03-04-2008



United States Patent and Trademark: Office

						o (as) halaw
To the Director of the U.S. P	(eceiving part	ne new address	s (es) below.
1. Name of conveying party(ies): SILICON VALLEY BANK	1034	86219			attached? ☐Ye	es 🗵 No
3-3-08		Name: Pavi	lion Techr	ologies, Ind	2.	
│ │ Individual(s)	Association	Internal Add	ress			
General Partnership	☐ Limited Partnership	Street Addre	ess: 10415	Morado Cir	cle Building	g III Ste 100
☑ Corporation-State ☐ Other		City: Austin			Ç	720
Additional name(s) of conveying partie		Country: US	SA .		~	
3. Nature of conveyance/ Exec	ution Date(s):	Zip: 78759				i i
Execution Date(s): February 20	, 2008	☐ Associat		enship p Citizens	OFK/rINANUE	
☐ Assignment ☐ M	Merger		Partnership		hip I''	2: 23
Security Agreement	Change of Name	☐ Other	Citi: not domiciled	zenship d in the United	States, a dom	estic representative
Other : Release					nent from assig	nment)
4. Application number(s) or registra	ation number(s) and identific					
	, ,		-			
A. Trademark Application No.(s) 78514888			2212218	2863416	2810646	3154989
		1	2146200	2957763	3094023	
			2386329	2853329	3139962	
			2412060	3016727	3096554	
C. Identification or Description of To Registration Number is unknown		if Application	or	Additional s	sheets attache	d? ☐ Yes ⊠ No
5. Name and address of party correspondence concerning dimailed:		6. Total nur registrat	mber of ap tions invol		and	
Name: Silicon Valley Bank		7. Total fee	(37 CFR 2	2.6 (b)(6) & 3	3.41): \$ 46 5	5.00
Internal Address: HF154		Authoriz	zed to be cl	narged by cr narged to de	edit card posit accour	nt
Street Address: 3003 Tasman I	Drive	⊠ Enclose	ed			
City: Santa Clara State:	CA ZIP: 95054	8. Payment	Informati	on:		
Phone Number: (408) 919-0310		a. Credit Ca	EXPU	72000 IDAIRI ration Date	000000 18 78	514888 40.00 OP 425.00 OP
Fax Number: (408) 654-6313		b. Deposit A	Account Nu	::8522 imber		725700 01
Email Address: svaldivia@svb	.çom	Authorize	ed User Na			
9. Signature.	Sidnature			Februar Date	y 20, 2008	<u>-</u>
	<u> </u>				including cove	er
	usana Valdivia ame of Person Signing		Total nu sheet	mper or pages, attachments,	and document	:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:Mail Stop Assignment Recordation Services. Director of the USPTO. P. ... Box 1450. Alexandria. VA 22313-1450 TRADEMARK

REEL: 003732 FRAME: 0722

RELEASE OF SECURITY AGREEMENT COVERING INTERESTS IN TRADEMARKS

Silicon Valley Bank ("Secured Party"), hereby releases its security interest in the interests of **Pavilion Technologies**, **Inc.** ("Assignor") in the trademarked works set forth in that certain **Intellectual Property And Security Agreement** dated <u>November 2, 2005</u>, executed by Assignor in favor of Secured Party recorded with the United States Department of Commerce, Patent and Trademark Office on November 28, 2005, Reel 3262, Frame 0882.

Date: February 20, 2008

SILICON VALLEY BANK

By: Margare

Title: Operations Supervisor

h.\docs\ipagrmts\3release

INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement")dated as of November 2, 2005 (as defined in the Loan Agreement) is between SILICON VALLEY BANK ("Bank") and PAVILION TECHNOLOGIES, INC., a Texas corporation ("Grantor").

RECITALS

- A. Bank has made advances to Grantor ("Loans") as described in that certain Loan and Security Agreement dated as of December 17, 2004 (as the same may from time to time be further amended, modified, supplemented or restated, the "Loan Agreement"). Capitalized terms not otherwise defined herein shall the meaning set forth in the Loan Agreement.
- B. Borrower has requested that Bank amend the Loan Agreement, and Bank as agreed, subject to, among other things, Grantor's granting to Bank a security interest in Grantor's Copyrights, Trademarks, Patents, and Mask Works and other intellectual property (the "Intellectual Property Collateral").
- C. Grantor has granted Bank a security interest in all of its right, title and interest, presently existing or later acquired to all the Collateral.

AGREEMENT

Grantor grants Bank a security interest in all of its right, title and interest in its Intellectual Property Collateral (such as the Copyrights, Patents, Trademarks and Mask Works listed on Schedules A, B, C and D), and all proceeds (such as license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements rights throughout the world and all reissues, divisions, continuations, renewals, extensions and continuations-in-part.

