

**TRADEMARK ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	Termination of Intellectual Property Security Agreement at Reel 3705 Frame 0035

**CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
Lazard Technology Partners II, LP		08/18/2010	LIMITED PARTNERSHIP: DELAWARE

**RECEIVING PARTY DATA**

<b>Name:</b>	NewRiver, Inc.
<b>Street Address:</b>	200 Brickstone Square
<b>Internal Address:</b>	5th Floor
<b>City:</b>	Andover
<b>State/Country:</b>	MASSACHUSETTS
<b>Postal Code:</b>	01810
<b>Entity Type:</b>	CORPORATION: MASSACHUSETTS

**PROPERTY NUMBERS Total: 9**

Property Type	Number	Word Mark
Registration Number:	2572921	CONSENT EXPRESS
Registration Number:	3116594	FUNDPPOINT
Registration Number:	3135291	INTELLIGENT DELIVERY SERVICES
Serial Number:	77276610	OPTIMISER
Registration Number:	2391007	N
Registration Number:	3006783	N NEW RIVER
Registration Number:	2996238	NEWRIVER
Registration Number:	2572922	PROSPECTUS EXPRESS
Registration Number:	2809386	WEALTHSENSE

**CORRESPONDENCE DATA**

Fax Number: (617)526-5000  
*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

**900169813**

**TRADEMARK  
 REEL: 004264 FRAME: 0001**

**OP \$240.00 2572921**

Phone: 617-526-6448  
Email: huelinh.tran@wilmerhale.com  
Correspondent Name: Michael J. Bevilacqua, Esq.  
Address Line 1: Wilmer Cutler Pickering Hale and DorrLLP  
Address Line 2: 60 State Street  
Address Line 4: Boston, MASSACHUSETTS 02109

ATTORNEY DOCKET NUMBER:	107.734.153
NAME OF SUBMITTER:	Michael J. Bevilacqua
Signature:	/michael j. bevilacqua/
Date:	08/19/2010

Total Attachments: 5  
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**TERMINATION OF INTELLECTUAL PROPERTY SECURITY AGREEMENT**

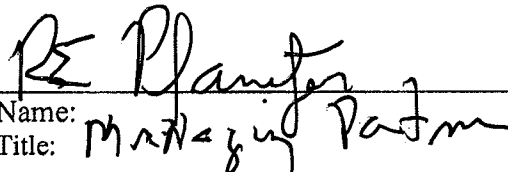
WHEREAS, NewRiver, Inc., a Massachusetts corporation, located at 200 Brickstone Square, 5<sup>th</sup> Floor, Andover, Massachusetts 01810 (the "Company") and Lazard Technology Partners II, LP, a Delaware limited partnership, located at 30 Rockefeller Plaza, 61<sup>st</sup> Floor, New York, New York 10020 (the "Agent"), entered into a certain Intellectual Property Security Agreement (the "Security Agreement"), dated as of January 14, 2008, which was recorded in the United States Patent and Trademark Office on February 5, 2008 at Reel 020462 and Frame 0686 for patents and which was recorded in the United States Patent and Trademark Office on January 28, 2008 at Reel 3705 and Frame 0035 for trademarks, that by its terms granted the Agent a security interest in certain intellectual property listed in Exhibit B (the "Patents") and in Exhibit C (the "Trademarks") to the Security Agreement and all trademark applications, patent applications, patents and trademark registrations acquired or arising after the date of the Agreement;

WHEREAS, the Company has or has caused to be paid and satisfied in full the obligations for which the security interest in the Patents and Trademarks was granted.

NOW THEREFORE the Agent hereby reassigns to the Company the interest in the Patents and Trademarks listed on Schedule A and Schedule B attached hereto in which Agent was granted a security interest under the Security Agreement. The Agent also hereby releases its security interest in the Patents and Trademarks listed in Schedule A and Schedule B attached hereto. The Agent further agrees, for itself, its successors and assigns, to execute such further documents and to perform such further lawful acts as may reasonably be requested by the Company, to effectuate this termination.

IN WITNESS WHEREOF the parties hereto by the signature below of their duly authorized representatives agree to be bound by the provisions of this Termination as of the date of execution, this 1<sup>st</sup> day of August, 2010.

