

10-29-2007

Form PTO-1594 (Rev. 07/05)
OMB Collection 0651-0027 (exp. 6/30/20)



U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

CORRECTIVE R

103457180

To the Director of the U. S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

10.26.07

1. Name of conveying party(ies):

The Spencer Turbine Company
600 Day Hill Road
Windsor, CT 06095

- Individual(s)
- General Partnership
- Corporation- State: Connecticut
- Other _____
- Association
- Limited Partnership

Citizenship (see guidelines) _____

Additional names of conveying parties attached? Yes No

3. Nature of conveyance /Execution Date(s) :

Execution Date(s) _____

- Assignment
- Security Agreement
- Other _____
- Merger
- Change of Name

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? Yes No

Name: Citizens Bank of Connecticut

Internal Address: _____

Street Address: 90 State House Square, 10th Floor

City: Hartford

State: CT

Country: USA Zip: 06103

- Association
- General Partnership
- Limited Partnership
- Corporation
- Other Bank Citizenship Connecticut

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

See attached Exhibit A

Additional sheet(s) attached? Yes No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: John C. Linderman, Esq.

Internal Address: McCormick, Paulding & Huber LLP

Street Address: 185 Asylum Street, CityPlace II/18th Fl.

City: Hartford

State: CT Zip: 06103

Phone Number: 860.549.5290

Fax Number: 860.527.0464

Email Address: lind@ip-lawyers.com

6. Total number of applications and registrations involved: 18

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ 465.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed

8. Payment Information:

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____
Authorized User Name _____

9. Signature:

Signature

October 24, 2007

Date

John C. Linderman

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

40.00 OP
425.00 OP
3186728

10/29/2007 DBTRM

98 FC:8524
98 FC:8524

Exhibit A
To Recordation Form Cover Sheet
Trademarks Only

No.	Trademark Registration Number
1.	3186728
2.	2985026
3.	2585172
4.	2581164
5.	2305660
6.	2115419
7.	1911122
8.	1931837
9.	1911114
10.	1854029
11.	1742902
12.	1727062
13.	1616417
14.	1284095
15.	0419622
16.	0652701
17.	0140976
18.	0062801

05-14-2007



103405822

5-9-07

To the Director of the U. S. Patent and

Documents or the new address(es) below.

1. Name of conveying party(ies):

The Spencer Turbine Company
600 Day Hill Road
Windsor, CT 06095

- Individual(s)
- General Partnership
- Corporation- State: Connecticut
- Other _____
- Association
- Limited Partnership

Citizenship (see guidelines) _____

Additional names of conveying parties attached? Yes No

3. Nature of conveyance / Execution Date(s) :

Execution Date(s) May 9, 2007

- Assignment
- Security Agreement
- Other _____
- Merger
- Change of Name

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? Yes No

Name: Citizens Bank of Connecticut

Internal _____

Address: _____

Street Address: 90 State House Square, 10th Floor

City: Hartford

State: CT

Country: USA Zip: 06103

- Association
- General Partnership
- Limited Partnership
- Corporation
- Other Bank

Citizenship _____
If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

See attached Exhibit A

Additional sheet(s) attached? Yes No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: Sarah M. Lombard, Esq.

Internal Address: Tyler Cooper & Alcom, LLP

Street Address: 185 Asylum Street, CityPlace I/35th Fl.

City: Hartford

State: CT Zip: 06103

Phone Number: (860) 725-6226

Fax Number: (860) 278-3802

Email Address: slombard@tylercooper.com

6. Total number of applications and registrations involved:

20

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ 515.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed

8. Payment Information:

- a. Credit Card Last 4 Numbers _____
Expiration Date _____
- b. Deposit Account Number _____
Authorized User Name _____

9. Signature:

S. Lombard
Signature

5.9.07
Date

00000139 3186728

40.00 Sarah M. Lombard
475.00 Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

TRADEMARK
REEL: 003544 FRAME: 0070

TRADEMARK
REEL: 003651 FRAME: 0174

05/11/2007 DRYRNE
01 FC:8521
02 FC:8522

**Exhibit A
To Recordation Form Cover Sheet
Trademarks Only**

Assignment from The Spencer Turbine Company to Citizens Bank of Connecticut

No.	Trademark Registration Number
1.	3186728
2.	2985026
3.	2585172
4.	2581164
5.	2305660
6.	2309994
7.	2115419
8.	1911122
9.	1931837
10.	1911114
11.	1854029
12.	1742902
13.	1727062
14.	1616417
15.	1284095
16.	0419622
17.	0652370
18.	0652701
19.	0140976
20.	0062801

ASSIGNMENT FOR SECURITY

(TRADEMARKS)

WHEREAS, THE SPENCER TURBINE COMPANY (the "Assignor") has adopted, used and is using the trademarks and service marks listed on the annexed Schedule 1A, which trademarks and service marks are registered or applied for in the United States Patent and Trademark Office (the "Trademarks");

WHEREAS, the Assignor has entered into a Trademark Security Agreement dated May 9, 2007 (the "Security Agreement") in favor of Citizens Bank of Connecticut (the "Assignee");

WHEREAS, pursuant to the Security Agreement, the Assignor has granted to the Assignee a lien on and security interest in all right, title and interest of the Assignor in, to and under the Trademarks, together with the good-will of the business symbolized by the Trademarks and the applications and registrations thereof, and all proceeds thereof, including, without limitation, any and all causes of action which may exist by reason of infringement thereof (the "Collateral"), to secure the payment, performance and observance of the Obligations (as defined in the Security Agreement);

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, the Assignor does hereby grant to the Assignee a lien on and security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

The Assignor does hereby further acknowledge and affirm that the rights and remedies of the Assignee with respect to the Collateral are more fully set forth in the Security Agreement, the terms and provisions of which are hereby incorporated herein by reference as if fully set forth herein.

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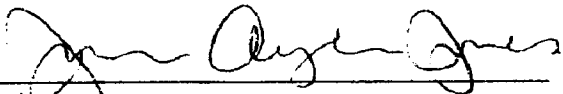
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REEL: 003651 FRAME: 0176

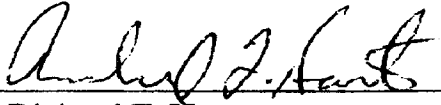
IN WITNESS WHEREOF, the Assignor has caused this Assignment to be duly executed by its officer thereunto duly authorized as of May 9, 2007.

Witnessed by:

THE SPENCER TURBINE COMPANY



Jessice Ayala Jones

By: 

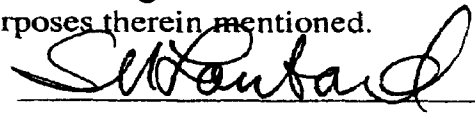
Name: Richard F. Hart
Title: President and Chief Executive Officer

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REEL: 003651 FRAME: 0177

STATE OF CONNECTICUT)
) ss.: HARTFORD
COUNTY OF HARTFORD)

On the 9th day of May, 2007, before me personally came Richard F. Hart, to me known to be the person who executed the foregoing instrument, and who, being duly sworn by me, did depose and say that he is the President and Chief Executive Officer of THE SPENCER TURBINE COMPANY, a Connecticut corporation, and that he executed the foregoing instrument in the firm name of the SPENCER TURBINE COMPANY, INC., and that he had authority to sign the same, and he acknowledged to me that he executed the same as the act and deed of said firm for the uses and purposes therein mentioned.



~~Notary Public~~/Commissioner of the Superior Court

TRADEMARK
REEL: 003544 FRAME: 0074

TRADEMARK
REEL: 003651 FRAME: 0178