

TRADEMARK ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	SECURITY INTEREST		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Sonoma Orthopedic Products, Inc.		06/29/2012	CORPORATION: DELAWARE
RECEIVING PARTY DATA			
Name:	Venture Lending & Leasing VI, Inc.		
Street Address:	104 La Mesa Drive, Suite 102		
City:	Portola Valley		
State/Country:	CALIFORNIA		
Postal Code:	94028		
Entity Type:	CORPORATION: MARYLAND		
PROPERTY NUMBERS Total: 9			
Property Type	Number	Word Mark	
Registration Number:	4088826	TAPERBODY	
Registration Number:	4060831	ACTIVLOC	
Registration Number:	4041708	CRX	
Registration Number:	4041707	WRX	
Registration Number:	3944721	WAVIBODY	
Registration Number:	3898955	SONOMA ORTHOPEDIC PRODUCTS, INC.	
Registration Number:	3866106	SONOMA ORTHOPEDIC PRODUCTS	
Serial Number:	85769198	VELOX	
Serial Number:	85457413	FASTRAC	
CORRESPONDENCE DATA			
Fax Number:	4157774961		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	415 981 1400		
Email:	gkiviat@grmslaw.com		

OP \$240.00 4088826

Correspondent Name: Jeffrey T. Klugman
Address Line 1: Four Embarcadero Center, Suite 4000
Address Line 4: San Francisco, CALIFORNIA 94111

ATTORNEY DOCKET NUMBER: 47558/0180

NAME OF SUBMITTER: Jeffrey T. Klugman

Signature: /Jeffrey T. Klugman/

Date: 11/12/2012

Total Attachments: 13

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INTELLECTUAL PROPERTY SECURITY AGREEMENT

This Intellectual Property Security Agreement (this "Agreement") is made as of June 29, 2012, by and between SONOMA ORTHOPEDIC PRODUCTS, INC., a Delaware corporation ("Grantor"), and VENTURE LENDING & LEASING VI, INC. a Maryland corporation ("Secured Party").

RECITALS

A. Pursuant to that certain Loan and Security Agreement of even date herewith between Grantor, as borrower, and Secured Party, as lender, as such agreement may from time to time be amended, restated, supplemented or otherwise modified (the "Loan Agreement"), Secured Party has agreed to make certain advances of money and to extend certain financial accommodations to Grantor (the "Loans") in the amounts and manner set forth in the Loan Agreement. All capitalized terms used herein without definition shall have the meanings ascribed to them in the Loan Agreement.

B. Secured Party is willing to make the Loans to Grantor, but only upon the condition, among others, that Grantor shall grant to Secured Party a security interest in substantially all of Grantor's personal property whether presently existing or hereafter acquired. To that end, Grantor has executed in favor of Secured Party the Loan Agreement granting a security interest in all Collateral, and is executing this Agreement with respect to certain items of Intellectual Property, in particular.

NOW, THEREFORE, THE PARTIES HERETO AGREE AS FOLLOWS:

1. Grant of Security Interest. As collateral security for the prompt and complete payment and performance of all of Grantor's present or future Obligations, Grantor hereby grants a security interest and mortgage to Secured Party, as security, in and to Grantor's entire right, title and interest in, to and under the following Intellectual Property, now owned or hereafter acquired by Grantor or in which Grantor now holds or hereafter acquires any interest (all of which shall collectively be called the "Collateral" for purposes of this Agreement):

(a) Any and all copyrights, whether registered or unregistered, held pursuant to the laws of the United States, any State thereof or of any other country; all registrations, applications and recordings in the United States Copyright Office or in any similar office or agency of the United States, and State thereof or any other country; all continuations, renewals, or extensions thereof; and any registrations to be issued under any pending applications, including without limitation those set forth on Exhibit A attached hereto (collectively, the "Copyrights");

