

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	Trademark Security Agreement

CONVEYING PARTY DATA

Name	Formerly	Execution Date	Entity Type
National Financial Partners Corp.		07/01/2013	CORPORATION: DELAWARE

RECEIVING PARTY DATA

Name:	Deutsche Bank AG New York Branch, as Administrative Agent
Street Address:	60 Wall Street
City:	New York
State/Country:	NEW YORK
Postal Code:	10005
Entity Type:	Foreign Banking Corporation: GERMANY

PROPERTY NUMBERS Total: 13

Property Type	Number	Word Mark
Registration Number:	2422912	NFP
Registration Number:	2742420	NFP NATIONAL FINANCIAL PARTNERS
Registration Number:	4094884	NFP
Registration Number:	4094885	NFP
Registration Number:	4097432	NFP
Registration Number:	4097433	NFP
Registration Number:	4100472	NATIONAL FINANCIAL PARTNERS
Registration Number:	4211885	ACCESS. INSIGHT. ADVANTAGE.
Registration Number:	4094887	
Registration Number:	4094886	
Registration Number:	4094883	PARTNER. PRESERVE. PROSPER.
Registration Number:	4094882	PARTNERSFINANCIAL
Serial Number:	76712197	BENEFITS PARTNERS

CORRESPONDENCE DATA

900259701

TRADEMARK
 REEL: 005062 FRAME: 0780

OP \$340.00 2422912

Fax Number: 2123548113
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.
 Phone: 212-819-2000
 Email: iprecordations@whitecase.com
 Correspondent Name: James Maewsky/White & Case LLP
 Address Line 1: 1155 Avenue of the Americas
 Address Line 2: Patent and Trademark Department
 Address Line 4: New York, NEW YORK 10036

ATTORNEY DOCKET NUMBER:	1111779-2377 (D663)
NAME OF SUBMITTER:	James Maewsky
Signature:	/James Maewsky/
Date:	07/02/2013

Total Attachments: 64

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TRADEMARK SECURITY AGREEMENT

Trademark Security Agreement, dated as of July 1, 2013, by National Financial Partners Corp., Lane McVicker, LLC, Lenox Advisors, Inc., National Madison Group, Inc., Nemco Brokerage, Inc. and Provisio Management Group, LLC (individually, a “**Grantor**”, and, collectively, the “**Grantors**”), in favor of DEUTSCHE BANK AG NEW YORK BRANCH, in its capacity as administrative agent pursuant to the Credit Agreement (in such capacity, the “**Administrative Agent**”).

WITNESSETH:

WHEREAS, the Grantors are party to a Security Agreement dated as of July 1, 2013 (as amended, amended and restated, supplemented or otherwise modified from time to time, the “**Security Agreement**”) in favor of the Administrative Agent pursuant to which the Grantors are required to execute and deliver this Trademark Security Agreement;

NOW, THEREFORE, in consideration of the premises and to induce the Administrative Agent, for the benefit of the Secured Parties, to enter into the Credit Agreement, the Grantors hereby agree with the Administrative Agent as follows:

SECTION 1. Defined Terms. Unless otherwise defined herein, terms defined in the Security Agreement and used herein have the meaning given to them in the Security Agreement.

SECTION 2. Grant of Security Interest in Trademark Collateral. Each Grantor hereby collaterally assigns and pledges to the Administrative Agent, its successors and permitted assigns, for the benefit of the Secured Parties, and hereby grants to the Administrative Agent, its successors and permitted assigns, for the benefit of the Secured Parties and their permitted successors and assigns a continuing security interest in, and lien on, all of its right, title and interest in any and all of the following Collateral (excluding any Excluded Assets) of such Grantor:

- (a) registered Trademarks and Trademark applications of such Grantor listed on Schedule I attached hereto;
- (b) all renewals and extensions of the foregoing;
- (c) all goodwill of the business connected with the use of, and symbolized by the foregoing;
- (d) any and all claims for damages and injunctive relief for past, present and future infringement, misappropriation, violation or misuse with respect to any of the foregoing, with the right, but not the obligation, to sue for and collect, or otherwise recover, such damages; and
- (e) any and all proceeds of, collateral for, income, royalties and other payments now or hereafter due and payable with respect to, and supporting obligations relating to, any and all of the Collateral of or arising from any of the foregoing.