This security interest is granted in conjunction with the security interest granted under the Loan Agreement. Bank's rights and remedies in the security interest are in addition to those in the Loan Agreement and the other Loan Documents, and those available in law or equity. Bank's rights powers and interests are cumulative with every right, power or remedy provided here. Bank's exercise of its rights, powers or remedies in this Agreement, the Loan Agreement or any other Loan Document, does not preclude the simultaneous or later exercise of any or all other right, power or remedy.

BANK:

SILICON VALLEY BANK

Signature

(Title)

GRANTOR:

PAVILION TECHNOLOGIES, INC.,

a Texas corporation

(Signature

(Title)

Title)

EXHIBIT A

Copyrights

Description

Registration/Application
Number

Registration/Application
<u>Date</u>

EXHIBIT B

Patents

Registration/Application

<u>Number</u>

Registration/Application
<u>Date</u>

5/14/2003
06/20/01
04/05/00
2/19/2002
08/30/01
07/02/98
08/21/97
10/24/96

PAVILION TECHNOLOGIES, INC.
PATENT STATUS CHART
ISSUED PATENTS

FR	FR	FR	FR	EPO	EPO	EPO	EP0	EPO	EPO	DR	DE	FILING
94 903278.3	94924531.1	94 907888.5	94 909493.2	2761503.8	1926681.6	94924533.1	94922774.2	94 907888.5	94 909493.2	97 926598.0	94922772.2	APPLICATION NO
11/19/1993	7/27/1994	1/25/1994	1/25/1994	03/18/04	11/05/02	7/27/1994	7/27/1994	1/25/1994	1/25/1994	05/05/97	7/27/1994	FILE DATE
69332980.7-08	0712509	0680639	0680637			0712509	0712463	0680639	0680637	897560 B1	69418199.4-08	PATENT NO
5/14/2003	04/05/00	04/05/00	06/20/01			04/05/00	04/28/99	04/05/00	06/20/01	12/18/2002	04/28/99	ISSUE DATE
11/19/13		01/25/14	01/25/14			07/27/14	07/27/14	01/25/14	01/25/14	05/05/17	07/27/14	ISSUE DATE EXPIRATION DATE
Method and Apparatus for Operating a Neural Network with Missing and/or Incomplete Data	Virtual Continuous Monitoring System with Sensor Validation	Predictive Network with Learned Preprocessing Parameters	Method and Apparatus for Preprocessing Input Data to a Neural Network	System and Method for Real-Time Economic Optimization	System and Method for Enterprise Modeling, Optimization and Control	Virtual Continuous Monitoring System with Sensor Validation	Virtual Emissions Monitor for Automobile	Predictive Network with Learned Preprocessing Parameters	Method and Apparatus for Preprocessing Input Data to a Neural Network	Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction< Control, and Optimization	Virtual Emissions Monitor for Automobile	TITLE

PAVILION CONFIDENTIAL FOR INTERNAL DISTRIBUTION ONLY

Residual Activation Neural Network	10/03/11	10/04/94	5,353,207	6/10/1992	07/896,755	SU
Virtual Emissions Monitor for Automobile	07/27/14	04/28/99	0712463	7/24/1994	94922773.2	SE
Method and Apparatus for Preprocessing Input Data to a Neural Network	01/25/14	06/20/01	0680637	1/25/1994	94 909493.2	SE
System and Method for Enterprise Modeling, Optimization and Control				04/05/01	US01/11214	PCT
Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction< Control, and Optimization	05/05/17	12/18/2002	897560 B1	05/05/97	97 926598.0	GB GB
Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction< Control, and Optimization	05/05/17	12/18/2002	897560 B1	05/05/97	97 926598.0	GB
Virtual Continuous Monitoring System with Sensor Validation	07/27/14	04/05/00	0712509	7/27/1994	94924532.1	GB
Virtual Emissions Monitor for Automobile	07/27/14	04/28/99	0712463	7/27/1994	94922772.2	GB
Predictive Network with Learned Preprocessing Parameters	01/25/14	04/05/00	6890890	1/25/1994	94 907888.5	GB
Method and Apparatus for Preprocessing Input Data to a Neural Network	01/25/14	06/20/01	0680637	1/25/1994	94 909493.2	GB
Method and Apparatus for Operating a Neural Network with Missing and/or Incomplete Data	11/19/13	5/14/2003	0671038	11/19/1993	94 903278.3	GB
Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction< Control, and Optimization	05/05/17	12/18/2002	897560 B1	05/05/97	97 926598.0	꿁
TITLE	ISSUE DATE EXPIRATION DATE	ISSUE DATE	PATENT NO	FILE DATE	APPLICATION NO	FILING

