TRADEMARK ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	SECURITY INTEREST

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Powell Nurseries, Inc.		09/14/2007	CORPORATION: DELAWARE

RECEIVING PARTY DATA

Name:	Bank of America, N.A., as Agent		
Street Address:	231 South LaSalle Street		
City:	Chicago		
State/Country:	ILLINOIS		
Postal Code:	60604		
Entity Type:	national association:		

PROPERTY NUMBERS Total: 1

Property Type	Number	Word Mark
Serial Number:	78972878	LANDSCAPER 24 - FLAT - PLANNER

CORRESPONDENCE DATA

Fax Number: (312)863-7865

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 312-201-3865

Email: sharon.patterson@goldbergkohn.com

Correspondent Name: Sharon Patterson

Address Line 1: 55 E. Monroe St., Ste. 3300
Address Line 4: Chicago, ILLINOIS 60603

ATTORNEY DOCKET NUMBER:	2759.094
NAME OF SUBMITTER:	Sharon Patterson
Signature:	/sharon patterson/
Date:	09/20/2007

TRADEMARK REEL: 003624 FRAME: 0861

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Total Attachments: 14
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PATENT, TRADEMARK AND LICENSE MORTGAGE

THIS PATENT, TRADEMARK AND LICENSE MORTGAGE (the "Mortgage") made as of this was a day of September, 2007, by POWELL NURSERIES, INC., a Delaware corporation ("Mortgagor"), with its chief executive office and principal place of business at c/o Color Spot Nurseries, Inc., 2575 Olive Hill Road, Fallbrook, California 92028, in favor of BANK OF AMERICA, N.A., as a Lender and as Agent for the Lenders, with an office at 231 South LaSalle Street, Chicago, Illinois 60604 ("Mortgagee").

WITNESSETH:

WHEREAS, Color Spot Nurseries, Inc., a Delaware corporation ("Borrower"), Mortgagee and the Lenders from time to time party to the Loan Agreement (as defined herein) are parties to a certain Fourth Amended and Restated Loan and Security Agreement of even date herewith (the "Loan Agreement"), which Loan Agreement provides, among other things, (i) for Mortgagee and Lenders to, from time to time, extend credit to or for the account of Mortgagor and (ii) for Borrower to cause the grant by Mortgagor to Mortgagee, for its benefit and for the ratable benefit of the Lenders, of a security interest in substantially all of Mortgagor's assets;

WHEREAS, Mortgagor is one of the parties to a Second Amended and Restated Master Guaranty (the "Guaranty") dated the date hereof in favor of Mortgagee, for its benefit and the ratable benefit of Lenders, which provides for the guaranty by Mortgagor of all of the Obligations; and

WHEREAS, Mortgagor is also one of the parties to a Third Amended and Restated Master Security Agreement dated the date hereof in favor of Mortgagee, for its benefit and the ratable benefit of Lenders, which provides for the grant by Mortgagor to Mortgagee, for its benefit and the ratable benefit of the Lenders, of a security interest in substantially all of Mortgagor's assets, including, without limitation, the patents, patent applications, trademarks, trademark applications, trade names, service marks, service mark applications, goodwill and certain licenses of Mortgagor;

NOW, THEREFORE, in consideration of the premises set forth herein and for other good and valuable consideration, the receipt, sufficiency and adequacy of which are hereby acknowledged, Mortgagor agrees as follows:

- 1. <u>Capitalized Terms</u>. All terms capitalized but not otherwise defined herein shall have the same meanings herein as in the Loan Agreement.
- 2. <u>Mortgage of Patents, Trademarks and Licenses</u>. To secure the complete and timely satisfaction of all of the Obligations and all obligations under the Guaranty (the "Guaranty Obligations"), Mortgagor hereby grants a security interest in and pledges to Mortgagee, for its benefit and the ratable benefit of the Lenders, as and by way of a mortgage and security interest having priority over all other security interests, all of Mortgagor's right, title and interest in and to all of its now existing and hereafter created or acquired:

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- (i) patents and patent applications, including, without limitation, the inventions and improvements described and claimed therein, and those patents listed on Exhibit A attached hereto and hereby made a part hereof, and (a) the reissues, divisions, continuations, renewals, extensions and continuations-in-part thereof, (b) all income, damages and payments now and hereafter due or payable under or with respect thereto, including, without limitation, damages and payments for past or future infringements thereof, (c) the right to sue for past, present and future infringements thereof, and (d) all rights corresponding thereto throughout the world (all of the foregoing patents and applications, together with the items described in clauses (a)-(d) of this subsection 2(i), are sometimes hereinafter referred to individually as a "Patent" and, collectively, as the "Patents");
- trademarks, trademark registrations, trademark applications, (ii) trade names and tradestyles, service marks, service mark registrations and service mark registration applications, including, without limitation, the trademarks, trade names, service marks, registrations and applications for registration listed on Exhibit B attached hereto and hereby made a part hereof, and (a) renewals or extensions thereof, (b) all income, damages and payments now and hereafter due or payable with respect thereto, including, without limitation, damages and payments for past or future infringements thereof, (c) the right to sue for past, present and future infringements thereof, and (d) all rights corresponding thereto throughout the world (all of the foregoing trademarks, trade names and tradestyles, service marks and applications and registrations thereof, together with the items described in clauses (a)-(d) of this subsection 2(ii), are sometimes hereinafter referred to individually as a "Trademark", and, collectively, as the "Trademarks"), provided however, no security interest shall be granted or enforced in United States intent-to-use trademark applications to the extent that, and solely during the period in which, the grant of a security interest therein would impair the validity or enforceability of such intent-to-use trademark applications under applicable federal law, together with the goodwill symbolized thereby;
- (iii) all license agreements with respect to any of the Patents or the Trademarks or any other patent, trademark, service mark or any registration or application for registration or any other tradename or tradestyle between Mortgagor and any other party, whether Mortgagor is a licensor or licensee under any such license agreement, including, without limitation, the licenses listed on Exhibit C attached hereto and hereby made a part hereof, and excluding all license agreements which, according to their terms, may not be assigned without the prior written consent of the licensors thereto (all of the foregoing license agreements and Mortgagor's rights thereunder are referred to collectively as the "Licenses"); and

(iv) the goodwill of Mortgagor's business connected with and symbolized by the Trademarks.

Upon the occurrence and during the continuance of an Event of Default, Mortgagee shall have the power, to the extent permitted by law, to sell the Patents, Trademarks and Licenses.

- 3. <u>Warranties, Representations and Covenants</u>. Mortgagor warrants and represents to Mortgagee that:
 - (i) No Patents, Trademarks and Licenses have been adjudged invalid or unenforceable or have been cancelled, in whole or in part, or are not presently subsisting;
 - (ii) Each of the Patents, Trademarks and Licenses is valid and enforceable;
 - (iii) Mortgagor is the sole and exclusive owner of the entire and unencumbered right, title and interest in and to each of the Patents, Trademarks and Licenses (except for Licenses with respect to which Mortgagor is not the exclusive licensee), free and clear of any liens, charges and encumbrances, including, without limitation, licenses, shop rights and covenants by Mortgagor not to sue third persons;
 - (iv) Mortgagor has adopted, used and is currently using, or has a current bona fide intention to use, all of the Trademarks;
 - (v) Mortgagor has no notice of any suits or actions commenced or threatened with reference to the Patents, Trademarks or Licenses; and
 - (vi) Mortgagor has the right to execute and deliver this Mortgage and perform its terms.
- 4. Restrictions on Future Agreements. Mortgagor agrees that until the Obligations and the Guaranty Obligations shall have been satisfied in full (except for contingent indemnification obligations pursuant to Section 12.2 of the Loan Agreement or under the indemnification provisions of the Security Documents) and the Loan Agreement shall have been terminated, Mortgagor shall not sell or assign its interest in, or grant any license under, the Patents, Trademarks or Licenses, or enter into any other agreement with respect to the Patents, Trademarks or Licenses which is inconsistent with the obligations under this Mortgage, without the prior written consent of Mortgagee, and Mortgagor further agrees that it shall not take any action, or permit any action to be taken by others subject to its control, including licensees, or fail to take any action, which would affect the validity or enforcement of the rights transferred to Mortgagee under this Mortgage.

