

TRADEMARK ASSIGNMENT

Electronic Version v1.1

Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

First Lien Intellectual Property Security Agreement

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
RJO Investor Corp.		07/12/2007	CORPORATION: DELAWARE
RJO Holdings Corp.		07/12/2007	CORPORATION: DELAWARE

RECEIVING PARTY DATA

Name:	Lehman Commercial Paper Inc., as collateral agent
Street Address:	745 Seventh Avenue
Internal Address:	16th Floor, Attention: Rijalda Bekic
City:	New York
State/Country:	NEW YORK
Postal Code:	10019
Entity Type:	CORPORATION: NEW YORK

PROPERTY NUMBERS Total: 5

Property Type	Number	Word Mark
Registration Number:	2573376	RJOCAT
Registration Number:	2607168	MARKET CENTER DIRECT
Serial Number:	77039721	RJO VANTAGE
Serial Number:	75919428	MARKET CENTER DIRECT YOUR LINK TO THE MARKETS
Serial Number:	75919427	RJOCAT SUPPORT FUTURES BROKERAGE SINCE 1914 R.J. O'BRIEN & ASSOCIATES

CORRESPONDENCE DATA

Fax Number: (212)822-5423

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 212-530-5000

Email: jnici@milbank.com

Correspondent Name: Milbank, Tweed, Hadley & McCloy LLP

Address Line 1: One Chase Manhattan Plaza

Address Line 2: rm. 4640

900082183

TRADEMARK
REEL: 003583 FRAME: 0492

CH \$140.00 2573376

Address Line 4: New York, NEW YORK 10005

ATTORNEY DOCKET NUMBER:

28490-26102

NAME OF SUBMITTER:

Janis Nici

Signature:

/janis nici/

Date:

07/19/2007

Total Attachments: 7

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FIRST LIEN INTELLECTUAL PROPERTY SECURITY AGREEMENT

FIRST LIEN INTELLECTUAL PROPERTY SECURITY AGREEMENT (this "Agreement"), dated as of July 12, 2007, made by each of the Persons listed on the signature pages hereof (collectively, the "Grantors"), in favor of LEHMAN COMMERCIAL PAPER INC., as collateral agent for the First Lien Secured Parties (as defined below) (in such capacity, together with its successors in such capacity, the "Collateral Agent").

W I T N E S S E T H:

WHEREAS, capitalized terms used herein and not otherwise defined herein (including terms used in the preamble and the recitals) shall have the meanings assigned to such terms in the First Lien Guarantee and Collateral Agreement, dated as of July 12, 2007 (the "First Lien Guarantee and Collateral Agreement"), among RJO INVESTOR CORP., a Delaware corporation (the "Parent"), RJO HOLDINGS CORP. (the "Borrower" and, together with the Parent, collectively, the "Grantors"), and LEHMAN COMMERCIAL PAPER INC., as Collateral Agent.

WHEREAS, the rules of construction and other interpretive provisions specified in Section 1.2 of the First Lien Credit Agreement shall apply to this Agreement, including terms defined in the preamble and recitals hereto.

Accordingly, the Grantors and the Collateral Agent agree as follows:

SECTION 1. Grant of Security. Each Grantor hereby grants to the Collateral Agent for the ratable benefit of the First Lien Secured Parties a security interest in all of such Grantor's right, title and interest in and to the United States Trademark registrations and applications set forth in Schedule A hereto, the United States Patent registrations and applications set forth in Schedule B hereto and the United States Copyright registrations and applications set forth in Schedule C hereto (collectively, the "Collateral").

SECTION 2. Security for Obligations. The grant of a security interest in the Collateral by each Grantor under this Agreement secures the payment of all First Priority Obligations of such Grantor now or hereafter existing.

SECTION 3. Recordation. Each Grantor authorizes and requests that the Register of Copyrights, the Commissioner for Patents, the Commissioner for Trademarks and any other applicable governmental officer located in the United States record this Agreement.