(b) All letters patent of, or rights corresponding thereto in, the United States or any other country, all registrations and recordings thereof, and all applications for letters patent of, or rights corresponding thereto in, the United States or any other country, including, without limitation, registrations, recordings and applications in the United States Patent and Trademark Office or in any similar office or agency of the United States, any State thereof or any other country; all reissues, continuations, continuations-in-part or extensions thereof; all petty patents, divisionals, and patents of addition; and all patents to be issued under any such applications, including without limitation the patents and patent applications set forth on Exhibit B attached hereto (collectively, the "Patents");

(c) All trademarks, trade names, corporate names, business names, trade styles, service marks, logos, other source or business identifiers, prints and labels on which any of the foregoing have appeared or appear, designs and general intangibles of like nature, now existing or hereafter adopted or acquired, all registrations and recordings thereof, and any applications in connection therewith, including, without limitation, registrations, recordings and applications in the United States Patent and Trademark Office or in any similar office or agency of

the United States, any State thereof or any other country or any political subdivision thereof, and reissues, extensions or renewals thereof, and the entire goodwill of the business of Grantor connected with and symbolized by such trademarks, including without limitation those set forth on Exhibit C attached hereto (collectively, the "Trademarks");

(d) Any and all claims for damages by way of past, present and future infringement of any of the rights included above, with the right, but not the obligation, to sue for and collect such damages for said use or infringement of the intellectual property rights identified above;

(e) All licenses or other rights to use any of the Copyrights, Patents or Trademarks, and all license fees and royalties arising from such use to the extent permitted by such license or rights;

(f) All amendments, renewals and extensions of any of the Copyrights, Trademarks or Patents; and

(g) All proceeds and products of the foregoing, including without limitation all payments under insurance or any indemnity or warranty payable in respect of any of the foregoing.

Notwithstanding the foregoing the term "Collateral" shall not include: (a) "intent-to-use" trademarks at all times prior to the first use thereof, whether by the actual use thereof in commerce, the recording of a statement of use with the United States Patent and Trademark Office or otherwise, but only to the extent the granting of a security interest in such "intent to use" trademarks would be contrary to applicable law or (b) any contract, instrument or chattel paper in which Grantor has any right, title or interest if and to the extent such contract, instrument or chattel paper includes a provision containing a restriction on assignment such that the creation of a security interest in the right, title or interest of Grantor therein would be prohibited and would, in and of itself, cause or result in a default thereunder enabling another person party to such contract, instrument or chattel paper to enforce any remedy with respect thereto; provided, however, that the foregoing exclusion shall not apply if (i) such prohibition has been waived or such other person has otherwise consented to the creation hereunder of a security interest in such contract, instrument or chattel paper, or (ii) such prohibition would be rendered ineffective pursuant to Sections 9-407(a) or 9-408(a) of the UCC, as applicable and as then in effect in any relevant jurisdiction, or any other applicable law (including the Bankruptcy Code) or principles of equity); provided further that immediately upon the ineffectiveness, lapse or termination of any such provision, the term "Collateral" shall include, and Grantor shall be deemed to have granted a security interest in, all its rights, title and interests in and to such contract, instrument or chattel paper as if such provision had never been in effect; and provided further that the foregoing exclusion shall in no way be construed so as to limit, impair or otherwise affect Secured Party's unconditional continuing security interest in and to all rights, title and interests of Grantor in or to any payment obligations or other rights to receive monies due or to become due under any such contract, instrument or chattel paper and in any such monies and other proceeds of such contract, instrument or chattel paper.

