

7/6/09

07-07-2009

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



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To the Director of the U. S. Patent

Additional documents of the new address(es) below

1. Name of conveying party(ies):

Caroline Ballou, LLC

- Individual(s)
- General Partnership
- Corporation- State: \_\_\_\_\_
- Other LLC
- Association
- Limited Partnership

Citizenship (see guidelines) MA

Additional names of conveying parties attached?  Yes  No

3. Nature of conveyance /Execution Date(s) :

Execution Date(s) June 24, 2009

- Assignment
- Security Agreement
- Other \_\_\_\_\_
- Merger
- Change of Name

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached?  Yes  No

Name: Caroline Ballou

Internal

Address: \_\_\_\_\_

Street Address: 668 Main Street

City: Medfield

State: MA

Country: USA

Zip: 02052

- Association
- General Partnership
- Limited Partnership
- Corporation

Other Individual Citizenship USA  
If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

2214022; 2182202

Additional sheet(s) attached?  Yes  No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

CAROLINE BALLOU; CB

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: Eileen K. Tobin, Corporate Parlegal

Internal Address: Cameron & Mittleman LLP

Street Address: 301 Promenade Street

City: Providence

State: RI Zip: 02908

Phone Number: 401-331-5700 x336

Fax Number: 401-454-4526

Email Address: ETobin@cm-law.com

6. Total number of applications and registrations involved:

2

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ 80.00

- Authorized to be charged to deposit account
- Enclosed

8. Payment Information CHECK Refund Total: \$15.00

07/07/2009 MJAMA1 00000006 2214022  
Deposit Account Number \_\_\_\_\_ 40.00 OP  
01 FC:8321  
Authorized User Name \_\_\_\_\_ 25.00 OP

9. Signature:

Signature

Joseph F. Whinery, Esq.

Name of Person Signing

7-209

Date

Total number of pages including cover sheet, attachments, and document:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

## TRADEMARK ASSIGNMENT

THIS ASSIGNMENT is made as of June 24, 2009 between CAROLINE BALLOU, LLC, a Massachusetts limited liability company with a place of business at 31 Larsen Way, Attleborough Falls, Massachusetts 02763, (the "Assignor"), and CAROLINE BALLOU, an individual with an address of 668 Main Street, Medfield, Massachusetts 02052, (the "Assignee").

The Assignor hereby covenants with the Assignee that it is the lawful owner and holder of the trademarks set forth in Schedule A, including the federal registrations thereof, and the goodwill symbolized by the Trademarks (the "Marks"); that they are free from all encumbrances; that the Assignor has good right to assign the same as aforesaid; and that the Assignor will warrant and defend the same against the lawful claims and demands of all persons, including the obligation to pay attorney's fees and costs incurred by Assignee resulting from the assertion of any claim to title to or lien against the Marks assigned hereunder.

NOW THEREFORE, for good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, Assignor hereby assigns, transfers, and sets over to Assignee, its entire right, title, interest in and to the "Marks." All rights and privileges, including the right to sue for and receive all damages from past infringements of the Marks, will be held and enjoyed by the Assignee, its successors, assigns and other legal representatives.

Assignor further covenants to indemnify Assignee and hold harmless from any and all claims, actions, causes of action which have accrued or may have accrued prior to and continuing through the date of these presents.

Assignor hereby assigns to Assignee any and all right to indemnification from B. A. BALLOU & CO. INCORPORATED set forth in that certain document entitled "Trademark Assignment" by and between B. A. BALLOU & CO. INCORPORATED (Assignor) and CAROLINE BALLOU (Assignee).

IN WITNESS WHEREOF, the Assignor CAROLINE BALLOU, LLC has executed this assignment as of June 24, 2009.

ASSIGNOR:  
CAROLINE BALLOU, LLC

By: Donald Pittage III

SCHEDULE A

Trademark	U.S. Reg. No.	Application No.	Goods
CAROLINE BALLOU	2,214,022	75/308505	earrings, necklaces, pendants, chains, brooches, bracelets, rings, cufflinks, dress sets consisting of studs and matching cufflinks
CB	2,182,202	75/308548	earrings, necklaces, pendants, chains, brooches, bracelets, rings, cufflinks, dress sets consisting of studs and matching cufflinks

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