

**TRADEMARK ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

**CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
A.G. Edwards & Sons, LLC		01/01/2008	LIMITED LIABILITY COMPANY: DELAWARE

**RECEIVING PARTY DATA**

<b>Name:</b>	Wachovia Securites, LLC
<b>Street Address:</b>	1 North Jefferson Avenue
<b>City:</b>	St. Louis
<b>State/Country:</b>	MISSOURI
<b>Postal Code:</b>	63103
<b>Entity Type:</b>	LIMITED LIABILITY COMPANY: DELAWARE

**PROPERTY NUMBERS Total: 22**

Property Type	Number	Word Mark
Serial Number:	77183268	A.G. EDWARDS CUSTOM PORTFOLIO MANAGEMENT
Serial Number:	78699457	ETV
Registration Number:	3190153	GALLATIN ASSET MANAGEMENT
Registration Number:	3004154	A.G. EDWARDS
Registration Number:	3086277	AG EDWARDS
Registration Number:	3149480	AG EDWARDS
Registration Number:	3149481	AG
Registration Number:	2999022	FULLY INVESTED IN OUR CLIENTS
Registration Number:	2900897	SELECT ADVISOR
Registration Number:	2578062	BONDEXPLORER
Registration Number:	2602594	JOURNEY TO FINANCIAL SECURITY
Registration Number:	2528588	OPTIONWORKS
Registration Number:	2696171	A.G. EDWARDS' STOCK OPTION SOLUTIONS
Registration Number:	2737217	CLIENT CHOICE

OP \$565.00 77183268

Registration Number:	2798080	CLIENTONE
Registration Number:	2399603	EDWARDS INFORMATION NETWORK
Registration Number:	2892146	ETV
Registration Number:	2245275	PATHWAYS
Registration Number:	1926325	BROKER VISION
Registration Number:	1770868	E-ZS
Registration Number:	1536721	ASSET PERFORMANCE MONITOR
Registration Number:	3139853	

**CORRESPONDENCE DATA**

Fax Number: (704)353-3698  
*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*  
Phone: 704 331 5792  
Email: donna.millard@klgates.com, marcia.siuda@klgates.com  
Correspondent Name: Karl S. Sawyer, Jr.  
Address Line 1: 214 N Tryon St., Hearst Tower 47th Floor  
Address Line 2: K & L Gates LLP  
Address Line 4: Charlotte, NORTH CAROLINA 28202

ATTORNEY DOCKET NUMBER:	13575.005 WACHOVIA CORP
NAME OF SUBMITTER:	Karl S. Sawyer, Jr.
Signature:	/ Karl S. Sawyer, Jr. /
Date:	07/18/2008

**Total Attachments: 5**  
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source=AssignmentAGEdwardstoWachSecuritiesLLC#page5.tif

**ASSIGNMENT OF TRADEMARKS AND REGISTRATIONS**  
*NUNC PRO TUNC*

Whereas A.G. Edwards & Sons, LLC, a limited liability company organized and existing under the laws of Delaware (the "Assignor"), was previously the owner of the trademarks (the "Marks"), and the registrations thereof (the "Registrations"), identified in Schedule A attached hereto;

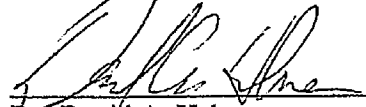
Whereas Wachovia Securities, LLC, a limited liability company organized and existing under the laws of Delaware (the "Assignee"), previously acquired an assignment of said Marks and Registrations pursuant to an Asset Transfer Agreement dated and effective January 1, 2008;

Whereas Assignor and Assignee desire to confirm and memorialize the assignment of said Marks and Registrations, *nunc pro tunc* effective as of January 1, 2008;

Now, therefore, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, said Assignor has heretofore assigned, and hereby confirms, verifies and ratifies said assignment *nunc pro tunc* effective as of January 1, 2008, unto said Assignee the entire right, title and interest in and to said Marks and Registrations, together with the good will of the business symbolized thereby.


[Signature page follows]

Assignor: A.G. Edwards & Sons, LLC

 (SEAL)

By: David A. Hebner  
Its: Senior Vice President

Assignee: Wachovia Securities, LLC

 (SEAL)

By: David A. Hebner  
Its: Senior Vice President

## SCHEDULE A

### U.S. Trademarks

MARK	Registration No./Serial No.	Registration Date/Filing Date
A.G. EDWARDS CUSTOM PORTFOLIO MANAGEMENT	77/183,268	May 17, 2007
ETV	78/699,457	August 24, 2005
GALLATIN ASSET MANAGEMENT	3,190,153	December 26, 2006
A.G. EDWARDS	3,004,154	October 4, 2005
AG EDWARDS and Design	3,086,277	April 25, 2006
AG EDWARDS and Design	3,149,480	September 26, 2006
AG	3,149,481	September 26, 2006
FULLY INVESTED IN OUR CLIENTS	2,999,022	September 20, 2005
SELECT ADVISOR	2,900,897	November 2, 2004
BOND EXPLORER	2,578,062	June 11, 2002
JOURNEY TO FINANCIAL SECURITY	2,602,594	July 30, 2002

<b>MARK</b>	<b>Registration No./Serial No.</b>	<b>Registration Date/Filing Date</b>
OPTIONWORKS	2,528,588	January 8, 2002
A.G. EDWARDS' STOCK OPTION SOLUTIONS	2,696,171	March 11, 2003
CLIENT CHOICE	2,737,217	July 15, 2003
CLIENTONE	2,798,080	December 23, 2003
EDWARDS INFORMATION NETWORK	2,399,603	October 31, 2000
ETV	2,892,146	October 12, 2004
PATHWAYS	2,245,275	May 18, 1999
BROKER VISION	1,926,325	October 10, 1995
E-ZS	1,770,868	May 11, 1993
ASSET PERFORMANCE MONITOR	1,536,721	April 25, 1989
Design	3,139,853	September 5, 2006

## Foreign Trademarks

Country	Mark	Registration No./Serial No.	Registration Date/Filing Date
Australia	A.G. EDWARDS	1024342	10/12/2004
	A.G. EDWARDS & SONS, INC.	784970	02/21/2001
Brazil	A.G. EDWARDS	82/115822	11/30/2004
	A.G. EDWARDS & SONS Class 35	825024765	10/24/2002
	A.G. EDWARDS & SONS Class 36	825024757	10/24/2002
Canada	A.G. EDWARDS	654763	12/09/2005
	A.G. EDWARDS & SONS, INC.	599279	01/13/2004
	AG & Design	656,178	01/06/2006
	AG EDWARDS & Design	656,073	01/05/2006
	AG EDWARDS & Design	655,977	01/04/2006
	Wing & Flame Design	674,727	10/13/2006
European Union (CTM)	A.G. EDWARDS	005627039	01/04/2007
NEW ZEALAND	A.G. EDWARDS	719171	11/09/2006
	A.G. EDWARDS & SONS, INC.	648285	09/09/2002
SWITZERLAND	A.G. EDWARDS	555521	12/28/2006
UNITED KINGDOM	A.G. EDWARDS & SONS, INC.	2286019	11/20/2001
	A.G. EDWARDS JOURNEY TO FINANCIAL SECURITY	22278770	08/22/2001
	AG EDWARDS U.K. & Design	2357715	03/06/2004
	JOURNEY TO FINANCIAL SECURITY	2278654	08/22/2001