2. Covenants and Warranties. Grantor represents, warrants, covenants and agrees as follows:

(a) Grantor is now the sole owner of the Collateral, except for Permitted Liens;

(b) During the term of this Agreement, Grantor will not transfer or otherwise encumber any interest in the Collateral, except for Permitted Liens;

(c) To its knowledge, each of the Patents is valid and enforceable, and no part of the Collateral has been judged invalid or unenforceable, in whole or in part, and no claim has been made that any part of the Collateral violates the rights of any third party;

(d) Grantor shall deliver to Secured Party within thirty (30) days of the last day of each fiscal quarter, a report signed by Grantor, in form reasonably acceptable to Secured Party, listing any applications or registrations that Grantor has made or filed in respect of any patents, copyrights or trademarks and the status of any outstanding applications or registrations. Grantor shall promptly advise Secured Party of any material change in the composition of the Collateral, including but not limited to any subsequent ownership right of the Grantor in or to any Trademark, Patent or Copyright not specified in this Agreement;

(e) Grantor shall use reasonable commercial efforts to (i) protect, defend and maintain the validity and enforceability of the Trademarks, Patents and Copyrights (ii) detect infringements of the Trademarks, Patents and Copyrights and promptly advise Secured Party in writing of material infringements detected and (iii) not allow any material Trademarks, Patents or Copyrights to be abandoned, forfeited or dedicated to the public without the written consent of Secured Party, which consent shall not be unreasonably withheld;

(f) Grantor shall apply for registration on an expedited basis (to the extent not already registered) with the United States Patent and Trademark Office or the United States Copyright Office, as applicable: (i) those intellectual property rights listed on Exhibits A, B and C hereto within thirty (30) days of the date of this Agreement; and (ii) those additional intellectual property rights developed or acquired by Grantor from time to time in connection with any product or service, prior to the sale or licensing of such product or the rendering of such service to any third party (including without limitation revisions or additions to the intellectual property rights listed on such Exhibits A, B and C), except with respect to such rights that Grantor determines in its sole but reasonable commercial judgment need not be registered to protect its own business interests. Grantor shall, from time to time, execute and file such other instruments, and take such further actions as Secured Party may reasonably request from time to time to perfect or continue the perfection of Secured Party's interest in the Collateral. Grantor shall give Secured Party notice of all such applications or registrations; and

(g) Grantor shall not enter into any agreement that would materially impair or conflict with Grantor's obligations hereunder without Secured Party's prior written consent, which consent shall not be unreasonably withheld. Grantor shall not permit the inclusion in any material contract to which it becomes a party of any provisions that could or might in any way prevent the creation of a security interest in Grantor's rights and interests in any property included within the definition of the Collateral acquired under such contracts.

3. Further Assurances; Attorney in Fact.

(a) On a continuing basis, Grantor will make, execute, acknowledge and deliver, and file and record in the proper filing and recording places in the United States, all such instruments, including appropriate financing and continuation statements and collateral agreements and filings with the United States Patent and Trademark Office and the Register of Copyrights, and take all such action as may reasonably be deemed necessary or advisable, or as reasonably requested by Secured Party, to perfect Secured Party's security interest in all Copyrights, Patents and Trademarks and otherwise to carry out the intent and purposes of this Agreement, or for assuring and confirming to Secured Party the grant or perfection of a security interest in all Collateral.

(b) Grantor hereby irrevocably appoints Secured Party as Grantor's attorney-in-fact, with full authority in the place and stead of Grantor and in the name of Grantor, from time to time in Secured Party's discretion, to take any action and to execute any instrument which Secured Party may deem necessary or advisable to accomplish the purposes of this Agreement, including (i) to modify, in its sole discretion, this Agreement without first obtaining Grantor's approval of or signature to such modification by amending Exhibits A, B and C, hereof, as appropriate, to include reference to any right, title or interest in any Copyrights, Patents or Trademarks acquired by Grantor after the execution hereof or to delete any reference to any right, title or interest in any Copyrights, Patents or Trademarks in which Grantor no longer has or claims any right, title or interest, (ii) to file, in its sole discretion, one or more financing or continuation statements and amendments thereto, relative to any of the Collateral without

the signature of Grantor where permitted by law, and (iii) after the occurrence of an Event of Default, subject to Part 2, Section 3 of the Supplement, to transfer the Collateral into the name of Secured Party or a third party to the extent permitted under the California Uniform Commercial Code.

