

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
Rodman & Renshaw, LLC		05/16/2013	LIMITED LIABILITY COMPANY: DELAWARE
RECEIVING PARTY DATA			
Name:	Direct Markets Services, LLC		
Street Address:	370 Park Avenue, 17th Floor		
City:	New York		
State/Country:	NEW YORK		
Postal Code:	10016		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 3			
Property Type	Number	Word Mark	
Serial Number:	85667645	EECM	
Serial Number:	85667657	SHELF ANALYTICS	
Serial Number:	85667966	DIRECT CONNECT	
CORRESPONDENCE DATA			
Fax Number:	2126829222		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	2126829003		
Email:	apapageorgiou@ostrowkaufman.com		
Correspondent Name:	Antonio Papageorgiou		
Address Line 1:	555 Fifth Ave		
Address Line 4:	New York, NEW YORK 10017		
ATTORNEY DOCKET NUMBER:	227-001		
NAME OF SUBMITTER:	Antonio Papageorgiou		

OP \$90.00 85667645

Signature:	/Antonio Papageorgiou/
Date:	06/20/2013
Total Attachments: 6 source=227_Direct_Market-TM-Assignment2#page1.tif source=227_Direct_Market-TM-Assignment2#page2.tif source=227_Direct_Market-TM-Assignment2#page3.tif source=227_Direct_Market-TM-Assignment2#page4.tif source=227_Direct_Market-TM-Assignment2#page5.tif source=227_Direct_Market-TM-Assignment2#page6.tif	

TRADEMARK ASSIGNMENT

This TRADEMARK ASSIGNMENT ("Assignment") is effective on the 10th day of May, 2013 by and between Rodman & Renshaw, LLC, a Delaware limited liability company having its principal offices at c/o Yann Geron, Chapter 7 Trustee, Fox Rothschild LLP, 100 Park Avenue, Suite 1500, New York, NY 10017 ("Assignor"), and Direct Markets Services, LLC, a Delaware limited liability company having its principal offices at 370 Park Avenue, 17th Floor, New York, New York 10016 ("Assignee").

WHEREAS, Assignor owns certain trademark applications and registrations, and

WHEREAS, in consideration of good and valuable consideration as described herein and as otherwise provided, Assignor and Assignee desire to transfer the owned trademarks to Assignee.

NOW, THEREFORE, in consideration of the sum of one United States dollar (\$1.00) and of the mutual promises and covenants contained herein, the receipt and sufficiency of which Assignee hereby acknowledges, and intending to be legally bound, the parties agree as follows:

1. Assignor hereby sells, assigns and transfers to Assignee all of Assignor's right, title and interest in and to the trademark applications and registrations listed on Schedule A (the "Trademarks"), together with the goodwill of the business(es) that is/are symbolized by the Trademarks, including, without limitation, the renewal rights therein, the right to obtain registrations of the Trademarks in the United States and throughout the world, and the right to sue and recover any and all damages and profits, and any and all other remedies, for past, present or future infringements or violations thereof, all in Assignee's sole name.

2. In the event that Assignor or Assignee discovers any Trademarks that the parties agree should have been listed on Schedule A and that were inadvertently omitted therefrom, the party discovering the omission shall inform the other party in writing, and Assignor shall cooperate with Assignee and shall use its best efforts to execute and deliver all papers, instruments, and assignments as may be reasonably necessary to vest all rights, title, and interest in and to such Trademarks to Assignee.

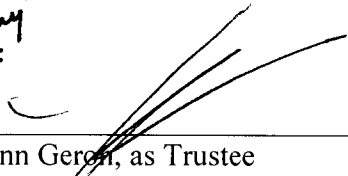
3. This Assignment shall be governed by and construed under the laws of the State of Delaware, United States excluding any conflicts of laws rule or principle that might refer the governance or construction of this Assignment to the law of another jurisdiction.

4. This Assignment may be executed in counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

To have and to hold unto Purchaser its personal representatives, successors, and assigns.

