### TRADEMARK ASSIGNMENT

## Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: **NEW ASSIGNMENT** NATURE OF CONVEYANCE: SECURITY INTEREST

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type	
UNX LLC	FORMERLY UNX INC.	105/13/2012	LIMITED LIABILITY COMPANY: DELAWARE	

### **RECEIVING PARTY DATA**

Name:	Venture Lending & Leasing VI, Inc.
Street Address:	104 La Mesa Drive, Suite 102
City:	Portola Valley
State/Country:	CALIFORNIA
Postal Code:	94028
Entity Type:	CORPORATION: MARYLAND

PROPERTY NUMBERS Total: 12

Property Type	Number	Word Mark
Registration Number:	3911034	TOR
Registration Number:	3911032	TACTICAL ORDER ROUTING
Registration Number:	3079059	LIQUIDITY SWEEPER
Registration Number:	3072102	METAPOST
Registration Number:	3541513	CATALYST
Registration Number:	3254618	UNX TCA
Registration Number:	3096109	METAECN
Registration Number:	2873825	FLEXSTREAM
Registration Number:	2901992	METABOOK
Registration Number:	2560275	[UNX]
Registration Number:	2603714	(UNX) UNIVERSAL NETWORK EXCHANGE
Registration Number:	2376059	UNX

**CORRESPONDENCE DATA** 

**REEL: 004788 FRAME: 0564** 

TRADEMARK

**Fax Number**: 4157774961

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Phone: 415 981 1400

Email: gkiviat@gmslaw.com
Correspondent Name: Jeffrey T. Klugman

Address Line 1: Four Embarcadero Center, Suite 4000
Address Line 4: San Francisco, CALIFORNIA 94111

ATTORNEY DOCKET NUMBER:	47558/0137 (UNX)
NAME OF SUBMITTER:	Jeffrey T. Klugman
Signature:	/Jeffrey T. Klugman/
Date:	05/25/2012

**Total Attachments: 8** 

source=UNX LLC - 6-0137 rdp#page1.tif source=UNX LLC - 6-0137 rdp#page2.tif source=UNX LLC - 6-0137 rdp#page3.tif source=UNX LLC - 6-0137 rdp#page4.tif source=UNX LLC - 6-0137 rdp#page5.tif source=UNX LLC - 6-0137 rdp#page6.tif source=UNX LLC - 6-0137 rdp#page7.tif source=UNX LLC - 6-0137 rdp#page8.tif

TRADEMARK REEL: 004788 FRAME: 0565

#### INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "<u>Agreement</u>") is made as of May 13, 2012, by and between UNX LLC, a Delaware limited liability company ("<u>Grantor</u>"), and VENTURE LENDING & LEASING VI, INC., a Maryland corporation ("<u>Secured Party</u>").

#### RECITALS

- A. Pursuant to that certain Loan and Security Agreement of February 3, 2012, between MANTARA, INC., a Delaware corporation, as borrower ("Borrower"), and Secured Party, as lender (as amended, restated, supplemented or otherwise modified from time to time, the "Loan Agreement"), Secured Party has agreed to make certain advances of money and to extend certain financial accommodations to Borrower (the "Loans") in the amounts and manner set forth in the Loan Agreement. All capitalized terms used herein without definition shall have the meanings ascribed to them in the Loan Agreement.
- B. Pursuant to the Loan Agreement, Grantor has executed in favor of Secured Party as additional credit support and collateral security for the Loans, a Continuing Guaranty Agreement and a Security Agreement, both of even date herewith (as amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement"), granting to Secured Party a security interest in substantially all of Grantor's personal property, whether presently existing or hereafter acquired. In connection with the Security Agreement, Grantor is executing this Agreement with respect to certain items of Intellectual Property, in particular. All capitalized terms used herein without definition shall have the meanings ascribed to them in the Security Agreement.

