

**TRADEMARK ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	CHANGE OF NAME		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
BNY ConvergeEx Group LLC		11/30/2011	LIMITED LIABILITY COMPANY: DELAWARE
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	ConvergeEx Group, LLC		
<b>Street Address:</b>	1633 Broadway		
<b>City:</b>	New York		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10019		
<b>Entity Type:</b>	LIMITED LIABILITY COMPANY: DELAWARE		
<b>PROPERTY NUMBERS Total: 1</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
Registration Number:	2978454	ADR DIRECT	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	(617)345-1300		
<b>Phone:</b>	617-345-1087		
<b>Email:</b>	cpeters@nixonpeabody.com		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Correspondent Name:</b>	Carol H. Peters		
<b>Address Line 1:</b>	Nixon Peabody LLP		
<b>Address Line 2:</b>	100 Summer Street		
<b>Address Line 4:</b>	Boston, MASSACHUSETTS 02110		
<b>ATTORNEY DOCKET NUMBER:</b>	811472-123297 NAME CHANGE		
<b>NAME OF SUBMITTER:</b>	Carol H. Peters		
<b>Signature:</b>	/carol h peters/		

CH \$40.00 2978454

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**TRADEMARK**  
 REEL: 004705 FRAME: 0421

Date:

01/26/2012

Total Attachments: 2

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# Delaware

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*The First State*

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BNY CONVERGEX GROUP, LLC", CHANGING ITS NAME FROM "BNY CONVERGEX GROUP, LLC" TO "CONVERGEX GROUP, LLC", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF NOVEMBER, A.D. 2011, AT 8:19 O'CLOCK A.M.

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You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 9187570

DATE: 11-30-11

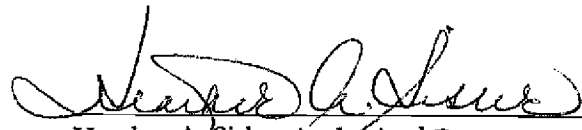
TRADEMARK  
REEL: 004705 FRAME: 0423

**CERTIFICATE OF AMENDMENT  
TO THE  
CERTIFICATE OF FORMATION  
OF  
BNY CONVERGEX GROUP, LLC**

1. The name of the limited liability company is BNY ConvergeEx Group, LLC.
2. Paragraph 1 of the Certificate of Formation of BNY ConvergeEx Group, LLC is hereby amended to read as follows:

1. The name of the limited liability company is ConvergeEx Group, LLC.

IN WITNESS WHEREOF, the undersigned, Secretary and authorized person, has executed this Certificate of Amendment as of the 30<sup>th</sup> day of November, 2011.

  
Heather A. Sisler, Authorized Person