PAVILION CONFIDENTIAL FOR INTERNAL DISTRIBUTION ONLY

w

Method and Apparatus for Automatically Constructing a Data Flow Architecture	06/16/15	06/16/98	5,768,475	5/25/1995	08/450,086	SU
O a Nedial Network						
Method and Apparatus for Preprocessing Input Data	11/24/12	03/17/98	5,729,661	1/25/1993	08/008,170	SU
Virtual Emissions Monitor for Automobile and Associated Control System	11/05/13	10/28/97	5,682,317	7/23/1996	08/685,072	S
Method and Apparatus for Operating Neural Network with Missing and/or Incomplete Data	09/20/15	03/18/97	5,613,041	11/24/1992	07/531,100	SU
Residual Activation Neural Network	09/24/13	09/24/96	5,559,690	9/16/1994	08/307,521	S
Virtual Continuous Emission Monitoring System	08/20/13	08/20/96	5,548,528	1/30/1995	08/380,062	SO
Virtual Emissions Monitor for Automobile	07/23/16	07/23/96	5,539,638	11/5/1993	08/149,216	SU
Predictive Network With Learned Preprocessing Parameters	12/26/12	12/26/95	5,479,573	1/25/1993	08/008,218	SU
Virtual Continuous Emission Monitoring System with Sensor Validation	01/31/12	01/31/95	5,386,373	8/5/1993	08/102,405	SU
ТПСЕ	ISSUE DATE EXPIRATION DATE	ISSUE DATE	PATENT NO	FILE DATE	APPLICATION NO	FILING

PAVILION TECHNOLOGIES, INC.
PATENT STATUS CHART
ISSUED PATENTS

S	S	SU	S	SU	SU	S	S	FILING OFFICE
08/576,581 08/915,850	09/015,984	08/643,464	08/717,719	08/936,679	08/656,703	08/742,377	08/759,539	APPLICATION NO
12/22/95 08/21/97	1/30/1998	5/6/1996	9/23/1996	9/27/1997	6/3/1996	10/1/1996	12/4/1996	FILE DATE
6,002,839	5,950,182	5,933,345	5,859,773	5,842,189	5,825,646	5,819,006	5,781,432	PATENT NO
12/14/99	09/07/99	08/03/99	01/12/99	11/24/98	10/20/98	10/06/98	07/14/98	ISSUE DATE
09/20/15	05/25/15	05/06/16	06/10/12	11/24/12	03/02/13	11/24/12	03/02/13	EXPIRATION DATE
Predictive Network with Graphically Determined Preprocess Transforms	Method and Apparatus for Automatically Constructing a Data Flow Architecture	Method and Apparatus for Dynamic and Steady State Modeling Over Desired Path Between Two End Points	Residual Activation Neural Network	Method for Operating a Neural Network with wissing and/or Incomplete Data	Method and Apparatus for Determining the Sensitivity of Inputs to a Neural Network on Output Parameters	Method for Operating a Neural Network with wissing and/or Incomplete Data	Method and Apparatus for Analyzing a Neural Network within Desired Operating Parameter Constraints	TITLE

PAVILION CONFIDENTIAL FOR INTERNAL DISTRIBUTION ONLY

G

FILING	APPI ICATION	FILE DATE	PATENT NO	ISSUE DATE	ISSUE DATE EXPIRATION DATE	TITLE
OFFICE	NO					
SU	08/943,489	10/3/1997	6,047,221	04/04/00	05/06/16	Method for Steady-State Identification Based upon Identified Dynamics
SN	09/330,326	6/11/1999	6,144,952	11/07/00	11/24/12	Predictive Network with Learned Preprocessing Parameters
SU	09/167,400	10/6/1998	6,169,980	01/02/01	11/24/12	Method for Operating a Neural Network with Missing and/or Incomplete Data
SU	09/174,860	10/19/1998	6,216,048	04/10/01	03/02/13	Method and Apparatus for Determining the Sensitivity of Inputs to a Neural Network on Output Parameters
SO	09/047,853	3/24/1998	6,243,696	06/05/01	11/24/12	Automated Method for Building a Model
SU	09/167,504	10/6/1998	6,278,899	08/21/01	05/06/16	A Method for On-Line Optimization of a Control System.
Sn	09/207,719	12/08/98	6,314,414	11/06/01	11/24/12	Method for Operating a Neural Network on Missing and/or Incomplete Data
SU	09/228,962	01/12/99	6,363,289	03/26/02	06/10/12	Residual Activation Neural Network
SU	09/224,648	12/31/98	6,381,504	04/30/02	05/06/16	A Method for Optimizing a Plant with Multiple Inputs
SU	09/568,190	05/09/00	6,438,430	8/20/2002	05/06/16	Kiln Thermal and Combustion Control
S	09/250,432	02/16/99	6,487,459	11/26/2002	05/06/16	Method and Apparatus for Modeling Dynamic and Steady State Processes for Prediction Control, and Optimization

PAVILION CONFIDENTIAL FOR INTERNAL DISTRIBUTION ONLY

ආ

On-Line Training Neural Network for Process Control	7/25/2011 0	4/23/1997	495046	7/25/1991	91913862.8	Relation
Control System and Method						ď
Computer Neural Network Process Measurement and	7/25/2011	9/23/1998	495044	7/25/1991	91913785.1	Belgium
Batch Process control Using Expert Systems	4/5/2010	6/11/1993	634226	4/5/1990	54209/90	ustralia
		A CONTRACTOR OF THE PARTY OF TH	The state of the s	Management of the second		
		FROM DUPONT	PATENTS PURCHASE FROM DUPONT			
		JS CHART	PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART			

