# 088208

# .H \$40,00

#### TRADEMARK ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
HTPT Corporation		01/01/2007	CORPORATION: DELAWARE

#### RECEIVING PARTY DATA

Name:	FLSmidth A/S
Street Address:	Vigerslev Alle 77
City:	Valby
State/Country:	DENMARK
Postal Code:	2500
Entity Type:	CORPORATION: DENMARK

#### PROPERTY NUMBERS Total: 1

Property Type	Number	Word Mark
Registration Number:	0882083	FULLER

#### **CORRESPONDENCE DATA**

Fax Number: (312)616-5700

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Email: trademark@leydig.com

Correspondent Name: Leydig, Voit & Mayer, Ltd., Tamara Mille Address Line 1: Two Prudential Plaza, 180 N. Stetson

Address Line 2: Suite 4900

Address Line 4: Chicago, ILLINOIS 60601

ATTORNEY DOCKET NUMBER: 263112

#### DOMESTIC REPRESENTATIVE

Name: Tamara Miller, Leydig Voit & Mayer
Address Line 1: Two Prudential Plaza, 180 N. Stetson

Address Line 2: Suite 4900

Address Line 4: Chicago, ILLINOIS 60601

REEL: 003762 FRAME: 0402

TRADEMARK

900104470

NAME OF SUBMITTER:	Tamara Miller
Signature:	/Tamara Miller/
Date:	04/18/2008
Total Attachments: 9 source=HTPT to FLSmidth#page1.tif source=HTPT to FLSmidth#page2.tif source=HTPT to FLSmidth#page3.tif source=HTPT to FLSmidth#page4.tif source=HTPT to FLSmidth#page5.tif source=HTPT to FLSmidth#page6.tif source=HTPT to FLSmidth#page7.tif source=HTPT to FLSmidth#page8.tif source=HTPT to FLSmidth#page9.tif	



#### SALE OF PATENTS, TRADEMARKS AND KNOWHOW

This Agreement is made by and between:

**HTPT Corporation**, Nemours Building, Suite 1414, 1007 Orang Street, Wilmington, DE 19801, USA (the "Seller")

and

**FLSmidth A/S**, Vigerslev Allé 77, 2500 Valby, Denmark (the "Buyer")

#### WHEREAS

- (A) The Seller is the owner of the Patents and Trademarks related to Pneumatic Transport and Fuller cement technology, as described herein.
- (B) The Seller exclusively owns the Know-How related to Pneumatic Transport and Fuller cement technology as defined herein
- (C) The Buyer, as part of a general structuring of ownership to all cement related intellectual property ("IP") and in order to enable the FLSmidth Group to use its IP as a strategic business tool, has declared an interest in acquiring the Patents, Trademarks and Know-How related to Pneumatic Transport and Fuller cement technology and the Seller has declared an interest in selling the Patents, Trademarks and Know-How related to Pneumatic Transport and Fuller cement technology on the terms and conditions herein contained.

NOW THEREFORE, the parties hereto agree as follows:

#### 1. DEFINITIONS

In this Agreement the following words, expressions and abbreviations shall, unless the context otherwise requires, have the following meanings:

1.1 "Patents" shall mean the patents listed in schedule 1 and any other patents not listed owned by Seller that relate to Seller's Pneumatic Transport activities and the Fuller cement technology



- 1.2 "Effective Date" shall mean January 1, 2007.
- 1.3 "Know-How" shall mean all any technical information, trade secret or data, in any form, plans, drawings, designs, content of database, blue prints, processes and other technical and proprietary information concerning or related to the Seller's Pneumatic Transport activities and/or to the Seller's so-called Fuller cement technology, including, but not limited to, all know-how related to the products described in Schedule 2A, and irrespective of the form of such know how, but not including the know-how related to the products described in schedule 2B. Know-How shall not include any know-how that the Seller is limited by law or contract from disclosing or selling to the Buyer.
- 1.4 "Trademarks" shall mean the trademarks related to the Pneumatic Transport activities and/or the so-called Fuller cement technology, including, but not limited to, the trademarks described in Schedule 3.

#### 2. SALE

- 2.1 Subject to the terms of this Agreement the Seller hereby sells the Patents, Trademarks and all its ownership rights in the Know-How (the "Assets") to the Buyer and the Buyer purchases the Assets from the Seller, which sale and purchase shall be considered effective from the Effective Date. All rights and title to the Assets are transferred to the Buyer as from the beginning of the Effective Date.
- 2.2 The Seller and the Buyer shall individually or jointly, as the case may be, execute any and all documents required for the transfer of title to all transferred assets from the Seller to the Buyer, including the change of name of the owner for any rights that are registered with third parties.
- 2.3 Buyer shall, as from the Effective Date, grant a license to the Seller to use the Assets for as long as both the Buyer and the Seller are part of the FLSmidth Group. The terms of such license shall be agreed under a separate technology licensing agreement

#### 3. PURCHASE PRICE AND PAYMENT

- 3.1 The Purchase Price for the Applications, Patents, Trademarks and the Know-How is 6,000,000 United States Dollars (the "Purchase Price").
- 3.2 The Purchase Price shall be settled no later than 10 days after both Seller and Buyer have signed the agreement by way of the Buyer paying such amount to the Seller as cash payment.