SECTION 4. Grants, Rights and Remedies. This Agreement has been entered into in conjunction with the provisions of the First Lien Guarantee and Collateral Agreement. Each Grantor does hereby acknowledge and confirm that the grant of the security interest hereunder to, and the rights and remedies of, the Collateral Agent with respect to the Collateral are more fully set forth in the First Lien Guarantee and Collateral Agreement, the terms and provisions of which are incorporated herein by reference as if fully set forth herein. In the event

of any conflict between the terms of this Agreement and the terms of the First Lien Guarantee and Collateral Agreement, the terms of the First Lien Guarantee and Collateral Agreement shall govern.

SECTION 5. Counterparts. This Agreement may be executed by one or more of the parties to this Agreement on any number of separate counterparts (including by telecopy), and all of said counterparts taken together shall be deemed to constitute one and the same instrument.

SECTION 6. GOVERNING LAW. THIS AGREEMENT SHALL BE GOVERNED BY, AND CONSTRUED AND INTERPRETED IN ACCORDANCE WITH, THE LAW OF THE STATE OF NEW YORK WITHOUT REGARD TO CONFLICT OF LAWS PROVISIONS (OTHER THAN SECTIONS 5-1401 AND 5-1402 OF THE NEW YORK GENERAL OBLIGATIONS LAW, WHICH THE PARTIES HERETO AGREE APPLY HERETO).

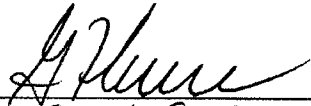
SECTION 7. Severability. Any provision of this Agreement which is prohibited or unenforceable in any jurisdiction shall, as to such jurisdiction, be ineffective to the extent of such prohibition or unenforceability without invalidating the remaining provisions hereof, and any such prohibition or unenforceability in any jurisdiction shall not invalidate or render unenforceable such provision in any other jurisdiction.

SECTION 8. Notices. All notices, requests and demands pursuant hereto shall be made in accordance with Section 10.2 of the First Lien Credit Agreement.


SECTION 9. Expenses. Subject to Section 10.5 of the First Lien Credit Agreement, each Grantor agrees to reimburse the Collateral Agent for its reasonable and documented out-of-pocket expenses in connection with this Agreement, including the reasonable and documented fees, other charges and disbursements of counsel for the Collateral Agent.

IN WITNESS WHEREOF, each Grantor and the Collateral Agent have duly executed this First Lien IP Security Agreement as of the day and year first above written.

RJO INVESTOR CORP.

By: 
Name: Gerald F. Corcoran
Title: Chief Executive Officer

RJO HOLDINGS CORP.

By: 
Name: Gerald F. Corcoran
Title: Chief Executive Officer

LEHMAN BROTHERS COMMERCIAL PAPER
INC.,
as Collateral Agent

By: 



Name: Laurie Perper
Title: Senior Vice President

NY1:#3458607

TRADEMARK
REEL: 003583 FRAME: 0497

SCHEDULE A TO THE FIRST LIEN
INTELLECTUAL PROPERTY
SECURITY AGREEMENT

UNITED STATES TRADEMARKS

MARK	REG. NO.	REG. DATE	SERIAL NO.	FILING DATE	STATUS
RJOCAT	2,573,376	05/28/2002	76/228,899	03/22/2001	Registered
MARKET CENTER DIRECT	2,607,168	08/13/2002	76/228,905	03/22/2001	Registered
RJO VANTAGE	TXu 1-329- 650	12/06/2006	77039721	11/08/2006	Registered
<small>© 2000 O'Brien Investment Services</small> Market Center Direct Your link to the markets 	N/A	N/A	75/919,428	02/15/2000	Abandoned
RJOCAT SUPPORT 	N/A	N/A	75/919,427	02/15/2000	Abandoned

SCHEDULE B TO THE FIRST LIEN
INTELLECTUAL PROPERTY
SECURITY AGREEMENT

UNITED STATES PATENTS

None.

SCHEDULE C TO THE FIRST LIEN
INTELLECTUAL PROPERTY
SECURITY AGREEMENT

UNITED STATES COPYRIGHTS

None.