- 5. New Patents, Trademarks, and Licenses. Mortgagor represents and warrants that the Patents, Trademarks and Licenses listed on Exhibits A, B and C, respectively, constitute all of the Patents and Trademarks now owned by, and Licenses granted by or to, Mortgagor. If, before the Obligations shall have been satisfied in full or before the Loan Agreement has been terminated, Mortgagor shall (i) obtain rights to any new patentable inventions, Patents, Trademarks or Licenses, or (ii) become entitled to the benefit of any Patents, Trademarks, or Licenses or any improvement on any Patent, the provisions of this Mortgage above shall automatically apply thereto and Mortgagor shall give to Mortgagee prompt written notice thereof. Mortgagor hereby authorizes Mortgagee to modify this Mortgage by amending Exhibits A, B and C, as applicable, to include any such Patents, Trademarks and Licenses.
- 6. Royalties; Term. The term of the mortgages granted herein shall extend until the earlier of (i) the expiration of each of the respective Patents, Trademarks and Licenses assigned hereunder, and (ii) the Obligations and the Guaranty Obligations have been paid in full (except for contingent indemnification obligations pursuant to Section 12.2 of the Loan Agreement or under the indemnification provisions of the Security Documents) and the Loan Agreement has been terminated. Upon the occurrence and during the continuance of an Event of Default, Mortgagor agrees that the use by Mortgagee of all Patents, Trademarks and Licenses shall be worldwide and without any liability for royalties or other related charges from Mortgagee to Mortgagor.

7. <u>Grant of License to Mortgagor</u>. [RESERVED].

- 8. Mortgagee's Right to Inspect. Mortgagee shall have the right, at any time and from time to time during normal business hours and prior to payment in full of the Obligations and the Guaranty Obligations (except for contingent indemnification obligations pursuant to Section 12.2 of the Loan Agreement or under the indemnification provisions of the Security Documents) and termination of the Loan Agreement, to inspect Mortgagor's premises and to examine Mortgagor's books, records and operations, including, without limitation, Mortgagor's quality control processes. Mortgagor agrees (i) to maintain the quality of any and all products in connection with which the Trademarks are used, consistent with the quality of said products as of the date hereof and (ii) to provide Mortgagee, upon Mortgagee's request from time to time, with a certificate of an officer of Mortgagor certifying Mortgagor's compliance with the foregoing. Upon the occurrence and during the continuance of an Event of Default, Mortgagor agrees that Mortgagee, or a conservator appointed by Mortgagee, shall have the right to establish such additional product quality controls as Mortgagee, or said conservator, in its sole judgment, may deem necessary to assure maintenance of the quality of products sold by Mortgagor under the Trademarks.
- 9. Release of Mortgage. This Mortgage is made for collateral purposes only. Upon payment in full of the Obligations and the Guaranty Obligations (except for contingent indemnification obligations pursuant to Section 12.2 of the Loan Agreement or under the indemnification provisions of the Security Documents) and termination of the Loan Agreement, Mortgagee shall execute and deliver to Mortgagor all deeds, assignments and

other instruments, and shall take such other actions, as may be necessary or proper to re-vest in Mortgagor full title to the Patents, Trademarks, and Licenses, subject to any disposition thereof which may have been made by Mortgagee pursuant hereto or pursuant to the Loan Agreement.