LAZARD TECHNOLOGY PARTNERS II, LP

  
Name: \_\_\_\_\_  
Title: Managing Partner

**Schedule A**

**SECURITY INTEREST TERMINATION**

**PATENTS**

PATENT DESCRIPTION	DOCKET NO.	COUNTRY	PATENT/ SERIAL NO.	FILING DATE	STATUS
METHOD AND SYSTEM FOR ELECTRONIC DELIVERY OF SENSITIVE INFORMATION	N0389.70001US00	U.S.	7,028,190	11/20/2001	Issued
	N0389.70001US01	U.S.	11/319,931	12/28/05	Allowed
	N0389.70001US02	U.S.	11/827,247		Pending
MAPPING COMPLIANCE INFORMATION INTO USEABLE FORMAT	N0389.70002US00	U.S.	6,122,635	2/13/98	Issued
	N0389.70002AU00	Australian	756,986	2/8/99	Issued
	N0389.70002EP00	European	1,053,527	2/8/99	Issued
	N0389.70002DE00	Germany	69909435.6-08	2/8/99	Granted
	N0389.70002FR00	France	1053527	2/8/99	Granted
	N0389.70002GB00	United Kingdom	1053527	2/8/99	Granted
	N0389.70002IT00	Italy	1053527	2/8/99	Granted
	N0389.70002CH00	Switzerland	1053527	2/8/99	Granted
OBTAINING CONSENT FOR ELECTRONIC DELIVERY OF COMPLIANCE INFORMATION	N0389.70003US00	U.S.	6,782,506	2/12/98	Issued
	N0389.70003AU00	Australian	757,083	2/8/99	Issued
SYSTEM FOR MAPPING FINANCIAL DISCLOSURE DATA INTO COMPLIANCE INFORMATION	N0389.70004US00	U.S.	10/135834	4/30/02	Published
METHOD AND APPARATUS FOR PROVIDING FINANCIAL TRANSACTION DATA VIA THE INTERNET	N0389.70005US00	U.S.	09/785630	2/16/01	Published

PATENT DESCRIPTION	DOCKET NO.	COUNTRY	PATENT/SERIAL NO.	FILING DATE	STATUS
OBTAINING CONSENT FOR ELECTRONIC DELIVERY OF COMPLIANCE INFORMATION	N0389.70006US00	U.S.	10/035,966	11/09/01	Published
	N0389.70006US01	U.S.	11/804,826	5/21/07	Published
	N0389.70006US02	U.S.	11/804,868	5/21/07	Published
	N0389.70006US03	U.S.	11/804,924	5/21/07	Published
	N0389.70006US04	U.S.	11/804,941	5/21/07	Published
	N0389.70006US05	U.S.	11/804,942	5/21/07	Published
	N0389.70006US06	U.S.	11/804,784	5/21/07	Published
	N0389.70006US07	U.S.	11/804,846	5/21/07	Published
	N0389.70006US08	U.S.	11/804,958	5/21/07	Published
	N0389.70006US09	U.S.	11/804,782	5/21/07	Published
	N0389.70006US10	U.S.	11/804,785	5/21/07	Published
	N0389.70006US11	U.S.	11/804,956	5/21/07	Published
	N0389.70006US12	U.S.	11/804,777	5/21/07	Published
	N0389.70006US13	U.S.	11/804,959	5/21/07	Published
	N0389.70006US14	U.S.	11/804,781	5/21/07	Published
	N0389.70006US15	U.S.	11/804,960	5/21/07	Published
	N0389.70006US16	U.S.	11/804,872	5/21/07	Pending
	N0389.70006US17	U.S.	11/804,783	5/21/07	Published
	N0389.70006US18	U.S.	11/804,914	5/21/07	Published
	N0389.70006US19	U.S.	11/804,824	5/21/07	Published
	N0389.70006US20	U.S.	11/804,920	5/21/07	Published
METHOD FOR CREATING AND DELIVERING CUSTOMIZED SECURITIES INFORMATION	N0389.70007US01	U.S.	10/877904	6/25/04	Published
	N0389.70007CA00	Canada	2521436	6/25/04	Pending
SYSTEM FOR LOCATING DATA ELEMENTS WITHIN ORIGINATING DATA SOURCES	N0389.70009US01	U.S.	10/821,121	04/08/2004	Published

PATENT DESCRIPTION	DOCKET NO.	COUNTRY	PARENT/ SERIAL NO.	FILING DATE	STATUS
METHODS AND APPARATUS FOR MANAGING INFORMATION RELATING TO SECURITIES	N0389.70012US01	U.S.	10/916,781	8/12/04	Pending
	N0389.70012US02	U.S.	10/916,781	8/12/04	Pending
SYSTEMS AND METHODS FOR DETERMINING INVESTMENT SUITABILITY	N0389.70014US00	U.S. Provisional	60/873750	12/08/06	Pending

**Schedule B**

**SECURITY INTEREST TERMINATION**

**TRADEMARKS**

TRADEMARK DESCRIPTION	COUNTRY	SERIAL NO.	REG. NO.	STATUS
CONSENT EXPRESS	Canada		TMA577429	
CONSENT EXPRESS	European Community		001955350	
CONSENT EXPRESS	Hong Kong		B08359/2003	
CONSENT EXPRESS	Japan		4645323	
CONSENT EXPRESS	Switzerland		491052	
CONSENT EXPRESS	United States		2572921	
FUNDPPOINT	United States	78/600116	3116594	Registered
INTELLIGENT DELIVERY SERVICES	Canada	1274338		Published
	United States	78/600186	3135291	Registered
OPTIMISER	United States	77/276610		Pending
N (stylized)	Unites States		2391007	
N and design	Canada		TMA578233	
N (stylized) NEWRIVER	United States		3006783	
NEWRIVER	United States		2996238	
NEWRIVER	Canada		TMA576416	
NEWRIVER and design	Canada		TMA576404	
PROSPECTUS EXPRESS	Canada		TMA577117	
PROSPECTUS EXPRESS	European Community		1953983	
PROSPECTUS EXPRESS	Hong Kong		B08358/2003	
PROSPECTUS EXPRESS	Japan		4645324	
PROSPECTUS EXPRESS	Switzerland		495702	
PROSPECTUS EXPRESS	United States		2572922	
WEALTHSENSE	United States		2809386	