SECTION 3. The Security Agreement. The security interest granted pursuant to this Trademark Security Agreement is granted in conjunction with the security interest granted to the Administrative Agent pursuant to the Security Agreement and Grantors hereby acknowledge and affirm that the rights and remedies of the Administrative Agent with respect to the security interest in the Trademarks made and granted hereby are more fully set forth in the Security Agreement, the terms of which are incorporated by reference herein as if fully set forth herein. In the event that any provision of this Trademark Security Agreement is deemed to conflict with the Security Agreement, the provisions of the Security Agreement shall control unless the Administrative Agent and the Grantor shall otherwise determine.

SECTION 4. Termination or Release. Upon the termination of the Security Agreement or release of a Grantor in accordance with Section 6.11 thereof, the Administrative Agent shall, at the expense of such Grantor, execute, acknowledge, and deliver to the Grantors an instrument in writing in recordable form releasing the security interest in the Trademarks of such Grantor under this Trademark Security Agreement.

SECTION 5. Counterparts. This Trademark Security Agreement may be executed in any number of counterparts, all of which shall constitute one and the same instrument, and any party hereto may execute this Trademark Security Agreement by signing and delivering one or more counterparts.


SECTION 6. Recordation. Each Grantor authorizes and requests that the Commissioner for Trademarks and any other applicable government officer record this Trademark Security Agreement.

SECTION 7. GOVERNING LAW. THIS AGREEMENT SHALL BE GOVERNED BY, AND CONSTRUED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF NEW YORK.

[Signature pages follow.]

IN WITNESS WHEREOF, the undersigned have executed this Trademark Security Agreement as of the date first set forth above.

NATIONAL FINANCIAL PARTNERS CORP.

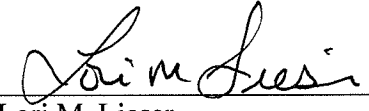
By: 
Name: Donna Blank
Title: Executive Vice President and Chief
Financial Officer

[NFP - Signature Page to Trademark Security Agreement]

TRADEMARK
REEL: 005062 FRAME: 0785

IN WITNESS WHEREOF, the undersigned have executed this Trademark Security Agreement
as of the date first set forth above.

**LANE MCVICKER, LLC
LENOX ADVISORS, INC.
NATIONAL MADISON GROUP, INC.
NEMCO BROKERAGE, INC.
PROVISE MANAGEMENT GROUP, LLC**

By: 
Name: Lori M. Lieser
Title: Vice President

DEUTSCHE BANK AG NEW YORK BRANCH,
as Administrative Agent

By: Peter Cucchiara
Name: Peter Cucchiara
Title: Vice President

By: Michael Getz
Name: Michael Getz
Title: Vice President

[NFP - Signature Page to Trademark Security Agreement]

TRADEMARK
REEL: 005062 FRAME: 0787

**Schedule I
Trademark Registrations and Use Applications**

Registrations:

Trademark	Owner	Registration No.	Registration Date
NFP	National Financial Partners Corp.	2422912	January 23, 2001
NFP NATIONAL FINANCIAL PARTNERS	National Financial Partners Corp.	2742420	July 29, 2003
NFP	National Financial Partners Corp.	4094884	February 7, 2012
NFP	National Financial Partners Corp.	4094885	February 7, 2012
NFP	National Financial Partners Corp.	4097432	February 14, 2012
NFP	National Financial Partners Corp.	4097433	February 14, 2012
National Financial Partners	National Financial Partners Corp.	4100472	February 21, 2012
Access. Insight. Advantage	National Financial Partners Corp.	4211885	September 25, 2012
	National Financial Partners Corp.	4094887	February 7, 2012
	National Financial Partners Corp.	4094886	February 7, 2012
Partner. Preserve. Prosper	National Financial Partners Corp.	4094883	February 7, 2012
PartnersFinancial	National Financial Partners Corp.	4094882	February 7, 2012
Lane McVicker	Lane McVicker, LLC	3461249	July 8, 2008
VivaWell Living Healthier Step By Step	Nemco Brokerage, Inc.	3907612	January 18, 2011
Personal Financial Officer PFO	Provis Management Group, LLC	2843333	May 18, 2004
PROVISE	Provis Management Group, LLC	1767650	April 27, 1993
Dreams, Dollars & Reality	Provis Management Group, LLC	1799655	October 19, 1993
NMG	National Madison Group, Inc.	2080894	July 22, 1997
National Madison Group	National Madison Group, Inc.	2204722	November 24, 1998
The Chief Plan	National Madison Group, Inc.	3793063	May 25, 2010
Lenox Advisors	Lenox Advisors, Inc.	4117333	March 27, 2012
Lenox Virtual HR Manager	Lenox Advisors, Inc.	3414892	April 22, 2008