- any of the agreements set forth herein shall be borne by Mortgagor. All fees, costs and expenses, of whatever kind or nature, including attorneys' and paralegals' fees and legal expenses, incurred by Mortgagee in connection with the filing or recording of any documents (including all taxes in connection therewith) in public offices, the payment or discharge of any taxes, counsel fees, maintenance fees, encumbrances or otherwise in protecting, maintaining or preserving the Patents, Trademarks and Licenses, or in defending or prosecuting any actions or proceedings arising out of or related to the Patents, Trademarks and Licenses, shall be borne by and paid by Mortgagor on demand by Mortgagee and until so paid shall be added to the principal amount of the Obligations and shall bear interest at the then applicable rate as set forth in the Loan Agreement.
- 11. <u>Duties of Mortgagor</u>. Mortgagor shall have the duty (i) to prosecute diligently any patent, trademark or service mark applications pending as of the date hereof or thereafter until the Obligations and the Guaranty Obligations (except for contingent indemnification obligations pursuant to Section 12.2 of the Loan Agreement or under the indemnification provisions of the Security Documents) shall have been paid in full, (ii) to make application on unpatented but patentable inventions and on trademarks and service marks, as appropriate, which in the reasonable judgment of Mortgagor are required for Mortgagor's business, (iii) to preserve and maintain all rights in the Patents, Trademarks and Licenses, and (iv) to ensure that the Patents, Trademarks and Licenses are and remain enforceable. Any expenses incurred in connection with Mortgagor's obligations under this Section 11 shall be borne by Mortgagor. Mortgagor shall not abandon any right to file a patent, trademark or service mark application, or abandon any pending patent application, or any other Patent, Trademark or License without the written consent of Mortgagee.
- 12. Mortgagee's Right to Sue. After the occurrence and during the continuance of an Event of Default, Mortgagee shall have the right, but shall in no way be obligated, to bring suit in its own name to enforce the Patents, Trademarks and Licenses, and, if Mortgagee shall commence any such suit, Mortgagor shall, at the request of Mortgagee, do any and all lawful acts and execute any and all proper documents required by Mortgagee in aid of such enforcement and Mortgagor shall promptly, upon demand, reimburse and indemnify Mortgagee for all costs and expenses incurred by Mortgagee in the exercise of its rights under this Section 12.
- 13. <u>Waivers</u>. No course of dealing between Mortgagor and Mortgagee, nor any failure to exercise, nor any delay in exercising, on the part of Mortgagee, any right, power or privilege hereunder or under the Loan Agreement shall operate as a waiver thereof; nor shall any single or partial exercise of any right, power or privilege hereunder or

thereunder preclude any other or further exercise thereof or the exercise of any other right, power or privilege.

- 14. <u>Severability</u>. The provisions of this Mortgage are severable, and if any clause or provision shall be held invalid and unenforceable in whole or in part in any jurisdiction, then such invalidity or unenforceability shall affect only such clause or provision, or part thereof, in such jurisdiction, and shall not in any manner affect such clause or provision in any other jurisdiction, or any other clause or provision of this Mortgage in any jurisdiction.
- 15. <u>Modification</u>. This Mortgage cannot be altered, amended or modified in any way, except as specifically provided in Section 5 hereof or by a writing signed by the parties hereto.
- 16. Cumulative Remedies; Power of Attorney; Effect on Loan Agreement. All of Mortgagee's rights and remedies with respect to the Patents, Trademarks and Licenses, whether established hereby or by the Loan Agreement, or by any other agreements or by law shall be cumulative and may be exercised singularly or concurrently. Upon the occurrence and during the continuance of an Event of Default, Mortgagor hereby authorizes Mortgagee to make, constitute and appoint any officer or agent of Mortgagee as Mortgagee may select. in its sole discretion, as Mortgagor's true and lawful attorney-in-fact, with power to (i) endorse Mortgagor's name on all applications, documents, papers and instruments necessary or desirable for Mortgagee in the use of the Patents, Trademarks and Licenses, or (ii) take any other actions with respect to the Patents, Trademarks and Licenses as Mortgagee deems to be in its best interest, or (iii) grant or issue any exclusive or non-exclusive license under the Patents, Trademarks or Licenses to anyone, or (iv) assign, pledge, convey or otherwise transfer title in or dispose of the Patents, Trademarks or Licenses to anyone. Mortgagor hereby ratifies all that such attorney shall lawfully do or cause to be done by virtue hereof. This power of attorney shall constitute a power coupled with an interest and shall be irrevocable until the Obligations and the Guaranty Obligations (except for contingent indemnification obligations pursuant to Section 12.2 of the Loan Agreement or under the indemnification provisions of the Security Documents) shall have been paid in full and the Loan Agreement, including any amendments thereto, has been terminated. acknowledges and agrees that this Mortgage is not intended to limit or restrict in any way the rights and remedies of Mortgagee under the Loan Agreement but rather is intended to facilitate the exercise of such rights and remedies. Mortgagee shall have, in addition to all other rights and remedies given it by the terms of this Mortgage and the Loan Agreement, all rights and remedies allowed by law and the rights and remedies of a secured party under the Uniform Commercial Code (or such other applicable law) as enacted in any jurisdiction in which the Patents, Trademarks or Licenses may be located.
- 17. <u>Binding Effect</u>; <u>Benefits</u>. This Mortgage shall be binding upon Mortgagor and its respective successors and permitted assigns, and shall inure to the benefit of Mortgagee, its successors, nominees and assigns,