#### 4. REPRESENTATIONS AND WARRANTIES

The Seller represents and warrants that as per the Effective Date:

- 4.1 This Agreement and other documents executed by the Seller in connection with this transaction have been duly authorized and constitute binding obligations of and are enforceable against the Seller in accordance with their respective terms.
- 4.2 The Seller has good and transferable title to the Assets and has the absolute right to sell and deliver the Assets to the Buyer in accordance with the terms of this Agreement free and clear of all liens, claims, options, encumbrances and other restrictions whatsoever.
- 4.3 To Seller's knowledge, the Assets do not infringe on any third party's rights and
- 4.4 Seller shall upon first written demand indemnify and hold Buyer harmless for any claim against the Buyer based on or deriving from infringement or alleged infringement by the Assets on any third party's rights, including any legal cost associated with Buyer's legal actions due to such claim.

#### 5. APPLICABLE LAW AND ARBITRATION

- 5.1 This Agreement shall be governed by, and construed in accordance with Danish Law, except its laws and/or rules that would render such choice of law ineffective.
- 5.2 As long as both the Seller and the Buyer are part of the FLSmidth Group any dispute, controversy or claim between Seller and Buyer arising out of or in connection with this Agreement or breach, termination or invalidity thereof shall be finally settled by the Management Board of FLSmidth A/S.
- 5.3 Except as expressly set out in Article 5.2 above, any dispute, controversy or claim between Seller and Buyer arising out of or in connection with this Agreement, or the breach, termination or invalidity thereof, shall be finally settled by arbitration in accordance with the Rules of the International Chamber of Commerce. The arbitration tribunal shall be composed of only 1 arbitrator and such arbitrator shall be appointed by the president of the International Chamber of Commerce.

The place of arbitration shall be Copenhagen, Denmark. The language to be used in the arbitral proceedings shall be English unless otherwise agreed between the parties.

---000000----



This agreement may be executed by the parties hereto in two separate counterparts, each of which when so executed and delivered, shall be an original, but such counterparts shall together constitute one and the same instrument.

Signed by the parties

For HTPT Corporation October 15, 2007

Tim VanSyckle, VP, CFO

Palab Massadlana III

Ralph McCandless III, Controller, Assistant Treasurer

For FLSmidth A/S:

Valby, Denmark 3/10

2007

Jørgen Huno Rasmussen, CEO

Poul Erik Tofte, CFO



### Schedule 1

## **Pneumatic Transport Patents:**

Patent		
Patent family	Title word	Priority Date
<u>US 4,700,856</u>	Method and Apparatus for Conditioning Fly Ash	20-Oct-87
<u>US 5,040,929</u>	Loading System for Particulate Materials	30-Nov-89
<u>US 5,074,670</u>	Blender With Feed Rate Control	11-May-90
<u>US 5,104,229</u>	Blender and Apparatus for Blending and Withdrawing Solid Particulate Material from a Vessel	01-Feb-89
US 5,145,253	Blender for Particulate Material	21-May-90
<u>US 5,681,132</u>	Laminar Flow Pneumatic Conveying Device	16-Nov-94
<u>US 5,775,851</u>	Method off Operating A Conveyor Pipeline with Dense Phase Conveying and Apparatus for Performing the Method	01-Mar-96
US 5,904,270	Material Loader and Spreader Attachment	18-Jul-97
US 6,102,578	Cellular Wheel Sluice With Supplementary Bearing	05-Feb-99
US 6,238,145	Silo	30-Mar-99



#### Schedule 2A

"Fuller Cement Technology Know-How" including but not limited to all know-how relating to the following Products:

#### Pneumatic Transport:

Pneumatic Conveying

Injection Systems for Dry Sorbent

Airslide along with Associated Accessories

Airslide Aeration for Bins and Silos

Fuller Kinyon Type H & M Pumps along with Associated Accessories

Fuller Kinyon Kompact Pump along with Associated Accessories

SK Diverter Valves

Rotary Feeders, Valves and Gates

Bin Level Indicators

Blow Tanks and Associated Equipment

Airlift

Ship & Barge Unloading/Loading (Docksider)

Misc. Components (elbows, filters etc.)