Computer Neural Network Regulatory Process Control System and Method	7/25/2011	12/9/1998	69130603.6	7/25/1991	69130603.6	DE
Computer Neural Network Supervisory Process Control System and Method	7/25/2011	10/29/1997	69128071.1	7/25/1991	69128071.1	DE
Batch Process Control Using Expert Systems	4/5/2010	2/11/1998	69032038.8	4/5/1990	69032038.8	DE
Computer Neural Network Process Measurement and Control System and Method	7/25/2011	9/23/1998	69130253.7	7/25/1991	91913785.1	DE
Expert System with Natural Language Rule Updating	9/30/2008	11/17/1999	3856379.7	9/30/1988	88909446.2	DE
Neural Network/Expert System Process Control System and Method	7/25/2011	2/26/2002	2,066,739	7/25/1991	2,066,739	CA
On-Line Training Neural Network for Process Control	4/30/2003	2,066,159	N/A	7/25/1991	2,066,159	CA
On-Line Process Control Neural Network Using Data Pointers		1/13/2004 07/25/01	2,066,279	7/25/1991	2,066,279	CA
Computer Neural Network Regulatory Process Control System and Method	7/25/2011	10/1/2002	2,066,237	7/25/1991	2,066,237	Ç
Computer Neural Network Supervisory Process Control System and Method		2/26/2002	2,066,278	7/25/1991	2,066,278	ς
Computer Neural Network Process Measurement and Control System and Method	4/22/2003	N/A	2,066,458	7/25/1991	2,066,458	CA
Expert System with Three Classes of Rules	11/17/2009	11/17/1992	1,310,424	9/28/1988	578705	CA
Expert System with Natural Language Rule Updating	10/20/2009	10/20/1992	1,309,182	9/28/1988	578704	C _A
On-Line Training Neural Network for Process Control	7/25/2011	4/23/1997	495046	7/25/1991	91913862.8	Belgium
Computer Neural Network Process Measurement and Control System and Method		9/23/1998	495044	7/25/1991	91913785.1	Belgium
Batch Process control Using Expert Systems	0	6/11/1993 4/5/201	634226	4/5/1990	54209/90 <i>4/5/</i> 1990	Australia
	1 13					

