

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	CHANGE OF NAME

CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
BNY ConvergeEx Execution Solutions LLC		11/13/2011	LIMITED LIABILITY COMPANY:

RECEIVING PARTY DATA	
Name:	ConvergeEx Execution Solutions LLC
Street Address:	1633 Broadway
Internal Address:	48th Floor
City:	New York
State/Country:	NEW YORK
Postal Code:	10019
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE

PROPERTY NUMBERS Total: 3		
Property Type	Number	Word Mark
Registration Number:	3909386	CONVERGEX
Registration Number:	2985008	EPASS
Registration Number:	3621077	IQX

CORRESPONDENCE DATA	
Fax Number:	(617)345-1300
Phone:	617-345-1087
Email:	matm@nixonpeabody.com
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>	
Correspondent Name:	Carol H. Peters, Esq., Nixon Peabody LLP
Address Line 1:	100 Summer Street
Address Line 4:	Boston, MASSACHUSETTS 02110

ATTORNEY DOCKET NUMBER:	811472-123297
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CH \$90.00 3909386

NAME OF SUBMITTER:	Carol H. Peters
Signature:	/Carol H. Peters/
Date:	03/13/2012
Total Attachments: 2 source=Certificate of Amendment - Name Change - CES#page1.tif source=Certificate of Amendment - Name Change - CES#page2.tif	

Delaware

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The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "BNY CONVERGEX EXECUTION SOLUTIONS LLC", CHANGING ITS NAME FROM "BNY CONVERGEX EXECUTION SOLUTIONS LLC" TO "CONVERGEX EXECUTION SOLUTIONS LLC", FILED IN THIS OFFICE ON THE THIRTIETH DAY OF NOVEMBER, A.D. 2011, AT 8:20 O'CLOCK A.M.

2803176 8100

111238497

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9187565

DATE: 11-30-11

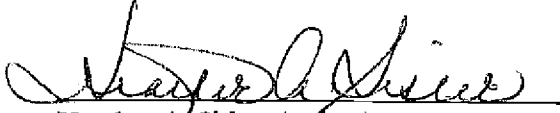
TRADEMARK
REEL: 004735 FRAME: 0525

**CERTIFICATE OF AMENDMENT
TO THE
CERTIFICATE OF FORMATION
OF
BNY CONVERGEX EXECUTION SOLUTIONS LLC**

1. The name of the limited liability company is BNY ConvergEx Execution Solutions LLC.
2. Paragraph 1 of the Certificate of Formation of BNY ConvergEx Execution Solutions LLC is hereby amended to read as follows:

1. The name of the limited liability company is ConvergEx Execution Solutions LLC.

IN WITNESS WHEREOF, the undersigned, Secretary and authorized person, has executed this Certificate of Amendment as of the 30th day of November, 2011.


Heather A. Sisler, Authorized Person