

RECORDATION FORM COVER SHEET

TRADEMARKS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):  
Coapt Systems, Inc.  
1820 Embaradero Road  
Palo Alto, CA 94303

Additional name(s) of conveying parties attached?  Yes  No

3. Nature of conveyance/ Execution Date(s):  
Execution Date(s): 12/21/09

4. Application number(s) or registration number(s) and identification or description of the Trademark: 5

A. Trademark Application No.(s)  
B. Trademark Registration No.(s)  
2884172 3245667  
2857973  
3211872  
3245566

2. Name and address of receiving party(ies):  
Additional name(s) of conveying parties attached?  Yes  No

Name: Oxford Finance Corporation  
Internal Address  
Street Address: 133 N Fairfax Street  
City: Alexandria  
State: VA  
Country: USA  
Zip: 22314

Association Citizenship  
 General Partnership Citizenship  
 Limited Partnership Citizenship  
 Corporation Citizenship-DE  
 Other Citizenship  
If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):  
Additional sheets attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:  
Name: UCC Direct Services  
Internal Address: Attn: 14080632  
Street Address: 187 Wolf Road, Suite 101  
City: Albany State: NY ZIP: 12205  
Phone Number: 1-800-342-3676 X 4065  
Fax Number: 800-962-7049  
Email Address:

6. Total number of applications and registrations involved: 5

7. Total fee (37 CFR 2.6 (b)(6) & 3.41): \$140.00  
 Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed

B. Payment Information:  
a. Credit Card Last 4 Numbers 5683  
Expiration Date 10/12  
b. Deposit Account Number  
Authorized User Name

9. Signature: Joseph D. Bergman Signature Date: 12/22  
Name of Person Signing  
Total number of pages including cover sheet, attachments, and document: 18

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

RECORDATION FORM COVER SHEET

TRADEMARKS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below

1. Name of conveying party(ies):

Coapt Systems, Inc.  
1820 Embaradero Road  
Palo Alto, CA 94303

- Individual(s)                       Association  
 General Partnership               Limited Partnership  
 Corporation-State-DE  
 Other

Additional name(s) of conveying parties attached?  Yes  No

3. Nature of conveyance/ Execution Date(s):

Execution Date(s): 12/21/09

- Assignment                       Merger  
 Security Agreement               Change of Name  
 Other

2. Name and address of receiving party(ies):

Additional name(s) of conveying parties attached?  Yes  No

Name: Silicon Valley Bank  
Internal Address  
Street Address: 3003 Tasman Drive HF 150  
City: Santa Clara  
State: CA  
Country: USA  
Zip: 95054

- Association Citizenship  
 General Partnership Citizenship  
 Limited Partnership Citizenship  
 Corporation Citizenship-DE  
 Other Citizenship

If assignee is not domiciled in the United States, a domestic representative designation is attached:  Yes  No  
(Designations must be a separate document from assignment)

4. Application number(s) or registration number(s) and identification or description of the Trademark:

A. Trademark Application No.(s)

B. Trademark Registration No.(s)

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

Additional sheets attached?  Yes  No

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Name: UCC Direct Services  
Internal Address: Attn: 14080632  
Street Address: 187 Wolf Road, Suite 101  
City: Albany State: NY ZIP: 12205  
Phone Number: 1-800-342-3676 X 4065  
Fax Number: 800-962-7049  
Email Address:

6. Total number of applications and registrations involved: (See Page 1)

7. Total fee (37 CFR 2.6 (b)(6) & 3.41): \$ See Page 1  
 Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed

8. Payment Information:

a. Credit Card Last 4 Numbers  
Expiration Date  
b. Deposit Account Number  
Authorized User Name

9. Signature

Signature

Date

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

## INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as of December 21, 2009 by and among OXFORD FINANCE CORPORATION and SILICON VALLEY BANK (collectively, "Secured Parties") and COAPT SYSTEMS, INC. ("Grantor").

