

TRADEMARK ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
The New York Society of Security Analysts, Inc.		06/23/2008	non-profit corporation: VIRGINIA
RECEIVING PARTY DATA			
Name:	CFA Institute		
Street Address:	560 Ray C. Hunt Drive, P.O. Box 3668		
City:	Charlottesville		
State/Country:	VIRGINIA		
Postal Code:	22903-0668		
Entity Type:	non-stock corporation: VIRGINIA		
PROPERTY NUMBERS Total: 2			
Property Type	Number	Word Mark	
Serial Number:	78944884	INVESTMENT RESEARCH CHALLENGE	
Serial Number:	77035020	IRC	
CORRESPONDENCE DATA			
Fax Number:	(703)836-2021		
	<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
Email:	bassam.ibrahim@bipc.com		
Correspondent Name:	Bassam N. Ibrahim		
Address Line 1:	P.O. Box 1404		
Address Line 4:	Alexandria, VIRGINIA 22313-1404		
ATTORNEY DOCKET NUMBER:	1033281-000516		
NAME OF SUBMITTER:	Bassam N. Ibrahim		
Signature:	/Bassam N. Ibrahim/		
Date:	07/16/2008		

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Total Attachments: 4

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June 18, 2008

MEMORANDUM OF TRADEMARK ASSIGNMENT

This Memorandum of Trademark Assignment ("Assignment"), between The New York Society of Security Analysts, Inc., a non-profit corporation organized and existing under the laws of New York, ("Assignor") and CFA Institute, a non-stock corporation organized and existing under the laws of Virginia ("Assignee"), shall be effective the Effective Date of the Investment Research Challenge Assignment Agreement between Assignor and Assignee.

WHEREAS, Assignor is the owner of the entire right, title and interest in and to the U.S. trademark applications listed in the attached Schedule A (collectively, the "Marks");

WHEREAS, Assignor and Assignee are parties to the Agreement, pursuant to which Assignor transferred and sold to Assignee certain assets pertaining to Assignor's business, including the Marks; and

WHEREAS, Assignor and Assignee desire to memorialize such transfer and sale of the Marks in a form suitable for recording with the United States Patent and Trademark Office and elsewhere, as needed.

NOW THEREFORE, be it known that, for good and valuable consideration, the receipt and sufficiency of which Assignor acknowledges, the parties hereto agree as follows:

1. This Assignment covers (a) the Marks, including, without limitation, trademarks, service marks and trade names, together with the goodwill of the business associated with the foregoing and the ongoing and existing business to which the Marks pertain; (b) any extension or renewal of any such registration or application therefor set forth in subsection (a) hereof; (c) any unregistered rights in any of the foregoing; (d) all rights therein provided by international treaties and conventions, and all rights to obtain trademarks and registrations thereto, in each case in all countries throughout the world; and (e) all rights to sue and recover damages or obtain injunctive relief for past and future infringement, misappropriation, violation or breach of any of the foregoing (subsections (a) through (e) hereof, collectively, the "Assigned Trademark Rights").

2. Assignor, as owner, does hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, Assignor's entire right, title, and interest in and to the Assigned Trademark Rights in the United States, and to the extent any exists, in all other countries throughout the world, together with the goodwill of the business associated therewith and symbolized by the Assigned Trademark Rights, in its entirety. With respect to applications filed in the United States Patent and Trademark Office ("USPTO") on the basis of the applicant's intent to use such marks in Interstate Commerce, as to which evidence of such use has not yet been filed in the USPTO, such marks are being assigned to the successor to the business of the applicant or portion thereof to which such marks pertain, which business is ongoing and existing. Assignor hereby authorizes and requests any official of any country whose duty it is to issue registrations thereon to issue same to Assignee, its successors and assigns, in accordance with the terms of this Assignment.

