

11-18-2009

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

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To the Director of the U. S. Patent and Trademark Office. Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

UC Solutions, LLC

- ☐ Individual(s) ☐ Association
☐ General Partnership ☐ Limited Partnership
☐ Corporation- State: _____
☒ Other Limited Liability Company

Citizenship (see guidelines) Pennsylvania

Additional names of conveying parties attached? ☐ Yes ☒ No

3. Nature of conveyance /Execution Date(s) :

Execution Date(s) September 29, 2009

- ☐ Assignment ☐ Merger
☒ Security Agreement ☐ Change of Name
☐ Other _____

2. Name and address of receiving party(ies)

Additional names, addresses, or citizenship attached? ☐ Yes ☒ No

Name: National Penn Bank

Internal

Address: P.O. Box 547

Street Address: Philadelphia & Reading Avenues

City: Boyetown

State: PA

Country: USA Zip: 19512

- ☐ Association Citizenship _____
☐ General Partnership Citizenship _____
☐ Limited Partnership Citizenship _____
☒ Corporation Citizenship Pennsylvania
☐ Other _____ Citizenship _____

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☐ No
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No. (s)

77/663,779

B. Trademark Registration No. (s)

Additional sheet(s) attached? ☐ Yes ☒ No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

Intelli-Pole

5. Name & address of party to whom correspondence concerning document should be mailed:

Name: George T. Faris, IV, Esq.

Internal Address: _____

Hartman Shurr

Street Address: 1100 Berkshire Boulevard

P.O. Box 5828

City: Wyomissing

State: PA Zip: 19610

Phone Number: 1.610.779.0772

Fax Number: 1.610.779.7473

Email Address: gfaris@hartmanshurr.com

6. Total number of applications and registrations involved:

1

7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$ 40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed

8. Payment Information:

Deposit Account Number 11-17-2009-BOYNE 00000019 77663779

Authorized User Name 01 USC 4531

40.00 00

9. Signature:

Signature

Date

George T. Faris, IV, Esq.

Name of Person Signing

Total number of pages including cover sheet, attachments, and document: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

TRADEMARK
REEL: 004098 FRAME: 0228

SCHEDULE A TO UCC-1
BY AND BETWEEN
UC SOULTIONS, LLC, DEBTOR
AND
NATIONAL PENN BANK, SECURED PARTY

Collateral. The Collateral shall consist of the following intangible personal property of the Debtor, wherever located, whether now owned or hereafter acquired:

(a) General Intangibles, including all payment intangibles and software, licenses, franchises, tax refunds, patents, trademarks, service marks, tradenames, copyrights and other intellectual property and proprietary rights, including, without limitation, all patents, proprietary technology and know-how pertaining to the design, production manufacture or creation of composite filament-wound sectional utility poles and other intellectual property related thereto, including all processes, procedures, schematics, methods, and documentation used in connection therewith, and the mark INTELLI-POLE, (which is the subject of a current application for registration with the US Patent and Trademark Office, serial #77/663,779);

(b) Contract Rights, including all agreements between the Debtor and any and all persons or parties, and all inventory, equipment, goods and property subject to such agreements and all of the Debtor's rights therein;

(c) All additions, replacements, attachments, accretions, accessions, components and substitutions to or for any of the Collateral;

(d) All books and records evidencing or relating to the foregoing, including, without limitation, billing records of every kind and description, customer lists, data storage and processing media, software and related material, including computer programs, computer tapes, cards, disks and printouts, and including any of the foregoing which are in the possession of any affiliate or any computer service bureau;

(e) All supporting obligations, including all rights ancillary to, or arising in any way in connection with any of the foregoing, including security agreements securing any of the foregoing, documents, notes, drafts representing any of the foregoing, the right to returned goods, warranty claims with respect to any of the foregoing, amounts owed in connection with the short-term use or licensing of any of the foregoing, government payments in connection with the purchase or agreement not to produce any of the foregoing; and

(f) All proceeds and products of the foregoing (to the extent not listed above as original Collateral), including without limitation rental or lease payments, accounts, chattel paper, instruments, documents, contract rights, general intangibles, equipment, inventory, insurance proceeds, and whatever is received upon the use, lease, sale, exchange, collection or other utilization or any disposition of any of the foregoing Collateral (whether cash or noncash).

UCC FINANCING STATEMENT

FOLLOW INSTRUCTIONS (front and back) CAREFULLY

A. NAME & PHONE OF CONTACT AT FILER [optional]

George T. Faris, IV, Esq. 610.779.0772

B. SEND ACKNOWLEDGMENT TO: (Name and Address)

National Penn Bank
Attn: Commercial Loan Operations
P.O. Box 547
Boyertown, PA 19512

THE ABOVE SPACE IS FOR FILING OFFICE USE ONLY

1. DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (1a or 1b) - do not abbreviate or combine names

1a. ORGANIZATION'S NAME

UC Solutions, LLC

OR

1b. INDIVIDUAL'S LAST NAME

FIRST NAME

MIDDLE NAME

SUFFIX

1c. MAILING ADDRESS

2901 Montrose Avenue

CITY

Laureldale

STATE

PA

POSTAL CODE

19605-06

COUNTRY

USA

1d. SEE INSTRUCTIONS

ADD'L INFO RE
ORGANIZATION
DEBTOR

1e. TYPE OF ORGANIZATION

LLC

1f. JURISDICTION OF ORGANIZATION

Pennsylvania

1g. ORGANIZATIONAL ID #, if any

3842134

☐ NONE

2. ADDITIONAL DEBTOR'S EXACT FULL LEGAL NAME - insert only one debtor name (2a or 2b) - do not abbreviate or combine names

2a. ORGANIZATION'S NAME

OR

2b. INDIVIDUAL'S LAST NAME

FIRST NAME

MIDDLE NAME

SUFFIX

2c. MAILING ADDRESS

CITY

STATE

POSTAL CODE

COUNTRY

2d. SEE INSTRUCTIONS

ADD'L INFO RE
ORGANIZATION
DEBTOR

2e. TYPE OF ORGANIZATION

2f. JURISDICTION OF ORGANIZATION

2g. ORGANIZATIONAL ID #, if any

☐ NONE

3. SECURED PARTY'S NAME (or NAME of TOTAL ASSIGNEE of ASSIGNOR S/P) - insert only one secured party name (3a or 3b)

3a. ORGANIZATION'S NAME

National Penn Bank

OR

3b. INDIVIDUAL'S LAST NAME

FIRST NAME

MIDDLE NAME

SUFFIX

3c. MAILING ADDRESS

Philadelphia & Reading Avenues, PO Box 547

CITY

Boyertown

STATE

PA

POSTAL CODE

19512

COUNTRY

USA

4. This FINANCING STATEMENT covers the following collateral:

Please see attached Schedule A

5. ALTERNATIVE DESIGNATION (if applicable): ☐ LESSEE/LESSOR ☐ CONSIGNEE/CONSIGNOR ☐ BAILEE/BAILOR ☐ SELLER/BUYER ☐ AG. LIEN ☐ NON-UCC FILING

6. ☐ This FINANCING STATEMENT is to be filed (for record) (or recorded) in the REAL ESTATE RECORDS. Attach Addendum if applicable. 7. Check to REQUEST SEARCH REPORT(S) on Debtor(s) (optional) ☐ All Debtors ☐ Debtor 1 ☐ Debtor 2

8. OPTIONAL FILER REFERENCE DATA

Line of Credit Loan

FILING OFFICE COPY — UCC FINANCING STATEMENT (FORM UCC1) (REV. 05/22/02)

RECORDED: 11/17/2009

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