

7/6/09

07-07-2009

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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



103566308

**RECORDATION FORM COVER SHEET
TRADEMARKS ONLY**

Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):
Financial Dynamics, Inc.

Individual(s) Association
 General Partnership Limited Partnership
 Corporation- State: Nebraska
 Other _____

Citizenship (see guidelines) _____

Additional names of conveying parties attached? Yes No

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? Yes No

Name: Securities America, Inc.
Internal
Address: Suite 500
Street Address: 7100 West Center Road
City: Omaha
State: Nebraska
Country: U.S.A. Zip: 68106

Association Citizenship _____
 General Partnership Citizenship _____
 Limited Partnership Citizenship _____
 Corporation Citizenship Delaware
 Other _____ Citizenship _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
(Designations must be a separate document from assignment)

3. Nature of conveyance /Execution Date(s) :
Execution Date(s) December 20, 2001

Assignment Merger
 Security Agreement Change of Name
 Other _____

Financial Dynamics
America, Inc.

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No. (s) _____
B. Trademark Registration No. (s) 1553202, 1553203

Additional sheet(s) attached? Yes No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):
Financial Dynamics, Financial Dynamics and Design

5. Name & address of party to whom correspondence concerning document should be mailed:
Name: Bruce D. Vosburg
Internal Address: Fitzgerald, Schorr, Barmettler & Brennan
P.C., L.L.O.
Street Address: 10050 Regency Circle, Suite 200
City: Omaha
State: Nebraska Zip: 68114
Phone Number: 402-342-1000
Fax Number: 402-342-1025
Email Address: bvosburg@fitzlaw.com

6. Total number of applications and registrations involved: 2

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ 65.00

Authorized to be charged to deposit account
 Enclosed

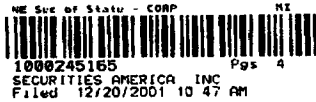
8. Payment Information:

Deposit Account Number 07/07/2009 MIAMA1 00000001 1553202
01 FC:0521 40.00 OP
Authorized 02/01/02 25.00 OP

9. Signature: Bruce Vosburg July 1, 2009
Signature Date
Bruce D. Vosburg
Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450



**ARTICLES OF MERGER
OF
SECURITIES AMERICA, INC.
AND
FINANCIAL DYNAMICS AMERICA, INC.**

Pursuant to Section 21-20,132 of the Revised Statutes of Nebraska, Securities America, Inc., a corporation organized and existing under the laws of the State of Delaware sets forth the following Articles of Merger:

FIRST: Securities America, Inc. ("SAI"), a Delaware corporation, is the surviving corporation.

SECOND: Financial Dynamics America, Inc. ("FDA"), a corporation organized and existing under the laws of the State of Nebraska and SAI have agreed to merge pursuant to the Agreement of Merger made by FDA and SAI . The terms and conditions of the merger of FDA and SAI are as follows:

- a) Upon the filing of the Agreement of Merger with the Secretary of State of the State of Delaware, SAI shall be the surviving corporation, and the separate existence of FDA as a separate entity shall cease. All rights, franchises, interests, real and personal property, choses in action and any other assets (specifically including any and all licenses and registrations to engage in the solicitation of insurance products) and business of FDA shall merge with and become a part of SAI, all without further transference, deed, instrument of conveyance, or other action to be taken on the part of FDA.
- b) All liabilities of any and every type, kind and manner whatsoever of FDA shall become merged with and a part of SAI.

THIRD: Securities America Financial Corporation ("SAFC") is the sole shareholder of both SAI and FDA, and has taken corporate action necessary to evidence its approval of the merger of FDA and SAI. SAFC owns 2,400 shares of FDA, and such amount constitutes all of the outstanding shares of FDA. SAFC owns of all 100 outstanding shares of SAI.

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FOURTH: The merger of FDA and SAI shall be effective on the date of filing of the Agreement of Merger with the Secretary of State of the State of Delaware.

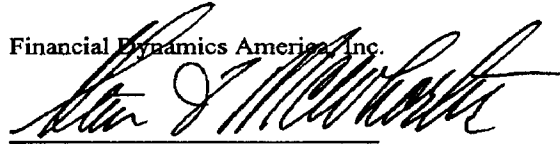
DATED: this 17 day of December, 2001.

SECURITIES AMERICA, INC



Steven F. McWhorter
Chairman and CEO

Financial Dynamics America, Inc.



Steven F. McWhorter
Chairman and CEO