91913862.8 7725/1991 69128237.4 11/19/1997 7725/2011 6 91913862.8 7725/1991 69125809 4/23/1997 7/25/2011 6 91913785.1 7725/1991 69128074.6 10/29/1997 7/25/2011 6 91913785.1 7725/1991 0495044 9/23/1998 7/25/2011 6 91913785.1 7725/1991 0495080 10/29/1998 4/5/2010 6 91913785.2 7725/1991 0495080 10/29/1998 7/25/2011 6 91913862.8 7725/1991 0495085 11/19/1998 7/25/2011 6 91913862.8 7725/1991 0495085 11/19/1997 7/25/2011 6 91913862.8 7725/1991 0495085 11/19/1997 7/25/2011 6 91913862.8 7725/1991 0495085 11/19/1997 7/25/2011 6 91913785.1 7/25/1991 0495086 10/29/1997 7/25/2011 6 91913785.1 7/25/1991 0495046 4/23/1997 7/25/2011 6	Computer Neural Network Supervisory Process Control System and Method	7/25/2011	10/29/1997	0495080	7/25/1991	91915577.0	GB
91913862.8 7/25/1991 69128237.4 11/19/1997 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 91917719.4 7/25/1991 0495044 9/23/1998 7/25/2011 91913785.1 7/25/1991 0495085 11/17/1998 4/5/2010 91915833.7 7/25/1991 0495085 11/19/1998 7/25/2011 91913862.8 7/25/1991 0495085 11/19/1998 7/25/2011 91913862.8 7/25/1991 0495085 11/19/1997 7/25/2011 91913862.8 7/25/1991 0495085 11/19/1997 7/25/2011 91913862.8 7/25/1991 0495085 11/19/1997 7/25/2011 91913862.8 7/25/1991 0495085 11/19/1997 7/25/2011 91913862.8 7/25/1991 0495046 4/23/1997 7/25/2011 91913785.1 7/25/1991 0495046 9/30/2008 9/30/2008	Batch Process control Using Expert Systems	4/5/2010	2/11/1998	0423287	4/5/1990	90906564.1	GB
9191583.3 7725/1991 69125809 4/23/1997 7/25/2011 6 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 6 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 6 88909446.2 9/30/1988 0335957 11/17/1999 9/30/2008 69128074.6 10/29/1997 7/25/2011 6 91913785.1 7/25/1991 0495044 9/23/1998 7/25/2011 6 91913785.1 7/25/1991 0495080 10/29/1997 7/25/2011 6 91915577.0 7/25/1991 0495080 10/29/1997 7/25/2011 6 91917638.8 7/25/1991 0495085 11/19/1997 7/25/2011 6 91913862.8 7/25/1991 0495085 11/19/1997 7/25/2011 6 91917194.2 7/25/1991 0495086 10/29/1997 7/25/2011 6 91917194.2 7/25/1991 0495086 10/29/1997 7/25/2011 6 91917194.2 7/25/1991 0495086 10/29/1997 7/25/2011 6 91917194.2 7/25/1991 0495086 10/29/1997 7/25/2011 6 91917194.2 7/25/1991 0495086 11/17/1999 9/30/2008	Computer Neural Network Process Measurement and Control System and Method	7/25/2011	9/23/1998	0495044	7/25/1991	91913785.1	GB
91913862.8 7/25/1991 69128609 4/23/1997 7/25/2011 91913862.8 7/25/1991 69128609 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 91917719.4 7/25/1991 0495044 9/23/1998 7/25/2011 90906564.1 4/5/1990 90906564.1 2/11/1998 7/25/2011 91917638.8 7/25/1991 0495080 10/29/1997 7/25/2011 91915833.7 7/25/1991 0495085 11/19/1997 7/25/2011 91913862.8 7/25/1991 0495046 4/23/1997 7/25/2011 91913862.8 7/25/1991 0495046 4/23/1997 7/25/2011 91917638.2 7/25/1991 0495046 4/23/1997 7/25/2011 91913862.8 7/25/1991 0495046 4/23/1997 7/25/2011	Expert System with Natural Language Rule Updating	9/30/2008	11/17/1999	0335957	9/30/1988	88909446.2	GB
9191583.3 7/25/1991 69128237.4 11/19/1997 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 88909446.2 9/30/1988 0335957 11/17/1999 9/30/2008 91913785.1 7/25/1991 0495044 9/23/1998 7/25/2011 91913785.1 7/25/1991 0495086 10/29/1997 7/25/2011 91913785.1 7/25/1991 0495080 10/29/1997 7/25/2011 91915577.0 7/25/1991 0495085 10/29/1998 7/25/2011 91915833.7 7/25/1991 0495085 11/19/1997 7/25/2011 91913862.8 7/25/1991 0495085 11/19/1997 7/25/2011 91913862.8 7/25/1991 0495086 11/19/1997 7/25/2011	Neural Network/Expert System Process Control System and Method	7/25/2011	10/29/1997	0498880	7/25/1991	91917194.2	FR
9191583.3 7/25/1991 69128237.4 11/19/1897 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 88909446.2 9/30/1988 0335957 11/17/1999 9/30/2008 91913785.1 7/25/1991 0495044 9/23/1998 7/25/2011 90906564.1 4/5/1990 90906564.1 2/11/1998 4/5/2010 91917638.8 7/25/1991 0495080 10/29/1997 7/25/2011 91915833.7 7/25/1991 0495085 11/19/1997 7/25/2011 91915833.7 7/25/1991 0495085 11/19/1997 7/25/2011	On-Line Training Neural Network for Process Control	7/25/2011	4/23/1997	0495046	7/25/1991	91913862.8	FR
9191583.3 7/25/1991 69128237.4 11/19/1997 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 88909446.2 9/30/1988 0335957 11/17/1999 9/30/2008 91913785.1 7/25/1991 0495044 9/23/1998 7/25/2011 90906564.1 4/5/1990 90906564.1 2/11/1998 4/5/2010 91915577.0 7/25/1991 0495080 10/29/1997 7/25/2011 91917638.8 7/25/1991 0495092 12/9/1998 7/25/2011	On-Line Process Control Neural Network Using Data Pointers	7/25/2011	11/19/1997	0495085	7/25/1991	91915833.7	FR
9191583.3 7/25/1991 69128237.4 11/19/1997 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 88909446.2 9/30/1988 0335957 11/17/1999 9/30/2008 91913785.1 7/25/1991 0495044 9/23/1998 7/25/2011 90906564.1 4/5/1990 90906564.1 2/11/1998 4/5/2010 91915577.0 7/25/1991 0495080 10/29/1997 7/25/2011	Computer Neural Network Regulatory Process Control System and Method	7/25/2011	12/9/1998	0495092	7/25/1991	91917638.8	FR
9191583.3 7/25/1991 69128237.4 11/19/1997 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 88909446.2 9/30/1988 0335957 11/17/1999 9/30/2008 91913785.1 7/25/1991 0495044 9/23/1998 7/25/2011 90906564.1 4/5/1990 90906564.1 2/11/1998 4/5/2010	Computer Neural Network Supervisory Process Control System and Method	7/25/2011	10/29/1997	0495080	7/25/1991	91915577.0	Æ
9191583.3 7/25/1991 69128237.4 11/19/1997 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 88909446.2 9/30/1988 0335957 11/17/1999 9/30/2008 91913785.1 7/25/1991 0495044 9/23/1998 7/25/2011	Batch Process control Using Expert Systems	4/5/2010	2/11/1998	90906564.1	4/5/1990	90906564.1	FR
9191583.3 7/25/1991 69128237.4 11/19/1997 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011 88909446.2 9/30/1988 0335957 11/17/1999 9/30/2008	Computer Neural Network Process Measurement and Control System and Method	7/25/2011	9/23/1998	0495044	7/25/1991	91913785.1	FR
9191583.3 7/25/1991 69128237.4 11/19/1997 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011 9191719.4 7/25/1991 69128074.6 10/29/1997 7/25/2011	Expert System with Natural Language Rule Updating	9/30/2008	11/17/1999	0335957	9/30/1988	88909446.2	FR
9191583.3 7/25/1991 69128237.4 11/19/1997 7/25/2011 91913862.8 7/25/1991 69125809 4/23/1997 7/25/2011	Neural Network/Expert System Process Control System and Method		10/29/1997	69128074.6	7/25/1991	9191719.4	ĐE
9191583.3 7/25/1991 69128237.4 11/19/1997 7/25/2011	On-Line Training Neural Network for Process Control)11	4/23/1997	69125809	7/25/1991	91913862.8	DE
	On-Line Process Control Neural Network Using Data Pointers	011	11/19/1997	69128237.4	7/25/1991	9191583.3 7/2	DE

