

**TRADEMARK ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	Certificate of Conversion		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
A.G. Edwards & Sons, Inc.		12/21/2007	CORPORATION: DELAWARE

<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	A.G. Edwards & Sons, LLC
<b>Street Address:</b>	One North Jefferson
<b>City:</b>	St. Louis
<b>State/Country:</b>	MISSOURI
<b>Postal Code:</b>	63103
<b>Entity Type:</b>	LIMITED LIABILITY COMPANY: DELAWARE

**PROPERTY NUMBERS Total: 22**

Property Type	Number	Word Mark
Registration Number:	3190153	GALLATIN ASSET MANAGEMENT
Registration Number:	3004154	A.G. EDWARDS
Registration Number:	3086277	AG EDWARDS
Registration Number:	3149480	AG EDWARDS
Registration Number:	3149481	AG
Registration Number:	2999022	FULLY INVESTED IN OUR CLIENTS
Registration Number:	2900897	SELECT ADVISOR
Registration Number:	2578062	BONDEXPLORER
Registration Number:	2602594	JOURNEY TO FINANCIAL SECURITY
Registration Number:	2528588	OPTIONWORKS
Registration Number:	2696171	A.G. EDWARDS' STOCK OPTION SOLUTIONS
Registration Number:	2737217	CLIENT CHOICE
Registration Number:	2798080	CLIENTONE
Registration Number:	2399603	EDWARDS INFORMATION NETWORK

**OP \$565.00 3190153**

Registration Number:	2892146	ETV
Registration Number:	2245275	PATHWAYS
Registration Number:	1926325	BROKER VISION
Registration Number:	1770868	E-ZS
Registration Number:	1536721	ASSET PERFORMANCE MONITOR
Registration Number:	3139853	
Serial Number:	77183268	A.G. EDWARDS CUSTOM PORTFOLIO MANAGEMENT
Serial Number:	78699457	ETV

**CORRESPONDENCE DATA**

Fax Number: (704)353-3692  
*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*  
Phone: 704.331.7400  
Email: docket@klgates.com  
Correspondent Name: Karl S. Sawyer, Jr.  
Address Line 1: 214 North Tryon Street  
Address Line 2: Hearst Tower, 47th Floor  
Address Line 4: Charlotte, NORTH CAROLINA 28202

ATTORNEY DOCKET NUMBER:	13575.005
NAME OF SUBMITTER:	Karl S. Sawyer, Jr.
Signature:	/karl s sawyer jr/
Date:	07/17/2008

Total Attachments: 2  
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# Delaware

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*The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "A. G. EDWARDS & SONS, INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "A. G. EDWARDS & SONS, INC." TO "A. G. EDWARDS & SONS, LLC", FILED IN THIS OFFICE ON THE TWENTY-FIRST DAY OF DECEMBER, A.D. 2007, AT 11:27 O'CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE FIRST DAY OF JANUARY, A.D. 2008, AT 12:02 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

0657230 8100V

071352816

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6267382

DATE: 12-27-07

TRADEMARK  
REEL: 003818 FRAME: 0400

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 11:30 AM 12/21/2007  
FILED 11:27 AM 12/21/2007  
SRV 071352816 - 0657230 FILE

STATE OF DELAWARE  
CERTIFICATE OF CONVERSION  
FROM A CORPORATION TO A  
LIMITED LIABILITY COMPANY PURSUANT TO  
SECTION 18-214 OF THE LIMITED LIABILITY COMPANY ACT

1. The jurisdiction where the Corporation first formed is Delaware.
2. The jurisdiction immediately prior to filing this Certificate is Delaware.
3. The date the Corporation first formed is May 11, 1967.
4. The name of the Corporation immediately prior to filing this Certificate is A. G. Edwards & Sons, Inc.
5. The name of the Limited Liability Company as set forth in the Certificate of Formation is A. G. Edwards & Sons, LLC
6. This Certificate of Conversion shall be effective as of 12:02 a.m., Eastern Time, on January 1, 2008.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Conversion of A. G. Edwards & Sons, Inc. as of this 21<sup>st</sup> day of December, 2007.

A. G. EDWARDS & SONS, INC.

By:   
Name: David A. Hebner  
Title: Senior Vice President

1-NV024571&1