### RECITALS

A. Secured Parties have agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and among Secured Parties and Grantor dated as of December 20, 2007, as amended by that certain First Amendment to Loan and Security Agreement by and among Secured Parties and Grantor dated as of the date set forth above (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement", capitalized terms used herein are used as defined in the Loan Agreement). Pursuant to the Loan Agreement, Grantor shall and has granted to Secured Parties a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral, including without limitation, certain copyrights, trademarks, patents, and mask works to secure the obligations of Grantor under the Loan Agreement.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

### AGREEMENT

To secure its obligations under the Loan Agreement, Grantor grants and pledges to Secured Parties a security interest in all of Grantor's right, title and interest in, to and under its intellectual property (including without limitation those copyrights, patents, trademarks and mask works listed on Schedules A, B, C, and D hereto), and including without limitation all proceeds thereof (such as, by way of example but not by way of limitation, license royalties and proceeds of infringement suits), the right to sue for past, present and future infringements, all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof.

This security interest is granted in conjunction with the security interest granted to Secured Parties under the Loan Agreement. The rights and remedies of Secured Parties with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Secured Parties as a matter of law or equity. Each right, power and remedy of Secured Parties provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Secured Parties of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Secured Parties, of any or all other rights, powers or remedies.

This Intellectual Property Security Agreement and the security interest granted hereunder shall terminate in accordance with Section 4.1 of the Loan Agreement.

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

Address of Grantor:

1620 Embarcadero Road  
Palo Alto, CA 94303

Attn: Chief Financial Officer

COAPT SYSTEMS, INC.

By: \_\_\_\_\_

Title: \_\_\_\_\_

SECURED PARTIES:

Address:

133 N. Fairfax Street  
Alexandria, VA 22314

Attn: Brian Demmert

OXFORD FINANCE CORPORATION

By:                     

Title:                     

SILICON VALLEY BANK

Address:

2400 Hanover Street  
Palo Alto, CA 94304

Attn: Jason Hughes

By: \_\_\_\_\_

Title: \_\_\_\_\_

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

Address of Grantor:

1820 Embarcadero Road  
Palo Alto, CA 94303

Attn: Chief Financial Officer

COAPT SYSTEMS, INC.

By: \_\_\_\_\_

  
Lauren De Buono  
Chief Executive Officer

SECURED PARTIES:

Address:

133 N. Fairfax Street  
Alexandria, VA 22314

Attn: Brian Demmert

OXFORD FINANCE CORPORATION

By: \_\_\_\_\_

Title: \_\_\_\_\_

Address:

2400 Hanover Street  
Palo Alto, CA 94304

Attn: Jason Hughes

SILICON VALLEY BANK

By: \_\_\_\_\_

Title: \_\_\_\_\_

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

GRANTOR:

Address of Grantor:

1820 Embarcadero Road  
Palo Alto, CA 94303

Attn: Chief Financial Officer

COAPT SYSTEMS, INC.

By: \_\_\_\_\_

Title: \_\_\_\_\_

SECURED PARTIES:

Address:

133 N. Fairfax Street  
Alexandria, VA 22314

Attn: Brian Demmert

OXFORD FINANCE CORPORATION

By: \_\_\_\_\_

Title: \_\_\_\_\_

Address:

2400 Hanover Street  
Palo Alto, CA 94304

Attn: Jason Hughes

SILICON VALLEY BANK

By:  \_\_\_\_\_  
Rob Pressler

Title: RM

EXHIBIT A

Copyrights and Copyright Applications

N/A

EXHIBIT B

Patents and Patent Applications

(See attached)



**Coapt Systems Patent Portfolio**

**National Applications**

<b>Description</b>	<b>Patent Number</b>	<b>Issue Date</b>
* Multi-Point Tension Distribution System	USP 6,645,228	11/11/2003
* Brow Lift	USP 6,485,503	11/16/2002
* Tine/backing materials	USP 6,893,452	5/17/2005
* Serrated and Hinged	USP 7,156,862	1/2/2007
* Chin Product	USP 7,510,566	3/31/2009
* Design Patent for Endotine Forehead	US Des Pat 462,766	9/10/2002
* Adjustable tether - Mid Face Bone	USP 7,172,615	2/6/2007
* Orbital	Pending	
* Wire Surgical Device and Method	Pending	