- 18. <u>GOVERNING LAW</u>. THIS MORTGAGE SHALL BE GOVERNED BY AND CONSTRUED IN ACCORDANCE WITH THE INTERNAL LAWS OF THE STATE OF ILLINOIS.
- 19. CONSENT TO FORUM AND WAIVERS. TO INDUCE LENDERS TO MAKE THE LOAN, AS EVIDENCED BY THE LOAN AGREEMENT AND THIS AGREEMENT, MORTGAGOR IRREVOCABLY AGREES THAT, SUBJECT TO MORTGAGEE'S SOLE AND ABSOLUTE ELECTION, ALL SUITS, ACTIONS OR OTHER PROCEEDINGS IN ANY WAY, MANNER OR RESPECT ARISING OUT OF OR FROM OR RELATED TO THIS AGREEMENT OR ANY DOCUMENTS EXECUTED IN CONNECTION HEREWITH, SHALL BE SUBJECT TO LITIGATION IN COURTS HAVING SITUS WITHIN CHICAGO, ILLINOIS. MORTGAGOR HEREBY CONSENTS AND SUBMITS TO THE JURISDICTION OF ANY LOCAL, STATE OR FEDERAL COURT LOCATED WITHIN CHICAGO, ILLINOIS. MORTGAGOR HEREBY WAIVES ANY RIGHT IT MAY HAVE TO TRANSFER OR CHANGE THE VENUE OF ANY SUIT, ACTION OR OTHER PROCEEDING BROUGHT AGAINST MORTGAGOR BY MORTGAGEE IN ACCORDANCE WITH THIS SECTION. MORTGAGOR AND MORTGAGEE HEREBY WAIVE, TO THE EXTENT PERMITTED BY LAW, TRIAL BY JURY. MORTGAGOR FURTHER WAIVES ANY BOND OR SURETY OR SECURITY UPON SUCH BOND WHICH MIGHT, BUT FOR THIS WAIVER, BE REQUIRED OF MORTGAGEE.
- 20. <u>Headings</u>. Paragraph headings used herein are for convenience only and shall not modify the provisions which they precede.
- 21. <u>Further Assurances</u>. Mortgagor agrees to execute and deliver such further agreements, instruments and documents, and to perform such further acts, as Mortgagee shall reasonably request from time to time in order to carry out the purpose of this Mortgage and agreements set forth herein.
- 22. <u>Survival of Representations</u>. All representations and warranties of Mortgagor contained in this Mortgage shall survive the execution and delivery of this Mortgage and shall be remade on the date of each borrowing under the Loan Agreement.

IN WITNESS WHEREOF, Mortgagor has duly executed this Mortgage in favor of Mortgagee as of the date first written above.

- -	
	POWELL NURSERIES, INC.
	By Rody Ope Name Rodney Omps Its VP-CFD
AGREED AND ACCEPTED THIS day of September, 2007.	
BANK OF AMERICA, N.A.	
Ву	
Name	
Tts	

Its____

Mortgagee shall reasonably request from time to time in order to carry out the purpose of this Mortgage and agreements set forth herein.

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POWELL NURSERIES, INC.

Ву	 	
Name		
Its	<u>-</u>	

AGREED AND ACCEPTED THIS (14th day of September, 2007.

BANK OF AMERICA, N.A.

