# Electronic Version v1.1 Stylesheet Version v1.1

**SUBMISSION TYPE: NEW ASSIGNMENT** 

NATURE OF CONVEYANCE: SECURITY INTEREST

# **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Facet Solutions, Inc.		11/05/2009	CORPORATION: DELAWARE

#### **RECEIVING PARTY DATA**

Name:	TriplePoint Capital LLC
Street Address:	2755 Sand Hill Road, Suite 150
City:	Menlo Park
State/Country:	CALIFORNIA
Postal Code:	94025
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE

## PROPERTY NUMBERS Total: 7

Property Type	Number	Word Mark
Registration Number:	3382874	AFRS
Registration Number:	3538793	FACET SOLUTIONS INC
Serial Number:	77553487	ACADIA
Serial Number:	77553507	VIKING
Serial Number:	78638317	AFR
Serial Number:	78638324	MIAFR
Serial Number:	78825743	FACET SOLUTIONS, INC.

# CORRESPONDENCE DATA

Fax Number: (650)833-2001

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

650-833-2247 Phone:

Email: charlotte.fu@dlapiper.com

Correspondent Name: Charlotte X. Fu Address Line 1: DLA Piper LLP (US) Address Line 2: 2000 University Avenue

> **TRADEMARK** REEL: 004198 FRAME: 0782

900161297

Address Line 4: East Palo Alto, CALIFORNIA 94303			
ATTORNEY DOCKET NUMBER:	361496-56 (MCS15097)		
NAME OF SUBMITTER:	Charlotte X. Fu		
Signature:	/s/ Charlotte X. Fu		
Date:	05/04/2010		
Total Attachments: 12 source=TriplePoint-IP Agmt-Facet-2009110	5#page2.tif 5#page3.tif 5#page4.tif 5#page5.tif 5#page6.tif 5#page6.tif 5#page7.tif 5#page8.tif 5#page9.tif 5#page9.tif 5#page10.tif		



#### PLAIN ENGLISH INTELLECTUAL PROPERTY SECURITY AGREEMENT

This is a Plain English Intellectual Property Security Agreement (this "Agreement") dated November 5, 2009 by and between TriplePoint Capital LLC, a Delaware limited liability company and Facet Solutions, Inc., a Delaware corporation.

The words "We", "Us", or "Our", refer to the grantee, which is TriplePoint Capital LLC. The words "You" refers to the grantor, which is Facet Solutions, Inc. and not any individual. The words "the Parties" refers to both TriplePoint Capital LLC and Facet Solutions, Inc..

The Parties have entered into a Plain English Growth Capital Loan and Security Agreement dated June 9, 2008 (together with amendments, supplements, extensions and exhibits, collectively the "Loan Agreement"). Pursuant to the Loan Agreement, You have granted to Us a lien on and a security interest in, among other things, all the present and future rights, title, and interest that You may now have or hereafter acquire in all Patents, Trademarks, Copyrights, and applications for Patents, Trademarks and Copyrights.

In consideration for the mutual covenants and agreements contained in the Loan Agreement and this Agreement, and for other good and valuable consideration, the receipt and sufficiency of which are acknowledged, the Parties agree as follows:

### 1. GRANT OF SECURITY INTEREST OF PATENTS

You grant to Us a lien upon and continuing security interest in all of Your right, title, and interest in, to and under all of the following (all of the following items of property collectively will be referred to as the "Intellectual Property Collateral"), whether now existing or hereafter arising or acquired:

- ⇒ all Patents, Patent Licenses, and Patent applications, including specifically those listed on the attached Schedule A, together with any reissues, divisions, continuations, renewals, extensions and continuations thereof;
- ⇒ all Trademarks, Trademark Licenses, and trademark applications, including specifically those listed on the attached Schedule B together with any renewals thereof,
- ⇒ all Copyrights, Copyright Licenses, and applications for Copyrights, including specifically those listed on the attached Schedule C;
- ⇒ the right to sue for past, present and future infringements of the foregoing and all rights corresponding thereto throughout the world and all re-issues, divisions continuations, renewals, extensions and continuations-in-part thereof; and
- ⇒ all Proceeds.

Notwithstanding anything to the contrary herein, the Intellectual Property Collateral shall not include any intent-to-use trademark application at any times prior to the first use thereof, whether by the actual use thereof in commerce, the recording of a statement of use with the United States Patent and Trademark Office or otherwise, but only to the extent the granting of a security interest in such intent to use trademark would materially and adversely affect Your rights to such trademarks under to applicable law.

