# **JP** \$90.00 326513

#### TRADEMARK ASSIGNMENT

## Electronic Version v1.1 Stylesheet Version v1.1

| SUBMISSION TYPE:      | CORRECTIVE ASSIGNMENT   |
|-----------------------|---|
| NATURE OF CONVEYANCE: | Corrective Assignment to correct the IP Security Agr. Add three additional trademarks. Assignors hereby confirms the attached IP Security Agreement. previously recorded on Reel 004551 Frame 0029. Assignor(s) hereby confirms the Assignment - Security Interest. |

#### **CONVEYING PARTY DATA**

| Name                        | Formerly | Execution Date | Entity Type           |
|-----------------------------|----------|----------------|-----------------------|
| EpiCept Corporation         |          | 05/27/2011     | CORPORATION: DELAWARE |
| Maxim Pharmaceuticals, Inc. |          | 05/27/2011     | CORPORATION: DELAWARE |
| Cytovia, Inc.               |          | 05/27/2011     | CORPORATION: DELAWARE |

#### **RECEIVING PARTY DATA**

| Name:             | MidCap Funding III, LLC             |
|-------------------|-------------------------------------|
| Street Address:   | 7735 Old Georgetown Road            |
| Internal Address: | Suite 400                           |
| City:             | Bethesda                            |
| State/Country:    | MARYLAND                            |
| Postal Code:      | 20814                               |
| Entity Type:      | LIMITED LIABILITY COMPANY: DELAWARE |

#### PROPERTY NUMBERS Total: 3

| Property Type        | Number  | Word Mark |
|----------------------|---------|-----------|
| Registration Number: | 3265139 | EPICEPT   |
| Registration Number: | 1906291 | LIDOPAIN  |
| Registration Number: | 3366649 | LIDOPAIN  |

#### **CORRESPONDENCE DATA**

Fax Number: (404)443-5697 Phone: 404-443-5742

Email: lallen@mcguirewoods.com

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Correspondent Name: Lizzie Garner, Esq.

Address Line 1: 1230 Peachtree Street, N.E.

TRADEMARK
REEL: 004649 FRAME: 0833

900205736

| Address Line 2: Suite 210 Address Line 4: Atlanta, C | 0<br>GEORGIA 30309     |
|--|------------------------|
| ATTORNEY DOCKET NUMBER:                              | 2061695-0002 (EPICEPT) |
| NAME OF SUBMITTER:                                   | Latosha E. Allen       |
| Signature:   | /Latosha E. Allen/     |
| Date:  | 10/27/2011             |

#### Total Attachments: 49

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#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement is entered into as of the 27<sup>th</sup> day of May, 2011 by and between MIDCAP FUNDING III, LLC, a Delaware limited liability company ("<u>Agent</u>") and EPICEPT CORPORATION, MAXIM PHARMACEUTICALS INC., and CYTOVIA, INC. (collectively, the "Grantor").

#### **RECITALS**

- A. The Lenders have agreed to make certain advances of money and to extend certain financial accommodation to Grantor (the "Loans") in the amounts and manner set forth in that certain Loan and Security Agreement by and between Agent, the Lenders and Grantor dated the Effective Date (as the same may be amended, modified or supplemented from time to time, the "Loan Agreement"; capitalized terms used herein are used as defined in the Loan Agreement). The Lenders are willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Agent, for the ratable benefit of the Lenders, a security interest in certain Copyrights, Trademarks, Patents, and Mask Works (as each term is described below) to secure the obligations of Grantor under the Loan Agreement.
- B. Pursuant to the terms of the Loan Agreement, Grantor has granted to Agent, for the ratable benefit of the Lenders, a security interest in all of Grantor's right, title and interest, whether presently existing or hereafter acquired, in, to and under all of the Collateral.
- NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, and intending to be legally bound, as collateral security for the prompt and complete payment when due of its obligations under the Loan Agreement, Grantor hereby represents, warrants, covenants and agrees as follows:

#### **AGREEMENT**

To secure its obligations under the Loan Agreement, Grantor grants and pledges to Agent, for the ratable benefit of the Lenders, a security interest in all of Grantor's right, title and interest in, to and under its intellectual property (all of which shall collectively be called the "Intellectual Property Collateral"), including, without limitation, the following:

- (a) Any and all copyright rights, copyright applications, copyright registrations and like protections in each work or authorship and derivative work thereof, whether published or unpublished and whether or not the same also constitutes a trade secret, now or hereafter existing, created, acquired or held, including without limitation those set forth on <a href="Exhibit A">Exhibit A</a> attached hereto (collectively, the "Copyrights");
- (b) Any and all trade secrets, and any and all intellectual property rights in computer software and computer software products now or hereafter existing, created, acquired or held;
- (c) Any and all design rights that may be available to Grantor now or hereafter existing, created, acquired or held;
- (d) All patents, patent applications and like protections including, without limitation, improvements, divisions, continuations, renewals, reissues, extensions and continuations-in-part of the

same, including without limitation the patents and patent applications set forth on <u>Exhibit B</u> attached hereto (collectively, the "Patents");

- (e) Any trademark and servicemark rights, whether registered or not, applications to register and registrations of the same and like protections, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");
- (f) All mask works or similar rights available for the protection of semiconductor chips, now owned or hereafter acquired, including, without limitation those set forth on Exhibit D attached hereto (collectively, the "Mask Works");
- (g) Any and all claims for damages by way of past, present and future infringements of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;
- (h) All licenses or other rights to use any of the Copyrights, Patents, Trademarks, or Mask Works and all license fees and royalties arising from such use to the extent permitted by such license or rights;
- (i) All amendments, extensions, renewals and extensions of any of the Copyrights, Trademarks, Patents, or Mask Works; and
- (j) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

This security interest is granted in conjunction with the security interest granted to Agent, for the ratable benefit of the Lenders, under the Loan Agreement. The rights and remedies of Agent with respect to the security interest granted hereby are in addition to those set forth in the Loan Agreement and the other Loan Documents, and those which are now or hereafter available to Agent as a matter of law or equity. Each right, power and remedy of Agent provided for herein or in the Loan Agreement or any of the Loan Documents, or now or hereafter existing at law or in equity shall be cumulative and concurrent and shall be in addition to every right, power or remedy provided for herein and the exercise by Agent of any one or more of the rights, powers or remedies provided for in this Intellectual Property Security Agreement, the Loan Agreement or any of the other Loan Documents, or now or hereafter existing at law or in equity, shall not preclude the simultaneous or later exercise by any person, including Agent, of any or all other rights, powers or remedies. Notwithstanding anything herein to the contrary, to the extent that there is any conflict between this Intellectual Property Security Agreement and the Loan Agreement, the Loan Agreement shall control.

[Signature page follows.]

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

|   | GRANTORS:                                      |
|---|--|
| Address of Grantor:   | EPICEPT CORPORATION                            |
| TARRYTOWN. NY 10591   | By: Kabutu. Cut_                               |
| Attn: ROBERT W. GOL   | Title: SVP + CFO                               |
|   | MAXIM PHARMACEUTICALS, INC.  By: Klechil. Call |
|   | Title: TREASURER                               |
|   | CYTOVIA, INC. By: Arbert W. Cul                |
|   | Title: TREASURER                               |
|   |  |
|   | AGENT:   |
| Address of Agent:   | MIDCAP FUNDING III, LLC                        |
| 7735 Old Georgetown Road, Suite 400<br>Bethésda, Maryland 20814 | By:  |
| Attn: Portfolio Management- Life Sciences                       | Title:   |

EPICEPT CORPORATION INTELLECTUAL PROPERTY SECURITY AGREEMENT SIGNATURE PAGE

IN WITNESS WHEREOF, the parties have caused this Intellectual Property Security Agreement to be duly executed by its officers thereunto duly authorized as of the first date written above.

|   | GRANTORS:                   |
|---|-----------------------------|
| Address of Grantor:   | EPICEPT CORPORATION         |
|   | Ву:                         |
| Attn:   | Title:                      |
|   | MAXIM PHARMACEUTICALS, INC. |
|   | Ву:                         |
|   | Title:                      |
|   | CYTOVIA, INC.               |
|   | By:                         |
|   | Title:                      |
|   |                             |
|   | AGENT:                      |
| Address of Agent:   | MIDCAP FUNDING III, LLC     |
| 7735 Old Georgetown Road, Suite 400<br>Bethesda, Maryland 20814 | By: Josh Groman             |
| Attn: Portfolio Management- Life Sciences                       | Title: Managing Director    |

# EXHIBIT A

Copyrights

None.

# EXHIBIT B

Patents

[See Attached]

| EpiCept Patent Portfolio (May 2011)<br>SKGF. Ref. | <u>Title</u>  | <u>Country</u> | Patent No./Appl. No.          | Issue Date/File Date    | <u>Status</u> |
|---|---|----------------|-------------------------------|-------------------------|---------------|
| 1735.0010001                                      | Dipeptide Caspase Inhibitors and the Use Thereof  | USA            | 6,153,591<br>09/270,735       | 11/28/2000<br>3/16/1999 | Granted.      |
| 1735.0030001                                      | Novel Fluorescence Dyes and Their Applications for Whole-Cell Fluorescence Screening Assays for Caspases, Peptidases, Proteases, and Other Enzymes and the Use Thereof              | USA            | 6,248,904<br>09/357,952       | 6/19/2001<br>7/21/1999  | Granted.      |
| 1735.0030003                                      | Novel Fluorescence Dyes and Their Applications for<br>Whole-Cell Fluorescence Screening Assays for<br>Caspases, Peptidases, Proteases, and Other Enzymes and<br>the Use Thereof     | USA            | 6,984,718<br>10/138,375       | 1/10/2006<br>5/6/2002   | Granted.      |
| 1735.0280002                                      | Dipeptide Apoptosis Inhibitors and the Use Thereof  | USA            | 6,184,210<br>09/270,736       | 2/6/2001<br>3/16/1999   | Granted.      |
| 1735.0280003                                      | Dipeptide Apoptosis Inhibitors and the Use Thereof  | USA            | 6,596,693<br>09/653,279       | 7/22/2003<br>8/31/2000  | Granted.      |
| 1735.0280004                                      | Dipeptide Apoptosis Inhibitors and the Use Thereof  | USA            | 6,949,516<br>10/429,095       | 9/27/2005<br>5/5/2003   | Granted.      |
| 1735.028AU01                                      | Dipeptide Apoptosis Inhibitors and the Use Thereof  | Australia      | 741203<br>97930/98            | 3/7/2002<br>10/9/1998   | Granted.      |
| 1735.028CA01                                      | Dipeptide Apoptosis Inhibitors and the Use Thereof  | Canada         | 2,306,692<br>2,306,692        | 9/21/2010<br>10/9/1998  | Granted.      |
| 1735.028CN01                                      | Dipeptide Apoptosis Inhibitors and the Use Thereof  | China          | ZL98810022.3<br>98810022.3    | 2/18/2004<br>10/9/1998  | Granted.      |
| 1735.028EP01                                      | Dipeptide Apoptosis Inhibitors and the Use Thereof  | EPC            | 98952166.1                    | 10/9/199                | 98 Pending.   |
| 1735.028JP01                                      | Dipeptide Apoptosis Inhibitors and the Use Thereof  | Japan          | 4439111<br>2000-515426        | 1/15/2010<br>10/9/1998  | Granted.      |
| 1735.028KR01                                      | Dipeptide Apoptosis Inhibitors and the Use Thereof  | South Korea    | 10-0580333<br>10-2000-7003882 | 5/9/2006<br>10/9/1998   | Granted.      |
| 1735.0290002                                      | Novel Fluorogenic or Fluorescent Reporter Molecules<br>and Their Applications for Whole-Cell Fluorescence<br>Screening Assays for Caspases and Other Enzymes and<br>the Use Thereof | USA            | 6,342,611<br>09/168,888       | 1/29/2002<br>10/9/1998  | Granted.      |