Applications:

Trademark	Owner	Serial No.	Filing Date
Benefits Partners	National Financial Partners Corp.	76712197	August 13, 2012

PERFECTION CERTIFICATE

Dated: July 1, 2013

Reference is hereby made to (i) that certain Security Agreement dated as of July 1, 2013 (the "Security Agreement"), between Patriot Merger Corp., a Delaware corporation, which on the Closing Date shall be merged with and into National Financial Partners Corp., a Delaware corporation ("NFP"), with NFP surviving such merger as the Borrower, Patriot Intermediate Holdings B Corp., a Delaware corporation ("Holdings"), the Guarantors party thereto from time to time and Deutsche Bank AG New York Branch as administrative agent (in such capacity, the "Administrative Agent") and collateral agent (in such capacity, the "Collateral Agent") and (ii) that certain Credit Agreement dated as of July 1, 2013 (the "Credit Agreement") among Holdings, Patriot Merger Corp. (which on the Closing Date shall be merged with and into NFP with NFP surviving such merger as the Borrower), the Guarantors party thereto from time to time, Direct Spin-Out Parent (solely during a Spin-Out Period), Direct Spin-Out Holdco (solely during a Spin-Out Period), Deutsche Bank AG New York Branch, as Administrative Agent, a Swing Line Lender and an L/C Issuer and the Lenders and other parties from time to time party thereto. Capitalized terms used but not defined herein have the meanings assigned in the Credit Agreement.

As of the date hereof, the undersigned hereby certify to the Administrative Agent as follows:

1. Names.

(a) The exact legal name of each Loan Party, as such name appears in its respective certificate of incorporation or any other similar formation document, is set forth in **Schedule 1(a)**. Each Loan Party is (i) the type of entity disclosed next to its name in **Schedule 1(a)** and (ii) a registered organization except to the extent disclosed in **Schedule 1(a)**. Also set forth in **Schedule 1(a)** is the organizational identification number, if any, of each Loan Party that is a registered organization, the Federal Taxpayer Identification Number of each Loan Party and the jurisdiction of formation of each Loan Party.

(b) Except as set forth in **Schedules 1(b)** and **1(c)** and pursuant to the Transactions, no Loan Party has changed its identity, legal name or corporate structure or entered into a similar reorganization in any way within the past five years. Changes in identity or corporate structure would include mergers, consolidations and acquisitions of all or substantially all of the assets of (or all or substantially all the assets constituting a business unit, division, product line or line of business of) a Person by a Loan Party (only to the extent such Loan Party was a Subsidiary of NFP at the time of such change), or other acquisitions of material assets of a Person by a Loan Party (only to the extent such Loan Party was a Subsidiary of NFP at the time of such change) outside the ordinary course of business, as well as any change in the form, nature or jurisdiction of organization or otherwise. With respect to any such change that has occurred within the past five years, **Schedules 1(a)** and **1(b)** set forth the information required by **Section 1(a)** of this Perfection Certificate as to each such Person party to such merger, consolidation or acquisition. Except as set forth in **Schedule 1(b)**, no Loan Party has changed its jurisdiction of organization at any time during the past four months.

2. Current Locations. The chief executive office of each Loan Party is located at the address set forth in **Schedule 2** hereto.

3. Extraordinary Transactions. Except for those purchases, acquisitions and other transactions taking place in the past five years and listed in **Schedule 1(b)** or described in **Schedule 3** attached hereto, all of the Collateral (to the extent acquired or purchased in the past five years) has been

originated by each Loan Party in the ordinary course of business or consists of goods which have been acquired by such Loan Party in the ordinary course of business from a person in the business of selling goods of that kind.

