

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Release of First Lien Security Interest recorded at Reel/Frame 4436/0324

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
Bank of America, N.A.		04/04/2013	National Association: UNITED STATES

RECEIVING PARTY DATA

Name:	ConvergEx Group, LLC (formerly known as BNY ConvergEx Group, LLC)
Street Address:	1633 Broadway, 48th floor
City:	New York
State/Country:	NEW YORK
Postal Code:	10019
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE

Name:	ConvergEx Solutions LLC (formerly known as ConvergEx Research Solutions LLC)
Street Address:	1633 Broadway, 48th floor
City:	New York
State/Country:	NEW YORK
Postal Code:	10019
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE

Name:	Eze Castle Software LLC
Street Address:	12 Farnsworth St., 6th floor
City:	Boston
State/Country:	MASSACHUSETTS
Postal Code:	02210
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE

PROPERTY NUMBERS Total: 10

Property Type	Number	Word Mark
Registration Number:	3312339	COMMISSION OPTIMIZER
Registration Number:	2627345	EZE CASTLE

CH \$265.00 3312339

Registration Number:	2694645	HEDGEHUNTER
Registration Number:	2937715	INDEPENDENT RESEARCH MERITOCRACY
Registration Number:	3397048	INTERCOMM
Registration Number:	2548264	JAYWALK
Registration Number:	3633844	MKTS
Registration Number:	3394147	SHAREX
Registration Number:	2726390	TRADERS CONSOLE
Registration Number:	3757943	VORTEX

CORRESPONDENCE DATA

Fax Number: 3128622200

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.

Phone: 312-862-6371

Email: renee.prescan@kirkland.com

Correspondent Name: Renee Prescan

Address Line 1: 300 North LaSalle Street

Address Line 2: Kirkland & Ellis LLP

Address Line 4: Chicago, ILLINOIS 60654

ATTORNEY DOCKET NUMBER:	22150-20 RMP
NAME OF SUBMITTER:	Renee M. Prescan
Signature:	/Renee M. Prescan/
Date:	04/08/2013

Total Attachments: 4

source=IP - ConvergEx - 1st Lien Trademark Release (EXECUTED)_(25654274_3)#page1.tif

source=IP - ConvergEx - 1st Lien Trademark Release (EXECUTED)_(25654274_3)#page2.tif

source=IP - ConvergEx - 1st Lien Trademark Release (EXECUTED)_(25654274_3)#page3.tif

source=IP - ConvergEx - 1st Lien Trademark Release (EXECUTED)_(25654274_3)#page4.tif

RELEASE OF SECURITY INTEREST IN TRADEMARKS
(FIRST LIEN)

This RELEASE OF SECURITY INTEREST IN TRADEMARKS ("Release") is given as of this 4th day of April, 2013, by Bank of America, N.A. ("Assignor"), as Collateral Agent for itself and the Lenders, in favor of ConvergeX Group, LLC (formerly known as BNY ConvergeX Group, LLC), ConvergeX Solutions LLC (formerly known as ConvergeX Research Solutions LLC), and Eze Castle Software LLC (each individually an "Assignee" and together, the "Assignees"). Capitalized terms used in this Release but not defined herein have the meanings assigned to such terms in the Credit Agreement or Security Agreement (each as defined below).

WHEREAS, ConvergeX Group, LLC (formerly known as BNY ConvergeX Group, LLC), as Borrower, Bank of America, N.A., as Administrative Agent, and the other parties thereto entered into that certain First Lien Credit and Guaranty Agreement, dated as of December 17, 2010 (as further amended, modified, supplemented or restated, and in effect from time to time, the "Credit Agreement");

WHEREAS, as a condition precedent to the Lenders' making any Loans or otherwise extending credit to the Borrower under the Credit Agreement, the Assignees executed and delivered to the Assignor, for the benefit of the Lenders and the Assignor, that certain First Lien Pledge and Security Agreement, dated as of December 17, 2010, among the Assignees and the Assignor, (as amended, modified, supplemented or restated, and in effect from time to time, the "Security Agreement");