| 1735.0290004 | Novel Fluorogenic or Fluorescent Reporter Molecules<br>and Their Applications for Whole-Cell Fluorescence<br>Screening Assays for Caspases and Other Enzymes and<br>the Use Thereof | USA       | 6,335,429<br>09/521,650 | 1/1/2002<br>3/8/2000           | Granted. |
|--------------|---|-----------|-------------------------|--------------------------------|----------|
| 1735.0290005 | Novel Fluorogenic or Fluorescent Reporter Molecules<br>and Their Applications for Whole-Cell Fluorescence<br>Screening Assays for Caspases and Other Enzymes and<br>the Use Thereof | USA       | 6,759,207<br>09/947,387 | 7/6/2004<br>9/7/2001           | Granted. |
| 1735.0290006 | Novel Fluorogenic or Fluorescent Reporter Molecules<br>and Their Applications for Whole-Cell Fluorescence<br>Screening Assays for Caspases and Other Enzymes and<br>the Use Thereof | USA       | 7,270,801<br>10/829,381 | 9/18/2007<br>4/22/2004         | Granted. |
| 1735.0320003 | Gambogic Acid, Analogs and Derivatives as Activators of Caspases and Inducers of Apoptosis  | USA       | 6,462,041<br>09/495,120 | 10/8/2002<br>2/1/2000          | Granted. |
| 1735.0320005 | Gambogic Acid, Analogs and Derivatives as Activators of Caspases and Inducers of Apoptosis  | USA       | 6,613,762<br>10/066,805 | 9/2/2003<br>2/6/2002           | Granted. |
| 1735.0330002 | Substituted 2-Aminobenzamide Caspase Inhibitors and the Use Thereof   | USA       | 6,620,782<br>09/527,225 | 9/16/2003<br>3/16/2000         | Granted. |
| 1735.0350002 | Caspase Inhibitors and the Use Thereof  | USA       | 6,355,618<br>09/545,565 | 3/12/2002<br>4/7/2000          | Granted. |
| 1735.0350003 | Caspase Inhibitors and the Use Thereof  | USA       | 6,716,818<br>09/987,417 | <b>4</b> /6/2004<br>11/14/2001 | Granted. |
| 1735.0400002 | Substituted Alpha-Hydroxy Acid Caspase Inhibitors and the Use Thereof   | USA       | 6,495,522<br>09/649,810 | 12/17/2002<br>8/28/2000        | Granted. |
| 1735.0410002 | Substituted 4H-Chromene and Analogs as Activators of<br>Caspases and Inducers of Apoptosis and the Use Thereof  | USA       | 6,906,20<br>09/705,840  | 3 6/14/2005<br>11/6/2000       | Granted. |
| 1735.0410003 | Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof   | USA       | 7,507,76<br>11/072,499  | 2 3/24/2009<br>3/7/2005        | Granted. |
| 1735.041AU02 | Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof   | Australia | 12/31/4040<br>14619/01  | 10/6/2005<br>11/3/2000         | Granted. |
| 1735.041CA02 | Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof   | Canada    | 1/16/8444<br>1/16/8444  | 3/29/2011<br>11/3/2000         | Granted. |
| 1735.041DE02 | Substituted 4H-Chromene and Analogs as Activators of  | Germany   | 1230232                 | 2/25/2004                      | Granted. |

|              | Caspases and Inducers of Apoptosis and the Use Thereof  |               | 976912.6                | 11/3/2000               |          |
|--------------|---|---------------|-------------------------|-------------------------|----------|
| 1735.041ES02 | Substituted 4H-Chromene and Analogs as Activators of<br>Caspases and Inducers of Apoptosis and the Use Thereof  | Spain         | 4/3/5268<br>9/9/4574    | 2/25/2004<br>11/3/2000  | Granted. |
| 1735.041FR02 | Substituted 4H-Chromene and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof   | France        | 1230232<br>976912.6     | 2/25/2004<br>11/3/2000  | Granted. |
| 1735.041GB02 | Substituted 4H-Chromene and Analogs as Activators of<br>Caspases and Inducers of Apoptosis and the Use Thereof  | Great Britain | 1230232<br>976912.6     | 2/25/2004<br>11/3/2000  | Granted. |
| 1735.041IT02 | Substituted 4H-Chromene and Analogs as Activators of<br>Caspases and Inducers of Apoptosis and the Use Thereof  | Italy         | 1230232<br>976912.6     | 2/25/2004<br>11/3/2000  | Granted. |
| 1735.0420002 | 1735.0420002 Caspase Inhibitors for the Treatment and Preve<br>Chemotherapy and Radiation Therapy Induced Cell<br>Death   | ent USA       | 6,566,338<br>09/685,689 | 5/20/2003<br>10/11/2000 | Granted. |
| 1735.0440001 | Substituted Nicotinamide and Analogs as Activators of<br>Caspases and Apoptosis and the Use Thereof   | USA           | 6,794,397<br>09/769,420 | 9/21/2004<br>1/26/2001  | Granted. |
| 1735.0450001 | Substituted 1,4-Thiazepene and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof  | USA           | 6,861,419<br>09/836,548 | 3/1/2005<br>4/18/2001   | Granted. |
| 1735.0470001 | Method of Identifying Immunosuppressive Agents  | USA           | 6,828,091<br>09/920,332 | 12/7/2004<br>8/2/2001   | Granted. |
| 1735.0500002 | Substituted Indole-2-carboxylic Acid Benzylidenehydrazide<br>and Analogs as Activators of Caspases and<br>Inducers of Apoptosis and the Use Thereof               | USA           | 6,747,052<br>10/298,946 | 6/8/2004<br>11/19/2002  | Granted. |
| 1735.0520001 | Substituted 2-Aryl-4-arylaminopyrimidine and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof                                      | USA           | 6,716,851<br>10/012,444 | 4/6/2004<br>12/12/2001  | Granted. |
| 1735.0520002 | Substituted 2-Aryl-4-arylaminopyrimidine and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof                                      | USA           | 7,226,927<br>10/704,448 | 6/5/2007<br>11/10/2003  | Granted. |
| 1735.0540001 | Multifluoro-substituted Chalcones and Analogs as<br>Activators of Caspases and Inducers of Apoptosis and<br>the Use Thereof                                       | USA           | 7,256,219<br>10/471,720 | 8/14/2007<br>10/16/2003 | Granted. |
| 1735.0560001 | Substituted N'-{Arylcarbonyl}-benzhydrazides, N'-<br>Benzylidenehydrazides and Analogs as Activators of<br>Caspases and Inducers of Apoptosis and the Use Thereof | USA           | 6,716,859<br>10/141,769 | 4/6/2004<br>5/10/2002   | Granted. |

| 1735.0560002 | Substituted N'-(Arylcarbonyl)-benzhydrazides, N'-<br>Benzylidenehydrazides and Analogs as Activators of<br>Caspases and Inducers of Apoptosis and the Use Thereof                                | USA               | 7,074,813<br>10/816,893 | 7/11/2006<br>4/5/2004  | Granted.   |
|--------------|--|-------------------|-------------------------|------------------------|--|
| 1735.0600001 | 4-Substituted-1-(arylmethylidene)thiosemicarbazide,4-<br>substituted-1-(arylcarbonyl)thiosemicarbazide and<br>Analogs as Activators of Caspases and Inducers of<br>Apoptosis and the Use Thereof | USA               | 6,794,400<br>10/158,827 | 9/21/2004<br>6/3/2002  | Granted.   |
| 1735.0630001 | Substituted 4H-Chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis   | USA               | 7,053,117<br>10/146,138 | 5/30/2006<br>5/16/2002 | Granted.   |
| 1735.063AU11 | Substituted 4H-Chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis   | Australia         | 2009200374              | 5/16/200               | 02 Pending.  |
| 1735.063CA01 | Substituted 4H-Chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis   | Canada            | 2,447,010               | 05/16/2002             | Pending. Allowed. Final<br>fees to be paid by<br>05/22/2011. |
| 1735.063CN01 | Substituted 4H-Chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis   | China             | 2812067.1<br>3/5/9599   | 12/2/2009<br>5/16/2002 | Granted.   |
| 1735.063DE01 | Substituted 4H-Chromenes and Analogs as Activators of<br>Caspases and Inducers of Apoptosis  | Germany           | 1392683<br>7/12/9406    | 12/2/2009<br>5/16/2002 | Granted.   |
| 1735.063EP01 | Substituted 4H-Chromenes and Analogs as Activators of<br>Caspases and Inducers of Apoptosis  | EPC               | 1392683<br>7/12/9406    | 12/2/2009<br>5/16/2002 | Granted.   |
| 1735.063ES01 | Substituted 4H-Chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis   | Spain             | 1392683<br>2741704.7    | 12/2/2009<br>5/16/2002 | Granted.   |
| 1735.063FR01 | Substituted 4H-Chromenes and Analogs as Activators of<br>Caspases and Inducers of Apoptosis  | France            | 1392683<br>2741704.7    | 12/2/2009<br>5/16/2002 | Granted.   |
| 1735.063GB01 | Substituted 4H-Chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis   | United<br>Kingdom | 1392683<br>2741704.7    | 12/2/2009<br>5/16/2002 | Granted.   |
| 1735.063IT01 | Substituted 4H-Chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis   | ltaly             | 1/12/5713<br>7/12/9406  | 12/2/2009<br>5/16/2002 | Granted.   |
| 1735.063JP01 | Substituted 4H-Chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis   | Japan             | 4593880<br>2002-589478  | 9/24/2010<br>5/16/2002 | Granted.   |
| 1735.0640001 | Substituted 3-Aryl-5-aryl-{1,2,4}-oxadiazoles and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof  | USA               | 7,041,685<br>10/164,705 | 5/9/2006<br>6/10/2002  | Granted.   |

| 1735.0640002 | Substituted 3-Aryl-5-aryl-{1,2,4}-oxadiazoles and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof    | USA             | 7,435,750<br>11/074,077  | 10/14/2008<br>3/8/2005   | Granted. |
|--------------|--|-----------------|--------------------------|--------------------------|----------|
| 1735.064AU01 | Substituted 3-Aryl-5-aryl-{1,2,4}-oxadiazoles and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof    | Australia       | 2002313633<br>2002313633 | 6/14/2007<br>6/10/2002   | Granted. |
| 1735.064CA01 | Substituted 3-Aryl-5-aryl-{1,2,4}-oxadiazoles and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof    | Canada          | 2,449,544                | 6/10/2007                | Pending. |
| 1735.064CN01 | Substituted 3-Aryl-5-aryl-{1,2,4}-oxadiazoles and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof    | China           | 2813660.8                | 6/10/2007                | Pending. |
| 1735.064EP01 | Substituted 3-Aryl-5-aryl-{1,2,4}-oxadiazoles and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof    | EPC             | 2753336.3                | 6/10/2002                | Pending. |
| 1735.064ZA01 | Substituted 3-Aryl-5-aryl-{1,2,4}-oxadiazoles and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof    | South<br>Africa | 2003/9309<br>2003/9309   | 2/23/2005<br>6/10/2002   | Granted. |
| 1735.0650001 | 7,8-Fused 4H-Chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof                           | USA             | 6,858,607<br>10/146,139  | 2/22/2005<br>5/16/2002   | Granted. |
| 1735.0660001 | Substituted Courmarins and Quinolines and Analogs as<br>Activators of Caspases and Inducers of Apoptosis and<br>the Use Thereof      | USA             | 7,015,328<br>10/146,136  | 3/21/2006<br>5/16/2002   | Granted. |
| 1735.0660002 | Substituted Courmarins and Quinolines and Analogs as<br>Activators of Caspases and Inducers of Apoptosis and<br>the Use Thereof      | USA             | 7,235,674<br>10/989,057  | 6/26/2007<br>11/16/2004  | Granted. |
| 1735.0750001 | Substituted 4H-Chromenes, 2H-Chromenes, Chromans and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof | USA             | 7,476,741<br>10/514,426  | 1/13/2009<br>11/16/2004  | Granted. |
| 1735.0770001 | Substituted 4-Aryl-4H-pyrrolo[2,3-h]chromenes and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof    | USA             | 7,528,164<br>10/514,427  | 05105/2009<br>11/16/2004 | Granted. |
| 1735.0780002 | Derivatives of Gambogic Acid and Analogs as<br>Activators of Caspases and Inducers of Aoontosis                                      | USA             | 7,176,234<br>10/609,670  | 2/13/200<br>71011200     |          |
| 1735.078AU02 | Derivatives of Gambogic Acid and Analogs as  | Australia       | 2003267977               | 09102/2010               | Granted. |

