## TRADEMARK ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
The Santa Cruz Operation, Inc.		05/07/2001	CORPORATION: CALIFORNIA

## **RECEIVING PARTY DATA**

Name:	Caldera International, Inc.	
Street Address:	40 West Center Street	
City:	Orem	
State/Country:	UTAH	
Postal Code:	84057	
Entity Type:	CORPORATION: DELAWARE	

## PROPERTY NUMBERS Total: 1

Property Type	Number	Word Mark
Registration Number:	1578766	sco

### **CORRESPONDENCE DATA**

Fax Number: (801)537-1799

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 801-537-1700

Email: hardman@austin-rapp.com

Correspondent Name: Thomas Hardman

Address Line 1: 170 South Main Street, Suite 735
Address Line 4: Salt Lake City, UTAH 84101

ATTORNEY DOCKET NUMBER: 3412.3.3

NAME OF SUBMITTER: Thomas M. Hardman

Signature: /Thomas M. Hardman/

Date: 01/23/2010

TRADEMARK REEL: 004136 FRAME: 0415 00/0/61 00:04

## **Total Attachments: 26**

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## INTELLECTUAL PROPERTY ASSIGNMENT

THIS INTELLECTUAL PROPERTY ASSIGNMENT (this "Assignment") is made as of May 7, 2001 (the "Effective Date") by and between The Santa Cruz Operation, Inc. a California corporation having its principal place of business at 425 Encinal Street, Santa Cruz, California 95060 (the "Assignor") and Caldera International, Inc. a Delaware corporation having its principal place of business at 240 West Center Street, Orem, Utah 84057 (the "Assignee").

WHEREAS, Assignor and Assignee are parties to the Agreement and Plan of Reorganization, dated August 1, 2000, and amended September 13, 2000, December 12, 2000 and February 9, 2001 (collectively, the "Reorganization Plan"), which requires the execution of Ancillary Agreements, including an intellectual property assignment.

WHEREAS, Assignor has developed, created, written, and/or acquired certain inventions, patent application, trade secrets, trademarks and trademark applications, designs, products, processes and works of authorship prior to the Effective Date, including but not limited to, the software code, inventions, trade secrets, trademarks and trademark applications designs, products, processes and works of authorship listed in <u>Schedules A-C</u> attached hereto (the "Inventions and Works") (which do not include the Excluded Assets, as defined in the Reorganization Plan, and any intellectual property rights appurtenant thereto);

WHEREAS, Assignor owns certain rights, title and interest to the Invention and Works and patent rights, trade secret rights, trademarks, copyrights, design rights and other rights throughout the world appurtenant or related thereto, including certain rights to recover for past infringement of such rights;

WHEREAS, Assignee is desirous of acquiring all rights, title and interest in and to the Inventions and Works, pursuant to the Reorganization Plan; and

WHEREAS, Assignor retains a license to use the SCO and SCO and design trademarks and the UNIX, UnixWare and SCO OpenServer technology as set forth herein.

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, the parties hereby agree as follows:

Assignment. Assignor hereby assigns, transfers and conveys to Assignee, and Assignee accepts, all of Assignor's right, title, and interest throughout the world in and to the Inventions and Works, including, but not limited to, any of the following appurtenant or related to the Inventions and Works: (i) all patents and patent applications and any and all patents that are or may be granted therefrom whether in the United States or any other country, including, without limitation, any extensions, continuations, continuations-in-part, divisions, reissues, reexaminations, and renewals thereof, or other equivalents thereof; (ii) all copyrights and all necessary and appropriate renewals and extensions thereof (iii) all trademarks, service marks, trade names, domain names, logos, trade dress, get up and product aesthetic features, including,

without limitation, all registrations, applications for registration and common law rights thereto and all goodwill associated therewith; (iv) all design rights, whether registered, unregistered, patented, patentable or otherwise; (v) all trade secret rights and rights to enforce confidentiality or similar obligations in relation to the Inventions and Works; (vi) any and all other forms of intellectual property or proprietary right recognized anywhere in the world; and (vii) all rights and privileges pertaining to (i) through (vi), including without limitation, the right, if any, to sue or bring other actions for past, present and future infringement thereof ((i) through (vii) hereinafter collectively referred to as the "Rights"). Notwithstanding the foregoing, Assignor retains a perpetual, non-transferable, non-sublicensable, royalty free, license back to use the SCO and SCO and design trademarks without attribution to Caldera, to the extent that Assignor enjoyed such rights prior to entering into the Reorganization Plan; and Assignor retains i) a perpetual, non-transferable, non-sublicensable, royalty free license back of the UNIX, UnixWare and SCO Open Server source code for Assignor's internal business use only, and ii) a perpetual, non-transferable, non-sublicensable, royalty free license to any future enhancements (meaning bug fixes, patches and any minor modifications) for a period of five years, for Assignor's internal business use only.