PAVILION TECHNOLOGIES, INC.
PATENT STATUS CHART
PATENTS PURCHASE FROM DUPONT

Netherlands Netherlands Netherlands PCT/EPO PCT/EPO LUX GB GB GB GB = = = = = 91913785.1 90906564.1 90906564.1 88909446.2 91913862.8 90906564.1 91917194.2 91913862.8 91917639.8 90906564.1 91913785.1 91917194.2 91913862.8 91915833.7 91917638.8 9/30/1988 7/25/1991 7/25/1991 7/25/1991 7/25/1991 7/25/1991 7/25/1991 7/25/1991 7/25/1991 7/25/1991 7/25/1991 4/5/1990 4/5/1990 4/5/1990 4/5/1990 0495046 0423287 0335957 0495044 0498880 0495046 0423287 0498880 0495046 0495085 0495092 0423287 0423287 0495092 0495044 2/11/1998 9/23/1998 11/17/1999 2/11/1998 10/29/1997 9/23/1998 10/29/1997 11/19/1997 2/11/1998 4/23/1997 2/11/1998 4/23/1997 4/23/1997 12/9/1998 12/9/1998 7/25/2011 7/25/2011 9/30/2008 Expert System with Natural Language Rule Updating 7/25/2011 7/25/2011 7/25/2011 7/25/2011 7/25/2011 7/25/2011 7/25/2011 7/25/2011 4/5/2010 4/5/2010 4/5/2010 4/5/2010 Computer Neural Network Regulatory Process Control System and Method |Neural Network/Expert System Process Control On-Line Training Neural Network for Process Control On-Line Process Control Neural Network Using Data Batch Process Control Using Expert Systems Computer Neural Network Process Measurement and Neural Network/Expert System Process Control On-Line Training Neural Network for Process Control Batch Process Control Using Expert Systems On-Line Training Neural Network for Process Control Computer Neural Network Regulatory Process Control System and Method Batch Process Control Using Expert Systems Computer Neural Network Process Measurement and System and Method System and Method Control System and Method **Pointers** Batch Process Control Using Expert Systems Control System and Method

TRADEMARK 003732 FRAME: 0736

PATENTS PURCHASE FROM DUPONT PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART

Historical Database Training Method for Neural Networks	8/3/2010	4/18/1995	5,408,586	4/2/1993	08/042,500	SU
On-Line Process Control Neural Network Using Data Pointers	7/22/2012	6/29/1993	5,224,203	7/22/1992	07/916,684	SU
On-Line Training Neural Network System for Process Control	8/3/2010	5/18/1993	5,212,765	8/3/1990	07/563,092	Sn
Computer Neural Network Regulatory Process Control System and Method	8/3/2010	3/23/1993	5,197,114	8/3/1990	07/562,462	SU
Neural Network/Expert System Process Control System and Method	8/3/2010	6/9/1992	5,121,467	8/3/1990	07/562,327	SO
On-Line Process Control Neural Network Using Data Pointers	8/3/2010	11/24/1992	5,167,009	8/3/1990	07/562,388	SU
Computer Neural Network Supervisory Process Control System and Method	8/3/2010	8/25/1992	5,142,612	8/3/1990	07/562,268	SU
Expert System with Natural-Language Rule Updating		4/24/1990	4,920,499	9/30/1987	07/103,050	SU
Batch Process Control Using Expert Systems	4/5/2010	2/11/1998	0423287	4/5/1990	90906564.1	Switzerland
Neural Network/Expert System Process Control System and Method	7/25/2011	10/29/1997	0498880	7/25/1991	91917194.2	PCT/EPO
Computer Neural Network Regulatory Process Control System and Method	7/25/2011	12/9/1998	0495092	7/25/1991	91917638.8	PCT/EPO
On-Line Process Control Neural Network Using Data Pointers	7/25/2011	11/19/1997	0495085	7/25/1991	91915833.7	PCT/EPO
Computer Neural Network Supervisory Process Control System and Method		10/29/1997	0495080	7/25/1991	91915577.0	PCT/EPO
On-Line Training Neural Network for Process Control	7/25/2011	4/23/1997	0495046	7/25/1991	91913862.8	PCT/EPO
Computer Neural Network Process Measurement and Control System and Method	7/25/2011	9/23/1998	0495044	7/25/1991	91913785.1	PCT/EPO
						21,111

