

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	CHANGE OF NAME		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
BNY ConvergEx Group, LLC		11/13/2011	LIMITED LIABILITY COMPANY: DELAWARE
RECEIVING PARTY DATA			
Name:	ConvergEx Group, LLC		
Street Address:	1633 Broadway		
Internal Address:	48th Floor		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10019		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 6			
Property Type	Number	Word Mark	
Serial Number:	85237390	BLAZE	
Serial Number:	77086405	CONVERGEX CROSS	
Registration Number:	3397048	INTERCOMM	
Registration Number:	3633844	MKTS	
Registration Number:	3394147	SHAREX	
Registration Number:	3757943	VORTEX	
CORRESPONDENCE DATA			
Fax Number:	(617)345-1300		
Phone:	617-345-1087		
Email:	matm@nixonpeabody.com		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>			
Correspondent Name:	Carol H. Peters, Esq., Nixon Peabody LLP		
Address Line 1:	100 Summer Street		

CH \$165.00 85237390

Address Line 4: Boston, MASSACHUSETTS 02110

ATTORNEY DOCKET NUMBER:	811472-123297
-------------------------	---------------

NAME OF SUBMITTER:	Carol H. Peters
--------------------	-----------------

Signature:	/Carol H. Peters/
------------	-------------------

Date:	03/13/2012
-------	------------

Total Attachments: 2

source=Certificate of Amendment - Name Change - Group#page1.tif

source=Certificate of Amendment - Name Change - Group#page2.tif

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BNY CONVERGEX GROUP, LLC", CHANGING ITS NAME FROM "BNY CONVERGEX GROUP, LLC" TO "CONVERGEX GROUP, LLC", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF NOVEMBER, A.D. 2011, AT 8:19 O'CLOCK A.M.

4181669 8100

111238493

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9187570

DATE: 11-30-11

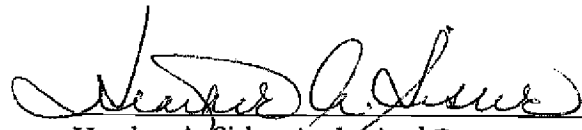
TRADEMARK
REEL: 004735 FRAME: 0271

**CERTIFICATE OF AMENDMENT
TO THE
CERTIFICATE OF FORMATION
OF
BNY CONVERGEX GROUP, LLC**

1. The name of the limited liability company is BNY ConvergeEx Group, LLC.
2. Paragraph 1 of the Certificate of Formation of BNY ConvergeEx Group, LLC is hereby amended to read as follows:

1. The name of the limited liability company is ConvergeEx Group, LLC.

IN WITNESS WHEREOF, the undersigned, Secretary and authorized person, has executed this Certificate of Amendment as of the 30th day of November, 2011.


Heather A. Sisler, Authorized Person