- 2. <u>Protection</u>. Assignor further hereby assigns to and empowers Assignee, its successors, assigns or nominees, all rights to make applications for patents, copyright, trademark or design registrations or other forms of protection for the Inventions and Works and to prosecute such applications as well as to claim and receive the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention that may henceforth be substituted for it, and the right to invoke and claim such right of priority without further written or oral authorization.
- 3. <u>Authorization to Record</u>. Assignor further agrees that this Assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document that may be required in any country for any purpose regarding the subject matter hereof, as well as constituting proof of the right of Assignee or its successors, assigns or nominees to apply for patent, copyright, trademark or design or other protection for the Inventions and Works and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention that may henceforth be substituted for it.
- 4. <u>Delivery</u>. Assignor agrees to deliver promptly to Assignee all the tangible examples reasonably requested by Assignee in Assignor's possession and all prototypes of the Inventions and Works held by Assignor as of the Effective Date.
- 5. Further Assurances. Assignor further agrees that Assignor will: (a) cooperate with Assignee's reasonable requests in the prosecution of patent, copyright, trademark or design applications on the Inventions and Works; (b) execute, verify, acknowledge and deliver all such reasonably required further papers, including applications and instruments of transfer; and (c) perform such other acts as Assignee lawfully and reasonably may request to obtain, maintain, defend or enforce Rights for the Inventions and Works any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns. In the event that Assignee is unable to to secure Assignor's signature to any document it is reasonably entitled to hereunder, after making a reasonable effort to secure such signatures, Assignor hereby irrevocably designates and

appoints Assignee and its duly authorized officers and agents, as its agents and attorneys-in-fact to act for and on its behalf and instead of it, to execute and file any such document and to do all other lawfully permitted acts to further the purposes of the foregoing with the same legal force and effect as if executed by Assignor.

- 6. Moral Rights. Any assignment of copyright hereunder includes all rights of paternity, integrity, disclosure and withdrawal and any other rights that may be known as or referred to as "moral rights" (collectively "Moral Rights"). To the extent such Moral Rights cannot be assigned under applicable law and to the extent the following is allowed by the laws in the various countries where Moral Rights exist, Assignee hereby waives such Moral Rights. Assignee shall confirm any such waivers and consents from time to time as requested by the Assignor.
- 7. <u>Confidentiality</u>. Assignor hereby acknowledges and agrees that as of the Effective Date of this Assignment, the Inventions and Works, the Rights related or appurtenant thereto, and fact and terms and conditions of this Agreement are confidential and proprietary information of Assignee and Assignor may not use or disclose such information to any third party upon or after the Effective Date.
- 8. <u>Representations and Warranties</u>. Assignor hereby represents and warrants to Assignee the following:
  - (i) Assignor has the full power, authority and all rights necessary to transfer and assign Assignor's Rights in the Inventions and Works to Assignee and to carry out the terms and provisions of this Agreement;
  - (ii) Assignor's Rights in the Inventions and Works has not heretofore been pledged, hypothecated or otherwise encumbered and is, in all respects, free and clear of all encumbrances including, without limitation, interests, claims, options, prior assignments, licenses, leases, liens, charges or any other restrictions of any nature whatsoever; except for a security interest in all of the assets of Assignor, including the Inventions and Works, by The Canopy Group, filed with the California Secretary of State on January 12, 2001; and except for the pending opposition action against the Unix Ware trademark in the EU.
  - (iii) By making this assignment Assignor will not breach any obligation to any third party under contract or otherwise;
  - (iv) The Inventions and Works do not infringe the Rights of any third party;
  - (v) Assignor has no knowledge of any fact that would prevent Assignee's registration of any Rights related or appurtenant to the Inventions and Works or recording the transfer of Rights hereunder (except that Assignor may not be able to establish a chain of title from Novell Inc. but shall diligently endeavor to do so as soon as possible); and

(vi) Assignor will not in the future register the Inventions and Works or any of the Rights related or appurtenant to the Inventions and Works.

