

Form PTO-1594 1-31-92

# RECORDATION FORM COVER SHEET TRADEMARKS ONLY

Our Ref.: 2006-9

## Mail Stop Assignment Recordation Services

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

To the Commissioner for Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Commonwealth Equity Services, Inc.

Individual(s)                       Association  
 General partnership               Limited Partnership  
 Corporation-State: Massachusetts  
 Other: \_\_\_\_\_

2. Name and address of receiving party(ies):  
Name: Commonwealth Equity Services, LLP  
Internal Address: \_\_\_\_\_  
Street Address: 29 Sawyer Road  
City: Waltham  
State/Country: Massachusetts  
Zip: 02453  
 Individual(s) citizenship \_\_\_\_\_  
 Association \_\_\_\_\_  
 General Partnership \_\_\_\_\_  
 Limited Partnership \_\_\_\_\_  
 Corporation-State \_\_\_\_\_  
 Other \_\_\_\_\_

3. Nature of conveyance:  
 Assignment                       Merger  
 Security Assignment               Change of Name  
 Other: Articles of Entity Conversion

Execution Date: December 22, 2004

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No

Designations must be a separate document from Assignment)  
Additional name/s & address/es attached  Yes  No

4. Application number(s) or registration number(s):  
If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Trademark Application No.(s)  
(1) 75/505,285  
(2)  
(3)

B. Trademark Registration No.(s)  
(1) 2,378,056  
(2)  
(3)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: Donna J. Bunton  
Internal Address: \_\_\_\_\_  
Street Address: Nixon & Vanderhye P.C.  
901 North Glebe Road  
11th Floor  
City Arlington State: VA Zip: 22203

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41)(8521; \$40) (8522; \$25)\$ 40.00  
 Enclosed  
 Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.

DO NOT USE THIS SPACE

9. Statements and signature.  
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Donna J. Bunton                      *Donna J. Bunton*                      August 15, 2006  
Name of Person Signing                      Signature                      Date

Total number of pages including cover sheet, attachments and document: \_\_\_\_\_

CH \$40.00 141140 2378056

# 7127

COMMONWEALTH OF MASSACHUSETTS

William Francis Galvin  
Secretary of the Commonwealth  
One Ashburton Place, Boston, Massachusetts 02108-1512


Articles of Entity Conversion  
(General Laws, Chapter 156D, Section 9.5B)

I hereby certify that upon examination of these Articles of Conversion, duly submitted to me, it appears that the provisions of the General Laws relative to the same have been complied with, and I hereby approve said articles; and the filing fee in the amount of \$600 having been paid, said articles are deemed to have been filed with me this

913109

22 day of December 2004 at 12:52 pm

Effective date: \_\_\_\_\_  
(must be within 90 days of date submitted)

  
\_\_\_\_\_  
Name approved  
\_\_\_\_\_  
C  
\_\_\_\_\_  
M

  
WILLIAM FRANCIS GALVIN  
Secretary of the Commonwealth

TO BE FILLED IN BY CORPORATION  
Contact Information

RECEIVED  
SECRETARY OF STATE  
AUG 15 2006 9:12:52  
CORPORATION DIVISION

Telephone: \_\_\_\_\_  
Email: \_\_\_\_\_

A copy of this filing will be available on-line at [www.sec.state.ma.us/cor](http://www.sec.state.ma.us/cor) once the document is filed.

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042675571

ARTICLES OF ENTITY CONVERSION

The undersigned corporation, pursuant to Section 9.53 of Massachusetts General Laws Chapter 156D, hereby executes the following Articles of Entity Conversion and sets forth:

- FIRST: The name of the corporation immediately before the filing of these articles of conversion is Commonwealth Equity Services, Inc., and the name to which the name of the corporation is to be changed is Commonwealth Equity Services, LLP. The registered office of the corporation is 29 Sawyer Road, Waltham, MA 02453.
- SECOND: The surviving entity, Commonwealth Equity Services, LLP, shall be a limited liability partnership under Massachusetts General Laws Chapter 108A.
- THIRD: The plan of entity conversion was duly approved by the shareholders of the corporation in the manner required by Massachusetts General Laws Chapter 156D and the corporation's articles of organization.
- FOURTH: The provisions of the registration of the surviving entity, Commonwealth Equity Services, LLP, shall contain the following provisions:
  1. The federal employer identification number of the partnership is 04-2675571.
  2. The name of the partnership is Commonwealth Equity Services, LLP
  3. The street address of the partnership is 29 Sawyer Road, Waltham, Massachusetts 02453.
  4. The partnership is engaged in the business of securities brokerage and investment placement pursuant to the laws of the United States government and the rules and regulations of the National Association of Securities Dealers, and any and all acts pursuant thereto, including but not limited to holding any form of property in any capacity.
  5. See Attached

IN WITNESS WHEREOF, the undersigned declares that the facts herein are true as of this 21<sup>st</sup> day of December, 2004.

COMMONWEALTH EQUITY SERVICES, INC.

*[Signature]*  
Name: Joseph S. Deitch  
Title: President

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**REGISTERED LIMITED LIABILITY PARTNERSHIP REGISTRATION  
of  
COMMONWEALTH EQUITY SERVICES, LLP**

THE UNDERSIGNED, in order to register a limited liability partnership under and pursuant to the provisions of Massachusetts General Laws Chapter 108A, Section 45, does hereby certify as follows:

- FIRST:** The federal employer identification number of the partnership is 04-2675571.
- SECOND:** The name of the partnership is Commonwealth Equity Services, LLP.
- THIRD:** The street address of the principal office of the partnership in The Commonwealth is 29 Sawyer Road, Waltham, Massachusetts 02453.
- FOURTH:** The partnership is engaged in the business of securities and investment placement pursuant to the laws of the United States government and the rules and regulations of the National Association of Securities Dealers, and any and all acts pursuant thereto, including but not limited to holding any form of property in any capacity.
- FIFTH:** Each of Joseph S. Deitch and Peter T. Wheeler is authorized to execute, acknowledge and deliver and record any recordable instrument purporting to affect any interest in real property with respect to the partnership with a registry of deeds or a district office of the land court.

THE UNDERSIGNED, hereby declaring and certifying that the facts stated in this Registered Limited Liability Partnership Registration are true, hereunto sets his hand and seal this 21<sup>st</sup> day of December, 2004.

  
Name: Joseph S. Deitch  
Title: Manager

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