#### NOW, THEREFORE, THE PARTIES HERETO AGREE AS FOLLOWS:

- 1. <u>Grant of Security Interest.</u> As collateral security for the prompt and complete payment and performance of all of Grantor's present or future Obligations under the Guaranty and the Security Agreement, Grantor hereby grants a security interest to Secured Party in and to Grantor's entire right, title and interest in, to and under the following Intellectual Property, now owned or hereafter acquired by Grantor or in which Grantor now holds or hereafter acquires any interest (all of which shall collectively be called the "<u>Collateral</u>" for purposes of this Agreement):
- (a) Any and all copyrights, whether registered or unregistered, held pursuant to the laws of the United States, any State thereof or of any other country; all registrations, applications and recordings in the United States Copyright Office or in any similar office or agency of the United States, and State thereof or any other country; all continuations, renewals, or extensions thereof; and any registrations to be issued under any pending applications, including without limitation those set forth on <a href="Exhibit A">Exhibit A</a> attached hereto (collectively, the "Copyrights");
- (b) All letters patent of, or rights corresponding thereto in, the United States or any other country, all registrations and recordings thereof, and all applications for letters patent of, or rights corresponding thereto in, the United States or any other country, including, without limitation, registrations, recordings and applications in the United States Patent and Trademark Office or in any similar office or agency of the United States, any State thereof or any other country; all reissues, continuations, continuations-in-part or extensions thereof; all petty patents, divisionals, and patents of addition; and all patents to be issued under any such applications, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");
- (c) All trademarks, trade names, corporate names, business names, trade styles, service marks, logos, other source or business identifiers, prints and labels on which any of the foregoing have appeared or

47558/0137 RDP/415559.2

TRADEMARK REEL: 004788 FRAME: 0566

- (c) All trademarks, trade names, corporate names, business names, trade styles, service marks, logos, other source or business identifiers, prints and labels on which any of the foregoing have appeared or appear, designs and general intangibles of like nature, now existing or hereafter adopted or acquired, all registrations and recordings thereof, and any applications in connection therewith, including, without limitation, registrations, recordings and applications in the United States Patent and Trademark Office or in any similar office or agency of the United States, any State thereof or any other country or any political subdivision thereof, and reissues, extensions or renewals thereof, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");
- (d) Any and all claims for damages by way of past, present and future infringement of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;
- (e) All licenses or other rights to use any of the Copyrights, Patents or Trademarks, and all license fees and royalties arising from such use to the extent permitted by such license or rights;
- (f) All amendments, renewals and extensions of any of the Copyrights, Trademarks or Patents; and
- (g) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

Notwithstanding the foregoing the term "Collateral" shall not include: (a) and this Intellectual Property Agreement shall not operate as a sale, transfer, conveyance or other assignment to Secured Party of any applications by Grantor for a trademark based on an intent to use the same if and so long as such application is pending without a "Statement of Use" having been filed and accepted by the United States Patent and Trademark Office (each such pending application which is based on an intent to use, an "Intent-To-Use Application") and shall operate only to create a security interest in favor of Secured Party in such Intent-To-Use Application as collateral security for the Obligations; provided, further, that once a "Statement of Use" is filed and accepted by the United States Patent and Trademark Office in connection with an Intent-To-Use Application, the foregoing proviso shall not be applicable to such Intent-To-Use Application or (b) any contract, instrument or chattel paper in which Grantor has any right, title or interest if and to the extent such contract, instrument or chattel paper includes a provision containing a restriction on assignment such that the creation of a security interest in the right, title or interest of Grantor therein would be prohibited and would, in and of itself, cause or result in a default thereunder enabling another person party to such contract, instrument or chattel paper to enforce any remedy with respect thereto; provided, however, that the foregoing exclusion shall not apply if (i) such prohibition has been waived or such other person has otherwise consented to the creation hereunder of a security interest in such contract, instrument or chattel paper, or (ii) such prohibition would be rendered ineffective pursuant to Sections 9-407(a) or 9-408(a) of the UCC, as applicable and as then in effect in any relevant jurisdiction, or any other applicable law (including the Bankruptcy Code or principles of equity); provided further that immediately upon the ineffectiveness, lapse or termination of any such provision, the term "Collateral" shall include, and Grantor shall be deemed to have granted a security interest in, all its rights, title and interests in and to such contract, instrument or chattel paper as if such provision had never been in effect; and provided further that the foregoing exclusion shall in no way be construed so as to limit, impair or otherwise affect Secured Party's unconditional continuing security interest in and to all rights, title and interests of Grantor in or to any payment obligations or other rights to receive monies due or to become due under any such contract, instrument or chattel paper and in any such monies and other proceeds of such contract, instrument or chattel paper.