Assignor agrees to defend and indemnify Assignee from and against any liabilities, claims, actions, demands or damages including, without limitation, reasonable attorneys' fees and costs arising from any breach of any of the foregoing warranties.

9. Miscellaneous. The waiver by either party of any breach of this Agreement or any right hereunder shall not constitute a waiver of any subsequent breach of this Agreement: nor shall any delay by either party to exercise any right under this Agreement operate as a waiver of any such right. If any provision of this Agreement shall be adjudged by any court of competent jurisdiction to be unenforceable or invalid, that provision shall be limited or eliminated to the minimum extent necessary so that this Agreement shall otherwise remain in full force and effect and enforceable. Headings and captions are for convenience only and are not to be used in the interpretation of this Agreement. Any party to this Agreement that takes steps to enforce the terms of this Agreement shall be entitled to reimbursement of any costs and expenses (including reasonable attorneys' fees) in the event of any such action. This Agreement shall be deemed to have been made in, and shall be construed pursuant to the laws of the State of New York and the United States America without regard to conflicts of laws provisions thereof. The parties agree that this Agreement is the complete and exclusive statement of the mutual understanding of the parties and supersedes and cancels all previous written and oral agreements and communications, if any, relating to the subject matter of this Agreement. This Agreement shall be binding on the parties' respective heirs, executors, successors and assigns.

IN WITNESS WHEREOF, the parties hereto have executed this Intellectual Property Assignment as of the date first written above.

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ASSIGNEE:
CALDERA INTERNATIONAL, INC., a Delawa corporation
theu.
By: KOBERT PENCON Its: VP CFO
ASSIGNOR:
THE SANTA CRUZ OPERATION INC., a California corporation
By:
Ite:

## CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California	
County of Souta Chiz	
On April 30 2001 before	Bresee
paragraphy appeared Paudall	Name and Title of Officer (e.g., "Jane Doe, Motary Public")
personally appeared	Name(s) of Signer(s)
NANCY VALES-CORBETT  Commission # 1232403  Notory Public Collegies  My Comm. Epites Aug 27, 2103	to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  WITNESS my hand and official seal.  Signature of Notary Public
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IN WITNESS WHEREOF, the parties hereto have executed this Intellectual Property Assignment as of the date first written above.

ASSIGNEE:
CALDERA INTERNATIONAL, INC., a Delaware corporation
By:
Its:
ASSIGNOR:
THE SANTA CRUZ OPERATION INC., a California corporation
/Know
By: Know Bresse
Ite: (EO

county of <u>Soula Couz</u>	SS.
On this	basis of satisfactory evidence to be the person  Financial Officer, on behalf of
IN WITNESS WHEREOF, I have hereunto set year in this certificate above written.	my hand and affixed my official seal the day and
[SEAL]	
NANCY VALEK-CORBETT Commission (* 1232403 L. Notary Public - California Santa Cruz County My Comm. Expires Aug 27, 2003	Notary Public in and for the aforesaid County and State

# SCHEDULE A ASSIGNED PATENT APPLICATION

## Patent Application:

U.S. Utility Patent Application entitled "Method and Apparatus for Executing Java Applications on a Single Java Virtual Machine," Application No. 09/464,352; Filed December 15, 1999.

# SCHEDULE B ASSIGNED TRADEMARKS AND TRADEMARK APPLICATIONS

Country	Trademark	Registration Date	Registration No.	Status/Class
Argentina	SCO	09/30/93	1472693	Registered 9
	sco	06/30/94	1530433	Registered 42
	Open Desktop	(Applied for, filing date: 7/14/89, Application no. 1696846)	(Applied for)	Abandoned/9
Australia	Open Desktop	7/13/89	A514837	Abondoned/9 1996
	ODT	(Applied for, filing date: 7/22/91, Aplication no. 560086)	(Applied for)	Pending/9
	sco	09/05/01	563113	Registered/42
	SCO	09/05/91	563112	Registered/9
	SCO and Design (Stylized Tree)	02/15/96	702508	Registered/9
	UNIXWARE	07/01/94	633864	Registered/9
Austria	Open Desktop	4/30/90	130350	Registered/9, 16, 36, 42 Do not renew instructions 1/5/2000
	SCO	01/22/92	139950	Registered/9, 16, 36, 41, 42
Bangladesh	Open Desktop	09/30/91	33556	Registered/9 Renewal date 9/30/1998
	Open	09/30/91	33557	Registered/16