|              | Activators of Caspases and Inducers of Apoptosis  |           | 2003267977               | 71011200                 | 3  |
|--------------|---|-----------|--------------------------|--------------------------|--|
| 1735.078CN02 | Derivatives of Gambogic Acid and Analogs as<br>Activators of Caspases and Inducers of Apoptosis                                 | China     | 3815628.8<br>3815628.8   | 09108/2010<br>71011200   | Granted.   |
| 1735.0810001 | Substituted I-Benzoyl-3-cyano-pyrrolo[ 1,2-a ]quinolines and Analogs as Activators of Caspases and Inducers of Apoptosis        | USA       | 7,135,480<br>101,733,229 | 11/14/200<br>12/12/200   |  |
| 1735.0820001 | 3,5-Disubstituted-[1,2,4]-oxadiazoles and Analogs as<br>Activators of Caspases and Inducers of Apoptosis and<br>the Use Thereof | USA       | 7,144,876<br>101,737,865 | 12105/2006<br>12/18/200  | Granted.<br>3  |
| 1735.0820002 | 3,5-Disubstituted-[1,2,4]-oxadiazoles and Analogs as<br>Activators of Caspases and Inducers of Apoptosis and<br>the Use Thereof | USA       | 7,317,029<br>111,593,030 | 01108/2008<br>11106/2006 | Granted.   |
| 1735.082AUOI | 3,5-Disubstituted-[1,2,4]-oxadiazoles and Analogs as<br>Activators of Caspases and Inducers of Apoptosis and<br>the Use Thereof | Australia | 2003303373<br>2003303373 | 5/27/2010<br>12/18/200   | O Granted.<br>3  |
| 1735.082CNOI | 3,5-Disubstituted-[1,2,4]-oxadiazoles and Analogs as<br>Activators of Caspases and Inducers of Apoptosis and<br>the Use Thereof | China     | 2.0038E+11<br>2.0038E+11 | 1/30/200<br>12/18/200    | 8 Granted.<br>3  |
| 1735.082EPOI | 3,5-Disubstituted-[1,2,4]-oxadiazoles and Analogs as<br>Activators of Caspases and Inducers of Apoptosis and<br>the Use Thereof | EPC       | 3808469.5                | 12118/2003               | Pending. Renewal fee not<br>paid for 12/3112010;<br>deadline 06/30/2011. |
| 1735.0840002 | Methods of Treating Diseases Responsive to Induction of Apoptosis and Screening Assays  | USA       | 7,592,143<br>10/826,909  | 9/22/200<br>4/19/200     | 9 Granted.<br>4  |
| 1735.088001  | Protease Inhibitors for Corona virus and Sars-Co V and the Use Thereof  | USA       | 7,504,382<br>10/839,753  | 3/17/2009<br>05106/2004  | 9 Granted.   |
| 1735.088CN06 | Protease Inhibitors for Corona virus and Sars-Co V and the Use Thereof  | China     | 2.0048E+11<br>2.0048E+11 | 07102/2008<br>05104/2004 | Granted.   |
| 1735.0940001 | Derivatives of Gambogic Acid and Analogs as<br>Activators of Caspases and Inducers of Apoptosis                                 | USA       | 7,622,497<br>10/580,263  | 11/24/200<br>5/25/200    |  |
| 1735.1040001 | Substituted 4-Aryl-chromene as Activator of Caspases and Inducer of Apoptosis and as Antivascular Agent and the Use Thereof     | USA       | 11/822,535               | 07106/2007               | Pending, Allowed, Issue<br>Fee due 05/23/2011.                           |
| 1735.104AUOI | Substituted 4-Aryl-chromene as Activator of Caspases and Inducer of Apoptosis and as Antivascular Agent and                     | Australia | 2007271743               | 07106/2007               | Pending,   |

|                | the Use Thereof   |        |                          |                      |                  |
|----------------|---|--------|--------------------------|----------------------|------------------|
| 1735 .104CAO 1 | Substituted 4-Aryl-chromene as Activator of Caspases and Inducer of Apoptosis and as Antivascular Agent and the Use Thereof                                   | Canada | 2,656,706                | 07106/2007           | Pending.         |
| 1735.104CNOI   | Substituted 4-Aryl-chromene as Activator of Caspases and Inducer of Apoptosis and as Antivascular Agent and the Use Thereof                                   | China  | 2.0078E+11               | 07106/2007           | Pending.         |
| 1735.104EPOI   | Substituted 4-Aryl-chromene as Activator of Caspases and Inducer of Apoptosis and as Antivascular Agent and the Use Thereof                                   | EPC    | 7810283.7                | 07106/2007           | Pending.         |
| 1735 .104JPO 1 | Substituted 4-Aryl-chromene as Activator of Caspases and Inducer of Apoptosis and as Antivascular Agent and the Use Thereof                                   | Japan  | 2009-518401              | 07106/2007           | Pending.         |
| 1735.1050002   | 3-Aryl-6-aryl- 7 H-[ 1,2,4 ]triazolo[3,4-b][1,3,4]thiadiazines and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof            | USA    | 111,879,517              | 07118/2007           | Pending.         |
| 1735.105CA02   | 3-Aryl-6-aryl- 7 H-[ 1,2,4 ]triazolo[3,4-b][1,3,4]thiadiazines and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof            | Canada | 2,657,931                | 07118/2007           | Pending.         |
| 1735.105CN02   | 3-Aryl-6-aryl- 7 H-[ 1,2,4 ]triazolo[3,4-b][1,3,4]thiadiazines and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof            | China  | ТВА                      | 07118/2007           | Pending.         |
| 1735.105EP02   | 3-Aryl-6-aryl- 7 H-[ 1,2,4 ]triazolo[3,4-b] [1,3,4 ]thiadiazines and Analogs as Activators of Caspases and Inducers of Aoontosis and the Use Thereof          | EPC    | 7796912.9                | 07118/2007           | Pending,         |
| 1735.105JP02   | 3-Aryl-6-aryl- 7 H-[ 1 ,2,4 ]triazolo[3 ,4-<br>b] [1,3,4 ]thiadiazines and Analogs as Activators of<br>Caspases and Inducers of Apoptosis and the Use Thereof | Japan  | 2009-520810              | 07118/2007           | Pending.         |
| 1735.1080001   | 3-aryl-6-aryl-[1,2,4]triazolo[3,4-b][1,3,4]thiadiazoles and Related Compounds as Activators of Caspases and Inducers of Apoptosis and the Use Thereof         | USA    | 7,732,468<br>111,984,296 | 06108/2010<br>11/15/ | Granted.<br>2007 |

Patent Status Report by Client
Client: MAXIM

Tuesday, April 26, 2011

**EpiCept Corporation** 

Status App Number App Date Client Code/SubCase Case Type ClientReferencPat Number Iss Date Next Action(s) Due Date(s) CIP07/843052 MAXIM.010CP1/ Issued 2-Mar-92 United States of America Country: 5348739 20-Sep-94 MKD - Billing Attorneys: COMBINED ANTI-TUMOR THERAPY WITH INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-Title: RECEPTOR AGONISTS MAXIM.010VAT/ EPC Issued 90914257.2 19-Sep-90 493468 17-Apr-96 Country: Austria MKD - Billing Attorneys: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-Title: RECEPTOR AGONISTS MAXIM.010VAU/ ORD Issued 64191/90 19-Sep-90 640954 25-Jan-94 Country: Australia amh - IPS; MKD - Billing Attorneys: Title: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-RECEPTOR AGONISTS MAXIM.010VBE/ EPC 90914257.2 19-Sep-90 Issued 493468 Country: Belgium 17-Apr-96 Attorneys. MKD - Billing ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-Title: RECEPTOR AGONISTS MAXIM.010VCA/ ORD Issued 2066728 19-Sep-90 2066728 Country: Canada 25-Dec-01 amh - IPS; MKD - Billing Attorneys: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-Title: RECEPTOR AGONISTS MAXIM.010VCH/ EPC 90914257.2 Issued 19-Sep-90 Switzerland 493468 17-Apr-96 Country: MKD - Billing Attorneys: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-Title: RECEPTOR AGONISTS MAXIM.010VDE/ EPC Issued 90914257.2 19-Sep-90 493468 17-Apr-96 Country: Germany MKD - Billing Attorneys: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-Title: RECEPTOR AGONISTS MAXIM.010VDK/ EPC 90914257.2 19-Sep-90 Issued Country: Denmark 493468 17-Apr-96 MKD - Billing Attorneys: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-Title:

Issued

ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-

493468

RECEPTOR AGONISTS

RECEPTOR AGONISTS

ORD

European Patent Convention

amh - IPS; MKD - Billing

MAXIM.010VEP/

Country: Attorneys:

Title:

TRADEMARK
REEL: 004649 FRAME: 0849

19-Sep-90

90914257.2

17-Apr-96

**MAXIM.010VES/** EPC Issued 90914257.2 19-Sep-90

**Country:** Spain 493468 17-Apr-96

Attorneys: MKD - Billing

Title: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-

RECEPTOR AGONISTS

MAXIM.010VFR/ EPC Issued 90914257.2 19-Sep-90

**Country:** France 493468 17-Apr-96

Attorneys: MKD - Billing

Title: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-

RECEPTOR AGONISTS

MAXIM.010VGB/ EPC Issued 90914257.2 19-Sep-90

Country: United Kingdom 493468 17-Apr-96

Attorneys: MKD - Billing

Title: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-

RECEPTOR AGONISTS

MAXIM.010VIT/ EPC Issued 90914257.2 19-Sep-90

Country: Italy 493468 17-Apr-96

Attorneys: MKD - Billing

Title: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-

RECEPTOR AGONISTS

MAXIM.010VJP/ ORD Issued 513349/1990 19-Sep-90

**Country:** Japan 2845622 30-Oct-98

Attorneys: amh - IPS; MKD - Billing

Title: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-

RECEPTOR AGONISTS

MAXIM.010VKR/ ORD Issued 92-700628 19-Mar-92

Country: Korea, Republic of 195392 11-Feb-99

Attorneys: amh - IPS; MKD - Billing

Title: A PHARMACEUTICAL PREPARATION OR SYSTEM, AND USE THEREOF FOR INHIBITING TUMOR GROWTH

AND THE METASTASES OF (CONTINUED)

MAXIM.010VLI/ EPC Issued 90914257.2 19-Sep-90

Country: Liechtenstein 493468 17-Apr-96

Attorneys: MKD - Billing

Title: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-

RECEPTOR AGONISTS

MAXIM.010VLU/ EPC Issued 90914257.2 19-Sep-90

Country: Luxembourg 493468 17-Apr-96

Attorneys: MKD - Billing

Title: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-

RECEPTOR AGONISTS

MAXIM.010VNL/ EPC Issued 90914257.2 19-Sep-90

Country: Netherlands 493468 17-Apr-96

Attorneys: MKD - Billing

Title: ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-

RECEPTOR AGONISTS

MAXIM.010VSE/ EPC Issued 90914257.2 19-Sep-90

**Country:** Sweden 493468 17-Apr-96

MKD - Billing Attorneys:

ANTI-TUMOR PREPARATION COMPRISING INTERLEUKIN-2 AND HISTAMINE, ANALOGS THEREOF OR H2-Title:

RECEPTOR AGONISTS

MAXIM.026C1/ CON Issued 08/681108 22-Jul-96 11 1/2 YR L/C 6-Jun-11

United States of America 6071509 6-Jun-00 Tax 11.5 6-Dec-11 Country:

MKD - Billing 11.5 GRACE PE Attorneys: 6-Jun-12 ENHANCED ACTIVATION OF NATURAL KILLER CELLS USING AN NK CELL ACTIVATOR & A HYDROGEN Title:

PEROXIDE SCAVENGER OR INHIBITOR

CON MAXIM.026C2/ Issued 09/516641 1-Mar-00 LC re 11.5 M/Fee Due 23-Apr-13

United States of America 6375946 23-Apr-02 Tax 11.5 23-Oct-13 Country:

MKD - Billing Attorneys: 11.5 M/Fee Due 23-Oct-13 ENHANCED ACTIVATION OF NATURAL KILLER CELLS USING AN N. 11.5 M/Fee Grad Title: 23-Apr-14

HYDROGEN PEROXIDE SCAVENGER OR INHIBITOR

MAXIM.031APC/ ORD 08/374787 8-May-95 Issued

5728378 17-Mar-98 Country: United States of America

MKD - Billing Attorneys:

PREPARATION FOR ACTIVATION OF NATURAL KILLER CELLS (NK-CELLS), SAID PREPARATION Title:

CONTAINING INTERFERON-ALPHA

MAXIM.031C2/ CON 09/465432 21-Dec-99 11 1/2 YR LC RE M/FEE DUE 5-Dec-11 Issued

Country: United States of America 6155266 5-Dec-00 Tax 11.5 5-Jun-12

11 1/2 YR M/FE MKD - Billing 5-Dec-12 Attorneys:

METHOD FOR TREATMENT OF CANCER AND INFECTIOUS DISEASE Title:

MAXIM.031C3/ CON 09/574874 19-May-00 11 1/2 YR LC RE M/FEE DUE 23-Oct-12 Issued

6305380 United States of America 23-Oct-01 Tax 11.5 23-Apr-13 Country:

11 1/2 YR M/FE 23-Oct-13 MKD - Billing Attorneys:

Title: METHOD FOR TREATMENT OF CANCER AND INFECTIOUS DISEASE

ORD MAXIM.031QCA/ 2136952 3-Jun-93 Issued

2136952 Country: Canada 9-Aug-05

amh - IPS; MKD - Billing Attorneys:

PREPARATION FOR ACTIVATION OF NATURAL KILLER CELLS (NK CELLS), SAID PREPARATION Title:

CONTAINING INTERFERON-A AND HISTAMINE, SEROTONIN, AMINES OR SUBSTANCES WITH

CORRESPONDING RECEPTOR ACTIVITY

MAXIM.049CP1/ CIP Issued 08/767338 16-Dec-96 11 1/2 YR LC RE M/FEE DUE 24-Apr-12

6221893 United States of America 24-Apr-01 Tax 11.5 24-Oct-12 Country:

MKD - Billing 11 1/2 YR M/FE Attorneys: 24-Apr-13

ADMINISTRATION OF HISTAMINE FOR THERAPEUTIC PURPOSES Title:

MAXIM.058A/ ORD Issued 09/226226 6-Jan-99 LC re 11.5 M/Fee Due 24-Dec-13

6498181 24-Dec-02 11.5 M/Fee Due United States of America 24-Jun-14 Country:

Attorneys: MKD - Billing 11.5 M/Fee Grad 24-Dec-14

SYNERGISTIC TUMORCIDAL RESPONSE INDUCED BY HISTAMINE Title:

09/227455 MAXIM.066A/ ORD Issued 8-Jan-99 11 1/2 YR LC RE M/FEE DUE 5-Jun-12

United States of America 6242473 5-Jun-01 Tax 11.5 5-Dec-12 Country:

CTS - Working; MKD - Billing 11 1/2 YR M/FE 5-Jun-13 Attorneys: TREATMENT AND PREVENTION OF REACTIVE OXYGEN METABOLITE-MEDIATED CELLULAR DAMAGE

Title:

MAXIM.066C1/ CON Issued 09/707343 6-Nov-00 LC re 11.5 M/Fee Due 8-Oct-13

United States of America 6462067 8-Oct-02 Tax 11.5 Country: 8-Apr-14

CTS - Working; MKD - Billing 11.5 M/Fee Due 8-Apr-14 Attorneys:

TREATMENT AND PREVENTION OF REACTIVE OXYGEN METABOLI 11.5 M/Fee Grad Title: 8-Oct-14 MAXIM.066DV1/ DIV Issued 09/833896 12-Apr-01 LC re 11.5 M/Fee Due 18-Jun-13 6407133 Country: United States of America 18-Jun-02 11.5 M/Fee Due 18-Dec-13 Attorneys. CTS - Working; MKD - Billing 11.5 M/Fee Grad 18-Jun-14 TREATMENT AND PREVENTION OF REACTIVE OXYGEN METABOLITE- MEDIATED CELLULAR DAMAGE Title: MAXIM.066DV2/ Issued 10/171018 11-Jun-02 FU LC re 7.5 M/Fee Due 4-Sep-11 Country: United States of America 6730692 4-May-04 Tax 7.5 4-Nov-11 Attorneys: CTS - Working; MKD - Billing 7.5 M/Fee Grace 4-May-12 TREATMENT AND PREVENTION OF REACTIVE OXYGEN METABOLI LC re 11.5 M/Fe Title: 4-May-15 FU LC re 11.5 M/Fee Due 4-Sep-15 Tax 11.5 4-Nov-15 11.5 M/Fee Grace Period 4-May-16 MAXIM.067A/ ORD Issued 09/467652 20-Dec-99 LC re 11.5 M/Fee Due 11-Jun-13 United States of America 6403806 11-Jun-02 11.5 M/Fee Due 11-Dec-13 Country: CTS - Working; MKD - Billing 11.5 M/Fee Grad 11-Jun-14 Attorneys: Title: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE MAXIM.067C1/ CON 09/974469 9-Oct-01 LC re 11.5 M/Fee Due 4-Mar-14 Issued United States of America 6528654 4-Mar-03 Tax 11.5 4-Sep-14 Country: CTS - Working; MKD - Billing 11.5 M/Fee Due 4-Sep-14 Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: 11.5 M/Fee Grad 4-Mar-15 MAXIM.067C2/ 10/364810 10-Feb-03 LC re 11.5 M/Fee Due Issued 16-Sep-14 United States of America 6620942 16-Sep-03 Tax 11.5 16-Mar-15 Country: CTS - Working; MKD - Billing 11.5 M/Fee Due 16-Mar-15 Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: 11.5 M/Fee Grad 16-Sep-15 MAXIM.067VAT/ EPC Issued 99968148.9 20-Dec-99 1140857 Austria 2-Jul-08 Country: amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: MAXIM.067VAU/ ORD Issued 24825/00 20-Dec-99 763523 Australia 6-Nov-03 Country: amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: MAXIM.067VBE/ EPC Issued 99968148.9 20-Dec-99 1140857 Belgium 2-Jul-08 Country: amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: ORD 2356871 MAXIM.067VCA/ Issued 20-Dec-99 2356871 14-Sep-10 Country: Canada Attorneys: amh - IPS; CTS - Working; MKD - Billing SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: EPC 99968148.9 MAXIM.067VCH/ Issued 20-Dec-99 Country: Switzerland 1140857 2-Jul-08 amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: MAXIM.067VCN/ ORD Issued 99814906.3 20-Dec-99 ZL99814906.3 China (People's Republic) 30-Jun-04 Country: amh - IPS; CTS - Working; MKD - Billing Attorneys:

| mr d          | CANTELLEGIS OF HIGH A HAIT NHWINDOCHI ODING                                       |               |            |           |
|---------------|---|---------------|------------|-----------|
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  | I1            | 000/01/0 0 | 10 D 00   |
| MAXIM.067VCY/ | EPC   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | Cyprus, Republic of   | 1140857       | 2-Jul-08   |           |
| Attorneys:    | amh - IPS; CTS - Working; MKD - Billing<br>SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE |               |            |           |
| Title:        |   | T 1           | 000/0140.0 | 10 To 00  |
| MAXIM.067VDE/ | EPC   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | Germany   | 69939027.3-08 | 2-Jul-08   |           |
| Attorneys:    | amh - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  | Y .           | 00070110.0 | 40 D 00   |
| MAXIM.067VDK/ | EPC   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | Denmark   | 1140857       | 2-Jul-08   |           |
| Attorneys:    | amh - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  |               |            |           |
| MAXIM.067VEP/ | ORD   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | European Patent Convention  | 1140857       | 2-Jul-08   |           |
| Attorneys:    | amh - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  |               |            |           |
| MAXIM.067VES/ | EPC   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | Spain   | 1140857       | 2-Jul-08   |           |
| Attorneys:    | amb - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  |               |            |           |
| MAXIM.067VFI/ | EPC   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | Finland   | 1140857       | 2-Jul-08   |           |
| Attorneys:    | amh - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  |               |            |           |
| MAXIM.067VFR/ | EPC   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | France  | 1140857       | 2-Jul-08   |           |
| Attorneys:    | amb - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  |               |            |           |
| MAXIM.067VGB/ | EPC   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | United Kingdom  | 1140857       | 2-Jul-08   |           |
| Attorneys:    | amh - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  |               |            |           |
| MAXIM.067VGR/ | EPC   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | Greece  | 1140857       | 2-Jul-08   |           |
| Attorneys:    | amh - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  |               |            |           |
| MAXIM.067VHK/ | ORD   | Issued        | 2102715.8  | 20-Dec-99 |
| Country:      | Hong Kong   | HK1040998     | 24-Apr-09  |           |
| Attorneys:    | amh - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  |               |            |           |
| MAXIM.067VIE/ | EPC   | Issued        | 99968148.9 | 20-Dec-99 |
| Country:      | Ireland   | 1140857       | 2-Jul-08   |           |
| Attorneys:    | amh - IPS; CTS - Working; MKD - Billing   |               |            |           |
| Title:        | SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE  |               |            |           |
| MAXIM.067VIL/ | ORD   | Issued        | 143570     | 20-Dec-99 |
| Country:      | Israel  | 143570        | 21-Nov-06  |           |
|               |   |               |            |           |

amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: ORD MAXIM.067VIN/ Issued IN/PCT/2001/00601 20-Dec-99 Country: India 205849 13-Apr-07 amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: MAXIM.067VIT/ EPC Issued 99968148.9 20-Dec-99 Country: Italy 1140857 2-Jul-08 amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: ORD MAXIM.067VJP/ 2000-591009 20-Dec-99 Issued 4139082 13-Jun-08 Country: Japan amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: ORD 10-2001-7007847 MAXIM.067VKR/ 20-Dec-99 Pending Korea, Republic of Country: amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: MAXIM.067VLU/ EPC 99968148.9 20-Dec-99 Issued Country: Luxembourg 1140857 2-Jul-08 amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: 99968148.9 MAXIM.067VMC/ EPC 20-Dec-99 Issued 1140857 Country: Monaco 2-Jul-08 amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: EPC 99968148.9 20-Dec-99 MAXIM.067VNL/ Issued 1140857 Country: Netherlands 2-Jul-08 amh - IPS; CTS - Working; MKD - Billing Attorneys: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: MAXIM.067VNZ/ ORD 512935 20-Dec-99 Issued 512935 8-Dec-03 Country: New Zealand Attorneys: amh - IPS; CTS - Working; MKD - Billing SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: MAXIM.067VPT/ EPC 99968148.9 20-Dec-99 Issued 1140857 Country: Portugal 2-Jul-08 Attorneys: amh - IPS; CTS - Working; MKD - Billing SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title: MAXIM.067VSE/ EPC Issued 99968148.9 20-Dec-99 1140857 Sweden 2-Jul-08 Country: amh - IPS; CTS - Working; MKD - Billing Attorneys: Title: SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE ORD 88122820 23-Dec-99 MAXIM.067VTW/ Issued I243166 Taiwan 11-Nov-05 Country:

> amb - IPS; CTS - Working; MKD - Billing SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE

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Attorneys:

Title: MAXIM.067VZA/

> TRADEMARK REEL: 004649 FRAME: 0854

20-Dec-99

2001/4552

Issued

South Africa 2001/4552 27-Mar-02 Country: Attorneys: amh - IPS; CTS - Working; MKD - Billing

SYNTHESIS OF HISTAMINE DIHYDROCHLORIDE Title:

MAXIM.073A/ ORD Issued 09/227801 8-Jan-99 11 1/2 YR LC RE M/FEE DUE 7-Aug-12

United States of America 6270781 7-Aug-01 Tax 11.5 7-Feb-13 Country:

MKD - Billing 11 1/2 YR M/FE Attorneys: 7-Aug-13

Title: METHODS AND COMPOSITIONS FOR TOPICAL TREATMENT OF DAMAGED TISSUE USING REACTIVE

OXYGEN METABOLITE PRODUCTION OR RELEASE INHIBITORS

DIV 09/765929 MAXIM.073DV1/ Issued 19-Jan-01 11 1/2 YR LC RE M/FEE DUE 26-Feb-13

United States of America 6350785 26-Feb-02 Tax 11.5 26-Aug-13 Country:

MKD - Billing Attorneys: 11 1/2 YR M/FE 26-Feb-14

METHODS AND COMPOSITIONS FOR TOPICAL TREATMENT OF DAMAGED TISSUE USING REACTIVE Title:

OXYGEN METABOLITE PRODUCTION OR RELEASE INHIBITORS

MAXIM.073QNZ/ ORD Issued 513272 7-Jan-00

513272 New Zealand 29-Mar-04 Country:

amh - IPS; MKD - Billing Attorneys:

METHODS AND COMPOSITIONS FOR TOPICAL TREATMENT OF DAMAGED TISSUE USING REACTIVE Title:

OXYGEN METABOLITE PRODUCTION OR RELEASE INHIBITORS

MAXIM.078VAU/ ORD 200061044 14-Jul-00 Issued

Country: Australia 779215 19-May-05

amh - IPS; MKD - Billing Attorneys:

11.5 M/Fee Grace Period

USE OF DIPHENYLIONODONIUM FOR THE ACTIVATION AND PROTECTION OF CYTOTOXIC Title:

MAXIM.085DV1/ DIV Issued 10/160745 30-May-02 LC re 7.5 M/Fee Due 14-Sep-11

6790440 14-Sep-04 FU LC re 7.5 M/Fee Due Country: United States of America 14-Jan-12

MKD - Billing Tax 7.5 14-Mar-12 Attorneys: Title: METHODS AND COMPOSITIONS FOR PROMOTING THE MATURATIO 7.5 M/Fee Grace 14-Sep-12

LC re 11.5 M/Fee Due 14-Sep-15 FU LC re 11.5 M/Fee Due 14-Jan-16

Tax 11.5 14-Mar-16 14-Sep-16 11.5 M/Fee Grace Period

MAXIM.085DV3/ DIV 10/160360 30-May-02 LC re 7.5 M/Fee Due 17-May-12 Issued

6893633 17-May-05 FU LC re 7.5 M/Fee Due 17-Sep-12 Country: United States of America

Attorneys: MKD - Billing Tax 7.5 17-Nov-12 METHODS AND COMPOSITIONS FOR PROMOTING THE MATURATIO 7.5 M/Fee Grace Title: 17-May-13

LC re 11.5 M/Fee Due 17-May-16

FU LC re 11.5 M/Fee Due 17-Sep-16 Tax 11.5 17-Nov-16

17-May-17 MAXIM.085DV4/ DIV Issued 10/160368 30-May-02 LC re 7.5 M/Fee Due 23-Nov-11

6821510 23-Nov-04 FU LC re 7.5 M/Fee Due 23-Mar-12 United States of America Country:

Attorneys: MKD - Billing Tax 7.5 23-May-12

Title: METHODS AND COMPOSITIONS FOR PROMOTING THE MATURATIO 7.5 M/Fee Grace 23-Nov-12

LC re 11.5 M/Fee Due 23-Nov-15 FU LC re 11.5 M/Fee Due 23-Mar-16 Tax 11.5 23-May-16

11.5 M/Fee Grace Period 23-Nov-16

MAXIM.217VPC/ ORD PCT/US2010/030604 Published 9-Apr-10 File Refs in All IL Applicatio 18-May-11