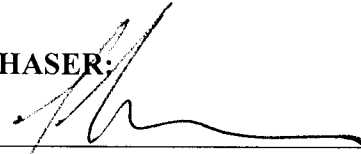
IN WITNESS WHEREOF, the parties hereto have executed this instrument on this 16th day of ~~April~~ ^{May}, 2013.

SELLER:



Name: Yann Geron, as Trustee
Title: Chapter 7 Trustee of the estates of
Rodman & Renshaw, LLC, Direct Markets,
Inc., and Direct Markets Holdings, Inc.

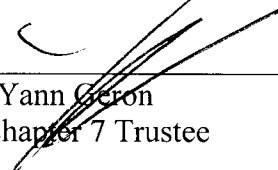
PURCHASER:




Name: Direct Markets Services, LLC
By: *Michael Vasilevski*
Managing Member

IN WITNESS WHEREOF, the parties hereto have caused this Assignment to be duly executed on the day and year first above written.

Rodman & Renshaw, LLC

By: 
Name: Yann Geron
Title: Chapter 7 Trustee

Direct Markets Services, LLC

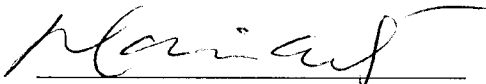
By: 
Name: Michael F. S. [unclear]
Title: MANAGING MEMBER

ACKNOWLEDGMENT

STATE OF New York :
 : ss.
COUNTY OF New York :

Michael Vasinkevich, being duly sworn, says that he/she is the Managing Member of Direct Markets Services, LLC, a ~~Delaware~~ limited liability company, and acknowledges that he/she did sign the Trademark Assignment on behalf of Direct Markets Services, LLC, pursuant to due authority.

Sworn to and subscribed
before me this 14 day
of May, 2013



Notary Public

My commission expires: _____ (SEAL)

MAURICE A. CESSANT
Notary Public, State of New York
No. 01CE6225726
Qualified in Queens County
Commission Expires Aug. 23, 2014

ACKNOWLEDGMENT

ACKNOWLEDGMENT
STATE OF NEW YORK :
 : ss.
COUNTY OF NEW YORK :

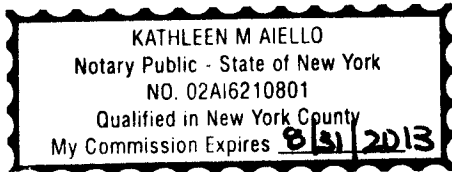
YANN GERON, being duly sworn, says that he/she is the Chapter 7 Trustee of Rodman & Renshaw, LLC, a Delaware limited liability company, and acknowledges that he/she did sign the Trademark Assignment on behalf of Rodman & Renshaw, LLC, pursuant to due authority.

Sworn to and subscribed
before me this 16th day
of May, 2013.

Kathleen M Aiello
Notary Public

My commission expires: 8/31/2013

(SEAL)



SCHEDULE A

Trademark	App. No.	App. Date	Reg. No.	Reg. Date
CAP	78/740,283	10/25/05	3,224,361	4/3/07
COLLATERIZED ACQUISITION POOL	78/740,323	10/25/05	3,214,277	2/27/07
ACUMEN BIOFIN	78/948,988	9/9/06	3,370,874	1/15/08
LIQUID AID	85/672,516	7/10/12	N/A	N/A
LIQUIDIT-E	85/672,526	7/10/12	N/A	N/A
LIQUIDGATE	85/672,540	7/10/12	N/A	N/A
ESEARCH	85/667,639	7/3/12	N/A	N/A
EECM	85/667,645	7/3/12	N/A	N/A
EBANKER	85/667,649	7/3/12	N/A	N/A
EBANKING	85/667,654	7/3/12	N/A	N/A
SHELF ANALYTICS	85/667,657	7/3/12	N/A	N/A
DIRECT CONNECT	85/667,966	7/3/12	N/A	N/A
EDCM	85/668,225	7/3/12	N/A	N/A