# TRADEMARK ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Submission to correct error made in previously recorded document erroneously affecting Registration No. 2,955,233

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Merrill Lynch, Pierce, Fenner & Smith Incorporated		03/18/2009	CORPORATION:

#### **RECEIVING PARTY DATA**

Name:	Merrill Lynch, Pierce, Fenner & Smith Incorporated		
Street Address:	World Financial Center		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10080		
Entity Type:	CORPORATION: DELAWARE		

# PROPERTY NUMBERS Total: 1

Property Type	Number	Word Mark	
Registration Number:	2955233	WAVE SECURITIES	

#### **CORRESPONDENCE DATA**

Fax Number: (212)259-2427

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 212.408.2627

Email: amy.waldron@bakerbotts.com

Correspondent Name: Amy M. Waldron
Address Line 1: 30 Rockefeller Plaza

Address Line 2: Baker Botts L.L.P., Trademark Paralegal

Address Line 4: New York, NEW YORK 10112

ATTORNEY DOCKET NUMBER: 006910.0830 [WALDRON]	
NAME OF SUBMITTER:	Amy M. Waldron
Signature:	/Amy M. Waldron/

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REEL: 003956 FRAME: 0176

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Date:	03/20/2009	
Total Attachments: 11		
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TRADEMARK
REEL: 003956 FRAME: 0177

TO: DANIELLE MENDELSOHN CC ANY: 30 ROCKEFELLER PLAZA

# TRADEMARK ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1 01/24/2008 900097327

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
ARCHIPELAGO HOLDINGS, INC.		01/24/2008	CORPORATION: DELAWARE

#### **RECEIVING PARTY DATA**

Name:	NYSE GROUP, INC.		
Street Address:	11 Wall Street		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10005		
Entity Type:	CORPORATION: DELAWARE		

# PROPERTY NUMBERS Total: 19

Property Type	Number	Word Mark
Registration Number:	2747787	ALL ST
Registration Number:	2590382	ARCA
Registration Number:	2906412	ARCABOOK
Registration Number:	3034237	ARCA EDGE
Registration Number:	2773984	ARCAEX
Registration Number:	2737366	ARCHIPELAGO
Registration Number:	2778872	ARCHIPELAGO
Registration Number:	2838973	ARCHIPELAGO EXCHANGE
Registration Number:	2774549	ARCHIPELAGO INTEGRATED BOOK
Registration Number:	2968224	ARCAVISION
Registration Number:	2870475	EQIP
Registration Number:	2669782	EVERYTHING OUT IN THE OPEN
Registration Number:	2551338	NOW ORDERS
Registration Number:	2701641	SMARTBOOK

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TO:DANIELLE MENDELSOHN CC ANY:30 ROCKEFELLER PLAZA

1				
Registration Number:	2499719	TRADEARCA.COM		
Registration Number:	2879724	TRADE YOUR EXCHANGE		
Registration Number:	2955233	WAVE SECURITIES		
Registration Number:	2737407			
Serial Number:	78854914	NYSE ARCA TECH 100		
CORRESPONDENCE DATA				
Fax Number: (212)259-2418				
Correspondence will be s	sent via US Mail w	then the fax attempt is unsuccessful.		
Phone:				
Email:				
Correspondent Name: Danielle Mendelsohn				
Address Line 1:				
Address Line 2:	Baker Botts, Ll	Baker Botts, LLP		
Address Line 4: New York, NEW YORK 10112-4498				
ATTORNEY DOCKET N	JMBER:	070388.0101		
NAME OF SUBMITTER:		Danielle Mendelsohn		
Signature:		/Danielle Mendelsohn/		
Date:		01/24/2008		
Total Attachments: 2				

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TRADEMARK ASSIGNMENT

WHEREAS, ARCHIPELAGO HOLDINGS, INC., a corporation organized and existing

under the laws of the State of Delaware, having a principal place of business at 100 South

Wacker Drive, Suite 1800 Chicago, Illinois 60606 (hereafter "Assignor"), is the owner of all

right, title and interest in and to the trademarks identified in Schedule A hereto, and the

trademark applications and registrations therefor (hereinafter the "Marks"), together with the

goodwill of the business associated therewith; and

WHEREAS, NYSE GROUP, INC., a corporation organized and existing under the laws

of the State of Delaware, having a principal place of business at 11 Wall Street, New York, New

York, 10005 (hereafter "Assignee"), desires to acquire all right, title and interest in and to the

Marks and the trademark applications and registrations therefor, together with the goodwill of

the business symbolized by the Marks in the United States.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of

which is hereby acknowledged, Assignor does hereby grant, assign and set over to Assignee, its

successors, assigns and legal representatives, the entire right, title and interest in and to the

Marks and the trademark applications and registrations therefor, together with the goodwill of

the business symbolized by the Marks;

Assignor also assigns unto Assignee all claims for damages by reason of infringement

prior to the assignment date of the Marks, with the right to sue for and collect the same for its

own use and benefit, and for the use and benefit of its successors, assigns and other legal

representatives.

ARCHIPELAGO HOLDINGS, INC.

Name: Patrick F. Boyle

Title: Treasurer

Date:

NYSE GROUP, INC.

Name: Patrick F. Boyle

Title: Treasurer

Date:

NY02:608692.1

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# **SCHEDULE A**

# Assignment from Archipelago Holdings, Inc. to NYSE Group, Inc.

<u>Trademarks</u>	Application <u>Number</u>	Registration <u>Number</u>
ALL ST		2747787
ARCA		2590382
ARCABOOK		2906412
ARCA EDGE		3034237
ARCAEX		2773984
ARCHIPELAGO		2737366
ARCHIPELAGO		2778872
ARCHIPELAGO EXCHANGE		2838973
ARCHIPELAGO INTEGRATED BOOK		2774549
ARCAVISION		2968224
EQIP		2870475
EVERYTHING OUT IN THE OPEN		2669782
NOW ORDERS		2551338
NYSE ARCA TECH 100	78/854914	
SMARTBOOK		2701641
TRADEARCA.COM		2499719
TRADE YOUR EXCHANGE		2879724
WAVE SECURITIES		2955233
Miscellaneous Design		2737407

NY02:608692.1

**RECORDED: 03/20/2009** 

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