**National Foreign Applications**

<b>Description</b>	<b>Patent Number</b>	<b>Issue Date</b>	<b>Country</b>
* Remotely Anchored Tissue Fixation Device and Method	2,559,872	5/29/2009	Canada
	2006-7021945	2/17/2009	Korea
	PI0509855-6	Pending	Brazil
	5740175.4	Pending	Europe
		Pending	China
* Tissue Approximation Device And A Method Of Using It	20,011,264.739	9/28/2006	Australia
	1289431B1	1/14/2009	Europe
	60137419.3-08	1/14/2009	German
	152817	6/21/2008	Israel
	PI0110976-6	Pending	Brazil
	2,409,331	Pending	Canada
	PA/a/2002/011375	Pending	Mexico

**Licensed Patents**

<b>Description</b>	<b>Patent Number</b>	<b>Issue Date</b>
* Resorbable Surgical Appliances And Endoscopic Soft Tissue Suspension Procedure	5,611,814	3/18/1997
* Injectable Hydrogels and Methods	60/679,523	Filled 5-10-05
	11/431,276	Filled 5-10-06

**TRADEMARK**

**REEL: 004120 FRAME: 0871**

EXHIBIT C

Trademarks and Trademark Applications

(See attached)

## Country: China (People's Republic)

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
COAPT SYSTEMS	354661-910402/CN/	OAR Filed 10	6739903 23-May-2008	

*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 10: Surgical implants comprising artificial materials for use in cosmetic, orthopedic and hand surgery; surgical instruments comprised of an assembly of thin cable and needles used to create tissue dissection planes for use in general, plastic, reconstructive and dermatological procedures.

ENDOTINE	354661-910403/CN/	Pending 10	6739902 23-May-2008	
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*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 10: Surgical implants comprising artificial materials for use in cosmetic, orthopedic and hand surgery.

NOVIELLE	354661-910204/WP/	Pending 05,10	990270 21-Aug-2008	
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*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 5: Dermal filler implants to be inserted under the skin; injectable dermal fillers.

Class 10: Surgical implants comprising artificial material and associated surgical instrument sets; dermal filler kits comprised of a pre-filled syringe and surgical implants comprising artificial material to be inserted under the skin.

ULTRATINE	354661-910404/CN/	Pending 10	6739901 23-May-2008	
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*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 10: Surgical implants comprising artificial materials for use in cosmetic, orthopedic and hand surgery.

Country: European Community

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOVELLE	354661-910104/EM/	Registered 05,10,44	7021744 27-Jun-2008	7021744 12-Feb-2009

*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 5: Pharmaceutical preparations in the form of dermal fillers; dermal fillers.

Class 10: Surgical implants and instruments in the form of dermal fillers; surgical implants comprising artificial materials for use in cosmetic, orthopedic and hand surgery; medical implants comprised of three-dimensional medical grade bio-absorbable polymer devices for suspending soft tissue.

Class 44: Medical and beauty care services namely the implantation of dermal fillers; the provision of information and advice regarding the use and application of dermal fillers.

RIBBON	354661-910102/WP-EM/	Registered 10	24-Jun-2005	860073 24-Jun-2005
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*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 10: Medical implants comprised of three-dimensional medical grade bio-absorbable polymer devices featuring tines, for suspending soft tissue.

SurgWire	354661-910103/WP-EM/	Registered 10	09-Mar-2006	883714 09-Mar-2006
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*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 10: Surgical instruments comprised of an assembly of thin cable and needles used to create tissue dissection planes for use in general, plastic, reconstructive and dermatological procedures.

Country: Int'l Registration - Madrid Protocol Only

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOVIELLE	354661-910204/WP/	Registered 05.10	21-Aug-2008	990270 21-Aug-2008

Owner Name: Coapt Systems, Inc.

Goods: Class 5: Dermal filler implants to be inserted under the skin; injectable dermal fillers.

Class 10: Surgical implants comprising artificial material and associated surgical instrument sets; dermal filler kits comprised of a pre-filled syringe and surgical implants comprising artificial material to be inserted under the skin.

RIBBON	354661-910203/WP/	Registered 10	24-Jun-2005	860073 24-Jun-2005
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Owner Name: Coapt Systems, Inc.

Goods: Class 10: Medical implants comprised of artificial materials for suspending soft tissue.

SurglWire	354661-910202/WP/	Registered 10	09-Mar-2006	883714 09-Mar-2006
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Owner Name: Coapt Systems, Inc.