4. Real Property. Attached hereto as **Schedule 4(a)** is a list of all (i) real property owned by each Loan Party located in the United States as of the Closing Date, (ii) real property to be encumbered by a Mortgage and fixture filing, which real property includes all real property owned, leased or otherwise held by each Loan Party as of the Closing Date having a value in excess of \$5,000,000 (such real property, the "Mortgaged Property") and (iii) common names, addresses and uses of each Mortgaged Property (stating improvements located thereon). Except as described in **Schedule 4(b)** attached hereto, no Loan Party has entered into any leases, subleases, tenancies, franchise agreements, licenses or other occupancy arrangements as owner or grantor with respect to any of the real property described in **Schedule 4(a)**.

5. Stock Ownership and Other Equity Interests. Attached hereto as **Schedule 5(a)** is a true and correct list of all of the issued and outstanding, stock, partnership interests, limited liability company membership interests or other equity interest of each Loan Party and its Subsidiaries and the record and beneficial owners of such stock, partnership interests, membership interests or other equity interests, including the percentage of such equity interests pledged under the Security Agreement. Set forth in **Schedule 5(b)** is each equity investment of each Loan Party that represents 50% or less of the equity of the entity in which such investment was made and setting forth the percentage of such equity interests pledged under the Security Agreement.

6. Instruments and Tangible Chattel Paper. Attached hereto as **Schedule 6** is a true and correct list of all promissory notes, instruments (other than checks to be deposited in the ordinary course of business), tangible chattel paper, electronic chattel paper and other evidence of indebtedness held by each Loan Party as of the date hereof with an original principal amount of \$5,000,000 or more, including all intercompany notes between or among any two or more of the Loan Parties or any of their Subsidiaries, stating if such instruments, chattel paper or other evidence of indebtedness is pledged under the Security Agreement.

7. Intellectual Property. (a) Attached hereto as **Schedule 7(a)** is a schedule setting forth all of the Loan Parties' material Patents and material Trademarks (each as defined in the Security Agreement) applied for, issued by or registered with the United States Patent and Trademark Office, including the name of the registered owner or applicant and the registration, patent, application, or publication number, as applicable, of each such Patent or Trademark.

(b) Attached hereto as **Schedule 7(b)** is a schedule setting forth all of the Loan Parties' material United States Copyrights (as defined in the Security Agreement) applied for or registered with the United States Copyright Office, including the name of the registered owner and the registration number of each such Copyright owned by each Loan Party.


8. Commercial Tort Claims. Attached hereto as **Schedule 8** is a true and correct list of all Commercial Tort Claims (as defined in the Security Agreement) with a fair value in excess of \$5,000,000 held by each Loan Party, including a brief description thereof.

9. Letter of Credit Rights. Attached hereto as **Schedule 9** is a true and correct list of all letters of credit in excess of \$5,000,000 issued in favor of each Loan Party as the beneficiary thereunder.

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IN WITNESS WHEREOF, we have hereunto signed this Perfection Certificate as of the date first stated above.

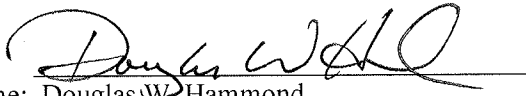
NATIONAL FINANCIAL PARTNERS CORP.

By: 
Name: Donna Blank
Title: Executive Vice President and Chief Financial Officer

[Signature Page to Perfection Certificate]

TRADEMARK
REEL: 005062 FRAME: 0791

PATRIOT INTERMEDIATE HOLDINGS B CORP.

By: 
Name: Douglas W. Hammond
Title: President and Chief Executive Officer

[Signature Page to Perfection Certificate]

TRADEMARK
REEL: 005062 FRAME: 0792

Guarantors:

401(k) Advisors, Inc.
401(k) Producer Services, Inc.
Administrative Systems, Inc.
ADP/Statewide Insurance Agencies, Inc.
Alexander Benefits Consulting, LLC
Alliance Capital Services Insurance Agency Inc.
Alterity Group, LLC
Alternative Benefit Solutions, Inc.
American Benefits Insurance Corporation
American Financial Solutions, Inc.
Anderson Consulting Group, Ltd.
Arnone, Lowth, Wilson & Leibowitz, Inc.
Arthur D. Shankman and Company, Inc.
AXIA Employee Benefit Insurance Services, Inc.
Balsler Financial Corporation
Balsler Technology Group, LLC
The Bay Ridge Group, Inc.
Beacon Retirement Planning Services, Inc.
Benefit Fiduciary Corporation
Benefit Plan Services, LLC
Benefit Planning Services, Inc.
The Benefits Solutions Group, Inc.
Bernard R. Wolfe & Associates, Inc.
BHR Financial Services, Inc.
BMI Benefits, L.L.C.
Bob McCloskey Agency, LLC
Boston Insurance Trust, Inc.
Brokerage Design & Consultants, Inc.
CBA Management, LLC
Charon Planning Corporation
Christie Financial Group, Inc.
Clippinger Financial Group, L.L.C.
Compass Capital Management LLC
The COMP Consulting Companies, LLC
Complete Pension Services, Inc.

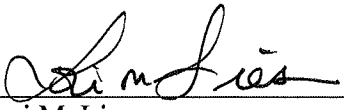
By: 
Name: Lori M. Lieser
Title: Vice President

(Signatures continued on next page)

[Signature Page to Perfection Certificate]

TRADEMARK
REEL: 005062 FRAME: 0793

Consolidated Brokerage Services, Inc.
Consolidated Educational Services, Inc.
Contemporary Benefits Design, Inc.
Corporate Benefit Advisors, Inc.
Corporate Benefits Management, LLC
Corporate Benefits, Inc.
Creative Edge Concepts, LLC
Creative Edge Planning & Insurance Services, LLC
D A Financial Group of California
D/A Century Insurance Services, Inc.
D/A Financial Insurance Services, Inc.
Delott & Associates, Inc.
DiMeo Schneider & Associates L.L.C.
DNA Brokerage, L.L.C.
Dreyfuss & Birke Management Company, LLC
Dreyfuss & Birke, Ltd.
Driscoll Insurance Agency, Inc.
Dublin Insurance Services, Inc.
ECA Marketing, Inc.
Educators Preferred Corporation
Eilers Financial Services, Inc.
Employers Preferred Corporation
Estate & Corporate Advisors, Inc.
ethos Benefit Partners, inc.
Excess Reinsurance Underwriters, Inc.
FDR Financial Group, Inc.
The Financial Architects Partners, LLC
Financial Concepts of the Twin Cities, Inc.
First Financial Resources Ltd.
First Global Financial & Insurance Services, Inc.
Fleischer-Jacobs & Associates, Inc.
Golden & Cohen, LLC
Harbor Management Company, LLC
The Hartfield Company, Inc.
Hartfield Louisville, LLC
HealyPartners, LLC
The Heartland Group, Inc.
Highland Capital Brokerage, Inc.

By: 
Name: Lori M. Lieser
Title: Vice President

(Signatures continued on next page)

[Signature Page to Perfection Certificate]

TRADEMARK
REEL: 005062 FRAME: 0794

Highland Capital Brokerage, Inc.
Highland Capital Holding Corporation
Howard M. Koff, Inc.
IKON US, Inc.
Institutional Life Services (Florida), LLC
Institutional Life Services, LLC
Insurance & Investment Professionals, Inc.
International Risk – IRC, Inc.
IRC, Inc.
JR Katz, Inc.
The KNW Group, LLC
Lane McVicker, LLC
Lanning & Associates, Inc.
LBG Financial Advisors, Inc.
Lenox Advisors, Inc.
Lenox Brokerage Insurance Services, Inc.
Lenox Long Term Care, LLC
Lenox Wealth Advisors, Inc.
Lifestyle Holding Company, Inc.
Lifestyle Settlements, LLC
Lincoln Benefits Group, Inc.
Linn & Associates, Inc.
LMV Holdings, Inc.
Longnecker & Associates, Inc.
LTCI Partners, LLC
Lucco Financial Partners, Inc.
M&M Brokerage Services, Inc.
Maguire Financial Advisors, LLC
Management Brokers, Inc.