Patent Cooperation Treaty IDS in US Appli 18-May-11 Country: amh - IPS; MKD - Billing; MZP - Working Attorneys: File Resp w/Den 18-May-11 COMPOSITION AND USE OF N-ALPHA-METHYLHISTAMINE DIHYDR LC 30 Month N Title: 16-Jul-11 OXYGEN RADICAL FORMATION FU LC re 30 Month NP / IPS 16-Aug-11 Prepare FFNP Memo / Asst 16-Sep-11 NP w/out Late Fee / ATTY 18-Sep-11 NP w/\$100 Late Fee / ATTY 2-Oct-11 NP w/\$300 Late Fee / ATTY 9-Oct-11 File Nat'l Phase in US? / ATTY 16-Oct-11 NP 30 Month Date FINAL / IPS 16-Oct-11 NP 31 Month Date FINAL / IPS 16-Nov-11 MAXIM.26D1F1/ FW Issued 08/932406 17-Sep-97 11 1/2 YR L/C 16-May-11 United States of America 6063373 16-May-00 Tax 11.5 16-Nov-11 Country: 11.5 GRACE PI Attorneys: MKD - Billing 16-May-12 ENHANCED ACTIVATION OF NK CELLS USING AN NK CELL ACTIVATOR AND A HYDROGEN PEROXIDE Title: SCAVENGER OR INHIBITOR MAXIM.26D1F1C1/ CON Issued 09/516738 1-Mar-00 11 1/2 YR LC RE M/FEE DUE 12-Jun-12 United States of America 6245563 12-Jun-01 Tax 11.5 12-Dec-12 Country: 11 1/2 YR M/FE MKD - Billing 12-Jun-13 Attorneys: Title: ENHANCED ACTIVATION OF NATURAL KILLER CELLS USING AN NK CELL ACTIVATOR AND A HYDROGEN PEROXIDE SCAVENGER OR INHIBITOR CON 09/033110 MAXIM.31C1/ Issued 2-Mar-98 Tax 11.5 21-Jun-11 6003516 21-Dec-99 Tax 11.5 United States of America Country: 21-Jun-11 MKD - Billing Attorneys: METHOD FOR TREATMENT OF CANCER AND INFECTIOUS DISEASE Title: MAXIM.49CPCP/ CIPIssued 08/969384 13-Nov-97 11 1/2 YR L/C 6-Jun-11 6071942 6-Jun-00 Tax 11.5 6-Dec-11 United States of America Country: Attorneys. MKD - Billing 11.5 GRACE PF 6-Jun-12

ELEVATION OF CIRCULATING BLOOD HISTAMINE LEVELS

Title:

# Jones Day Patent Report (No Actions:No 502's)

April 26, 20 II

| Docket No. CAM No.<br>Country <b>Status</b> | Client Owner        | App# Pat #  Patent Title Inventors App Date Pat Date   |
|---|---------------------|--|
| 010071-0005-007                             | EPICEPT CORPORATION | INTRADERMAL PENETRATION AGENTS FOR WILFRIED FISCHER TOPICAL KATHARINASTOEGER 2001245449 2001245449 ANESTHETICS USING A PATCH |
| 301717-007004                               | EPICEPT, INC.       | 6-Mar-01 5-Jul-07  |
| Australia<br>Registered                     |                     |  |
|   | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0005-008                             | EPICEPT CORPORATION | INTRADERMAL PENETRATION AGENTS FOR WILLFRIED FISCHER TOPICAL KATHARINASTOEGER 521470 521470 ANESTHETICS USING A PATCH        |
| 301717-008004                               | EPICEPT, INC.       | March 6, 200 I 11-Nov-04   |
| New Zealand<br>Registered                   |                     |  |
|   | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0005-009                             | EPICEPT CORPORATION | INTRADERMAL PENETRATION AGENTS FOR TOPICAL KATHARINASTOEGER 260 2 / 00 8828 237220 LOCAL PETRA ffü BER  USING A PATCH        |
| 301717-009004                               | EPICEPT, INC.       | 6-Mar-01 26-May-06   |
| Mexico<br>Registered                        |                     |  |
|   | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |

| 010071-0005-012<br>301717-012004<br>Japan<br>Pending | EPICEPT CORPORATION EPICEPT, INC.  | INTRÂDERMAL PENETRATION AGENTS FOR WILFRIED FISCHER TOPICAL KATHARINASTOEGER 13-566701 LOCAL PETRA ffi/BER USING A PATCH 6-Mar-01   |
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|  | Attomeys:                          | ILENE B. TANNEN PETER G. THURLOW  |
| 010071-0005-056                                      | EPICEPT CORPORATION                | INTRADERMAL PENETRATION AGENTS FOR WILFRIED FISCHER TOPICAL LOCAL ANESTHETICS USING A PATCH   |
| 301717-056004  | EPICEPT, INC.                      | 6-Mar-01 4-Sep-07   |
| India  |                                    |   |
| Registered   |                                    |   |
|  | Attomeys:                          | ILENE B. TANNEN PETER G. THURLOW  |
| 010071-0005-117<br>301717-11700 <b>4</b>             | EPICEPT CORPORATION  EPICEPT, INC. | INTRADERMAL PENETRATION AGENTS FOR WILFRIED FISCHER TOPICAL KATHARINASTOEGER 1-2001-00573 LOCAL ANESTHETICS USING A PATCH  8-Mar-01 |
| Philippines  |                                    |   |
| Filed Wait Exam                                      |                                    |   |
|  | Attomeys:                          | ILENE B. TANNEN PETER G. THURLOW  |
| 010071-0005-149                                      | EPICEPT CORPORATION                | INTRADERMAL PENETRATION AGENTS FOR TOPICAL LOCAL ANESTHETICS USING A PATCH  |

301717-149004

EPICEPT, INC.

Czech Republic

Pending

|  | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW  |
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| 010071-0005-158                        | EPICEPT CORPORATION | INTRADERMAL PENETRATION AGENTS FOR WILFRIED FISCHER TOPICAL KATHARINASTOEGER 151671 151671 ANESTHETICS USING A PATCH  |
| 301717-158004                          | EPICEPT, INC.       | May 18,2010   |
| Israel                                 |                     |   |
| Registered                             |                     |   |
|  | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW  |
| 010071-0005-187                        | EPICEPT CORPORATION | INTRADERMAL PENETRATION AGENTS FOR TOPICAL WILFRIED FISCHER LOCAL KATHARINASTOEGER 10-2002-7011879 10-0764156 ANESTHETICS USING A PATCH   |
| 301717-187004                          | EPICEPT, INC.       | 28-Sep-0:   |
| Republic of Korea<br>Registered        |                     |   |
|  | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW  |
| 010071-0005-228                        | EPICEPT CORPORATION | INTRADERMAL PENETRATION AGENTS FOR TOPICAL LOCAL LOCAL ANESTHETICS USING A PATCH  INTRADERMAL WILFRIED FISCHER TOPICAL KATHARINASTOEGER PCT: USO 1107060 PETRA ffüßer  (Alt. 9) |
| 301717-228004                          | EPICEPT, INC.       | 6-Mar-01  |
| Patent Cooperation Treaty<br>Completed |                     |   |
| Completed                              | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW  |

| 010071-0005-999                        | EPICEPT CORPORATION | INTRADERMAL PENETRATION AGENTS FOR TOPICAL LOCAL ANESTHETICA DMINISTRA TION  |
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| 301717-999004                          | EPICEPT CORPORATION | 10-Mar-00 24-Sep-02  |
| United States of America<br>Registered |                     |  |
|  | Attomeys:           | ILENE B. TANNEM PETER G. THURLOW   |
| 010071-0010-012                        | EPICEPT CORPORATION | METHODS AND COMPOSITION S FOR TREATING PAIN OF THE MUCOUS MEMBRANE   |
| 301717-012009                          | EPICEPT CORPORATION | JOHN J. KOLENG GAVRJL<br>W. PASTERNAK YURJ A. 26-Jun-01<br>KOLESNIKOV  |
| Japan<br>Pending                       |                     | NOLLE, VINO Y  |
|  | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0010-158                        | EPICEPT CORPORATION | METHODS AND COMPOSITION S FOR TREATING PAIN OF THE MUCOUS MEMBRANE  METHODS AND ROBERT O. WILLIAMS 153656 FENGZHANG 153656 |
| 301717-158009                          | EPICEPT CORPORATION | JOHN J. KOLENG GAVRIL<br>W. PASTERNAK YURI A.<br>KOLESNIKOV  |
| Israel<br>Filed Wait Exam              |                     | AULES, VIACV   |
|  | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0010-227                        | EPICEPT CORPORATION | METHODS AND COMPOSITION S FOR TREATING PAIN OF THE MUCOUS MEMBRANE   |
| 301717-227009                          | EPICEPT CORPORATION | JOHN J. KOLENG GAVRII.<br>W. PASTERNAK YURJ A. 26-Jun-01 2-Mar-05<br>KOLESNIKOV  |
| European Patent Office<br>EP Granted   |                     |  |

|  | Attomeys:                                | ILENE B. TANNEN PETER G. THURLOW   |
|--|--|--|
| 010071-0010-228  | EPICEPT CORPORATION                      | METHODS AND COMPOSITION S FOR TREATING PAIN OF THE MUCOLSMEMB RANE   |
| 301717-228009  | EPICEPT CORPORATION                      | JOHN J. KOLENG GAVRJL<br>W. PASTERNAK YURJ A. 26-Jun-01<br>KOLESNIKOV  |
| Patent Cooperation Treaty<br>Completed                 |  |  |
|  | Attomeys:                                | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0016-001  | EPICEPT CORPORATION                      | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN                             |
| 301717-001015  | EPICEPT CORPORATION                      | 14-Aug-02 26-Oct-10  |
| Canada   |  |  |
| Registered   | 40                                       | ILENE B. TANNEN PETER G. THURLOW   |
|  | Attomeys:                                | ILEAU B. IMPORATEMEN O. MICKEON  |
| 010071-0016-007  | EPICEPT CORPORATION                      | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN                             |
| 301717-007015<br>Australia                             | EPICEPT CORPORATION                      | 14-Aug-02 18-Sep-08  |
| Registered   | Attomeys:                                | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0016-008<br>301717-008015<br><b>New Zealand</b> | EPICEPT CORPORATION  EPICEPT CORPORATION | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN  14-Aug-02 December 14,2006 |
| Registered   |  |  |
|  | Attomeys:                                | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0016-009<br>301717-009015                       | EPICEPT CORPORATION EPICEPT CORPORATION  | TOPICAL COMP ROBERT O. WILLIAMS FEN(P A \( \alpha \) 2004/00 136 \( 2 \)  14-Aug-02 February 29, 2008        |

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| Attomeys:                               | ILENE B. TANNEN THOMAS E. FRIEBEL PETEI   | RG. THURLOW  |
| EPICEPT CORPORATION EPICEPT CORPORATION | TOPICAL COMP ROBERT O. WILLIAMS FEN (1813-2002)   | 45.5<br>Aug-02 8-Jul-09  |
| Attomeys:                               | ILENE B. TANNEN PETER G. THURLOW  |  |
| EPICEPT CORPORATION EPICEPT CORPORATION |   | 109763 HK1089364<br>Aug-02 5-Feb-10  |
| Attomeys:                               | ILENE B. TANNEN PETER G. THURLOW  |  |
| EPICEPT CORPORATION                     | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN  |  |
| EPICEPT CORPORATION                     | 15-   | Aug-02   |
|   |   |  |
| Attomeys:                               | ILENE B. TANNEN PETER G. THURLOW  |  |
| EPICEPT CORPORATION                     | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN  |  |
| EPICEPT CORPORATION                     | 14-   | Aug-02   |
| Attornage                               | II ENE D. TANNEN DETED C. TUI DI OW   |  |
| Attolueys.                              | ILEAC D. TANNEN FETER G. ITICKLOW   |  |
| EPICEPT CORPORATION                     | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN  | 20112.4 <b>ZL</b> 02 <b>32011</b> 2.4  |
| EPICEPT CORPORATION                     | 14-2  | Aug-02 10-Jun-09   |
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ILENE B. TANNEN PETER G. THURLOW