PAVILION TECHNOLOGIES, INC.
PATENT STATUS CHART
PATENTS PURCHASE FROM DUPONT

Batch Process Control Using Expert Systems	4/5/2009	3/20/1990	5,058,043	4/5/1989	07/333,536	SU
Process Control System with Reconfigurable Expert Rules and Control Modules	4/9/2008	4/9/1991	5,006,992	9/30/1987	07/103,014	SU
	10/23/2007	10/23/1990	4,965,742	9/30/1987	07/103,047	SU
Process Control System with Multiple Module Sequence Options	9/30/2007	3/20/1990	4,910,691	9/30/1987	07/103,124	Sn
Process Control System with Action Logging	9/30/2007	3/6/1990	4,907,167	9/30/1987	07/103,118	SN
Expert System with Three Classes of Rules	9/30/2007	11/28/1989	4,884,217	9/30/1987	07/102,832	SU
Computer Neural Network Process Measurement and Control	1/25/2011	1/25/1994	5,282,261	8/3/1990	07/563,095	SN
Historical Database Training Method for Neural Networks		10/20/1998	5,826,249	6/6/1997	08/870,659	US
Historical Database Training Method for Neural Networks	8/3/2010	6/17/1997	5,640,493	4/7/1995	08/422,955	SU
				7.5		

PAVILION TECHNOLOGIES, INC.
PATENT STATUS CHART
PATENTS PURCHASE FROM DUPONT

PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART PENDING PATENTS APPLICATIONS

Ī																			0 70
SU	SU	S	SU	SU	SU	SU	SU	US	SU	PCT	PCT	PCT	PCT	India	EPO	EPO	EP0	China	FILING
10/225,093	10/082,783	10/053,527	10/051,574	10/041,157	09/997,627	09/946,809	09/818,218	09/662,243	09/175,317	US03/39012	US02/27163	US01/02644	PCT/US03/39012	US03/39012	US03/39012 03816931.4	04 001 568.7	4001565.3	US03/39012	APPLICATION NO
08/21/02	02/25/02	01/18/02	01/18/02	01/08/02	11/28/01	10/20/60	03/27/01	9/14/2000	10/28/1998	12/09/03	08/26/02	01/26/01	12/22/03	06/09/05	06/09/05	01/26/04	01/26/04	06/09/05	DATE FILED
013478/0434		013363/0484 10/07/02	012555/0360 01/18/02	;	012344/0919 11/28/01	012466/0138	011681 / 0710	011413/0321 01/08/01	N/A	014787/0045 12/09/03		WO 01/55890 A2		014787/0045 12/09/03	014787/0045 12/09/03			014787/0045 12/09/03	ASSIGNMENT
System and Method for Real-Time Economic Optimization	A Method for Optimizing a Plant with Multiple Inputs	E-Commerce System Using Modeling of Inducements to Customers	System and Method for Pre-Processing Input Data to a Support Vector Machine	Residual Activation Neural Network	System and Method for Historical Database Training of Support Vector Machines	System and Method for On-Line Training of a Support Vector Machine	Electronic Marketplace System and Method Using Optimization Techniques	Method and Apparatus for Training A System Model With Gain Constraints	On-Line Training Neural Network for Process Control	A System and Method of Adaptive Control of Processes with Varying Dynamics	System and Method for Real-Time Economic Optimization	System and Method for Configuring and Electronic Commerce Site Using an Optimization Process	A System and Method of Adaptive Control of Processes with Varying Dynamics	A System and Method of Adaptive Control of Processes with Varying Dynamics	A System and Method of Adaptive Control of Processes with Varying Dynamics	Parameterizing a Steady-State Model Using Derivative Constraints	Modeling In-Situ Reservoirs With Derivative Constraints	A System and Method of Adaptive Control of Processes with Varying Dynamics	TITLE

PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART PENDING PATENTS APPLICATIONS

