

TRADEMARK ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	NUNC PRO TUNC ASSIGNMENT		
EFFECTIVE DATE:	08/31/2012		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Rampart Investment Management Company, Inc.		10/24/2012	CORPORATION: MASSACHUSETTS
RECEIVING PARTY DATA			
Name:	Rampart Investment Management Company, LLC		
Street Address:	One International Place, 14th Floor		
City:	Boston		
State/Country:	MASSACHUSETTS		
Postal Code:	02110		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 3			
Property Type	Number	Word Mark	
Registration Number:	1309342	RAMPART	
Registration Number:	3119004	ROMS	
Registration Number:	3582041		
CORRESPONDENCE DATA			
Fax Number:	2127758800		
	<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	212-775-8700		
Email:	alisnyansky@kilpatricktownsend.com		
Correspondent Name:	Marc A. Lieberstein		
Address Line 1:	1114 Avenue of the Americas		
Address Line 4:	New York, NEW YORK 10036		
ATTORNEY DOCKET NUMBER:	61264-382018)		
		TRADEMARK	

NAME OF SUBMITTER:	Jill K. Tomlinson
Signature:	/Jill K. Tomlinson/
Date:	10/24/2012
Total Attachments: 3 source=Execution Copy Rampart Investment Management Company Trademark Confirmatory Assignment#page1.tif source=Execution Copy Rampart Investment Management Company Trademark Confirmatory Assignment#page2.tif source=Execution Copy Rampart Investment Management Company Trademark Confirmatory Assignment#page3.tif	

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TRADEMARK CONFIRMATORY ASSIGNMENT

THIS TRADEMARK CONFIRMATORY ASSIGNMENT is effective as of August 31, 2012, by and between Rampart Investment Management Company, Inc., a Massachusetts corporation, with a principal place of business at One International Place, 14th Floor, Boston, Massachusetts 02110 ("Assignor") and Rampart Investment Management Company, LLC (formerly known as 100 Pearl Street, LLC), a Delaware limited liability company, with a principal place of business at : One International Place, 14th Floor, Boston, Massachusetts 02110 ("Assignee").

WHEREAS, pursuant to a certain Purchase Agreement dated August 31, 2012 Assignee, as successor in interest to the business of Assignor, has acquired all of Assignor's rights in and to Assignor's intellectual property, including all trademarks, service marks, trade names, trade dress, labels, logos and all other names and slogans associated with any products or embodying the goodwill of the business including the use of the name "Rampart Investment Management Company, Inc." and "RIMCO" and any derivative of the foregoing, whether or not registered and any applications or registrations therefor and any goodwill or common law rights associated therewith (the "Intellectual Property"); and

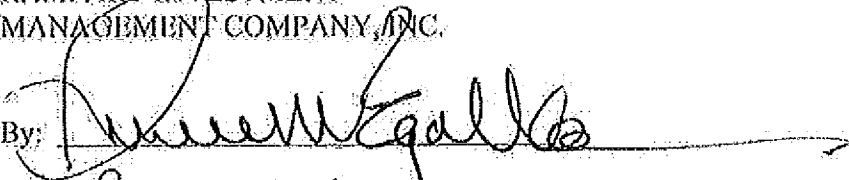
WHEREAS, Assignor has adopted and used, in the United States, the trademarks listed in the Schedule attached hereto, (the "Trademarks") and is the owner of the registrations listed in the Schedule (the "Registrations"); and

WHEREAS, Assignor desires to memorialize the transfer of its rights in the Intellectual Property, including the Trademarks and the Registrations therefor, to Assignee, and Assignee is desirous of confirming as a matter of record its acquisition of the Intellectual Property, including the Trademarks, together with the goodwill of the business symbolized by the Trademarks, and the Registrations therefor.

NOW, THEREFORE, in consideration for the amount set forth in the Purchase Agreement and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor confirms the assignment and hereby assigns to Assignee all of Assignor's rights, title and interest in and to the Intellectual Property, including the Trademarks, together with the goodwill of the business symbolized by the Trademarks, and the Registrations therefor, and all causes of action and claims, including, without limitation, the right to sue for any damages and other remedies in respect of any infringement of any of the Trademarks which may have occurred prior to or after the date of this Confirmatory Assignment, shall be the sole property of Assignee and inure to the benefit of Assignee.

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RAMPART INVESTMENT
MANAGEMENT COMPANY, INC.

By: 

Name: RONALD M. EGALLA

Title: PRESIDENT/CEO

Dated: OCTOBER 24, 2012

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SCHEDULE

TRADEMARKS

Mark	Reg. No.	Reg. Date	Serial No.	Filing Date	Status
Rampart®	1309342	December 11, 1984	73464402	February 6, 1984	Registered
ROMS®	3119004	July 25, 2006	76645284	August 19, 2005	Registered
"miscellaneous dot design"®	3582041	March 3, 2009	76689613	May 14, 2008	Registered

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