- 2. <u>Covenants and Warranties.</u> Grantor represents, warrants, covenants and agrees as follows:
  - (a) Grantor has rights (as defined in the UCC) in the Collateral, except for Permitted Liens;

47558/0137 RDP/415559.2 2

- (b) During the term of this Agreement, Grantor will not transfer or otherwise encumber any interest in the Collateral, except for Permitted Liens and except for transfers otherwise permitted under the Loan Agreement;
- (c) Grantor shall deliver to Secured Party within thirty (30) days of the last day of each fiscal quarter, a report signed by Grantor, in form reasonably acceptable to Secured Party, listing (i) any applications or registrations that Grantor has made or filed in respect of any patents, copyrights or trademarks, (ii) the status of any outstanding applications or registrations and (iii) any material change in the composition of the Collateral:
- (d) Grantor shall use reasonable commercial efforts to (i) protect, defend and maintain the validity and enforceability of the Trademarks, Patents and Copyrights (ii) detect infringements of the Trademarks, Patents and Copyrights and promptly advise Secured Party in writing of material infringements detected and (iii) not allow any material Trademarks, Patents or Copyrights to be abandoned, forfeited or dedicated to the public unless Grantor deems it to be in the best interest of Grantor's business;
- (e) Grantor shall apply for registration (to the extent not already registered) with the United States Patent and Trademark Office or the United States Copyright Office, as applicable: (i) those intellectual property rights listed on Exhibits A, B and C hereto within thirty (30) days of the date of this Agreement; and (ii) those additional intellectual property rights developed or acquired by Grantor from time to time in connection with any product or service, prior to the sale or licensing of such product or the rendering of such service to any third party (including without limitation revisions or additions to the intellectual property rights listed on such Exhibits A, B and C), except, in each case, with respect to such rights that Grantor determines in its sole but reasonable commercial judgment need not be registered to protect its own business interests. Grantor shall, from time to time, execute and file such other instruments, and take such further actions as Secured Party may reasonably request from time to time to perfect or continue the perfection of Secured Party's interest in the Collateral. Grantor shall give Secured Party notice of all such applications or registrations; and
- (f) Grantor shall not enter into any agreement that would materially impair or conflict with Grantor's obligations hereunder without Secured Party's prior written consent, which consent shall not be unreasonably withheld. Grantor shall not permit the inclusion in any material contract to which it becomes a party of any provisions that could or might in any way prevent the creation of a security interest in Grantor's rights and interests in any property included within the definition of the Collateral acquired under such contracts, except for provisions in such material contracts as are referenced in the last paragraph of Section 1 of this Agreement.

#### 3. Further Assurances; Attorney in Fact.

- (a) On a continuing basis, Grantor will make, execute, acknowledge and deliver, and file and record in the proper filing and recording places in the United States, all such instruments, including appropriate financing and continuation statements and collateral agreements and filings with the United States Patent and Trademark Office and the Register of Copyrights, and take all such action as may reasonably be deemed necessary or advisable, or as reasonably requested by Secured Party, to perfect Secured Party's security interest in all Copyrights, Patents and Trademarks and otherwise to carry out the intent and purposes of this Agreement, or for assuring and confirming to Secured Party the grant or perfection of a security interest in all Collateral.
- (b) Grantor hereby irrevocably appoints Secured Party as Grantor's attorney-in-fact, with full authority in the place and stead of Grantor and in the name of Grantor, from time to time in Secured Party's discretion, to take any action and to execute any instrument which Secured Party may deem necessary or advisable to accomplish the purposes of this Agreement, including (i) to modify, in its sole discretion, this Agreement without first obtaining Grantor's approval of or signature to such modification by amending Exhibits A, B and C, hereof, as appropriate, to include reference to any right, title or interest in any Copyrights, Patents or Trademarks acquired by