| 010071-0016-158<br>301717-158015<br>Israel | EPICEPT CORPORATION EPICEPT CORPORATION | TOPICAL COMP ROBERT O. WILLIAMS FEN  | 160388<br>May 18.2010 | 160388    |
|--|---|--|-----------------------|-----------|
| Registered                                 | Attomeys:                               | ILENE B. TANNEN PETER G. THURL   | OW                    |           |
| 010071-0016-227                            | EPICEPT CORPORATION                     | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN | 2770398.2             |           |
| 301717-227015                              | EPICEPT CORPORATION                     |  | 14-Aug-02             |           |
| European Patent Office                     |   |  |                       |           |
| Pending                                    | Attomeys:                               | ILENE B. TANNEN PETER G. THURLOW   |                       |           |
|  | •                                       |  |                       |           |
| 010071-0016-228                            | EPICEPT CORPORATION                     | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN | )2/25871              |           |
| 301717-228015                              | EPICEPT CORPORATION                     |  | 14-Aug-02             |           |
| Patent Cooperation Treaty<br>Completed     |   |  |                       |           |
|  | Attomeys:                               | ILENE B. TANNEN PETER G. THURLOW   | CELEMORRIS            |           |
| 010071-0016-999                            | EPICEPT CORPORATION                     | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN | 91,931,293            | 6,638,981 |
| 301717-999015                              | EPICEPT CORPORATION                     |  | 17-Aug-01             | 28-Oct-03 |
| United States of America<br>Registered     |   |  |                       |           |
|  | Attomeys:                               | ILENE B. TANNEN PETER G. THURLOW   |                       |           |
| 010071-0018-228                            | EPICEPT CORPORATION                     | STERILE: BREATHABLE PATCH FOR PAUL MASON PCT!US TREATING WOUND PAIN              | 02/33195              |           |
| 301717-228017                              | EPICEPT CORPORATION                     |  | 17-Oct-02             |           |
| Patent Cooperation Treaty<br>Completed     |   |  |                       |           |
|  |   |  |                       |           |

| 010071-0026-999                           | EPICEPT CORPORATION | METHODS AND COMPOSITION S FOR TREATING PAIN OF THE MUCOUSMEMB RANE  METHODS AND COMPOSITION S FOR ROBERT O. WILLIAMS 101,172,455 6,509,028 |
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| 301717-999025                             |                     | JOHN J. KOLENG GAVRJL<br>W. PASTERNAK YURJ A. 17-Jun-02 21-Jan-03<br>KOLESNIKOV  |
| United States of America                  |                     | TO ABOUT THE   |
| Registered                                |                     |  |
|   | Attomeys:           | ILENE B. TANNEN ILENE B. TANNEN  |
| 010071-0027-999                           | EPICEPT CORPORATION | INTRADERMAL PENETRATION AGENTS FOR TOPICAL WILFRIED FISCHER PETRA LOCAL #FIBER PAUL MASON ANESTHETICA DMINISTRA TION                       |
| 301717-999026                             | EPICEPT CORPORATION | 10-Mar-00 8-Jun-04   |
| United States of America                  |                     |  |
| Registered                                |                     |  |
|   | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0028-999                           | EPICEPT CORPORATION | PROCESS FOR THE PREPARATION KENTON L. CROWLEY 08/866.770 5,817,699 JOHN A. FLORES OF KELLANDE ONLYMENT May 20 1007. 4 6 0 t 08             |
| 301717-999027<br>United States of America | EPICEPT CORPORATION | OF KETAMINE OINTMENT May 30.1997 6-Oct-98  |
| Registered                                |                     |  |
| o .                                       | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0048-012                           | EPICEPT CORPORATION | METHODS AND COMPOSITION S FOR TREATING PAIN OF THE MUCOUS MEMBRANE   |
| 301717-012050                             | EPICEPT CORPORATION | JOHN J. KOLENG GAVRIL.<br>W. PASTERNAK YURI A. 23-Jun-08<br>KOLESNIKOV   |
| Japan                                     |                     |  |
| Pending                                   | Attomous            | ILENE B. TANNEN PETER G. THURLOW   |
|   | Attomeys:           | ILIAU D. PANNENTETER U. HURLUW   |

| 010071-0048-023          | EPICEPT CORPORATION | METHODS AND COMPOSITION S FOR TREATING PAIN OF THE MUCOUS MEMBRANE TOMBER TO. WILLIAMS FENGZHANG 5110883.4 |
|--------------------------|---------------------|--|
| 301717-023050            | EPICEPT CORPORATION | JOHN J. KOLENG GAVRIL<br>W. PASTERNAK YURI A. 28-Feb-05<br>KOLESNIKOV                                      |
| Hong Kong                |                     |  |
| Filed Wait Exam          |                     |  |
|                          | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0051-999          | EPICEPT CORPORATION | KETAMINE AND N-B UTYI P-AMINOBEZO 09:350.062 6,017.961 ATE (sic) IN PLO                                    |
| United States of America | EPICEPT CORPORATION | 8-Jul-99 25-Jau-00   |
| Registered               |                     |  |
|                          | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |
| 010071-0053-009          | EPICEPT CORPORATION | TOPICAL COMPOSITION S AND ROBERT O. WILLIAMS METHODS FOR FENGZHANG TREATING PAIN                           |
| 301717-009054            | EPICEPT CORPORATION | October 19.2007  |
| Mexico                   |                     |  |
| Pending                  |                     |  |
|                          | Attomeys:           | ILENE B. TANNEN PETER G. THURLOW   |

# EXHIBIT C

Trademarks

[See Attached]

MAXIM PHARMACEUTICALS, INC. / EPICEPT CORPORATION TRADEMARK STATUS REPORTS Updated: April 26, 2011

MAXIM PHARMACEUTICALS, INC.- U.S. TRADEMARK APPLICATION REGISTRATIONS:

| MARK    | KMOB  REF. NO.  (MAXIM) | CLASS:<br>GOODS/SERVICES                        | APP.NO./<br>APP.DATE | REG. NO <i>J</i><br>REG. DATE | STATUS/<br>COMMEN<br>TS |
|---------|-------------------------|---|----------------------|-------------------------------|-------------------------|
| CEPLENE | 157T                    | 5: Pharmaceuticals,<br>namely, compositions for | 76/138212            | 2967403                       | REGISTER<br>ED          |

namely, compositions for

the treatment of cancer

**TRADEMARK REEL: 004649 FRAME: 0867** 

7/12/2005

9/29/2000

Renewal due 07112115

| ∴ Ceplene | 221T | 5: Pharmaceuticals,<br>namely, compositions for<br>the treatment of cancer                            | 85/021009<br>4/22/2010 |                      | PENDING  NOA issued 11116/10  SOU/1 <sup>8</sup> due 5/15/11             |
|-----------|------|---|------------------------|----------------------|--|
| VONTIV    | 156T | 5: Pharmaceuticals,<br>namely, compositions for<br>the treatment of cancer and<br>infectious diseases | 76/138211<br>9/29/2000 | 3252103<br>6/12/2007 | REGISTER<br>ED<br>Section 8 & 15 due<br>06112114<br>Renewal due 06112117 |

## $\underline{MAXIM\,PHARMACEUTICALS, INC.-FOREIGN\,TRADEMARK\,REGISTRATIONS}$

| MARK       | COUNTRY   | КМОВ     | CLASS:                                      | APP.NO./   | REG. NO./   | STATUS/              |
|------------|-----------|----------|---|------------|-------------|----------------------|
| WAKK       | COUNTRI   | KMOD     | GOODS/SERVICES                              | AIT NOJ    | KEG. NO.    |                      |
|            |           | REF. NO. |   | APP.DATE   | REG. DATE   | COMMEN<br>TS         |
|            |           | (MAXIM)  |   |            |             |                      |
| CEPLENE    | Australia | 157WAU   | 5: Pharmaceuticals,                         | 858297     | 858297      | REGISTER             |
|            |           |          | namely,<br>compositions for the             |            |             | ED                   |
|            |           |          | treatment of cancer                         |            |             |                      |
|            |           |          | 1100  | 11100100   | 5117/0001   | Renewal              |
|            |           |          | and infectious diseases                     | 11123/00   | 5/17/2001   | due<br>11123/20      |
| CEPLENE    | Canada    | 157WCA   | 5: Pharmaceuticals, namely                  | 1078344    | TMA687350   | REGISTER             |
| CIM HIM CI | Citatos   | Is well  | compositions for the                        | 1070311    | 11111007555 | ED                   |
|            |           |          | treatment of cancer                         |            |             |                      |
|            |           |          |   |            |             | Renewal              |
|            |           |          |   | 10/12/2000 | 5/9/2007    | due<br>05/09/22      |
| CEPLENE    | China     | 157WCN   | 5: Pharmaceuticals,                         | 2001045526 | 1760656     | REGISTER             |
| CEPLEINE   | Culla     | 13/WCN   | namely,                                     | 2001043320 | 1700030     | ED                   |
|            |           |          | compositions for the<br>treatment of cancer |            |             |                      |
|            |           |          |   |            |             | Renewal              |
|            |           |          | and infectious diseases                     | 3/28/2001  | 5/7/2002    | due                  |
|            | _         |          | 5: Pharmaceuticals,                         |            |             | 05/07/12<br>REGISTER |
| CEPLENE    | European  | 157WEU   | namely,                                     | 1965656    | 1965656     | ED                   |
|            | Community |          | compositions for the                        |            |             |                      |
|            |           |          | treatment of cancer                         |            |             | Renewal              |
|            |           |          | and infectious diseases                     | 11122/00   | OS/22/02    | due                  |
|            |           |          |   |            |             | 11122/20<br>REGISTER |
| CEPLENE    | Iceland   | 157WIS   | 5: Pharmaceuticals                          | 2516/2003  | 30/2004     | ED ED                |
|            |           |          |   |            |             | Renewal              |
|            |           |          |   | 10/6/2003  | 01102/04    | due<br>01 102/14     |
|            |           |          |   |            |             | 01102/14             |

| CEPLENE | Israel        | 157WIL | 5: Pharmaceuticals,<br>namely,<br>compositions for the<br>treatment of cancer | 144206      | 144206    | REGISTER<br>ED                    |
|---------|---------------|--------|---|-------------|-----------|-----------------------------------|
|         |               |        | and infections diseases   | 11126/00    | 2/5/2002  | Renewal<br>due<br>11126/21        |
| CEPLENE | Јарап         | 157WJP | 5: Composed<br>pharmaceuticals for<br>treatment of cancer;<br>Composed        | 2001-029763 | 4671668   | REGISTER<br>ED                    |
|         |               |        | pharmaceuticals for<br>treatment of   | 3/30/2001   | 5/16/2003 | Renewal<br>due<br>05/16/13        |
|         |               |        | infectious disease; other   |             |           |                                   |
|         |               |        | pharmaceuticals   |             |           | 2 2 2 2 2 2 2 2                   |
| CEPLENE | Liechtenstein | 157WLI | 5: Pharmaceuticals  | 13015       | 13015     | REGISTER<br>ED<br>Renewal         |
|         |               |        |   | 10/8/2003   | 01113/04  | due<br>11126/21                   |
| CEPLENE | New Zealand   | 157WNZ | 5: Pharmaceuticals,<br>namely,<br>compositions for the<br>treatment of cancer | 627694      | 627694    | REGISTER<br>ED                    |
|         |               |        | and infectious diseases   | 9/29/2000   | 5/24/2001 | Renewal<br>due<br><i>09/29117</i> |
| CEPLENE | Norway        | 157WNO | 5: Pharmaceutical preparations  | 200309400   | 224104    | REGISTER<br>ED<br>Renewal         |
|         |               |        |   | 10 1 07/03  | 9/1/2004  | due<br><i>09/01114</i>            |
| CEPLENE | Switzerland   | 157WCH | 5: Pharmaceuticals,<br>namely,<br>compositions for the<br>treatment of cancer | 13973/2000  | 483420    | REGISTER<br>ED                    |
|         |               |        | and infectious diseases   | 11 1 23/00  | 4/4/2001  | Renewal<br>due<br>11123/20        |
| VONTIV  | Australia     | 156WAU | 5: Pharmaceuticals,<br>namely,<br>compositions for the<br>treatment of cancer | 858080      | 858080    | REGISTER<br>ED                    |
|         |               |        | and infectious diseases   | 11 1 22/00  | 5/17/2001 | Renewal<br>due<br>11122/20        |
| VONTIV  | Canada        | 156WCA | 5: Pharmaceuticals, namely compositions for the                               | 1078345     | TMA727471 | REGISTER<br>ED                    |
|         |               |        | treatment of cancer   |             |           | D 1                               |
|         |               |        | and viral hepatitis   | 10/12/2000  | 10/30108  | Renewal<br>due<br>10/30/23        |

| VONTIV | China                 | 156WCN | 5: Pharmaceuticals,<br>namely,<br>compositions for the<br>treatment of cancer | 2001045525  | 1760655   | REGISTER<br>ED                    |
|--------|-----------------------|--------|---|-------------|-----------|-----------------------------------|
|        |                       |        | and infectious diseases   | 3/28/2001   | 5/7/2002  | Renewal<br>due<br><i>05/07/12</i> |
| VONTIV | European<br>Community | 156WEU | 5: Pharmaceuticals,<br>namely,<br>compositions for the<br>treatment of cancer | 1966977     | 1966977   | REGISTER<br>ED                    |
|        |                       |        | and infectious diseases   | 11 1 22/00  | 5/23/2002 | Renewal<br>due<br>11122/20        |
| VONTIV | Јарап                 | 156WJP | 5: Pharmaceuticals,<br>namely,<br>compositions for the<br>treatment of cancer | 2001-027100 | 4503151   | REGISTER<br>ED                    |
|        |                       |        | and infectious diseases   | 03/26101    | 08/31101  | Renewal<br>due<br><i>08/31111</i> |
| VONTIV | Switzerland           | 156WCH | 5: Pharmaceuticals,<br>namely,<br>compositions for the<br>treatment of cancer | 13972/2000  | 483419    | REGISTER<br>ED                    |
|        |                       |        | and infectious diseases   | 11 1 23/00  | 4/4/2001  | Renewal<br>due<br>11123/20        |