Goods: Class 10: Surgical instruments comprised of an assembly of thin cable and needles used to create tissue dissection planes for use in general, plastic, reconstructive and dermatological procedures.

## Country: Korea, Republic of

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
ENDOTINE Forehead	354661-910304/KR/	Registered 10	40-2006-0020362 18-Apr-2006	40-0707085 19-Apr-2007

*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 10: Implants for medical purposes, bio-absorbable implants for soft tissue fixation for use in facial lifting surgery, bio-absorbable implants for brow soft tissue fixation including disposable drill bit and insertion tool, bio-absorbable implants for forehead soft tissue fixation including disposable drill bit and insertion tool, bio-absorbable implants for forehead soft tissue fixation with multiple tines, bio-absorbable implants for forehead soft tissue fixation with multiple tines, biodegradable implants for tissue elevation and fixation for use in facial lifting surgery, biodegradable implants for brow tissue evaluation and fixation including disposable drill bit and insertion tool, biodegradable implants for forehead tissue evaluation and fixation including disposable drill bit and insertion tool, biodegradable implants for brow tissue evaluation and fixation with multiple tines, biodegradable implants for forehead tissue evaluation and fixation with multiple tines, anchor inserter for face lifting for medical purposes, tine for soft tissue fixation used in face lifting for medical purposes, disposable drill bits for face lifting for medical purposes, sterilization try with lid for face lifting for medical purposes, clipper for face lifting for medical purposes, hand drill for face lifting for medical purposes.

ENDOTINE Midface	354661-910303/KR/	Registered 10	40-2006-0020361 18-Apr-2006	40-0707083 19-Apr-2007
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*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 10: Implants for medical purposes, bio-absorbable implants for soft tissue fixation for use in facial lifting surgery, bio-absorbable implants for brow soft tissue fixation including disposable drill bit and insertion tool, bio-absorbable implants for forehead soft tissue fixation including disposable drill bit and insertion tool, bio-absorbable implants for forehead soft tissue fixation with multiple tines, bio-absorbable implants for forehead soft tissue fixation with multiple tines, biodegradable implants for tissue elevation and fixation for use in facial lifting surgery, biodegradable implants for brow tissue evaluation and fixation including disposable drill bit and insertion tool, biodegradable implants for forehead tissue evaluation and fixation including disposable drill bit and insertion tool, biodegradable implants for brow tissue evaluation and fixation with multiple tines, biodegradable implants for forehead tissue evaluation and fixation with multiple tines, anchor inserter for face lifting for medical purposes, tine for soft tissue fixation used in face lifting for medical purposes, disposable drill bits for face lifting for medical purposes, sterilization try with lid for face lifting for medical purposes, clipper for face lifting for medical purposes, hand drill for face lifting for medical purposes.

ENDOTINE TransBleph	354661-910302/KR/	Registered 10	40/2006-0020360 18-Apr-2006	40-0707082 19-Apr-2007
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*Owner Name:* Coapt Systems, Inc.

*Goods:* Class 10: Implants for medical purposes, bio-absorbable implants for soft tissue fixation for use in facial lifting surgery, bio-absorbable implants for brow soft tissue fixation including disposable drill bit and insertion tool, bio-absorbable implants for forehead soft tissue fixation including disposable drill bit and insertion tool, bio-absorbable implants for forehead soft tissue fixation with multiple tines, bio-absorbable implants for forehead soft tissue fixation with multiple tines, biodegradable implants for tissue elevation and fixation for use in facial lifting surgery, biodegradable implants for brow tissue evaluation and fixation including disposable drill bit and insertion tool, biodegradable implants for forehead tissue evaluation and fixation including disposable drill bit and insertion tool, biodegradable implants for brow tissue evaluation and fixation with multiple tines, biodegradable implants for forehead tissue evaluation and fixation with multiple tines, anchor inserter for face lifting for medical purposes, tine for soft tissue fixation used in face lifting for medical purposes, disposable drill bits for face lifting for medical purposes, sterilization try with lid for face lifting for medical purposes, clipper for face lifting for medical purposes, hand drill for face lifting for medical purposes.

Thursday, December 03, 2009

Trademark List by Country

Page: 5

Country: Korea, Republic of

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
NOVELLE	354661-910305/WP-KR/	Pending 05,10	21-Aug-2008	990270

Owner Name: Conpl Systems, Inc.

