

TRADEMARK ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:		NEW ASSIGNMENT	
NATURE OF CONVEYANCE:		ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL	
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Swiss American Securities Inc.		05/02/2008	CORPORATION: NEW YORK
RECEIVING PARTY DATA			
Name:	Credit Suisse Securities (USA) LLC		
Street Address:	11 Madison Avenue		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10010		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	2942071	SASI	
CORRESPONDENCE DATA			
Fax Number:	(212)768-6800		
	<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
Phone:	212-768-5367		
Email:	trademarks@sonnenschein.com		
Correspondent Name:	Monica B. Richman		
Address Line 1:	c/oSonnenschein Nath & Rosenthal LLP		
Address Line 2:	P.O. Box #061080, Wacker Drive Station,		
Address Line 4:	Chicago, ILLINOIS 60606-1080		
ATTORNEY DOCKET NUMBER:	21603441-0014		
NAME OF SUBMITTER:	Monica B. Richman-Attorney for Applicant		
Signature:	/monica b. richman/		
Date:	06/16/2008		

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Total Attachments: 3

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United States

ASSIGNMENT

This Assignment Agreement is by and between Swiss American Securities Inc, a New York corporation, with an address at 12 East 49th Street, New York, NY 10017 (“Assignor”) and Credit Suisse Securities (USA) LLC, a Delaware limited liability company, with an address at 11 Madison Avenue, New York, NY 10010 (“Assignee”).

WHEREAS, Assignor is the owner of certain Trademarks, Trademark Registrations and Trademark Applications listed in Exhibit A (the “Trademarks”);

WHEREAS, Assignee desires to acquire all right, title and interest in and to the Trademarks, including the right to bring actions for infringement of the Trademarks occurring prior to the date of this Assignment;

WHEREAS, Assignor desires to convey all right, title and interest in and to the Trademarks to Assignee; and

In consideration of the foregoing, and for other valuable and legally sufficient consideration, the receipt and legal sufficiency of which are hereby acknowledged by the Assignor, effective as of December 31, 2008, the Assignor has sold, assigned, and transferred, and hereby does sell, assign, and transfer to the Assignee all of Assignor’s right, title, and interest in and to the Trademarks, including all common law rights, together with the goodwill connected with or symbolized by use of the Trademarks, and the right to sue or otherwise bring action against third parties for and recover damages from past and future infringement of the marks listed in Exhibit A.

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Assignor hereby authorizes the Commissioner of Patents and Trademarks of the United States, and any official of any other country empowered to issue trademark registrations, to record this Assignment, and to issue or transfer all said Trademarks to the Assignee as owner of all right, title and interest therein, or otherwise as the Assignee may direct, in accordance with the terms of this Assignment.

IN WITNESS WHEREOF, this Assignment has been executed by the parties on the day and year written below.

ASSIGNOR:

ASSIGNEE:

By: *Kenneth Barabalato*
Name: Kenneth Barabalato
Title: Executive Vice President
Date:

By: *Dawn W. Chitt*
Name: Dawn W. Chitt
Title: Managing Director
Date: May 2, 2008

Subscribed and sworn to before me

Subscribed and sworn to before me

This 2nd day of May, 2008.

This 2nd day of May, 2008.

By: *Anna T. Mollner*
Name:

By: *Phyllis Tannenbaum*
Name: Phyllis Tannenbaum

ANNA T. MOLLNER
Notary Public, State of New York
No. 01MO6158300
Qualified in New York County
Commission Expires December 26, 2010

PHYLLIS TANNENBAUM
Notary Public, State of New York
No. 01TA6015790
Qualified in Nassau County
Certificate Filed in New York County
Commission Expires November 9, 2010

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EXHIBIT A

Mark	Registration/ Application No.	Registration/ Application Date	Country
SASI	2942071	April 19, 2005	United States of America

Exh. A

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