4. Events of Default. The occurrence of any of the following shall constitute an Event of Default under this Agreement:

(a) An Event of Default under the Loan Agreement; or

(b) Grantor breaches any warranty or agreement made by Grantor in this Agreement and, as to any breach that is capable of cure, Grantor fails to cure such breach within thirty (30) days of the sooner to occur of Grantor's receipt of notice of such breach from Secured Party or the date on which such breach first becomes known to Grantor.

5. Amendments. This Agreement may be amended only by a written instrument signed by both parties hereto, except for amendments permitted under Section 3 hereof to be made by Secured Party alone.

6. Counterparts. This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute the same instrument.

[Signature Pages Follow

(Signature page to Intellectual Property Security Agreement)

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the day and year first above written.

GRANTOR:

Address of Grantor:

3389 Westwind Blvd.
Santa Rosa, CA 95403

Attn: CFO

SONOMA ORTHOPEDIC PRODUCTS, INC.

By: *M. Kist*

Name: *Marc Kist*

Its: *CFO*

SECURED PARTY:

Address of Secured Party:

104 La Mesa Dr., Suite 102
Portola Valley, CA 94028

Attn: Chief Financial Officer

VENTURE LENDING & LEASING VI, INC.

By: _____

Name: _____

Its: _____

[Signature page to Intellectual Property Security Agreement]

IN WITNESS WHEREOF, the parties hereto have executed this Agreement on the day and year first above written.

GRANTOR:

Address of Grantor:

3589 Westwind Blvd.
Santa Rosa, CA 95403
Attn:

SONOMA ORTHOPEDIC PRODUCTS, INC.

By:

Name:

Its:

SECURED PARTY:

Address of Secured Party:

104 La Mesa Dr., Suite 102
Petaluma Valley, CA 94028
Attn: Chief Financial Officer

VENTURE LENDING & LEASING VI, INC.

By: 

Name: Jay Cohen

Its: Vice President

EXHIBIT A

Copyrights

Description

Registration Number

Registration Date

NONE

EXHIBIT B

Patents

Description

Registration/Serial Number

Registration/Application Date

SEE ATTACHED

Sonoma Orthopedic Products, Inc.

Exhibit B

#	Title	Serial No.	Patent No.
1	minimally invasive actuable bone fixation devices	11/383,269	7,846,162 US 2006/0264950 A1
2	minimally invasive actuable bone fixation devices	11/565,534	7,914,533 US 2007/0233105 A1
3	methods of using minimally invasive actuable bone fixation devices	11/383,279	7,942,875 US 2006/0264952 A1
4	fracture fixation device, tools and methods	11/944,366	7,909,825 US 2008/0161805 A1
5	fracture fixation device, tools and methods	12/482,388	US 2010/0094347 A1
6	fracture fixation device, tools and methods	12/482,406	US 2010/0023010 A1
7	fracture fixation device, tools and methods	12/965,480	US 2011/0282346 A1
8	fracture fixation device, tools and methods	13/032,437	US 2011/0144645 A1
9	bone fixation device, tools and methods	13/203,713	From WO 2010/037038
10	bone fixation device, tools and methods	12/642,648	US 2011/0087227 A1
11	straight intramedullary fracture fixation devices and methods	61/553,059	
12	segmented intramedullary fracture fixation devices and methods	61/553,062	
13	no active	no active	
14	fracture fixation and site stabilization system	11/569351	US 2008/0255560 A1
15	fracture fixation and site stabilization system	05/017807	WO 2005/112804 A1
16			