47558/0137 RDP/415559<sub>-2</sub> Grantor after the execution hereof or to delete any reference to any right, title or interest in any Copyrights, Patents or Trademarks in which Grantor no longer has or claims any right, title or interest, (ii) to file, in its sole discretion, one or more financing or continuation statements and amendments thereto, relative to any of the Collateral without the signature of Grantor where permitted by law, and (iii) after the occurrence and during the continuance of an Event of Default, to transfer the Collateral into the name of Secured Party or a third party to the extent permitted under the California Uniform Commercial Code.

- 4. <u>Events of Default</u>. The occurrence of any of the following shall constitute an Event of Default under this Agreement:
  - (a) An Event of Default under the Loan Agreement or the Security Agreement; or
- (b) Grantor breaches any warranty or agreement made by Grantor in this Agreement and, as to any breach that is capable of cure, Grantor fails to cure such breach within thirty (30) days of the sooner to occur of Grantor's receipt of notice of such breach from Secured Party or the date on which such breach first becomes known to Grantor.
- 5. <u>Amendments</u>. This Agreement may be amended only by a written instrument signed by both parties hereto, except for amendments permitted under Section 3 hereof to be made by Secured Party alone.
- 6. <u>Counterparts</u>. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument.

[Signature Pages Follow]

4

#### [Signature page to Intellectual Property Security Agreement]

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the day and year first above written.

GRANTOR.

Address of Grantor:

UNX, LLC By Mantara, Inc., in sole Member

111 Town Square Place, Floor 15 Jersey City NJ 10960

By:

Michael Chin

Attn: Chief Executive Officer

Name:

President & CEO

Its:

Mantara Inc.

SECURED PARTY:

Address of Secured Party:

VENTURE LENDING & LEASING VI, INC.

104 La Mesa Dr., Suite 102 Portola Valley, CA 94028 Attn: Chief Financial Officer

Name:

Ву

Jay Cohan

Its:

Vice President

47558/0137 JTK/407444.2

## EXHIBIT A

Copyrights

• The Grantor has no registered copyrights.

47558/0137 RDP/415559<sub>,</sub>2

# EXHIBIT B

### Patents

Description	Registration/Serial Number	Registration /Application Date
Basket Trading System Having an Interface For User Specification of Goods to be Traded as a Unit	7,089,205	September 29, 2000

# EXHIBIT C

### Trademarks

Description	Serial Number	U.S. Registration/ Application Number	Filing Date	Registration Date
TOR	85060970	3911034	June 11, 2010	January 25, 2011
TACTICAL ORDER ROUTING	85060947	3911032	June 11, 2010	January 25, 2011
LIQUIDITY SWEEPER	78543289	3079059	January 17, 2006	January 6, 2006
METAPOST	78472083	3072102	August 23, 2004	March 21, 2006
CATALYST	77347703	3541513	December 10, 2007	December 2, 2008
UNX TCA	77027047	3254618	October 23, 2006	June 26, 2007
METAECN	76578748	3096109	March 1, 2004	May 23, 2006
FLEXSTREAM	76526265	2873825	June 27, 2003	August 17, 2004
METABOOK	76409861	2901992	May 20, 2002	November 9, 2004
[UNX]	76113930	2560275	August 21, 2000	April 9, 2002
(UNX) UNIVERSAL NETWORK EXCHANGE	76113929	2603714	August 21, 2000	August 6, 2002
UNX	75579928	2376059	October 30, 1998	August 8, 2000

47558/0137 RDP/415559.2

TRADEMARK
REEL: 004788 FRAME: 0573

**RECORDED: 05/25/2012**