You represent and warrant to Us that Schedules A, B, and C attached hereto set forth any and all intellectual property rights in connection to which You have registered or filed an application with either the United States Patent and Trademark Office or the United States Copyright Office, as applicable.

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#### 2. LOAN AGREEMENT

This security interest is granted to secure the Secured Obligations, under the Loan Agreement. All the capitalized terms used but not otherwise defined are used in this Agreement with the same meaning as defined in the Loan Agreement.

#### 3. OUR RIGHT TO SUE

From and after an Event of Default, subject to the terms of the Loan Agreement, We shall have the right, but shall in no way be obligated, to bring suit in Our own name to enforce Your rights in the Intellectual Property Collateral. If We commence any such suit, You shall, at the Our request, do all lawful acts and execute and deliver all proper documents or information that may be reasonably necessary or desirable to aid Us in such enforcement. You shall promptly, upon demand, reimburse and indemnify Us for all of Our costs and expenses, including reasonable attorney's fees, related to Our exercise of the above mentioned rights.

#### 4. FURTHER ASSURANCES

You will from time to time execute, deliver and file, alone or with Us, any security agreements, or other documents to perfect and give priority to Our lien on the Intellectual Property Collateral. You will from time to time obtain any instruments or documents as We may reasonably request, and take all further action that may be reasonably necessary or desirable, or that We may reasonably request, to carry out more effectively the provisions and purposes of this Agreement or any other related agreements or to confirm, perfect, preserve and protect the liens granted to Us.

#### 5. MODIFICATION

This Agreement can only be altered, amended or modified in a writing signed by the Parties. Notwithstanding the foregoing however, You hereby irrevocably appoint Us (and any of Our designated officers, agents or employees) as Your true and lawful attorney in fact to modify, in Our sole discretion, this Agreement without first obtaining Your approval of or signature to such modification by amending Schedules A, B, and C to this Agreement, as appropriate, to include reference to any right, title or interest in any Intellectual Property Collateral acquired by You before or after the execution hereof or to delete any reference to any right, title or interest in any Intellectual Property Collateral in which You no longer have or claim to have any right, title or interest. The appointment of Us as Your attorney in fact, and each and every one of Our rights and powers, being coupled with an interest, is irrevocable until all of the Secured Obligations have been fully repaid and performed and Our obligation to provide credit extensions to You is terminated.

#### 6. BINDING EFFECT; REMEDIES NOT EXCLUSIVE

This Agreement shall be binding upon You and Your successors and assigns, and shall inure to the benefit of Us, and Our nominees, successors and assigns.

Our rights and remedies with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Us as a matter of law or equity. Each of Our rights, powers and remedies provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Us of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Us, of any or all other rights, powers or remedies.

## 7. GOVERNING LAW; COUNTERPARTS

This Agreement shall be deemed made and accepted in and shall be governed by and construed in accordance with the laws of the State of California, and (where applicable) the laws of the United States of America.

This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument.

(Signature Page to Follow)

# IN WITNESS WHEREOF, the Parties have duly executed this Agreement as of the date first set forth above.

You:	FACET SOLUTIONS, INC.
Signature:	All Pauls
Print Name:	(IL
Title:	
Us:	TRIPLEPOINT CAPITAL LLC
Signature:	aparamental and an aparamental and a second a
Print Name:	
Title:	

[SIGNATURE PAGE TO PLAIN ENGLISH INTELLECTUAL PROPERTY SECURITY AGREEMENT]

IN WITNESS WHEREOF, the Parties have duly executed this Agreement as of the date first set forth above.

You:	FACET SOLUTIONS, INC.
Signature:	
Print Name:	Accorded to the State of State
Title:	NAME OF THE PARTY
Us:	TRIPLEPOINT CAPITAL LLC
Signature:	Alf M
Print Name:	Sajal Srivastava
Title	Chief Operating Officer

[SIGNATURE PAGE TO PLAIN ENGLISH INTELLECTUAL PROPERTY SECURITY AGREEMENT]

## SCHEDULE A

# To Plain English Intellectual Property Security Agreement Between Facet Solutions, Inc., as You (Grantor) and TriplePoint Capital LLC, as Us (Grantee)