	Desktop			Renewal date 9/30/1998
	sco	01/13/00	33554	Registered/9
	SCO	09/30/91	33557	Registered/16
	UNIXWARE	(Applied for, filing date: 9/17/94, Application no. 41673)	(Applied for)	(Applied for)
	UNIXWARE	(Applied for, filing date: 9/17/94, Application no. 41672)	(Applied for)	(Applied for)
Benelux	Open Desktop	07/17/89	467837	Registered, 9, 16, 42 Do not renew instructions 3/23/99
	SCO	02/08/90	472347	Registered/9, 16, 41, 42
	SCO and Design (Stylized Tree)	02/14/86	587243	Registered/9, 16, 41
Bolivia	Open Desktop	10/02/90	50510C	Registered/9 Renewal Date 10/2/00
Brazil	Open Desktop	(Applied for, filing date: 7/24/89, Application No. 814975062)	(Applied for)	Abandoned/9 1996
	SCO and Design (Stylized Tree)	03/30/99	819433667	Registered/9,
	SCO	03/22/94	816968500	Registered/9
	sco	04/05/94	816966133	Registered/40

Canada	Open Desktop	07/19/91	386744	Registered Renewal date 07/19/06
	Open Desktop And Design	(Applied for, filing date: 4/4/89, Application No. 623809)	(Applied for)	Abandoned/19 95
	SCO and Design (Stylized Tree)	02/29/96	475,359	Registered
	SCO	04/23/96	411425	Registered
	SCO and Design	12/17/93	420940	Registered
Chile	Open Desktop	02/26/92	382125	Registered/41, 42 Renewal date 02/26/02
	Open Desktop	12/10/93	418111	Registered/9, 16 Renewal date 12/10/03
	sco	5/17/89 (renewed 3/23/99)	342750	Registered/42
	sco	03/10/92	383070	Registered/4,
China	Open Desktop	(Applied for, filing date: 9/17/92)	(Applied for)	Inactive/16
	Open Desktop	(Applied for, filing date 9/17/92)	(Applied for)	Inactive/9
	Design (Stylized Tree)	07/28/97	1065879	Registered/9
	UNIXWARE	08/07/96	860857	Pagistared/0
Columbia	Open Desktop	06/09/93	141336	Registered/9 Registered/9 Renewal date
	Desvioh			Nellewal date

				06/09/03
Costa Rica	Open Desktop	12/21/90	74001	Registered/9 Renewal Date 12/21/00
Denmark	Open Desktop	08/27/93	VR060871993	Registered/9 Renewal Date 08/27/03 To be abandoned
	UNIXWARE	06/24/94	41.125.1994	Registered/9
	SCO	10/30/92	VR100061992	Registered/9, 16, 41, 42
Ecuador	Open Desktop	06/20/90	310090	Registered/9 Renewal Date 06/20/05
El Salvador	Open Desktop	(Applied for, Application No. E163489)	(Applied for)	Abandoned/9 1996
	Open Desktop	(Applied for, Application No. E163589)	(Applied for)	Abandoned/16 1996
European Community	SCO and Design (Stylized Tree)	9/11/98	183129	Registered/9, 16, 42
	UNIXWARE	(Applied for, filing date: 4/1/96; Application no. 183103)	N/A	Opposed/9, 16, 41
Federal Republic of	UNIXWARE	5/12/93	203107	Registered/9, 16, 37, 38, 42
Germany	UNIXWARE (stacked)	06/17/93	2038592	Registered/9, 16, 37, 38, 42
	UNIXWARE	04/29/93	2035231	Registered/9, 16, 37, 38, 42
Finland	Open Desktop	01/20/95	135997	Registered/9, 16, 42 Renewal date 1/20/05