Railcar / Truck Unloading/Loading

Airveyor and Associated Equipment

**Pressure Tank Systems** 

#### Blending

Airmerge

Omni Merge

**Fuller Random Flow** 

Column Blenders

**GRAVI Merge** 

#### Silo Feed and Discharge / Storage

Cone Bottom

Flat Bottom

Dome

Fluidized Bottom

Flat Storage

Inverted Cone Bottom

#### Air Supply

**Fuller Rotary Compressors** 

Sahara Screw Compressors

Misc. Compressor Accessories (Lube Systems, Radiators, Intake Filters etc.)



#### Schedule 2A continued:

#### **Other Fuller Cement Products**

Fuller Precalcinator

Fuller Roller Mills

Fuller Cyclone Preheater for Cement

Fuller Cement Kilns

Fuller Clinker Coolers

Fuller Ball Mills for Cement

Powder Cooler

Fuller Air Separators (HEYD)

Fuller Special Cyclones for Roller Mills

Fuller Water Spray Systems

Fuller Drag Chain Conveyors

**Fuller Clinker Breakers** 

**Fuller CFG Coolers** 

**Conditioning Towers** 

Cyclone Dust Collectors

Plenum-Pulse Collectors

**Burners** 

Air-to-Air Heat Exchangers

O-Sepa and HEC on Roller Mills

Roll Press - HRC

Rod Mills for Cement

**Grit Separator** 

Shell Supported Mills for Cement

Radiant Tube Cooler

Panel Bed Cooler

Slurry Feeder

Flash Furnace

Coal Mill

Fluid Bed Dryer

Incinerators

Wash Mills

Compax Cooler

**EV Crusher** 

**ET Crusher** 

Double Hammer Mill Crusher

Ring Roller Mills

Roll Crushers



#### Schedule 2B

"Fuller Cement Technology Know-How" does <u>not</u> include any know-how relating to the following Products:

**Ball Mill for Non Cement Industries** 

Barking Drum

**Boring Bars** 

Casting Wheel

Converters

**Contact Coolers** 

Continental Limestone Preheater

**Double Roller Crusher** 

**Dryers** 

Flotation/Separator Cells

Fluidized Bed Reactors

**Fuller Classifiers** 

Fuller Cyclone Preheater for Non Cement Industries

**Fuller Gears** 

**Gyratory Crushers** 

Holding Furnace

Hot Lime Conveyor

Hydro-Aire Coolers

**Jaw Crushers** 

Kilns for Non-Cement Industries

Limestone Preheater

Lime Hydrators

**Lump Breakers** 

Micromills

Portable Crushers

Raining Bed/Freefall Preheater

Rod Mills for Non Cement Industries

Rotary Cooler

Rotary Dryer

SAG & AG Mills

Secondary and Tertiary Crushers

Shaft Kilns

Shell Supported Mills for Non-Cement Industries

Smith Parsons Limestone Preheater

Stirred Verticle Ball Mill

Table and Slurry Feeders



#### Schedule 3

#### Trade Marks

A2P<sup>™</sup> Fly Ash Handling System

Airlift<sup>™</sup> Fluidizing Pneumatic Elevating System

AirMerge<sup>TM</sup> Blender

B-3000<sup>TM</sup> Compressor Blades

Black Velvet<sup>TM</sup> Compresssor Blades

Cross-Bar™ Cooler

Docksider™ Ship and Barge Unloading Systems

DUOFLEX™ Burner

E-Z Flow<sup>TM</sup> Dense Phase System FUL-COAT<sup>TM</sup> SILVER-CARBIDE<sup>TM</sup> Pump Screw

Ful-Klen<sup>TM</sup> Silo

Fuller-Kinyon<sup>TM</sup> pump

FULLOAD™ Railcar Unloading System

FULLVEYOR™ Conveying System

Ful-Pak<sup>™</sup> Compressor

Ful-Vane<sup>™</sup> Compressor

GRAVI-MERGE<sup>TM</sup> Blender

Jet-Pulse<sup>™</sup> Dust Collector

Kompact<sup>™</sup> Pump

Modu-Flo MT<sup>TM</sup> Conveying System

Pneu-Boost<sup>TM</sup> Conveying Booster

Pneu-Flap<sup>™</sup> Pneumatic Flapper Torque Controller

Random Flow<sup>TM</sup> Blender

Sahara<sup>™</sup> Compressor

VACU-DENSE<sup>TM</sup> Conveying System

Airslide <sup>™</sup> Gravity Conveying System

Airveyor <sup>™</sup> Conveying System

Plenum-Pulse <sup>™</sup> Collectors

MODU-DENSE® Conveying System

Lehigh TM

Fuller TM

Traylor TM

Hydro-Aire ™

Modulode TM

Stylized "F" TM

Fuller Logo  $^{\mathsf{TM}}$ 

**RECORDED: 04/18/2008** 

"Follow-Thru" (Stylized) ™
"Follow-Thru" ™

TRADEMARK

REEL: 003762 FRAME: 0412