# PATENTS AND PATENT APPLICATIONS

## **PATENTS**

Patent Name	Status and Date Issued		Patent Number
ARTIFICIAL FACET JOINT	Expired	11/5/1996	5,571,191
ARTIFICIAL FACET JOINT	In Force	6/27/2000	RE36,758
PROSTHESIS FOR THE	In Force	7/16/2002	6,419,703
REPLACEMENT OF A			
POSTERIOR ELEMENT OF A			
VERTEBRA			
MULTIPLE FACET JOINT	In Force	5/20/2003	6,565,605
REPLACEMENT			
FACET JOINT REPLACEMENT	In Force	6/17/2003	6,579,319
PROSTHESIS FOR THE	In Force	6/7/2005	6,902,580
REPLACEMENT OF A			
POSTERIOR ELEMENT OF A			
VERTEBRA			
FACET JOINT REPLACEMENT	In Force	5/9/2006	7,041,136
MULTIPLE FACET JOINT	In Force	7/11/2006	7,074,237
REPLACEMENT			
METHOD AND APPARATUS	In Force	8/15/2006	7,090,698
FOR SPINE JOINT			
REPLACEMENT			
METHOD AND APPARATUS	In Force	11/4/2008	7,445,635
FOR SPINE JOINT			
REPLACEMENT			
SURGICAL MEASUREMENT	In Force	3/24/2009	7,507,242
AND RESECTION FRAMEWORK			
PROSTHESIS FOR THE	In Force	7/28/2009	7,566,345
REPLACEMENT OF A			
POSTERIOR ELEMENT OF A			
VERTEBRA			
SURGICAL MEASUREMENT	In Force	9/15/2009	7,588,578
SYSTEMS AND METHODS			
SPINAL FACET IMPLANT WITH	In Force	9/15/2009	7,588,590
SPHERICAL IMPLANT			
APPOSITION SURFACE AND			
BONE BED AND METHODS OF			
UŠE			

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Name	Status &	Date Filed	Application Number
LINKED BILATERAL SPINAL	Published	6/2/2004	10/860495
FACET IMPLANTS AND			
METHODS OF USE			
SPINAL FACET JOINT IMPLANT	Published	6/2/2004	10/860487
SPINAL FACET IMPLANTS	Published	6/2/2004	10/860543
WITH MATING ARTICULATING			
BEARING SURFACE AND			
METHODS OF USE			
FACET JOINT REPLACEMENT	Published	8/24/2005	11/211849
POLYAXIAL ORTHOPEDIC	Published	2/22/2005	11/063941
FASTENING APPARATUS			
POLYAXIAL ORTHOPEDIC	Published	12/19/2005	11/312323
FASTENING APPARATUS WITH			
INDEPENDENT LOCKING			
MODES		0/11/0007	11/0/01/00
FACET JOINT IMPLANT	Published	2/7/2006	11/350179
CROSSLINKING APPARATUS			
AND METHOD POLYAXIAL REAMING	Published	3/24/2006	11/200200
APPARATUS AND METHOD	ruonsneu	3/44/2000	11/388389
SYSTEM AND METHOD FOR	Published	8/9/2006	11/463513
MULTIPLE LEVEL FACET JOINT	ruonsneu	8/ <i>9</i> /2000	11/403313
ARTHROPLASTY AND FUSION			
LINKED BILATERAL SPINAL	Published	4/12/2007	11/734502
FACET SUPERIOR AND	1 401131144	1712/2007	11,75150
INFERIOR IMPLANTS AND			
METHODS OF USE			
FACET JOINT REPLACEMENT	Published	2/1/2007	11/670292
TAPER-LOCKING FIXATION	Published	1/10/2008	11/972158
SYSTEM			
FACET JOINT REPLACEMENT	Published	4/17/2008	12/104855
FACET JOINT REPLACEMENT	Published	4/17/2008	12/104726
SYSTEM AND METHOD FOR	Published	8/29/2008	12/201148
FACET JOINT REPLACEMENT			
WITH DETACHABLE COUPLER		,	
SYSTEM AND METHOD FOR	Published	8/2 <del>9</del> /2008	12/201046
FACET JOINT REPLACEMENT			
SYSTEM AND METHOD FOR	Published	8/29/2008	12/201086
IMPLANT ANCHORAGE WITH			
ANTI-ROTATION FEATURES	B 1 12 1 1	0.000.000	10/001170
SYSTEM AND METHOD FOR	Published	8/29/2008	12/201128
FACET JOINT REPLACEMENT	Desk Baker J	9/00/0009	12/201171
SYSTEM AND METHOD FOR BONE ANCHORAGE	Published	8/29/2008	12/201171
SYSTEM AND METHOD FOR	Published	9/20/2009	12/201109
FACET JOINT REPLACEMENT	r udiisii <b>çu</b>	8/29/2008	12/201198
SURGICAL MEASUREMENT	Published	9/29/2008	12/240412
AND RESECTION FRAMEWORK	i uonaneu	712312000	1 <i>211</i> 77712
SURGICAL MEASUREMENT	Published	9/29/2008	12/240457
SYSTEMS AND METHODS	- 40	,,-,,-,v	A and do 1 V 1 W 7

