11-01-2006



HEET U.S. DEPARTMENT OF COMMERCE FORM PTO-1594 (Substitute) 103330716 U.S. Patent and Trademark Offic To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): Respironics, Inc. Name: RIC Investments, Inc. ☐ Individual ☐ Association Address: 801 West Street, 2nd Floor General Partnership ☐ Limited Partnership ☑ Corporation-State □ Other: Zip: 19801-1545 City: WilmingtonState: DE Additional name(s) of conveying party(ies) attached? Yes No □ Individual(s) citizenship \_\_\_\_\_ 3. Nature of conveyance: □ Association ☐ General Partnership Assignment □ Limited Partnership Security Agreement ☐ Change of Name □ Corporation-State Delaware Other □ Other If assignee is not domiciled in the United States, a domestic Execution Date: June 27, 2002 representative designation is attached: Yes No (Designations must be a separate document from assignment.) Additional name(s) & address(es) attached? Yes No 4. Application number(s) or trademark registration number(s) A. Trademark Application No(s). B. Trademark Registration No(s). 1.433.861 Additional numbers attached? Yes No Name and address of party to whom correspondence Total number of applications and concerning document should be mailed: registrations involved: 7. Total fee (37 CFR 2.6(b)(6)): Name: Frederick H. Colen **Enclosed** Authorized to be charged to deposit account REED SMITH LLP Charge any deficiency to deposit account Address: P.O. Box 488 8. Deposit account number: 18-0582 (Attach duplicate copy of this page if paying by City: Pittsburgh State: PA Zip: 15230 deposit account) DO NOT USE THIS SPACE Statement and signature To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true and correct copy of the original document. Frederick H. Colen Name of Person Signing Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services, Director of the U.S. Patent and Trademark Office,
P.O. Box 1450, Alexandria, VA 22313-1450

REEL: 003420 FRAME: 0488

## CONTRIBUTION AND ASSIGNMENT

THIS CONTRIBUTION AND ASSIGNMENT (this "Assignment"), made and entered into this  $27^{\text{M}}$  day of June, 2002 to be effective as of July 1, 2001, by RESPIRONICS, INC., a Delaware corporation ("Assignor"), to and for the benefit of RIC INVESTMENTS, INC., a Delaware corporation ("Assignee").

WHEREAS, to centralize management and administration of the intellectual property currently held by Assignor; to enable Assignor to more accurately assess the performance and value of its various operations apart from the value added by its intellectual property; to hold, manage, protect, defend and enhance the value of such intellectual property; to facilitate operation of an asset management company in the United States in order to analyze the performance of such valuable intellectual property; to isolate intellectual property ownership into a separate legal entity to provide efficiencies within the Assignor's current organizational structure; to align the Assignor's assets and personnel, intellectual property and financial resources in order to focus the organization on its long-term business strategy; to impose on the Assignor financial burdens similar to that of other competitors; to protect Assignor in the event of a hostile takeover attempt; to provide a centralized system to deal with the intellectual property on a worldwide basis; to avoid conflicts between maximizing the value of the intellectual property and operational strategies by segregating the management of intellectual property from Assignor's other operations; and to allow the future licensing of the intellectual property;

WHEREAS, for such good and valid business purposes, including those listed above, Assignor determined it would be in its best interests to transfer certain intellectual property of Assignor to Assignee;

WHEREAS, Assignor incorporated Assignee on July 1, 1991, as a wholly-owned subsidiary for good and valid business purposes, including those listed above; and

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and intending to legally bound hereby, and in accordance with Section 351 of the Internal Revenue Code of 1986, Assignor docs hereby absolutely and unconditionally assign, transfer, contribute, set over and deliver to Assignce all of Assignor's rights, titles and interests in and to the following (collectively, the "Assigned Properties"):