	Open Desktop	(Applied for, filing date: 7/13/89, Application No. 353789)	(Applied for)	Abandoned/9 1996
	SCO	12/21/92	123767	Registered/9, 16, 42
France	Open Desktop	07/27/89	1543525	Registerd/9, 16, 42 Do not renew instructions 3/23/99
	SCO	09/09/91	1692453	Registered/9, 16, 41, 42
	SCO	03/13/87	1398504	Registered/9,
Germany	Open Desktop	03/23/94	1189990	Registered/9, 16, 35, 42 Do not renew instructions 08/04/00
	SCO	03/01/90	1186634	Registered/9, 16, 41, 42
Greece	Open Desktop	07/12/89	94650	Registered/9, 16 Renewal date 07/12/99
Guatemala	Open Desktop	10/24/90	63279	Registered/9 Renewal date 10/24/00
Hong Kong	SCO and Design (Stylized Tree)	02/24/96	5080/97	Registered/9
	SCO	09/11/91	1108/94	Registered/9
	SCO	09/11/91	879/93	Registered/16
Indonesia	Open Desktop	03/23/92	273226	Registered/9, 16 Renewal date 03/23/02
	UNIXWARE	08/28/95	360559	Registered/9

<del></del>	SCO	1/24/95	327021	Registered/9
	SCO	(Applied for, filing date: 9/30/93, Application no. HC010114085A)	333456	(Applied for)
International Bureau	SCO	07/15/87	516330	Registered/9,
Ireland	Open Desktop	05/31/93	140756	Abandoned/9 1/23/96
	sco	09/05/91	146285	Registered/9
Israel	Open Desktop	(Applied for, filing date 9/16/91, Application no. 80953)	(Applied for)	Abandoned/9 12/23/96
	Open Desktop	(Applied for, filing date 10/12/94, Application no. 95553)	(Applied for)	Abandoned/9 12/23/96
Italy	Open Desktop	01/29/92	558705	Registered/9, 16, 36, 42 Renewal date 7/26/99
	UNIXWARE	12/02/93	678741	Registered/9
	SCO	03/02/94	615327	Registered/9, 16, 41, 42
Japan	Open Desktop	05/31/93	2538133	Registered/11 Renewal date 05/31/03
	Open Desktop	05/21/93	2538133	Registered/11 Renewal date 05/31/03 Do not renew instructions 3/23/99
	ODT	2/28/95	2704134	Registered/11 Renewal Date 2/28/05
	Open	(Applied for, filing	(Applied for)	Abandoned/11

	Desktop in Katakana	date 12/12/90, Application no. HEI2138270)		
	SCO and Design (Stylized Tree)	10/09/98	4197569	Registered/9
	UNIXWARE	03/21/99	4,273,396	Registered
	sco	6/27/97	3325688	Registered/42
	sco	02/24/93	2610962	Registered/11
Korea (North)	Open Desktop	02/22/91	210102	Registered/39 Renewal date: 02/22/01 Do not renew instructions 1/23/96
Korea (South)	SCO and Design (Stylized Tree)	11/01/97	380641	Registered/9
Malaysia	Open Desktop	(Applied for, filing date 7/26/89, Application No. 8904470)	(Applied for)	Abandoned/9 1/23/96
Mexico	ODT	3/14/91	433850	Registered/9 Renewal Date 3/14/01
	Open Desktop	07/25/94	382323	Registered/ 1,6,8,9,11, 12,14,16 Renewal date 07/25/04
	SCO and Design (Stylized Tree)	(Applied for, filing date 02/20/96, Application no. 254919)	(Applied for)	Filed/9
	UNIXWARE	(Applied for, filing date: 12/10/93, Application no.	(Applied for)	Filed/9

		185653)		
	sco	3/14/91 renewal filed on 10/2/2000)	399947	Registered/9
	SCO	10/15/91 (renewal filed on 11/22/2000)	400984	Registered/42
	SCO	03/14/91 (renewal field on 10/2/2000)	399948	Registered/16
New Zealand	Open Desktop	(Applied for, filing date: 7/10/89, Application no. 194555)	(Appiled for)	Abandoned/9 1/23/96
	SCO	02/09/96	A212704	Registered/9
	UNIXWARE	08/02/94	239501	Registered/9
Norway	Open Desktop	10/31/91	147391	Registered/9, 16, 42 Renewal date 10/31/01 To be abandoned
	SCO	01/14/93	154571	Registered/9, 16, 42
	UNIXWARE	11/02/95	169 934	Registered/9
Pakistan	Open Desktop	(Applied for, filing date 12/4/91, Application no. 113420)	(Applied for)	Abandoned/9 1/23/96
	SCO	11/29/99	113421	Registered/9
	SCO	(Application filed, filling date: 12/04/91, Application No. 113422)	(Application filed)	(Pending – published on 2/28/97)
Paraguay	Open Desktop	12/18/89	137959	Registered/9 Renewal date 12/18/99
Peru	Open Desktop	(Applied for, filing date 8/4/89,	(Applied for)	Rejected