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Name	Status & I	Date Filed	Application Number
SPINAL FACET IMPLANTS	Published	9/29/2008	12/240070
WITH MATING ARTICULATING			
BEARING SURFACE AND			
METHODS OF USE			
LINKED BILATERAL SPINAL	Published	9/29/2008	12/240281
FACET SUPERIOR AND			
INFERIOR IMPLANTS AND			
METHODS OF USE			
SYSTEM AND METHOD FOR	Published	9/29/2008	12/240512
MULTIPLE LEVEL FACET JOINT			
ARTHROPLASTY AND FUSION			
SPINAL FACET IMPLANT WITH	Published	9/29/2008	12/240047
SPHERICAL IMPLANT			
APPOSITION SURFACE AND			
BONE BED AND METHODS OF			
USE			
LINKED BILATERAL SPINAL	Published	9/29/2008	12/240244
FACET SUPERIOR AND			
INFERIOR IMPLANTS AND			
METHODS OF USE			
SPINAL FACET JOINT IMPLANT	Published	9/29/2008	12/240320
METHOD AND APPARATUS	Published	10/31/2008	12/262341
FOR SPINE JOINT			
REPLACEMENT			
PROSTHESIS FOR THE	Published	12/5/2008	12/328964
REPLACEMENT OF A			
POSTERIOR ELEMENT OF A			
VERTEBRA			
APPARATUS AND METHOD	Unpublished	3/14/2005	11/079755
FOR POSTERIOR			
STABILIZATION	T t d	2/2/2001	60/072021
TOTAL SPINE JOINT	Expired	3/2/2001	60/273031
REPLACEMENT	Touring d	0/02/0002	60/505100
FACET JOINT REPLACEMENT GUIDE WIRE INSTRUMENT FOR	Expired	9/23/2003	60/505199
SPINAL IMPLANT	Expired	12/10/2003	60/528726
PREPARATION			
SPHERICAL ARTICULATING	Expired	2/17/2004	60/545094
IMPLANT SURFACE	Expirou	2/11/2004	00/543094
SPHERICAL IMPLANT AND	Expired	2/17/2004	60/545101
BONE BED	zanpar vu	2,17,2001	30,313101
LINKED BILATERAL SPINAL	Expired	4/26/2004	60/565717
FACET SUPERIOR AND			
INFERIOR IMPLANTS AND			
METHODS OF USE			
FACET JOINT IMPLANT	Expired	3/28/2005	60/666201
CROSSLINKING APPARATUS			
AND METHOD			
POLYAXIAL REAMING	Expired	3/28/2005	60/666209
APPARATUS AND METHOD	•		
AFR SURGICAL	Expired	1/9/2006	60/757592
INSTRUMENTATION AND			
TECHNIQUE			