## EPICEPT CORPORATION - U.S. TRADEMARK APPLICATIONS

| MARK       | KMOB<br>REF. NO. | CLASS:<br>GOODSISE<br>RVICES                | <i>APP.NQ.I</i><br>APP.DATE | REG. <i>NO.1</i><br>REG. DATE |                                |
|------------|------------------|---|-----------------------------|-------------------------------|--------------------------------|
|            | (EPCPT*)         |   | MIDALE                      | REG. DATE                     | CONTINUE                       |
| AMIKET     | 00IT             | 5: Topical<br>analgesics                    |                             |                               | UNFILED                        |
| CROLIBULIN | MAXIM.220T       | 5:<br>Pharmaceutic<br>al preparation<br>for | 85/009435                   |                               | PENDING - TO BE                |
|            |                  | the treatment of<br>cancer                  | 4/8/2010                    |                               | ABANDONED  NOA issued 10/26/10 |

#### DISCLAIMER

To the best of our knowledge, the information contained in this Status Report is accurate. This Status Report is not to be used or relied upon for any purpose other than your general information. The Status Report contains confidential material and is protected by the attorns 111 28254/PJP/0426 11

TRADEMARK REPORT EPICEPT CORPORATION Jones Day April 26, 20 II

|  | App.No.                     | Reg. No.                           | Docket No. 010071-0046-007   | Australia           |
|--|-----------------------------|------------------------------------|--|---------------------|
|  | App. Date                   | Reg. Date                          | Cam No. 301717-007014  |                     |
| EPICEPT CORPORATION                        | 10                          | 39048 10                           | 39048  | Registered          |
|  |                             |                                    | lan-05   | педистей            |
|  | 23.                         | 25                                 | Renewal Due January 25. 2015   |                     |
| 5 Topical patch for USE in the ther        | APEUTIC AND PROPHYLACTIC TR | EATMENT OF PAIN, INI               | FLAMMATION, TRAUMA, WOUNDS, BURNS AND  |                     |
| INFECTIONS                                 |                             |                                    |  |                     |
|  |                             |                                    |  |                     |
| LIDOPAIN                                   | App.No.<br>App. Date        | Reg. No.<br>Reg. Date              | Docket No. 010071-0046-001<br>Cam No. 301717-001014  | Canada              |
|  | ripp. Dute                  | neg. Date                          | Cull 100. 301717 001014  |                     |
| EPICEPT CORPORATION                        | 1,24                        | 4,794 TMA725,845                   |  | Registered          |
|  | 25                          | an-05 10-0                         | Oct-08   |                     |
|  |                             |                                    | Renewal Due October 10, 2023   |                     |
|  |                             |                                    |  |                     |
| ADHESIVE PATCH IMPREGNATED WITH            | TOPICAL ANALGESIC           |                                    |  |                     |
| ADHESIVE PATCH IMPREGNATED WITH            | TOPICAL ANALGESIC           |                                    |  |                     |
|  | TOPICAL ANALGESIC  App.No.  | Reg. No.                           | Docket No. 010071-0067-146   | China               |
|  |                             | Reg. No.<br>Reg. Date              | Docket No. 010071-0067-146<br>Cam No. 301717-146011  | China               |
| ceplene.cn                                 | App.No.                     | _                                  | Cam No. 301717-146011  |                     |
| ADHESIVE PATCH IMPREGNATED WITH ceplene.cn | App.No.                     | Reg. Date                          | Cam No. 301717-146011  | China<br>Registered |
| ceplene.cn                                 | App.No.<br>App. Date        | Reg. Date  December 13,2           | Cam No. 301717-146011<br>007<br>Renewal Due December 13,2012   | Registered          |
| ceplene.cn<br>Epicept Corporation          | App.No. App. Date App.No.   | Reg. Date  December 13,2  Reg. No. | Cam No. 301717-146011  007  Renewal Due December 13,2012  Docket No. 010071-0068-146                       |                     |
| ceplene.cn<br>Epicept Corporation          | App.No.<br>App. Date        | Reg. Date  December 13,2           | Cam No. 301717-146011<br>007<br>Renewal Due December 13,2012   | Registered          |
| ceplene.cn                                 | App.No. App. Date App.No.   | Reg. Date  December 13,2  Reg. No. | Cam No. 301717-146011  007  Renewal Due December 13,2012  Docket No. 010071-0068-146 Cam No. 301717-146066 | Registered          |

| ceplene.net.cn                          | App.No.                        | Reg. No.                | Docket No. 010071-0069-146   | China      |
|---|--------------------------------|-------------------------|------------------------------|------------|
|   | App. Date                      | Reg. Date               | Cam No. 301717-146069        |            |
| EPICEPT CORPORATION                     |                                | December 13,2007        |                              | Registered |
| EFFELT FOR ON THOM                      |                                | December 13,2007        | Renewal Due December 13,2012 | Registered |
|   |                                |                         |                              |            |
| ceplene.org.cn                          | App.No.                        | Reg. No.                | Docket No. 010071-0070-146   | China      |
| -                                       | App. Date                      | Reg. Date               | Cam No. 301717-146012        |            |
| EPICEPT CORPORATION                     |                                | December 13,2007        |                              | Registered |
| LITCLI I COM ONATION                    |                                | Determine 13,2007       | Renewal Due December 13,2012 | педыетей   |
|   |                                |                         |                              |            |
| EPICEPT                                 | App.No.                        | Reg. No.                | Docket No. 010071-0054-146   | China      |
|   | App. Date                      | Reg. Date               | Cam No. 301717-146063        |            |
| EPICEPT CORPORATION                     | 643233                         | 38 643233               | 0                            | Dogistored |
| EPICEPT CORPORATION                     | 043233<br>December 12, 2007    | 043233<br>March 28,2010 | 0                            | Registered |
|   |                                | ···                     | Renewal Due March 27, 2020   |            |
| E BUADANACEUTICAL BREDADATIONS ANALYSIS | LIV ANTIGANICED A CENTS AND TO | DICAL AND CECICS        |                              |            |
| 5 PHARMACEUTICAL PREPARATIONS, NAME     | LY ANTICANCER AGENTS AND TO    | PICAL ANALGESICS        |                              |            |
| epicept in Chinese                      | App.No.                        | Reg. No.                | Docket No. 010071-0076-146   | China      |
|   | App. Date                      | Reg. Date               | Cam No. 301717-146076        |            |
| EPICEPT CORPORATION                     |                                | December 13,2007        |                              | Registered |
| EFICEF I CONFORMATION                   |                                | December 13,2007        | Renewal Due December 13,2012 | registered |
|   |                                |                         |                              |            |
| EPICEPT IN CHINESE CHARACTERS           | App.No.                        | Reg. No.                | Docket No. 010071-0062-146   | China      |
|   | App. Date                      | Reg. Date               | Cam No. 301717-146064        |            |
| EPICEPT CORPORATION                     | 643233                         | 37 643 <b>2</b> 33      | .7                           | Registered |
| ELICE I COM ONWION                      | December 12, 2007              | March 28,2010           | ·                            | negistered |
|   | •                              | •                       | Renewal Due March 27, 2020   |            |

5 PHARMACEUTICAL PREPARATIONS, NAMELY ANTICANCER AGENTS AND TOPICAL ANALGESICS

| epicept.net.cn  | App.No.<br>App. Date | Reg. No.<br>Reg. Date | Docket No. 010071-0065-146<br>Cam No. 301717-146009 | China      |
|---|----------------------|-----------------------|---|------------|
| EPICEPT CORPORATION                                     |                      | December 13,2007      | Renewal Due December 13,2012                        | Registered |
| epicept.org.cn  | App.No.<br>App. Date | Reg. No.<br>Reg. Date | Docket No. 010071-0066-146<br>Cam No. 301717-146010 | China      |
| EPICEPT CORPORATION                                     |                      | December 13,2007      | Renewal Due December 13,2012                        | Registered |
| Internet Keyword Registration for epiceptcorporation" " | App.No.<br>App. Date | Reg. No.<br>Reg. Date | Docket No. 010071-0064-146<br>Cam No. 301717-146001 | China      |
| EPICEPT CORPORATION                                     |                      | February 21. 2008     | Renewal Due February 21, 2018                       | Registered |
| Internet Keyword Registration for CEPLENE               | App.No.<br>App. Date | Reg. No.<br>Reg. Date | Docket No. 010071-0078-146<br>Cam No. 301717-146005 | China      |
| EPICEPT CORPORATION                                     |                      | December 14,2007      | Renewal Due December 14,2017                        | Registered |
| Internet Keyword Registration for Epicept in Chinese    | App.No.<br>App. Date | Reg. No.<br>Reg. Date | Docket No. 010071-0080-146<br>Cam No. 301717-146007 | China      |
| EPICEPT CORPORATION                                     |                      | December 14,2007      | Renewal Due December 14,2017                        | Registered |
| Internet Keyword Registration for LIDOPAIN              | App.No.<br>App. Date | Reg. No.<br>Reg. Date | Docket No. 010071-0079-146<br>Cam No. 301717-146006 | China      |

| EPICEPT CORPORATION                                   |                       | December 12,20        | 007<br>Renewal Due December 14,2017                 | Registered |
|---|-----------------------|-----------------------|---|------------|
| Internet Keyword Registration for Lidopain in Chinese | App.No.<br>App. Date  | Reg. No.<br>Reg. Date | Docket No. 010071-0081-146<br>Cam No. 301717-146008 | China      |
| EPICEPT CORPORATION                                   |                       | December 14,20        | 007<br>Renewal Due December 14,2017                 | Registered |
| Internet Keyword Registrationn for EPICEPT            | App.No.<br>App. Date  | Reg. No.<br>Reg. Date | Docket No. 010071-0077-146<br>Cam No. 301717-146003 | China      |
| EPICEPT CORPORATION                                   |                       | December 14,20        | 007<br>Renewal Due December 14,2017                 | Registered |
| LIDOPAIN  | App.No.<br>App. Date  | Reg. No.<br>Reg. Date | Docket No. 010071-0046-146<br>Cam No. 301717-146014 | China      |
| EPICEPT CORPORATION                                   | 45<br>February 21,200 |                       | 1.0075<br>Iul-08<br>Renewal Due July 27, 2018       | Registered |
| 5 TOPICAL PATCH FOR USE IN THERAPEUTIC AND INFECTIONS | PROPHYLACTIC TREATN   | MENT OF PAIN, INFLAM  | MATION, TRAUMA, WOUNDS, BURNS AND                   |            |
| lidopain in Chinese                                   | App.No.<br>App. Date  | Reg. No.<br>Reg. Date | Docket No. 010071-0075-146<br>Cam No. 301717-146075 | China      |
| EPICEPT CORPORATION                                   |                       | 13-D                  | ec-07<br>Renewal Due December 13, 2012              | Registered |
| LIDOP AIN IN CHINESE CHARACTERS                       | App.No.<br>App. Date  | Reg. No.<br>Reg. Date | Docket No. 010071-0047-146<br>Cam No. 301717-146048 | China      |
| EPICEPT CORPORATION                                   | 45                    | 10076 453             | .0076   | Registered |

February 21,2005

7-Jul-08

Renewal Due July 6, 2018

# 5 TOPICAL PATCH FOR USE IN THE THERAPEUTIC AND PROPHYLACTIC TREATMENT OF PAIN, INFLAMMATION, TRAUMA, WOUNDS, BURNS AND INFECTIONS

| lidopain.cn          | App.No.   | Reg. No.       | Docket No. 010071-0071-146                          | China              |
|----------------------|-----------|----------------|---|--------------------|
|                      | App. Date | Reg. Date      | Cam No. 301717-146071                               |                    |
| EPICEPT CORPORATION  |           | December 13,20 | 07  | Registered         |
|                      |           |                | Renewal Due December 13,2012                        |                    |
| idopain.com.cn       | App.No.   | Reg. No.       | Docket No. 010071-0072-146                          | China              |
| ·<br>                | App. Date | Reg. Date      | Cam No. 301717-146072                               |                    |
| EPICEPT CORPORATION  |           | December 13,20 | 07  | Registered         |
| ETICEL FOOTH ON THOM |           | 5000m5di 13/20 | Renewal Due December 13,2012                        | Registered         |
| idopain.net.cn       | App.No.   | Reg. No.       | Docket No. 010071-0073-146<br>Cam No. 301717-146073 | China              |
|                      | App. Date | Reg. Date      | Cdiii NO. 301/1/-1400/3                             |                    |
| EPICEPT CORPORATION  |           | December 13,20 |   | Registered         |
|                      |           |                | Renewal Due December 13,2012                        |                    |
| idopain.org.cn       | App.No.   | Reg. No.       | Docket No. 010071-0074-146                          | China              |
|                      | App. Date | Reg. Date      | Cam No. 301717-146074                               |                    |
| EPICEPT CORPORATION  |           | December 13,20 | 07  | Registered         |
|                      |           |                | Renewal Due December 13,2012                        |                    |
| LIDOPAIN             | Арр. No.  | Reg. No.       | Docket No. 010071-0046-300                          | European Community |
|                      | App. Date | Reg. Date      | Cam No. 301717-300014                               |                    |
| EPICEPT CORPORATION  |           |                | 6699  | Registered         |
|                      | 25        | Jan-05 25-Ja   | n-05  |                    |

