

TRADEMARK ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

CHANGE OF NAME

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
BNY Brokerage Inc.		09/27/2006	CORPORATION: DELAWARE

RECEIVING PARTY DATA

Name:	BNY Brokerage LLC
Street Address:	1633 Broadway
City:	New York
State/Country:	NEW YORK
Postal Code:	10019
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE

PROPERTY NUMBERS Total: 6

Property Type	Number	Word Mark
Serial Number:	78919539	CONVERGEX
Serial Number:	78919542	CONVERGEX GROUP
Serial Number:	78919540	CONVERGEX HOLDINGS
Registration Number:	2985008	EPASS
Serial Number:	78941377	IQX
Registration Number:	2546046	SONICTRADING

CORRESPONDENCE DATA

Fax Number: (212)755-7306

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 212-326-3411

Email: ibtannen@jonesday.com

Correspondent Name: Ilene B Tannen, Esq.

Address Line 1: Jones Day

Address Line 2: 222 East 41st Street

Address Line 4: New York, NEW YORK 10017

CH \$165.00 78919539

900072711

TRADEMARK
REEL: 003508 FRAME: 0371

ATTORNEY DOCKET NUMBER:	911073-703002
NAME OF SUBMITTER:	Ilene B. Tannen
Signature:	/ilene b tannen/
Date:	03/27/2007
Total Attachments: 4 source=DOC533#page1.tif source=DOC533#page2.tif source=DOC537#page1.tif source=DOC537#page2.tif	

Delaware

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The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "BNY BROKERAGE INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "BNY BROKERAGE INC." TO "BNY BROKERAGE LLC", FILED IN THIS OFFICE ON THE TWENTY-SEVENTH DAY OF SEPTEMBER, A.D. 2006, AT 11:54 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.



2803176 8100V

060889712

Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5073410

DATE: 09-27-06

TRADEMARK
REEL: 003508 FRAME: 0373

CERTIFICATE OF CONVERSION
OF
BNY BROKERAGE INC.
FROM A CORPORATION TO A
LIMITED LIABILITY COMPANY PURSUANT TO
SECTION 18-214 OF THE DELAWARE
LIMITED LIABILITY COMPANY ACT
AND SECTION 266 OF THE
DELAWARE GENERAL CORPORATION LAW

- 1.) The jurisdiction where BNY Brokerage Inc. (the "Corporation") was first incorporated is Delaware.
- 2.) The jurisdiction of the Corporation immediately prior to filing this certificate is Delaware.
- 3.) The date the Corporation was first incorporated is October 1, 1997.
- 4.) The name of the Corporation immediately prior to filing this Certificate is BNY Brokerage Inc.
- 5.) The name of the limited liability company to which the Corporation is being converted as set forth in its Certificate of Formation is BNY Brokerage LLC.
- 6.) The conversion of the Corporation to a limited liability company shall be effective upon the filing with the Secretary of State of the State of Delaware of this Certificate of Conversion and the Certificate of Formation.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Conversion on the 27th day of September, 2006.



Authorized Person

Heather A. Sisler

State of Delaware
Secretary of State
Division of Corporations
Delivered 11:54 AM 09/27/2006
FILED 11:54 AM 09/27/2006
SRV 060889712 - 2803176 FILE

Delaware

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The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "BNY BROKERAGE LLC" FILED IN THIS OFFICE ON THE TWENTY-SEVENTH DAY OF SEPTEMBER, A.D. 2006, AT 11:54 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.



2803176 8100V

060889712

Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5073410

DATE: 09-27-06

TRADEMARK
REEL: 003508 FRAME: 0375

CERTIFICATE OF FORMATION

of

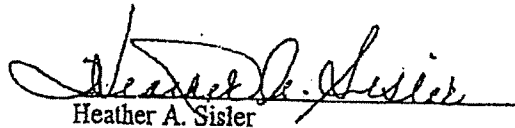
BNY Brokerage LLC

The undersigned, for the purpose of forming a limited liability company pursuant to Section 18-201 of the Delaware Limited Liability Company Act, does hereby certify:

1. The name of the limited liability company is BNY Brokerage LLC.
2. The address of the registered office in the State of Delaware is 160 Greentree Drive, Suite 101, in the City of Dover, County of Kent, 19904. The name of the registered agent at such address is National Registered Agents, Inc.

IN WITNESS WHEREOF, the undersigned, as the authorized person of the limited liability company, has caused this certificate to be executed as of the 27th day of September, 2006.

Authorized Person



Heather A. Sisler

State of Delaware
Secretary of State
Division of Corporations
Delivered 11:54 AM 09/27/2006
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