

# TRADEMARK ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	Conversion of a Delaware corporation to a Delaware limited liability company		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
NASDAQ OMX PHLX, INC.		09/01/2010	CORPORATION: DELAWARE
RECEIVING PARTY DATA			
Name:	NASDAQ OMX PHLX LLC		
Street Address:	1900 MARKET STREET		
City:	PHILADELPHIA		
State/Country:	PENNSYLVANIA		
Postal Code:	19103		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 17			
Property Type	Number	Word Mark	
Registration Number:	2688647	THE COVERED CALL	
Registration Number:	3259880	ONE CONNECTION. THREE MARKETS. AND THOUSANDS OF PRODUCTS.	
Registration Number:	1365301	PACE	
Registration Number:	2444109	PHILADELPHIA STOCK EXCHANGE	
Registration Number:	2400041	PHLX	
Registration Number:	3279077	P PHLX PHILADELPHIA STOCK EXCHANGE	
Registration Number:	3355140	PHLX WORLD CURRENCY OPTIONS	
Registration Number:	3265118	PHLX.COM	
Registration Number:	3426816	PHLX XL	
Registration Number:	3284361	PHLX XLE	
Serial Number:	77014420	PIXL	
Registration Number:	3279079	SCCP STOCK CLEARING CORPORATION OF PHILADELPHIA	
Registration Number:	1937137	UCOM	

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TRADEMARK  
 REEL: 004277 FRAME: 0630

Registration Number:	1937138	UNITED CURRENCY OPTIONS MARKET
Registration Number:	3342946	XDE
Registration Number:	3477226	XLE
Registration Number:	2873263	X.STATION

#### CORRESPONDENCE DATA

Fax Number: (215)864-8999

*Correspondence will be sent via US Mail when the fax attempt is unsuccessful.*

Phone: 215.864.8209

Email: jacobsh@ballardspahr.com

Correspondent Name: Hara K. Jacobs

Address Line 1: Ballard Spahr LLP

Address Line 2: 1735 Market Street, 51st Floor

Address Line 4: Philadelphia, PENNSYLVANIA 19103-7599

ATTORNEY DOCKET NUMBER:	892279
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NAME OF SUBMITTER:	Hara K. Jacobs
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Signature:	/Hara K. Jacobs/
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Date:	09/14/2010
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#### Total Attachments: 5

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# Delaware

PAGE 1

*The First State*


I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE CORPORATION UNDER THE NAME OF "NASDAQ OMX PHLX, INC." TO A DELAWARE LIMITED LIABILITY COMPANY, CHANGING ITS NAME FROM "NASDAQ OMX PHLX, INC." TO "NASDAQ OMX PHLX LLC", FILED IN THIS OFFICE ON THE FIRST DAY OF SEPTEMBER, A.D. 2010, AT 2:48 O'CLOCK P.M.

0778072 8100V

100877429

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8209915

DATE: 09-03-10

TRADEMARK  
REEL: 004277 FRAME: 0632

# Delaware

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*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF CERTIFICATE OF FORMATION OF "NASDAQ OMX PHLX LLC" FILED IN THIS OFFICE ON THE FIRST DAY OF SEPTEMBER, A.D. 2010, AT 2:48 O'CLOCK P.M.



0778072 8100V

100877429

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8209915

DATE: 09-03-10

TRADEMARK  
REEL: 004277 FRAME: 0633

**CERTIFICATE OF CONVERSION TO LIMITED LIABILITY COMPANY  
OF  
NASDAQ OMX PHLX, INC.  
TO  
NASDAQ OMX PHLX LLC**

This Certificate of Conversion to Limited Liability Company of NASDAQ OMX PHLX, Inc., a Delaware corporation (the "Company"), to NASDAQ OMX PHLX LLC, a Delaware limited liability Company (the "LLC"), has been duly executed and is being filed by the Company to convert the Company to the LLC pursuant to and in accordance with the Delaware Limited Liability Company Act (6 Del. C. § 18-101, et seq.) and the General Corporation Law of the State of Delaware (8 Del. C. § 101, et seq.).

1. The Company filed its original certificate of incorporation with the Secretary of State of the State of Delaware and was first incorporated on January 13, 1972 in the State of Delaware, and was incorporated in the State of Delaware immediately prior to the filing of this Certificate of Conversion to Limited Liability Company.

2. The Company's name when it was originally incorporated was PBW Stock Exchange, Inc. and immediately prior to the filing of this Certificate of Conversion to Limited Liability Company was NASDAQ OMX PHLX, Inc.

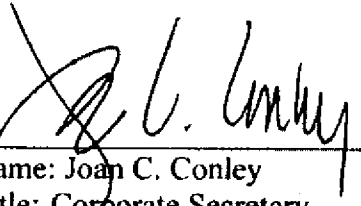
3. The conversion of the Company to the LLC shall be effective upon the filing of this Certificate of Conversion to Limited Liability Company and a certificate of formation with the Secretary of State of the State of Delaware.

[SIGNATURE PAGE FOLLOWS]

*State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 02:48 PM 09/01/2010  
FILED 02:48 PM 09/01/2010  
SRV 100877429 - 0778072 FILE*

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Conversion to  
Limited Liability Company this 1st day of September, 2010.

NASDAQ OMX PHLX, INC.

By:   
Name: Joan C. Conley  
Title: Corporate Secretary

**CERTIFICATE OF FORMATION**

**OF**

**NASDAQ OMX PHLX LLC**

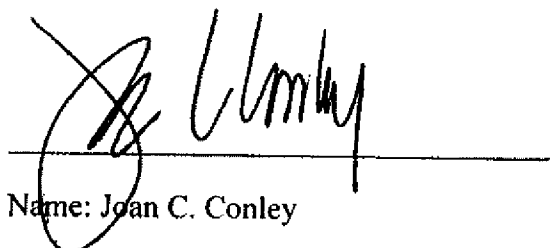
This Certificate of Formation of NASDAQ OMX PHLX LLC (the "Company"), dated as of September 1, 2010, has been duly executed and is being filed by the undersigned, as an authorized person, to form a limited liability company under the Delaware Limited Liability Company Act (6 Del. C. §§ 18-101, et seq.).

FIRST. The name of the limited liability company is NASDAQ OMX PHLX LLC.

SECOND. The address of the registered office of the Company in the State of Delaware is c/o The Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, Wilmington, Delaware 19801.

THIRD. The name and address of the registered agent for service of process on the Company in the State of Delaware are The Corporation Trust Company, Corporation Trust Center, 1209 Orange Street, Wilmington, Delaware 19801.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation as of the date first above written.

A handwritten signature in black ink, appearing to read 'Joan C. Conley', is written over a horizontal line.

Name: Joan C. Conley

Title: Authorized Person

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 02:48 PM 09/01/2010  
FILED 02:48 PM 09/01/2010  
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