status Publication Date	Attorney(s)
AS INDIVIDUAL AS YOU ARE	Russian Federation 5, 9, 10, 16, 41	-72555/	2005731007 01-Dec-2005	Pending	JKF JLW
AS INDIVIDUAL AS YOU ARE	United States of America 5, 9, 10, 16, 41	-71801/	78/704538 31-Aug-2005	Published 24-Jan-2006	JKF JLW
DESIGN ONLY (STAR)	Canada 5	-69109/	1224360 21-Jul-2004	Published 31-May-2005	JKF JLW
DESIGN ONLY (STAR)	European Community 5	-69112/	003945979 22-Jul-2004	Published 05-Sep-2005	JKF JLW
DESIGN ONLY (STAR)	United States of America 5,9,10,16,41	-66007/	78/320843 30-Oct-2003	Published 12-Oct-2004	JKF JLW
DESIGN ONLY (STAR)	United States of America 5	-67264/	78/356073 22-Jan-2004	Published 19-Oct-2004	JKF JLW
STAAR	European Community 5, 9, 10	-72001/	004635678 15-Sep-2005	Pending	JKF JLW
STAAR & Design (new logo)	Canada 5	-69114/	1224361 21-Jul-2004	Published 02-Jun-2005	JKF JLW

Friday, June 09, 2006

Page: 3

### Pending Trademarks

Client: STAAR STAAR SURGICAL COMPANY a Delaware Corporation

Trademark	Country Class(es)	Client Matter No./Subcase	Application Number/Date	Status Publication Date	Attorney(s)
STAAR & Design (new logo)	United States of America 5,9,10,16,41	-66584/	78/345127 23-Dec-2003	Published 28-Sep-2004	JKF JLW
STAAR & Design (new logo)	United States of America 5	-67265/	78/356081 22-Jan-2004	Published 28-Sep-2004	JKF JLW
TICL AND DESIGN (SWIRL)	United States of America 05,09,10,16,41	-73547/	78/844916 23-Mar-2006	Pending	JKF JLW
T-OPTIMIZED	China (Peoples Republic) 9	-74228/	5052583 09-Dec-2005	Pending	JKF JLW
T-OPTIMIZED	China (Peoples Republic) 10	-74229/	5052582 09-Dec-2005	Pending	JKF JLW
T-OPTIMIZED	China (Peoples Republic) 16	-74230/	5052581 09-Dec-2005	Pending	JKF JLW
T-OPTIMIZED	China (Peoples Republic) 41	-74231/	5052580 09-Dec-2005	Pending	JKF JLW
T-OPTIMIZED	European Community 5, 9, 10, 16, 41	-72558/	EM004738555 23-Nov-2005	Pending	JKF JLW

TRADEMARK

REEL: 003339 FRAME: 0042

Friday, June 09, 2006

Pending Trademarks

Page 4

Client: STARR SURGICAL COMPANY a Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Application Number/Date	Status Publication Date	Attorney(s)
T-OPTIMIZED	Japan 5, 9, 10, 16, 41	-72559/	2006-3199 18-Jan-2006	Pending	JKF JLW
T-OPTIMIZED	Korea, Republic of 5, 9, 10, 16, 41	-72560/	45-2005-4965 22-Dec-2005	Pending	JKF JLW
T-OPTIMIZED	Russian Federation 5, 9, 10, 16, 41	-72561/	2005731197 02-Dec-2005	Pending	JKF JLW
T-OPTIMIZED	United States of America 5, 9, 10, 16, 41	-71802/	78/704714 31-Aug-2005	Pending	JKF JLW
TORIC ICL (STYLIZED) AND DESIGN (SWIRL)	United States of America 5,9,10,16,41	-61069/	76/408445 15-May-2002	Published 05-Aug-2003	JKF JLW
VISIAN	United States of America 5,9,10,16,41	-66006/	78/320529 29-Oct-2003	Published 27-Dec-2005	JKF JLW
VISIAN & Design	United States of America 5,9,10,16,41	-65975/	78/319259 27-Oct-2003	Published 27-Dec-2005	JKF JLW
VISIAN ICL	United States of America 5,9,10,16,41	-65976/	78/319286 27-Oct-2003	Published 08-Feb-2005	JKF JLW

## Pending Trademarks

Client: STAAR STAAR SURGICAL COMPANY, a Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Application Number/Date	Status Publication Date	Attorney(s)
VISIAN TICL (Stylized)	China (Peoples Republic) 9	-74220/	5052593 09-Dec-2005	Pending	JKF JLW
VISIAN TICL (Stylized)	China (Peoples Republic) 10	-74221/	5052592 09-Dec-2005	Pending	JKF JLW
VISIAN TICL (Stylized)	China (Peoples Republic) 16	-74222/	5052591 09-Dec-2005	Pending	JKF JLW
VISIAN TICL (Stylized)	China (Peoples Republic) 41	-74223/	5052590 09-Dec-2005	Pending	JKF JLW
VISIAN TICL (Stylized)	European Community 5, 9, 10, 16, 41	-72546/	004739488 23-Nov-2005	Pending	JKF JLW
VISIAN TICL (Stylized)	Japan 5, 9, 10, 16, 41	-72547/	2006-3197 18-Jan-2006	Pending	JKF JLW
VISIAN TICL (Stylized)	Korea, Republic of 5, 9, 10, 16, 41	-72548/	45-2005-4963 22-Dec-2005	Pending	JKF JLW
VISIAN TICL (Stylized)	Russian Federation 5, 9, 10, 16, 41	-72549/	2005731198 02-Dec-2005	Pending	JKF JLW

Friday, June 09, 2006

Page: 6

### Pending Trademarks

Client: STAAR STAAR SURGICAL COMPANY, Delaware Corporation

Trademark	Country Class(es)	Client Matter No/Subcase	Application Number/Date	Status Publication Date	Attorney(s)
VISIAN TICL (Stylized)	United States of America 5, 9, 10, 16, 41	-71800/	78/704516 31-Aug-2005	Pending	JKF JLW