		Application no. 157636)		
Portugal	Open Desktop	12/10/92	257352	Registered/9 Renewal Date 12/10/02
	sco	08/17/93	278165	Registered/9
	SCO	09/20/93	278743	Registered/42
Russia	Open Desktop	10/16/92	40861-	Registered/9 Renewal Date 10/09/01
	sco	10/09/91	109157	Registered/9
Singapore	Open Desktop	3/16/89	A453989	Abandoned/9 1/23/96
	ODT	(Applied for, filing date 2/28/91, Application no. 128891)	(Applied for)	Abandoned/9
	SCO	02/28/91 (renewed 2/28/98)	128991	Registered/9
	SCO	(Application filed on 2/28/91, Application No. 129091)	(Applied for)	(Pending/16
	sco	03/01/91	218291	Registered/42
South Africa	Open Desktop	08/02/89	B896925	Registered/9 Renewal Date 08/02/99 Not renewed
Spain	Open Desktop	(Applied for, filing date 7/27/89, Application no. 1514081)	(Applied for)	Abandoned/9 1/23/96
	UNIXWARE	12/05/95	1737324	Registered/9
	SCO	02/20/90 (application renewed until	1550163	Registered/9

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	SCO	06/03/93	1667248	Registered/42
Sri Lanka	Open	11/08/91	62566	Registered/9
SII Lalika		11/00/91	02300	Registered/9 Renewal Date
	Desktop			i i
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	SCO	05/23/95	62568	Registered/9
	SCO	11/08/91	62569	Registered/42
Sweden	Open	07/23/93	250260	Registered/9,
	Desktop			16, 42
				Renewal Date
				07/23/03
				07723703
	UNIXWARE	04/29/94	257804	Registered/9
	SCO	05/14/93	249102	Registered/9,
				41, 42
Switzerland	Open	07/11/89	374836	Registered/9, 6
	Desktop			Renewal Date
				7/11/09
				1711705
	sco	09/05/91	392642	Registered/9,
		07/03/71	372042	16
Taiwan	Open	09/16/90	498741	Registered/72
Tuivaii	Desktop	05/10/50	150711	Renewal Date
	Desktop			09/15/00
				09/13/00
	ODT	10/16/91	538693	Pagintared/40
	UDI	10/10/91	338093	Registered/49
				Renewal Date
				9/15/00
	ODT	11/1/01	542254	D = ==================================
	ועטן	11/16/91	542354	Registered/72
				Renewal Date
				11/15/01
	600 - 1	02/16/07	752020	D 1/0
	SCO and	03/16/97	753030	Registered/9
	Design			
	(Stylized			
	Tree)			}
	500	01/16/02	549770	D :- 1/70
	SCO	01/16/92 renewal	548772	Registered/72
		filed 7/7/99	1	
	sco	10/16/91 renewal	538736	Pagistared/40
L	1 300	1 10/10/91 Tellewal	00100	Registered/49

		filed 7/7/99		
Thailand	Open Desktop	03/17/92	KOR272	Registered/9 Renewal Date 03/17/02
	SCO	06/30/93	KOR3310	Registered/9
	sco	06/30/93	KOR3321	Registered/16
Turkey	Open Desktop	07/18/89	113450	Registered/9, 16 Renewal Date 07/18/99 Not Renewed
United Kingdom	Open Desktop	(Applied for, filing daet: 1/27/89, Application no. 1390250)	1/27/89	Abandoned/9 1/23/96
	Open Desktop	03/09/91	B1457899	Registered/9 Renewal Date 03/09/98 Do not renew instructions 1/4/95
	UNIXWARE	09/22/93	1,548,436	Registered/9
	SCO	09/17/91 (renewed through 9/17/2008)	1477302	Registered/9
	SCO	9/17/91 (renewed through 9/17/2008)	1477303	Registered/42
United States	Open Desktop	2/6/90	1581180	Abandoned/9 1/23/96
	Open Desktop	03/20/90	1587521	Registered/9 Renewal Date 03/20/90 Do not renew isntructions 1/4/95
	UNIXWARE	07/19/94	1,845,474	Registered/9
	UNIXWARE	07/27/99	2,241,666	Registered/9
	SCO and	05/27/97	2,064,732	Registered/9