#	Title	Serial No.	Patent. No.
17	fracture fixation device, tools and methods	09/046949	WO 2009/152270
18	fracture fixation device, tools and methods	09/046951	WO 2009/152272
19	fracture fixation device, tools and methods	09/046953	WO 2009/152273
20	bone fixation device, tools and methods	09/058632	WO 2010/037038
21	method and system for providing reinforcement of bones	60/682,652	n/a
22		60/866,976	n/a
23	bone repair implant with central ratcheting guidewire	60/867,011	n/a
24	fracture fixation device, tools and methods	60/949,071	n/a
25	fracture fixation device, tools and methods	61/060,440	n/a
26	fracture fixation device, tools and methods	61/060,445	n/a
27	fracture fixation device, tools and methods	61/060,450	n/a
28	fracture fixation device, tools and methods	61/100,635	n/a
29	fracture fixation device, tools and methods	61/100,652	n/a
30	bone fracture fixation screws, systems and methods of use	61/117,901	n/a
31	bone fixation device, tools and methods	61/122,563	n/a
32	bone fixation device, tools and methods	61/138,920	n/a
33		4780/KOLNP/07	
34	surgical station for orthopedic reconstructive surgery	60/866,920	
35	surgical station for orthopedic reconstruction surgery	11/944,385	
36	surgical tools for use in deploying bone repair devices	07/085456	
37	surgical tools for use in deploying bone repair devices	07/085456	
38	bone fixation systems and related methods	11/142,968	7,172,595
39	segmented intramedullary system and apparatus	12/345,451	US 8,128,627 US 2009/0228008
40	segmented intramedullary structure	12/052,919	US 2008/0287951

#	Title	Serial No.	Patent. No.
			11/20/2008
41	intramedullary structures with orientation adjustment features	13/169,894	Request non-publication
42	proximal femur fixation apparatus, systems and methods with angled elongate elements	13/147789 Priority to : 10/023304 61/150,134	US 2011/0295255 WO 2010/091242
43	snap and twist segmented intramedullary system, apparatus and associated methods	13/321516 Priority to : 10/34774	WO 2010/135156 11/25/2010
44	segmented intramedullary structure	60/896,342	
45	segmented intramedullary structure	08/57868	WO2008/116175
46	modular segmented intramedullary system, apparatus and associated methods	09/44898	WO 2009/143374
47	segmented intramedullary structure system, apparatus and method	61/055,747	
48	proximal femur fixation apparatus, systems and methods	61/150,134	
49	proximal femur fixation apparatus, systems and methods	10/023304	WO 2010/091242
50	device and method for tensioning an elongate member	61/266,946	
51	device and method for tensioning an elongate member	10/58553	WO 2011/068866 A1
52	snap and twist segmented intramedullary system, apparatus and associated methods	61/180,342	
53	intramedullary structures with orientation adjustment features	61/360,390	
54	snap and twist segmented intramedullary system, apparatus and associated methods	10/34774	WO 2010/135156

EXHIBIT C

Trademarks

Description

U.S. Registration/Application Number

Registration/Application Date

SEE ATTACHED



United States Patent and Trademark Office

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Trademarks > Trademark Electronic Search System (TESS)

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OR **8 Records(s) found (This page: 1 ~ 8)**

Refine Search ("Sonoma Orthopedic")[ALL] and (live)[LD]

Current Search: S2: ("Sonoma Orthopedic")[ALL] and (live)[LD] docs: 8 occ: 36

Serial Number	Reg. Number	Word Mark	Check Status	Live/Dead
1 86467413		FASTRAC	TARR	LIVE
2 85162751	4088826	TAPERBODY	TARR	LIVE
3 86008547	4060931	ACTIVLOC	TARR	LIVE
4 85289791	4041709	CRX	TARR	LIVE
5 85269744	4041707	WRX	TARR	LIVE
6 77515003	3844721	WAVIBODY	TARR	LIVE
7 77903030	3898555	SONOMA ORTHOPEDIC PRODUCTS, INC.	TARR	LIVE
8 77903028	3866186	SONOMA ORTHOPEDIC PRODUCTS	TARR	LIVE



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