

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:		NEW ASSIGNMENT	
NATURE OF CONVEYANCE:		Conversion	
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Northern Trust Investments, N. A.		12/30/2010	National Association:
RECEIVING PARTY DATA			
Name:	Northern Trust Investments, Inc.		
Street Address:	50 S. LaSalle Street		
City:	Chicago		
State/Country:	ILLINOIS		
Postal Code:	60603		
Entity Type:	CORPORATION: ILLINOIS		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	2945498	INTELLIGENT INDEXING	
CORRESPONDENCE DATA			
Fax Number:	(312)321-4299		
	<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>		
Phone:	312-321-4200		
Email:	officeactions@brinkshofer.com		
Correspondent Name:	Philip A. Jones		
Address Line 1:	P. O. Box 10395		
Address Line 4:	Chicago, ILLINOIS 60610		
NAME OF SUBMITTER:	Philip A. Jones		
Signature:	/Philip A. Jones/		
Date:	08/16/2011		
Total Attachments: 2			
source=NTI Conversion - NA TO INC#page1.tif			
source=NTI Conversion - NA TO INC#page2.tif			

CH \$40.00 2945498

State of Illinois
Department of Financial and Professional Regulation

Number 3473

Date December 30, 2010

Certificate of Conversion

WHEREAS, Northern Trust Investments, N. A ("Converting National Bank") located at 50 South LaSalle Street, Chicago, Illinois, has filed with the Department of Financial and Professional Regulation ("Department"), Division of Banking, the necessary documents as required pursuant to Section 25 of the Illinois Banking Act ("Act") for the purpose of converting to Northern Trust Investments, Inc. ("Resulting State Bank"); and

WHEREAS, after review of the documents specified in Section 25 of the Act, the Department is of the opinion and finds:

- (a) that Resulting State Bank meets the requirements of the Act for the formation of a new bank at the proposed place of business of Resulting State Bank;
- (b) that the same matters exist with respect to the Resulting State Bank which would have been required under Section 10 of the Act for the organization of a new bank;
- (c) that the plan of conversion is fair to all persons affected; and

WHEREAS, it appears that Converting National Bank has followed the procedures prescribed by the laws of the State of Illinois and the United States to convert to a state bank.

NOW, THEREFORE, by virtue of the power vested by the Act, the plan of conversion is hereby approved and Northern Trust Investments, Inc. is hereby authorized to commence business at 50 South LaSalle Street, Chicago, Illinois under Charter Number 3474, issued December 30, 2010, as a state bank pursuant to the provisions of the Illinois Banking Act for a perpetual term, unless sooner terminated by due process of law.

IN TESTIMONY WHEREOF, I hereunto subscribe my name and affix the seal of my office, this day and year first above written.

DEPARTMENT OF FINANCIAL AND
PROFESSIONAL REGULATION;
BRENT E. ADAMS, SECRETARY

DIVISION OF BANKING



A handwritten signature in black ink, appearing to read 'Jorge A. Solis', written over a horizontal line.

JORGE A. SOLIS
Director

TRADEMARK