Name

Sandra J. Evans

Its_

Sr. Vice President

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

State of CA)	
County of Riverside	
On <u>Sept. // . 2007</u> before me,	Inez P. Diaz , Notary Public (here insert name and title of the officer)
personally appeared Rodney Or	maQ
personally appeared / OCIVIC V CV	
name is subscribed to the within instrume	e basis of satisfactory evidence) to be the person whose nt and acknowledged to me that he executed the d that by his signature on the instrument the cted, executed the instrument.
WITNESS my hand and official seal.	INEZ P. DIÁZ COMM. # 1566047 HOTARY PUBLIC-CALIFORNIA RIVERSIDE COUNTY NY COMM. EXP. APR. 1, 2009
Signature of Notary Public	(Seal)
ADDITIONAL OF THE ATTACHED DOCUMENT	PTIONAL INFORMATION INSTRUCTIONS FOR COMPLETING THIS FORM Any acknowledgment completed in California must contain verbiage exactly as appears above in the notary section or a separate acknowledgment form must be
(Title or description of attached document)	properly completed and attached to that document. The only exception is if a document is to be recorded ontside of California. In such instances, any alternative acknowledgment verbiage as may be printed on such a document so long as the verbiage does not require the notary to do something that is illegal for a notary in California (i.e. certifying the authorized capacity of the signer). Please check the
(Title or description of attached document continued)	document carefully for proper notarial wording and attach this form if required.
Number of Pages Document Date	 State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment. Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
(Additional information)	 The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
	Print the name(s) of document signer(s) who personally appear at the time of
CAPACITY CLAIMED BY THE SIGNER Individual (s) Corporate Officer (Title)	

CAPA v12.10.05 G by Association of Professional Notation & CSA 800-873-9865 www.notaryclasses.com

STATE OF ILLINOIS)	"OFFICIAL SEAL" SHANNA S STREETER
COUNTY OF COOK) SS)	PUBLIC SHANNA S STREET OF COMMISSION EXPIRES 04/19/10

The foregoing Patent, Trademark and License Mortgage was executed and acknowledged before me this 12th day of September, 2007 by 3 hdra. Luans personally known to me to be the 3 enfor Vice Vesident of Bank of America, N.A., on behalf of Bank of America, N.A.

Manne S. Streeter Notary Public

My Commission expires:

AFTER FILING RETURN THIS INSTRUMENT TO:

Sharon S. Patterson
Goldberg, Kohn, Bell, Black,
Rosenbloom & Moritz, Ltd.
Suite 3300
55 East Monroe
Chicago, Illinois 60603

EXHIBIT A

Patents

1. None

REEL: 003624 FRAME: 0874

EXHIBIT B

Trademarks

Landscaper 24 -	Powell	9/12/06	Registered	78972878	Pending
Flat - Planner*	Nurseries, Inc.				-

^{*} On February 2, 2007, an office action issued initially rejecting registration of the mark on the Principal Register based on a finding that the mark is entirely descriptive of the underlying planting tool. Management currently does not intend to pursue registration of this trademark.

EXHIBIT C

Licenses

- 1. Powell Plant Farms, Inc. paid third party consultants to modify an internal Data Warehouse ("IntelliQ") populated by data from SAP. This is a web based sales data reporting tool. Powell Plant Farms, Inc. licensed the technology from Gavesatec (now myTec Soft, LLC) pursuant to that Single Copy Software License granted by myTec Soft, LLC (undated). Powell Plant Farms, Inc. does not own the rights to this developed software but may use it in its operations without any additional licensing fee. Powell Nurseries, Inc. has acquired all rights with respect to this Intellectual Property from Powell Plant Farms, Inc.
- 2. Powell Plant Farms, Inc. paid third party consultants to modify a hand held interface for data entry of transactions into SAP offsite from a wireless/cellular hand held device. Powell Plant Farms, Inc. has licensed the technology from Gavesatec (now myTec Soft, LLC) pursuant to that Single Copy Software License granted by myTec Soft, LLC (undated). Powell Plant Farms, Inc. does not own the rights to this developed software but may use it in its operations without any additional licensing fee. Powell Nurseries, Inc. has acquired all rights with respect to this Intellectual Property from Powell Plant Farms, Inc.

TRADEMARK REEL: 003624 FRAME: 0876

RECORDED: 09/20/2007