The following intellectual property owned by Assignor on the date of execution hereof: all inventions, know-how and technology, whether patentable or not; all patents and patent applications; those trade secrets and proprietary data incorporated within Assignor's products; all trademarks, service marks and trade names and applications and registrations therefor, and all goodwill associated with such trademarks, service marks and trade names; and all copyright rights whether registered or not; provided, however, that any such item shall not be assigned to the extent that such assignment would violate its terms and/or require consent by another party if such consent was not timely obtained by Assignor or is prohibited by law, except that if such consent or approval is obtained within 180 days of execution hereof such item shall be assigned as provided herein on the date such consent or approval is obtained;

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TO HAVE AND TO HOLD unto Assignee and Assignee's successors and assigns forever.

AND Assignor further agrees with Assignee as follows:

- 1. The person executing this Assignment has the authority to execute and deliver this Assignment. This Assignment constitutes the legal, valid and binding obligation of Assignor, enforceable in accordance with its terms. Assignor is the true and lawful owner of each of the Assigned Properties and will warrant and defend the title of said Assigned Properties against the lawful claims and demands of every kind or type of all persons whomsoever.
- 2. If, after the date of transfer of the Assigned Properties, Assignee reasonably determines that items of property or other rights necessarily related or ancillary to the Assigned Properties in accordance with the parties' intentions hereunder were not transferred to Assignee, then, upon the request of Assignee, Assignor shall cooperate with Assignee and use commercially reasonable efforts to assign to Assignee such items of property or other rights.
- 3. The provisions of this Assignment are intended to be severable. If any provision of this Assignment shall be held invalid or unenforceable, in whole or in part, such provision shall be ineffective to the extent of such invalidity or unenforceability without in any manner affecting the validity or enforceability of any other provision of this Assignment.
- 4. This Assignment shall be governed by the laws of the State of Delaware, without regard to conflict of laws rules.
- 5. Nothing herein contained shall be deemed to limit or restrict the properties, assets or rights conveyed, assigned or transferred to or acquired by Assignee from Assignor under or by virtue of any other conveyance.

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IN WITNESS WHEREOF, and intending to be legally bound, Assignor has caused this Assignment to be executed by its duly authorized officer as of the date first above written.

RESPIRONICS, INC.,

a Delaware corporation
Ву:
Name:
Title:
RIC INVESTMENTS, INC., a Delaware corporation  By: // wlash
Name: Kerneth J. Kubacki
Title: Vice President

STATE OF DELAWARE	) ) ss:
COUNTY OF NEW CASTLE	)
The foregoing instrument was acknowled by Kenneth J. Kubacki RIC INVESTMENTS, INC., a Delaware corpo	edged before me this 27th day of June, 2002,, the
[NOTARIAL SEAL]	
My commission expires:  Penny Lee Miller  Notary Public - State of Delaware  My Commission Expires December 11, 2003	

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Assignment to be executed by its duly authorized officer as of the date first above written.

RESPIRONICS, INC.,
a Delaware corporation

By:

Name: James C. Wock

Title: VICE PRESIDENT AND CORNELINE CONTROLLER

RIC INVESTMENTS, INC.,
a Delaware corporation

IN WITNESS WHEREOF, and intending to be legally bound, Assignor has caused this

COMMONWEALTH OF PENNSYLVANIA  WESTMORELAND  COUNTY OF ALLEGHENY	) ) ss: )
The foregoing instrument was acknowled 2002, by <u>James C. WOLL</u> of RESPIRONICS, INC., a Delaware corporation	ged before me this <u>27</u> day of <u>JUNE</u> , the <u>VP &amp; CORPORATE (OUTROULE</u> ), on behalf of said corporation.
	Links L. Farren Notary Public
[NOTAŘIAL SEALJ	
My commission expires:	
Que 25 2005	Notarial Scal Linda L. Parren, Notary Public Mutrysville Boro, Westmoreland County My Commission Expires June 25, 2005

**RECORDED: 10/30/2006** 

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