WHEREAS, pursuant to the Security Agreement, each of the Assignees unconditionally executed and delivered to the Assignor a First Lien Trademark Security Agreement (the "First Lien Trademark Security Agreement") and granted to Assignor, for the benefit of the Lenders and the Assignor, to secure the payment and performance in full of all of the Obligations, a security interest in and pledged to the Assignor, for the benefit of the Lenders and the Assignor, the following pledged Collateral of each Assignee: (a) Trademarks of each Assignee listed on Schedule 1 attached hereto; (b) all goodwill associated with such Trademarks; and (c) all Proceeds of any and all of the foregoing (collectively, the "Trademark Collateral");

WHEREAS, the First Lien Trademark Security Agreement was recorded with the United States Patent and Trademark Office on December 20, 2010, at Reel 4436 /Frame 0324;

WHEREAS, the Obligations have now been paid and performed in full and Assignor and Assignees desire that Assignor terminate and release its security interest in and to the Trademark Collateral.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Assignor hereby agrees as follows:

Assignor hereby terminates, releases and discharges fully its security interest and lien, and reconveys, transfers and assigns to Assignee, without recourse, any rights it may have, in and to Assignees' right, title and interest in or to the Trademark Collateral, including the trademark registrations and applications listed on Schedule 1 hereto. Assignor further terminates, releases, and discharges fully any security interest or lien granted to it, and reconveys, transfers and assigns to Assignees, without recourse, any rights it may have, in or to any Collateral consisting of trademarks, service marks, or trademark and service mark rights, together with any goodwill connected with the use of and symbolized thereby, pursuant to the Security Agreement or any First Lien Trademark Security Agreement executed and delivered by an Assignee to the Assignor pursuant to the Security Agreement.

Assignor hereby authorizes Assignees or Assignee's authorized representative to, at the sole expense of the Assignees, (i) record this Release with the United States Patent and Trademark Office, (ii) file UCC Financing Statement Amendments with the applicable filing office in order to memorialize the release of any security interest of Assignor in the Intellectual Property Collateral, and/or (iii) otherwise record or file this Release in any applicable governmental office or agency.

Assignor shall take all further actions, and provide to Assignees, their successors, assigns or other legal representatives, all such cooperation and assistance (including, without limitation, the execution and delivery of any and all documents or other instruments), reasonably requested by an Assignee to more fully and effectively effectuate the purposes of this Release.

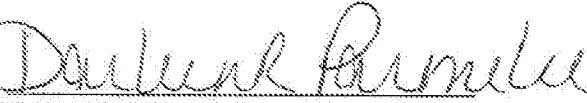
THIS RELEASE SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF NEW YORK.

[Remainder of page intentionally left blank.]

IN WITNESS WHEREOF, intending to be legally bound, Assignor has caused this RELEASE OF SECURITY INTEREST IN TRADEMARKS to be duly executed as of the date first above written.

ASSIGNOR:

BANK OF AMERICA, N.A., as Collateral Agent

By: 

Name: Darleen R Parmelee

Title: Assistant Vice President

{Trademark Release (1st Lien)}

TRADEMARK
REEL: 005000 FRAME: 0197

**SCHEDULE 1 TO
RELEASE OF SECURITY INTEREST IN TRADEMARKS**

TRADEMARKS

Registrations:

Owner	Registration No.	Trademark
Eze Castle Software LLC	3312339	Commission Optimizer
Eze Castle Software LLC	2627345	Eze Castle
Eze Castle Software LLC	2694645	Hedgehunter
ConvergEx Research Solutions LLC	2937715	Independent Research Meritocracy
ConvergEx Group, LLC	3397048	InterComm
ConvergEx Solutions LLC	2548264	Jaywalk
ConvergEx Group, LLC	3633844	MKTS (stylized)
ConvergEx Group, LLC	3394147	SHAREX
Eze Castle Software LLC	2726390	Traders Console
ConvergEx Group, LLC	3757943	Vortex

Applications:

None