Neural Network Using Spatially Dependent Data for Controlling a Web-Based Process	11/129,062	05/13/905	11/129,062	SU
Model Predictive Control in Series with Forward Chaining	03/31/05			1
Dynamic Cost Accounting Kiln/Cooler Control and Upset Recovery Using a Combination of	015909/0836	01/03/05	11/028.426	SO
Method and Apparatus for Modeling Dynamic and Steady State Process for Prediction, Control and Optimization		05/17/04	10/847,211	SU
Kiln Thermal and Combustion Control		05/11/04	10/843,160	SU
Parametric Universal Nonlinear Dynamics Approximator and Use	015321/0226 05/10/04	2/19/2004	10/842,157	SU
Bayesian Neural Network for Optimization		04/20/04	10/827,977	SU
Method and Apparatus for Maximizing Power Usage in a Power Plant		01/12/04	10/755,719	US
System and Method of Applying Adaptive Control to the Control of Particle Accelerators with Varying Dynamics Behavioral Characteristics Using a Nonlinear Model Predictive Control Technology	014786/0918 12/09/03	12/09/03	10/731,596	Sn
A System and Method of Adaptive Control of Processes with Varying Dynamics	014787/0045 12/09/03	12/09/03	10/730,835	US
Kiin Thermal and Combustion Control	015547/0358 07/07/04 (McGarel)	09/23/03	10/669,028	SN
Method and Apparatus for Operating a Neural Network with Missing and/or Incomplete Data		07/07/03	10/614,335	SU
Dynamic Cost Accounting	011450/0260 09/02/03	05/20/03	10/441,936	SU
Modeling In-Situ Reservoirs With Derivative Constraints		01/24/03	10/350,838	SU
Parameterizing a Steady-State Model Using Derivative Constraints		01/24/03	10/350,830	SN
A System and Method of Adaptive Control of Processes with Varying Dynamics	014787/0045 12/09/03	06/09/05	US03/39012	China

TRADEMARK REEL: 003732 FRAME: 0740

2

PAVILION TECHNOLOGIES, INC. PATENT STATUS CHART PENDING PATENTS APPLICATIONS

	Kin				05/23/02 Dvn		US 60/382,296 05/23/02 Dwn	00/1-7/00	US 60/314 749 08/24/01	12/09/03 Var	ŭ
	Kiln Thermal and Combustion Control	Constraints	Method and Apparatus for Training A System Model With Gain	Therma Cost Secondining	Dynamic Cost Accounting	Dylianile Cost Accounting	Dynamic Cost Association	System and Method for Real-Time Economic Optimization		Varying Dynamics	A System and Method of Adaptive Control of Processes with

EXHIBIT C

Trademarks

Description

Registration/Application
Number

Registration/Application
<u>Date</u>

PAVILION TECHNOLOGIES, INC. TRADEMARK STATUS CHART TRADEMARK APPLICATIONS

Filing	Class	Mark	Application No.	Filing Date	Registration No	Issue Date
Office						
US	00009	BlueVue™	78/514,888	11/10/04		
Argentina	00009	BlueVue™	10504970			
Brazil	00009	BlueVue™	10504971			
Madrid	00009	BlueVue™	A0001440	05/09/05		
us	00009 00042	Pavilion Technologies	78/413,576	05/05/04		
us	00009	PAVILION8	78/506,933	10/27/04		
Argentina	00009	PAVILION8	2586456	04/28/05		
India	00009	PAVILION8	1365654	06/21/05		
us	00042	Predictable Results. Guaranteed™	78/421640	05/19/04		
US	00009	Software CEM ™	76/542,937	07/11/03	N/A	N/A·
			76/546,567 deleted as duplicate			
Madrid	00009	Software CEM ™ (Madrìd)		07/11/03	N/A	N/A
US	00009	ValueFirst ™	78/421,643	07/11/03	N/A	N/A

PAVILION TECHNOLOGIES, INC. REGISTERED TRADEMARKS

Filing	Class	Mark	Application No.	Filing Date	Registration No	Issue Date
Office						
00054	00009	BOOST ®	75/764,234	36371	2,436,457	03/20/01
US	00009	Envisage ®	76/467,420	37575	2,863,416	07/13/04
US	00009	INSIGHTS ®	75/556,325	36059	2,386,329	09/12/00
US	00009	PAVILION ®	75/232,582	35458	2,204,891	11/24/98
US	00009	PAVILION DATA INTERFACE ®	75/232,989	35458	2,212,218	12/22/98
US	00009	PROCESS INSIGHTS ®	75/714,999	34925	2,039,892	02/25/97
US	00009	PROCESS PERFECTER ®	75/244,382	35480	2,146,200	03/24/98
US	00009	Property Predictor®	78/216,449	37671		
US	00009	Protégé®	76/542,934	07/11/03	2,957,763	05/31/05
			76/546,564 deleted as duplicate			
US	00009	SOFT CEM®	76/542,936	37813	N/A	N/A
		·	76/546,566 deleted as duplicate			
US	00009	SOFT SENSOR ®	74/487,767	34372	1,949,520	01/16/96
US	00009	VIRTUAL ONLINE ANALYZER ®	75/232,978	35458	2,273,132	08/24/99
US	00009	VOA ®	75/742,406	36343	2,412,060	12/12/00

PAVILION CONFIDENTIAL FOR INTERNAL USE ONLY

EXHIBIT D

Mask Works

Registration/Application

<u>Number</u>

Registration/Application <u>Date</u>

TRADEMARK
RECORDED: 03/03/2008 REEL: 003732 FRAME: 0745