# 5 TOPICAL PATCH FOR USE IN THE THERAPEUTIC AND PROPHYLACTIC TREATMENT OF PAIN, INFLAMMATION, TRAUMA, WOUNDS, BURNS AND INFECTIONS

| EPICEPT GMBH<br>5 PHARMACEUTICAL PREPARATIONS, NAMELY   | App. Date<br>3998250<br>28-Dec          |   | Cam No. 301717-003008                               | Registered |
|---|---|---|---|------------|
|   |   |   |   | Registered |
|   |   |   |   | U          |
| 5 PHARMACEUTICAL PREPARATIONS, NAMELY                   |   |   | .pr-00  |            |
| 5 PHARMACEUTICAL PREPARATIONS, NAMELY                   |   |   | Renewal Due December 31, 2019                       |            |
| ,   | TOPICAL ANALGESICS                      |   |   |            |
|   |   |   |   |            |
| LIDOPAIN  | App. No.                                | Reg. No.                                | Docket No. 010071-0046-023                          | Hong Kong  |
|   | App. Date                               | Reg. Date                               | Cam No. 301717-023014                               |            |
| EPICEPT CORPORATION                                     | 3003646                                 | 53 3003                                 | 64653   | Registered |
|   | February 1,2005                         | February 1,200                          | 5   | -          |
|   |   |   | Renewal Due January 31, 2015                        |            |
| INFECTIONS  LIDOPAIN IN CHINESE CHARACTERS              | App. No.<br>App. Date                   | Reg. No.<br>Reg. Date                   | Docket No. 010071-0047-023<br>Cam No. 301717-023048 | Hong Kong  |
| EPICEPT CORPORATION                                     | 3003774                                 | <i>1</i> .2 3003                        | 77442   | Registered |
| LITTLE I COM ONATION                                    | February 28,2005                        | February 28,20                          |   | педыстей   |
|   | , | , | Renewal Due February 27, 2015                       |            |
| 5 TOPICAL PATCH FOR USE IN THE THERAPEUTI<br>INFECTIONS | C AND PROPHYLACTIC TREAT                | MENT OF PAIN, INI                       | LAMMATION, TRAUMA, WOUNDS, BURNS AND                | )          |
| LIDOPAIN  | App.No.<br>App. Date                    | Reg. No.<br>Reg. Date                   | Docket No. 010071-0046-056<br>Cam No. 301717-056014 | India      |

| EPICEPT CORPORATION | 1348025 | 1348025 | Registered |
|---------------------|---------|---------|------------|
|---------------------|---------|---------|------------|

30-Mar-05 30-Mar-05

Renewal Due March 30, 2015

5 TOPICAL PATCH FOR USE IN THE THERAPEUTIC AND PROPHYLACTIC TREATMENT OF PAIN, INFLAMMATION, TRAUMA, WOUNDS, BURNS AND INFECTIONS

| LIDOPAIN            | App.No.<br>App. Date | Reg. No.<br>Reg. Date | Docket No. 010071-0046-012<br>Cam No. 301717-012014 | Japan      |
|---------------------|----------------------|-----------------------|---|------------|
| EPICEPT CORPORATION | 2005-6730            | 4:                    | 985525  | Registered |
|                     | 28-Ja                | ın-05 8-              | -Sep-06   |            |
|                     |                      |                       | Renewal Due September 8, 2016                       |            |

.....

5 TOPICAL PATCH FOR USE IN THE THERAPEUTIC AND PROPHYLACTIC TREATMENT OF PAIN, INFLAMMATION, TRAUMA, WOUNDS, BURNS AND INFECTIONS, AND OTHER PHARMACEUTICAL PREPARATIONS

| LIDOPAIN            | App.No.         | Reg. No.      | Docket No. 010071-0046-009   | Mexico     |
|---------------------|-----------------|---------------|------------------------------|------------|
|                     | App. Date       | Reg. Date     | Cam No. 301717-009014        |            |
|                     |                 |               |                              |            |
| EPICEPT CORPORATION | 7010            | )65           | 876244                       | Registered |
|                     | February 9,2005 | April 18,2005 |                              |            |
|                     |                 |               | Panamal Dua Fahrmany 0, 2015 |            |

Renewal Due February 9, 2015

5 TOPICAL PATCH FOR USE IN THE THERAPEUTIC AND PROPHYLACTIC TREATMENT OF PAIN, INFLAMATION, TRAUMA, WOUNDS, BURNS AND INFECTIONS

| EPICEPT             | App.No.<br>App. Date            | Reg. No.<br>Reg. Date | Docket No. 010071-0054-999<br>Cam No. 301717-999056 | United States of America |
|---------------------|---------------------------------|-----------------------|---|--------------------------|
| EPICEPT CORPORATION | 78/972,569<br>September 12.2006 | 3,265,<br>17-Jul      |   | Registered               |
|                     |                                 |                       | Section 9.9.15 Deadline July 17, 2012               |                          |

Section 8 & 15 Deadline July 17, 2013 Renewal Due July 17, 2017

5 PHARMACEUTICAL PREPARATIONS, NAMELY TOPICAL ANALGESICS

| LIDOPAIN            | App.No.    | Reg. No.  | Docket No. 010071-0015-999 | United States of America |
|---------------------|------------|-----------|----------------------------|--------------------------|
|                     | App. Date  | Reg. Date | Cam No. 301717-999014      |                          |
| EPICEPT CORPORATION | 74/467,358 | 1.9       | 906,291                    | Registered               |
|                     | • •        |           | 8-Jul-95                   |                          |
|                     |            |           | Renewal Due July 18,2015   |                          |

## 5 ADHESIVE PATCH IMPREGNATED WITH TOPICAL ANALGESIC

| LIDOPAIN            | App.No.<br>App. Date | Reg. No.<br>Reg. Date | Docket No. 010071-0046-999<br>Cam No. 301717-999052 | United States of America |
|---------------------|----------------------|-----------------------|---|--------------------------|
| EPICEPT CORPORATION | 78/567,710           | 3,366,6               | 49  | Registered               |
|                     | 15-Feb-0             | 5 8-Jan-              | 08  |                          |
|                     |                      |                       | Section 8 & 15 Deadline January 8, 2014             |                          |
|                     |                      |                       | Renewal Due January 8, 2018                         |                          |

5 MEDICATED TOPICAL PATCH FOR USE IN THE THERAPEUTIC AND PROPHYLACTIC TREATMENT OF PAIN, INFLAMMATION, TRAUMA, WOUNDS, BURNS AND INFECTIONS

# EXHIBIT D

Mask Works

None.

USPTO 5/31/2011 2:29:15 PM PAGE 2/005 Fax Server

TO:LIZZIE GARNER, ESQ. COMPANY:1230 PEACHTREE STREET, N.E.



## UNITED STATES PATENT AND TRADEMARK OFFICE

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 31, 2011

PTAS

LIZZIE GARNER, ESQ. 1230 PEACHTREE STREET, N.E. **SUITE 2100** ATLANTA, GA 30309



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/31/2011 REEL/FRAME: 004551/0029 NUMBER OF PAGES: 38

BRIEF: SECURITY INTEREST

DOCKET NUMBER: 2061695-0002 (EPICEPT)

ASSIGNOR:

EPICEPT CORPORATION DOC DATE: 05/27/2011 CITIZENSHIP: DELAWARE

ENTITY: CORPORATION

ASSIGNOR:

MAXIM PHARMACEUTICALS, INC. DOC DATE: 05/27/2011 CITIZENSHIP: DELAWARE

ENTITY: CORPORATION

ASSIGNOR:

DOC DATE: 05/27/2011 CYTOVIA, INC.

> CITIZENSHIP: DELAWARE ENTITY: CORPORATION

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspto.gov

USPTO 5/31/2011 2:29:15 PM PAGE 3/005 Fax Server

TO:LIZZIE GARNER, ESQ. COMPANY:1230 PEACHTREE STREET, N.E.

004551/0029 PAGE 2

ASSIGNEE:

MIDCAP FUNDING III, LLC CITIZENSHIP: DELAWARE
7735 OLD GEORGETOWN ROAD ENTITY: LIMITED LIABILITY
SUITE 400 COMPANY

BETHESDA, MARYLAND 20814

SERIAL NUMBER: 85021009 FILING DATE: 04/22/2010

REGISTRATION NUMBER: ISSUE DATE:

MARK: CEPLENE

DRAWING TYPE: WORDS, LETTERS, OR NUMBERS AND DESIGN

SERIAL NUMBER: 85009435 FILING DATE: 04/08/2010

REGISTRATION NUMBER: ISSUE DATE:

MARK: CROLIBULIN

DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN BLOCK FORM

 SERIAL NUMBER: 76138212
 FILING DATE: 09/29/2000

 REGISTRATION NUMBER: 2967403
 ISSUE DATE: 07/12/2005

MARK: CEPLENE

DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

SERIAL NUMBER: 76138211 FILING DATE: 09/29/2000 REGISTRATION NUMBER: 3252103 ISSUE DATE: 06/12/2007

MARK: VONTIV

DRAWING TYPE: WORDS, LETTERS, OR NUMBERS IN TYPED FORM

MARY BENTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

USPTO 5/31/2011 2:29:15 PM PAGE 4/005 Fax Server

COMPANY: 1230 PEACHTREE STREET, N.E. TO:LIZZIE GARNER, ESQ.

### TRADEMARK ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

05/31/2011 900193141

SUBMISSION TYPE: **NEW ASSIGNMENT** NATURE OF CONVEYANCE: SECURITY INTEREST

#### CONVEYING PARTY DATA

| Name                        | Formerly | Execution Date | Entity Type           |
|-----------------------------|----------|----------------|-----------------------|
| EpiCept Corporation         |          | 05/27/2011     | CORPORATION: DELAWARE |
| Maxim Pharmaceuticals, Inc. |          | 05/27/2011     | CORPORATION: DELAWARE |
| Cylovia, Inc.               |          | 05/27/2011     | CORPORATION: DELAWARE |

#### RECEIVING PARTY DATA

| Name:             | MidCap Funding III, LLC             |
|-------------------|-------------------------------------|
| Street Address:   | 7735 Old Georgetown Road            |
| Internal Address: | Suite 400                           |
| City:             | Bethesda                            |
| State/Country:    | MARYLAND                            |
| Postal Code:      | 20814                               |
| Entity Type:      | LIMITED LIABILITY COMPANY: DELAWARE |

## PROPERTY NUMBERS Total: 4

| Property Type        | Number   | Word Mark  |
|----------------------|----------|------------|
| Registration Number: | 2967403  | CEPLENE    |
| Serial Number:       | 85021009 | CEPLENE    |
| Registration Number: | 3252103  | VONTIV     |
| Serial Number:       | 85009435 | CROLIBULIN |

### CORRESPONDENCE DATA

Fax Number: (404)443-5697

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

404-443-5742 Phone:

Email: lallen@mcguirewoods.com

Correspondent Name: Lizzie Garner, Esq.

Address Line 1: 1230 Peachtree Street, N.E.

Address Line 2: Suite 2100

Address Line 4: Atlanta, GEORGIA 30309

**TRADEMARK** 

PAGE 4/5 \* RCVD AT 5/31/2011 2:28:45 PM [Eastern Davlight Time] \* SVR:RIGHTFAX/21 \* DNIS:5246 \* CSID-USP TO THE LONG TO THE PROPERTY OF THE PR

USPTO 5/31/2011 2:29:15 PM PAGE 5/005 Fax Server

TO:LIZZIE GARNER, ESQ. COMPANY:1230 PEACHTREE STREET, N.E.

| ATTORNEY DOCKET NUMBER:   | 2061695-0002 (EPICEPT)   |
|---|--|
| NAME OF SUBMITTER:  | Latosha E. Allen   |
| Signature:  | /Latosha E. Allen/   |
| Date:   | 05/31/2011   |
| Total Atlachments: 36 source=EpiCept - IP Security Agreement #p | page 2. tif page 3. tif page 4. tif page 5. tif page 5. tif page 7. tif page 9. tif page 9. tif page 9. tif page 10. tif page 11. tif page 12. tif page 14. tif page 15. tif page 16. tif page 17. tif page 18. tif page 20. tif page 22. tif page 22. tif page 22. tif page 23. tif page 24. tif page 25. tif page 26. tif page 27. tif page 28. tif page 29. tif page 30. tif page 40. tif p |

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PAGE 5/5 ACVD AT 5/5 1/2011 2:28-95 PM [Eastern Daylight Time] \* SVR:RIGHTFAX/21 \* DNIS:5246 \* CSID:USPTO\* DURATION (mini-se) (iti-52