	Design (Stylized Tree)			
	SCO	01/23/90 (request for renewal sent 1/4/00)	1578766	Registered/9, 16, 41,42
Uruguay	Open Desktop	04/03/92	231681	Registered/9, 16, 42 Renewal Date 04/03/02
Venezuela	Open Desktop	(Applied for, filing date 8/7/89, Application no. 011681)	(Applied for)	Abandoned/50 1/23/96

TRADEMARK

**REEL: 004136 FRAME: 0437** 

# SCHEDULE C ASSIGNED COPYRIGHTS AND TECHNOLOGY

## Copyrights

## A. Source Code Products:

UnixWare 7 Source Code product family as described in SCO's UnixWare 7 Licensing Schedules.

UnixWare 2 product family as described in SCO's UnixWare 2 Licensing Schedules.

UnixWare 1.1 as described in the UnixWare 1.1 Licensing Schedule.

UNIX SVR4.2MP as described in the UNIX SVR4.2MP Licensing Schedule and those products listed as "prior" products on such schedule.

UNIX SVR4.2 as described in the UNIX SVR4.2 Licensing Schedule and those products listed as "prior" products on such schedule.

UNIX SVR4.1 ES as described in the UNIX SVR4.1 ES Licensing Schedule and those products listed as "prior" products on such schedule.

UNIX SVR4.0MP as described in the UNIX SVR4.0 MP Licensing Schedule and those products listed as "prior" products on such schedule.

Auxiliary SVRx Products as set forth in Schedule 1 attached hereto.

## B. Binary Products:

UnixWare 7 product family as described in SCO's price list attached hereto.

UnixWare 7 NonStop Clusters as described in SCO's price list attached hereto.

UnixWare 2 product family as described in SCO's price list attached hereto.

UnixWare 1.1 product family, including UnixWare 1.1.x update releases.

## C. Products Under Development:

UnixWare 7.1.1 Media Kit Refresh ("Binary Roll-up").

UnixWare 7.1.1 Feature Supplements for UDI, UODK, and DCAP UnixWare 7.1.2 Maintenance Release.

UnixWare 7.2 Upgrade Release - Code Named Aries.

Linux Personality for UnixWare7 - Code Named LKC.

UnixWare 7 NonStop Clusters Release 7.1.1C.

## Other Technology

UnixWare system/HBA/etc. Test/Certification Suites

UnixWare "OS Branding" Test Suites

UnixWare "OS Compatible" Requirements

Gaede Performance Test Suite

ARTUS, Bart, Buster International UNIX Test Suite and test harnesses

Requirements, Design, and Test Specifications for UnixWare 2

Technical Support Update Manager

Marketing collateral/information in electronic form

ODI transmogrification software

Perk up technology

OpenServer Products: all software, development tools, compilers, libraries, driver kits, utilities, and the operating system software and other products in whole or in part based on or developed from or for the AT&T Unix System V version 3.2 kernel and any successor to that kernel, including the kernel, the code base, the application program interfaces, the application binary interfaces, derivative works thereof, and those products offered under the names or marks "Appliance Server", SCO Admin, SCO OpenServer Enterprise System, SCO OpenServer Host System, SCO OpenServer Internet FastStart System, or SCO OpenServer Desktop System, SCO Virtual Disk Manager, SCO Doctor, SCO ARCserve/Open, SCO Merge, SCO OpenServer SMP<sup>TM</sup> Licenses, SCO PPP from Morning Star, SCO Internet Security Package, SCO Internet to NetWare Gateway, and Interscan VirusWall, and all successors, upgrades, enhancements, releases, new versions, and updates to any of the above that have been developed or acquired by the Contributing Companies as of the Effective Time, as defined in the Reorganization Plan.

## D. Auxiliary SVRx Products

Open Network Computing+

386 Implementation of UNIX System V Release 4 Multi-National Language Supplement 386 Implementation of UNIX System5 Release 4 Multi-National Language Supplement 3B2 Implementation of UNIX System5 Release 4 Multi-National Language Supplement Application Source Verifier Release 2.0

