

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
BNY Brokerage LLC		12/22/2006	LIMITED LIABILITY COMPANY: DELAWARE
RECEIVING PARTY DATA			
Name:	BNY ConvergEx Execution Solutions LLC		
Street Address:	1633 Broadway		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10019		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 6			
Property Type	Number	Word Mark	
Serial Number:	78919539	CONVERGEX	
Serial Number:	78919542	CONVERGEX GROUP	
Serial Number:	78919540	CONVERGEX HOLDINGS	
Registration Number:	2985008	EPASS	
Serial Number:	78941377	IQX	
Registration Number:	2546046	SONICTRADING	
CORRESPONDENCE DATA			
Fax Number:	(212)755-7306		
	<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
Phone:	212-326-3411		
Email:	ibtannen@jonesday.com		
Correspondent Name:	Ilene B Tannen, Esq.		
Address Line 1:	Jones Day		
Address Line 2:	222 East 41st Street		
Address Line 4:	New York, NEW YORK 10017		

CH \$165.00 78919539

ATTORNEY DOCKET NUMBER:	911073-703002
NAME OF SUBMITTER:	Ilene B. Tannen
Signature:	/ilene b tannen/
Date:	03/27/2007
Total Attachments: 2 source=DOC538#page1.tif source=DOC538#page2.tif	

Delaware

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The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BNY BROKERAGE LLC", CHANGING ITS NAME FROM "BNY BROKERAGE LLC" TO "BNY CONVERGEX EXECUTION SOLUTIONS LLC", FILED IN THIS OFFICE ON THE TWENTY-SECOND DAY OF DECEMBER, A.D. 2006, AT 5:51 O'CLOCK P.M.

2803176 8100

061183042



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5311619

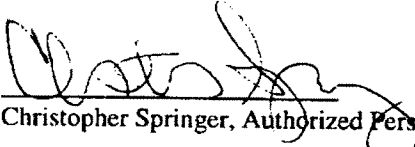
DATE: 12-27-06

TRADEMARK
REEL: 003508 FRAME: 0520

CERTIFICATE OF AMENDMENT
OF THE
CERTIFICATE OF FORMATION
OF
BNY BROKERAGE LLC

1. The name of the limited liability company is BNY Brokerage LLC;
2. Paragraph 1 of the Certificate of Formation of the limited liability company is hereby amended to read as follows:
 1. The name of the limited liability company is BNY ConvergEx Execution Solutions LLC.

IN WITNESS WHEREOF, the undersigned, an authorized person, has executed this Certificate of Amendment as of the 22 day of December, 2006.


Christopher Springer, Authorized Person