

## TRADEMARK ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

|   |  |                   |  |
|---|--|-------------------|--|
| SUBMISSION TYPE:  | NEW ASSIGNMENT                               |                   |  |
| NATURE OF CONVEYANCE:   | ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL |                   |  |
| CONVEYING PARTY DATA  |  |                   |  |
| Name  | Formerly                                     | Execution Date    | Entity Type                            |
| Nuveen HydePark Group, LLC  |  | 12/09/2011        | LIMITED LIABILITY<br>COMPANY: DELAWARE |
| RECEIVING PARTY DATA  |  |                   |  |
| Name:   | Nuveen Investments, Inc.                     |                   |  |
| Street Address:   | 333 West Wacker Drive                        |                   |  |
| City:   | Chicago                                      |                   |  |
| State/Country:  | ILLINOIS                                     |                   |  |
| Postal Code:  | 60606  |                   |  |
| Entity Type:  | CORPORATION: DELAWARE                        |                   |  |
| PROPERTY NUMBERS Total: 2   |  |                   |  |
| Property Type   | Number                                       | Word Mark         |  |
| Registration Number:  | 3648584                                      | BENJAMIN GRAHAM   |  |
| Registration Number:  | 3951264                                      | INTELLIGENT VALUE |  |
| CORRESPONDENCE DATA   |  |                   |  |
| Fax Number:   | (312)632-1780                                |                   |  |
| Phone:  | 312.715.5241                                 |                   |  |
| Email:  | tm-dept@quarles.com                          |                   |  |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i> |  |                   |  |
| Correspondent Name:   | Nicole M. Murray- Quarles & Brady LLP        |                   |  |
| Address Line 1:   | 300 North LaSalle Street                     |                   |  |
| Address Line 2:   | Suite 4000                                   |                   |  |
| Address Line 4:   | Chicago, ILLINOIS 60654                      |                   |  |
| ATTORNEY DOCKET NUMBER:   | NUVEEN HP TO NUVEEN INVES                    |                   |  |
| NAME OF SUBMITTER:  | Nicole M. Murray                             |                   |  |

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|--|--------------------|
| Signature:   | /Nicole M. Murray/ |
| Date:  | 01/26/2012         |
| Total Attachments: 2<br>source=Trademark Assignment of Benjamin Graham and Intelligent Value Marks#page1.tif<br>source=Trademark Assignment of Benjamin Graham and Intelligent Value Marks#page2.tif |                    |

## TRADEMARK ASSIGNMENT

**THIS ASSIGNMENT**, made this 9th day of December, 2011, by and between Nuveen HydePark Group, LLC ("Assignor") a Delaware Limited Liability Company having an address at 333 W. Wacker Drive, Chicago, Illinois 60606, and Nuveen Investments, Inc. ("Assignee"), a Delaware Corporation having an address at 333 West Wacker Drive, Chicago, Illinois 60606.

**WHEREAS**, Assignor is the owner of the trademarks listed in Exhibit A attached hereto and incorporated in this Agreement ("the Marks);

**WHEREAS**, Assignor wishes to assign to Assignee Assignor's entire right, title and interest in and to the trademarks listed in the Marks, together with any goodwill of the business in connection with which the Marks are used;

**WHEREAS**, Assignee wishes to acquire the entire right, title and interest in and to the Mark;

**NOW, THEREFORE**, in consideration of the sum of one Dollar (\$1.00) and other good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, Assignor does hereby sell, assign, transfer, convey and deliver to Assignee, all of its right, title and interest in and to the Marks together with the goodwill connected with and symbolized by the Marks throughout the world and including the subject matter of all claims which may be obtained therefrom for Assignee's own use and enjoyment; together with all claims for damages by reason of past, present or future infringement or other unauthorized use of the Marks, with the right to sue for, and collect the same for its own use and enjoyment, and for the use and enjoyment of its successors, assigns, or other legal representatives.

Assignor shall provide Assignee, its successors, assigns or other legal representatives, cooperation and assistance at Assignee's request and at Assignee's reasonable expense (including the execution and delivery of any and all affidavits, declarations, oaths, assignments, powers of attorney or other documentation as may be reasonably required) in the preparation and prosecution of any applications for registration of the Marks assigned herein or in the implementation or perfection of this assignment.

**IN WITNESS WHEREOF**, the parties hereto have signed and executed this Assignment by their duly authorized officers or agents.

Nuveen HydePark Group, LLC

By: Larry Martin  
Name: Larry Martin  
Title: Vice President  
Date: December 9, 2011

Nuveen Investments, Inc.

By: Kevin J. McCarthy  
Name: Kevin J. McCarthy  
Title: Managing Director  
Date: December 9, 2011

EXHIBIT A

BENJAMIN GRAHAM

Reg. No. 3,648,584

INTELLIGENT VALUE

Reg. No. 3,951,264