Goods: Class 5: Dermal filler implants to be inserted under the skin; injectable dermal fillers.

Class 10: Surgical implants comprising artificial material and associated surgical instrument sets; dermal filler kits comprised of a pre-filled syringe and surgical implants comprising artificial material to be inserted under the skin.

Country: Singapore

Trademark	Client-Matter/Sub Case	Status Class(es)	Application Number/Date	Registration Number/Date
NOVELLE	354661-910602/WP-SG/	Registered 05.10	T0901321E 21-Aug-2008	990270 21-Aug-2008
<p><i>Owner Name:</i> Corpt Systems, Inc.</p> <p><i>Goods:</i> Class 5: Dermal filler implants to be inserted under the skin; injectable dermal fillers.                      Class 10: Surgical implants comprising artificial material and associated surgical instrument sets; dermal filler kits comprised of a pre-filled syringe and surgical implants comprising artificial material to be inserted under the skin.</p>				



Country: Taiwan

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
COAPT SYSTEMS	354661-910502/TW/	Registered 10	97016727 11-Apr-2008	1360138 01-May-2009

Owner Name: Coapt Systems, Inc.

Goods: Class 10: Surgical implants for use in cosmetic, orthopedic and hand surgery; surgical instruments for use in general surgery, plastic surgery, reconstructive surgery and dermatological procedures; surgical threads, needles and cables.

ENDOTINE	354661-910503/TW/	Registered 10	97016730 11-Apr-2008	1349753 16-Feb-2009
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Owner Name: Coapt Systems, Inc.

Goods: Class 10: Surgical implants for use in cosmetic, orthopedic and hand surgery; surgical threads, needles and cables.

ULTRATINE	354661-910504/TW/	Registered 10	97016731 11-Apr-2008	1349754 16-Feb-2009
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Owner Name: Coapt Systems, Inc.

Goods: Class 10: Surgical implants for use in cosmetic, orthopedic and hand surgery; surgical threads, needles and cables.

Thursday, December 03, 2009

Trademark List by Country

Page: 8

Country: United States of America

Trademark	Client-Matter/SubCase	Status Class(es)	Application Number/Date	Registration Number/Date
COAPT & DESIGN	354661-900103/US/	Registered 10	78/231551 28-Mar-2003	2884172 14-Sep-2004

Owner Name: Coapt Systems, Inc.

Goods: Class 10: Surgical implants comprising artificial materials for use in cosmetic, orthopedic and hand surgery.

ENDOTINE	354661-900104/US/	Registered 10	78/231487 28-Mar-2003	2857973 29-Jun-2004
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Owner Name: Coapt Systems, Inc.

Goods: Class 10: Surgical implants comprising artificial materials for use in cosmetic, orthopedic and hand surgery.

NOVELLE	354661-900108/US/	NOA Issued 05,10	77/312604 01-Jul-2008	
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Owner Name: Coapt Systems, Inc.

Goods: Class 5: injectable dermal fillers.

Class 10: Surgical implants comprising artificial material and associated surgical instrument sets; dermal filler kits comprised of surgical implants of artificial material to be inserted under the skin and a pre-filled syringe comprising artificial material to be inserted under the skin.

RIBBON	354661-900105/US/	Registered 10	78/597664 29-Mar-2005	3211872 20-Feb-2007
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Owner Name: Coapt Systems, Inc.

Goods: Class 10: Medical implants comprised of three-dimensional medical grade bio-absorbable polymer devices featuring lines, for suspending soft tissue.

SurgiWire	354661-900106/US/	Registered 10	78/790752 12-Jan-2006	3245566 22-May-2007
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Owner Name: Coapt Systems, Inc.

Goods: Class 10: Surgical instruments comprised of an assembly of thin cable and needles used to create tissue dissection planes for use in general, plastic, reconstructive and dermatological procedures.

ULTRATINE	354661-900107/US/	Registered 10	78/790755 12-Jan-2006	3245567 22-May-2007
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Owner Name: Coapt Systems, Inc.

Goods: Class 10: Surgical implants comprising artificial materials for use in cosmetic, orthopedic and hand surgery.

EXHIBIT D

Mask Works and Mask Work Applications

N/A