Artus

C Compilation System for Motorola 68000

C Optimized Compilation System for UNIX System 5 386/486

C++ Documents

C++ Language System Release 2.1

C++ Language System Release 3.0 and 3.0.1

C++ Language System Release 3.0.2

C++ Language System Release 3.0.3

C++ Object Interface Library Release 1.1

C++ Standard Components Release 2.0

C++ Standard Components Release 2.0.1

C++ Standard Components Release 3.0

C++ Standard Libraries Release 2.0

C++ Standard Libraries Release 3.0

C++ Standard Libraries Extension Release 1.0

C++LS 2.0

C++Translator

CFRONT Release 1.2

Chinese System Messages Implementation of UNIX System V Release 4 System Messages

Distributed Manager/Framework & Host Manager Release 1.0

Distributed Manager/Framework & Host Manager Technology Licensing Program 1

Distributed Manager/Framework & Host Manager U.I. Early Access

Distributed Manager/Print Manager Release 1.0

Distributed Manager/Print Manager Technology Licensing Program 1

Distributed Manager/Print Manager Technology Licensing Program 1

Distributed Manager/Print Manager U.I. Early Access

DM/SM-TLPI

Documentation Reproduction Provision-UNIX System V Handbook

Documentation Reproduction Provision-UNIX System V Programming Books

Documentation Reproduction Provision-UNIX System V Reference Books

Documentation Reproduction Provision-UNIX System V Users and Administrators Books

European Supplement Release 3.2

European System Messages Release 3.2

French Application Environment 1.0/3b2

French System Messages Implementation of UNIX System V Release 4 System Messages

French System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

German Application Environment

German System Messages Implementation of UNIX System V Release 4 System Messages

German System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

Hindi System Messages Implementation of UNIX System V Release 4 System Messages

Intel386 Microprocessor Implementation of VERITAS File System (VxFS) Release 1.0

Intel386 Microprocessor Implementation of VERITAS Visual Administrator Release 1.01

Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.01

Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1

Intel386 Microprocessor Implementation of VERITAS Volume Manager (VxVM) Release 1.1.1

Italian System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

Italian System Messages Implementation of UNIX System V Release 4 System Messages

Japanese Application Environment I/O Rel 1.0

Japanese Application Environment Release 2.0

Japanese Application Environment Release 2.0

Japanese Application Environment Release 2.1

Japanese Application for SVR4.2

Japanese Extension Implementation of UNIX System V Release 4.2

Japanese I/O Release I.0

Japanese System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

Japanese System Messages Release 3.2

Korean System Messages Implementation of UNIX System V Release 4 System Messages Optimizing C Compiler for Intel, Release 3.0

Spanish System Messages Implementation of UNIX System V Release 4 System Messages

Spanish System Messages Implementation of UNIX System V Release 4.1 Enhanced Security System Messages

System V Release 2.0 Machine Readable Documentation

System V Release 3.0 Documentation Reproduction Provision

System V Release 3.1 Documentation Reproduction Provision

System V Release 3.2 Documentation Reproduction Provision

System V Verification Suite Release 2

System V Verification Suite Release 3

System V Verification Suite Release 4

UNIX System V French System Messages Release 3.2

UNIX System V German System Messages Release 3.2

UNIX System V Release 1.0 for 3B2 Multi-National Language Supplement

UNIX System V Release 1.0 for Intel 386 Multi-National Language Supplement

UNIX System V Release 3.2 386 Documentation Reproduction Provision

UNIX System V Release 3.2 for Intel 386 Multi-National Language Supplement

UNIX System V Release 3.2 for Intel 386 Multi-National Language Supplement

UNIX System V Release 3.2 Multi-National Language Supplement

UNIX System V Release 4 European Language Supplement

UNIX System V Release 4 STREAMS-Based Korean Input/Output Subsystem

UNIX System V Release 4.0 386 Documentation Reproduction Provision

UNIX System V Release 4.0 3B2 Documentation Reproduction Provision

UNIX System V Release 4.0 i860 Documentation Reproduction Provision

UNIX System V Release 4.2 European Language Supplement, Version 1

UNIX System V Release 4.2 MP Japanese Extension

UNIX Time Sharing Operating System Phototypesetter and C Compiler Edition #7

USL Standard C Development Environment for the 860 Implementation of UNIX System V Release 4.0

Veritas File System (VxFS) Release 1.3 for UNIX System V Release 4.2

XWIN Graphical Windowing System Release 3.0

XWIN Graphical Windowing System Release 4.0

XWIN Graphical Windowing System Release 4.01

[INSERT OPEN SERVER SOFTWARE FROM ATTACHMENT B OF AMENDMENT 3]

**RECORDED: 01/23/2010**