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Name	Status & Date Filed		Application Number	
MULTI-LEVEL FACET	Expired	1/19/2006	60/760863	
ARTHROPLASTY WITH				
ADJACENT LEVEL FUSION				
TAPER-LOCKING ROD	Expired	1/10/2007	60/884233	
FIXATION SYSTEM				
AFRS MULTI-LEVEL IMPLANT	Expired	4/17/2007	60/912323	
SYSTEM	•			
INFERIOR FACET IMPLANT	Expired	7/16/2007	60/950012	
HOLDER WITH CLAMP	•			
RETENTION				
MONORAIL INSTRUMENT	Expired	7/16/2007	60/950021	
GUIDANCE SYSTEM FOR	•			
LUMBAR SPINAL SURGERY				
LINEAR POLYAXIAL LOCKING	Expired	7/16/2007	60/950031	
MECHANISM WITH TOOL	•			
MOBILE INFERIOR FACET	Expired	7/16/2007	60/950038	
BEARING WITH SUPERIOR CLIP	•			
DYNAMIC STABILIZATION	Expired	8/23/2007	60/957505	
AND STATIC FIXATION	•			
OPTIONS FOR FACET				
REPLACEMENT PROSTHESIS				
INTERVERTEBRAL DISC	Expired	8/28/2007	60/968324	
IMPLANT WITH FACET	. •			
MOTION CONSTRAINTS				
SYSTEMS AND METHODS FOR	Expired	8/30/2007	60/968925	
LESS INVASIVE FACET JOINT	•			
REPLACEMENT				
MONOLITHIC INFERIOR	Expired	9/27/2007	60/975731	
IMPLANT STRUT WITH	-			
INTEGRAL CROSS LINK CLAMP				
CROSS LINK CLAMP	Expired	11/1/2007	60/984428	
SUPERIOR INSTRUMENT	Expired	11/1/2007	60/984434	
ADJUSTABLE INFERIOR FACET	Expired	11/1/2007	60/984594	
REPLACEMENT WITH MEDIAL-				
LATER SLIDE ADJUSTMENT				
LOW PROFILE POLYAXIAL	Expired	11/2/2007	60/984798	
FACET IMPLANT				
HINGED EYELET SCREW	Expired	11/2/2007	60/984814	
ADJUSTABLE FACET IMPLANT	Expired	11/2/2007	60/984983	
BASE PIECE				
INFERIOR STRUT UPDATE	Expired	12/17/2007	61/014344	
CERVICAL PLATE WITH FACET	Expired	12/21/2007	61/015840	
MOTION CONTROL				
INTERVERTEBRAL DISC	Expired	12/21/2007	61/015866	
IMPLANT WITH FACET				
MOTION CONSTRAINTS				
INCLUDING POSTERIOR				
COMBINATION DISCS	P' I	10/01/000	£1/01/08/	
INTERVERTEBRAL DISC	Expired	12/21/2007	61/015876	
IMPLANT WITH FACET				
MOTION CONSTRAINTS AND				
METHODS FOR IMPLANT ALIGNMENT				
ALIGNMENT				

IP Security Agreement (Facet Solutions, Inc.) WEST\21818561.4

Name	Status & Date Filed		Application Number
EYELET PEDICLE SCREW WITH MULTI-AXIAL FIXATION	Expired	12/21/2007	61/015886
AFRS GENERATION II INSTRUMENTS	Expired	1/28/2008	61/023927
TOP LOADING RECEIVER FOR AN ADJUSTABLE FACET	Expired	3/4/2008	61/033473
REPLACEMENT			
FACET JOINT REPLACEMENT	Expired	3/27/2008	61/040041
SPINAL FIXATION ON AN IMPLANT BASE	Expired	4/7/2008	61/042896
INFERIOR BASE-SPLIT CLAMP AND MULTI-LEVEL SPLIT CLAMP	Expired	4/16/2008	61/045526

#### **SCHEDULE B**

To Plain English Intellectual Property Security Agreement Between Facet Solutions, Inc., as You (Grantor) and TriplePoint Capital LLC, as Us (Grantee)

# TRADEMARKS AND TRADEMARK APPLICATIONS

# **TRADEMARKS**

Name	Date Filed or Issued	Serial Number	Status
AFRS" TRADEMARK"	2/12/2008	3,382,874	In Force
FACET SOLUTIONS	11/25/2008	3,538,793	In Force
	TRADEMARK	<u>APPLICATIONS</u>	
Name	Date Filed	Serial Number	Status
MARK: ACADIA	8/22/2008	77/553,487	Filed
MARK: VIKING	8/22/2008	77/553,507	Filed
AFR" TRADEMARK"	5/26/2005	78/638,317	Inactive
MIAFR" TRADEMARK"	5/26/2005	78/638,324	Inactive
FACET SOLUTIONS,	2/28/2006	79/975 742	Ellad

78/825,743

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INC." LOGO"

TRADEMARK REEL: 004198 FRAME: 0794

Filed

#### SCHEDULE C

To Plain English Intellectual Property Security Agreement Between Facet Solutions, Inc., as You (Grantor) And TriplePoint Capital LLC, as Us (Grantee)

# **COPYRIGHT REGISTRATIONS**

Registration Number Title Registration Date V&A No.

None.

APPLICATIONS FOR COPYRIGHT REGISTRATIONS

C-1

Title Date Filed V&A No.

None.

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**RECORDED: 05/04/2010**