3. Assignor further covenants and agrees that it will, without further consideration, execute and deliver any and all papers that may be reasonably necessary or desirable to perfect

the title to the Assigned Trademark Rights in Assignee, its successors or assigns, in all countries, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns. In the event that any trademark office in any jurisdiction refuses to record or accept this Assignment or Assignee is otherwise unable to perfect the title to any of the Marks, Assignor agrees to abandon the relevant Marks so that Assignee may file new applications for the Marks, it being understood that any expense incident to the filing of any new applications shall be borne by Assignee, its successors and assigns.

4. This Assignment may be executed in counterparts, each of which shall be deemed to be an original, and all of which together shall constitute one and the same instrument.

5. The Assignment shall be governed by and construed in accordance with the laws of the United States of America and of the State of New York.

6. The terms, covenants and provisions of this Assignment shall inure to the benefit of Assignee, its successors and other legal representatives, and shall be binding upon Assignor, its successors, assigns and other legal representatives.

7. Nothing contained in this Assignment shall be deemed to supersede or modify any of the obligations, covenants or warranties of Assignor or Assignee contained in the Agreement. In the event of any conflict or inconsistency between the terms of the Agreement and the terms hereof, the terms of the Agreement shall govern and control.

8. All assignments to be executed, notarized and returned to the attention of:
Bassam N. Ibrahim, Esq.
Buchanan Ingersoll & Rooney, PC
1737 King Street, Suite 500
Alexandria, VA 22314
Telephone: 703-836-6620
Facsimile: 703-836-2021
Email: bassam.ibrahim@bipc.com

9. Nothing contained in this Assignment shall be deemed to constitute a representation or warranty by Assignor that Assignor owns rights in the Assigned Trademark Rights in any jurisdiction or territory in the world.

10. ASSIGNOR DISCLAIMS ALL OTHER REPRESENTATIONS AND WARRANTIES, EXPRESS OR IMPLIED, INCLUDING WITHOUT LIMITATION WARRANTIES OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE, EXCEPT AS EXPRESSLY SET FORTH IN THE AGREEMENT, WITH RESPECT TO THE ASSIGNED TRADEMARK RIGHTS.

IN WITNESS WHEREOF, the said Assignor and Assignee have executed this Assignment.

Assignor - NEW YORK SOCIETY OF SECURITY ANALYSTS, INC.

Signature: 

Name: Alvin P. Kressler, III

Title: Executive Director


Date: 6/23/08

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA)
STATE OF NEW YORK)
COUNTY OF NEW YORK)

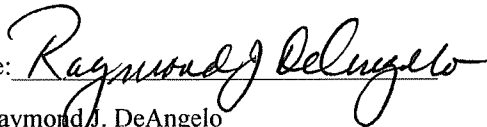
The foregoing instrument was acknowledged before me this 23rd day of June, 2008 by Alvin P. Kressler, III

Doreen Pando
Notary Public, State of New York
No. 01PA5083699
Qualified in New York County
Commission Expires August 18, 2009


Notary Public

My Commission expires: 8/18/09

Assignee - CFA INSTITUTE

Signature: 

Name: Raymond J. DeAngelo

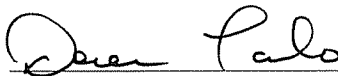
Title: Managing Director

Date: 6/23/08

NOTARIAL CERTIFICATE

UNITED STATES OF AMERICA
COUNTY OF ~~ALBEMARLE~~ NEW YORK
~~COMMONWEALTH OF VIRGINIA~~
~~STATE~~ NEW YORK

The foregoing instrument was acknowledged before me this 23rd day of June, 2008 by Raymond J. DeAngelo


Notary Public

My Commission expires: 8/18/09

Doreen Pando
Notary Public, State of New York
No. 01PA5083699
Qualified in New York County
Commission Expires August 18, 2009

FEDERAL TRADEMARK APPLICATIONS

SCHEDULE A

App. No.	Mark	Status
78/944,884	INVESTMENT RESEARCH CHALLENGE	Pending
77/035,020	IRC	Pending