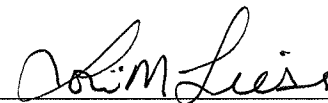
Maschino, Hudelson & Associates, L.L.C.
Massachusetts Business Association, L.L.C.
The Meltzer Group, Inc.
Meltzer Wealth Management, Inc.
Michael G. Rudelson and Company
Mitchell & Moroneso Insurance Services, Inc.
Monaghan, Tilghman & Hoyle, Inc.

By: 
Name: Lori M. Lieser
Title: Vice President

(Signatures continued on next page)

[Signature Page to Perfection Certificate]

Mosse & Mosse Insurance Associates, LLC
National Enrollment Services, Inc.
National Madison Group, Inc.
Nemco Benefits, Inc.
Nemco Brokerage, Inc.
Nemco Group, LLC
NFP (BVI) Limited
NFP Brokerage Insurance Services, Inc.
NFP CM Insurance Agency, LLC
NFP Corporate Services (NY), LLC
NFP Executive Benefits, LLC
NFP Health Services Administrators, LLC
NFP IndeSuite, Inc.
NFP Insurance Services, Inc.
NFP Life Services, LLC
NFP Mid-Atlantic SG, LLC
NFP MIE, LLC
NFP of New York Insurance Agency, Inc.
NFP Property & Casualty Services, Inc.
NFP Resources V Insurance Agency, Inc.
NFP Resources VI Insurance Agency, Inc.
NFP Risk Management Services, Inc.
NFT Schechter Benefits, LLC
NFP Structured Settlements, Inc.
NFP/AMP Holdings, LLC
NFP-CBI, LLC
NFP-National Account Services, Inc.
Noabrand, Inc.
Northeast Brokerage Services Insurance Agency, Inc.
Northeast Business Trust
Northeast Insurance Broker Services, LLC
NuVision Financial Corporation, Inc.
Oklahoma Financial Center, Inc.
P&A Capital Advisors, Inc.
Partners Holdings, Inc.
Partners Marketing Services of Pennsylvania, Inc.
Partners Financial Insurance Agency, Inc.
Pen/Flex, Inc.


By: 
Name: Lori M. Lieser
Title: Vice President

(Signatures continued on next page)

[Signature Page to Perfection Certificate]

TRADEMARK
REEL: 005062 FRAME: 0796

Peninsula Advisors, L.L.C.
Pennsylvania Business Review, Inc.
Personal Capital Management, Inc.
Peysner & Alexander Management, Inc.
Plan Design Services, Inc.
Potomac Basin Group Associates, LLC
Preferred Benefits Group, Inc.
Pro Financial Services, LLC
Procured Risk Solutions LLC
Professional Benefits Solutions, Inc.
Professional Outsourcing Solutions Corp.
Professional Pensions, Inc.
Proviser Management Group, LLC
Public Employers Benefit Association, L.L.C.
Quantum Care, Inc.
Quest Insurance Group, Inc.
R.E. Lee Holdings, Inc.
Randel L. Perkins Insurance Services, Inc.
RealCare Insurance Marketing, Inc.
Renaissance Bank Advisors, LLC
Renaissance Benefit Advisors, Inc.
Retirement Investment Advisors, Inc.
Robert E. Lee (SCIP), Inc.
Schmidt Financial Group, Inc.
Scorica, Inc.
Shepard & Scott Corp.
Sontag Advisory LLC
Sontag Special Opportunities Advisor, LLC
Sontag Special Opportunities LLC
The Spalding Financial Group, Inc.
STA Benefits Holdings, LLC
STA Benefits, Ltd.
STA, Inc.
Stallard Financial Strategies, Inc.
Stephen Wolff, Inc.
The Summit Group, Inc.


By: 
Name: Lori M. Lieser
Title: Vice President

(Signatures continued on next page)

[Signature Page to Perfection Certificate]

TRADEMARK
REEL: 005062 FRAME: 0797

The Management Compensation Group/Southeast, LLC
Thoits Insurance Service, Inc.
Thorbahn and Associates Insurance Agency, Inc.
TMG Insurance Services Inc.
TMS-CBS RPG, LLC
Total Financial & Insurance Services, Inc.
Trinity Financial Services, L.L.C.
UA Advisory Services, Inc.
UA Holdings, Inc.
United Advisors, LLC
United Businessman's Insurance Agency, Inc.
Van den Heuvel and Fountain, Inc.
Virtual Benefits Corporation
Windsor Insurance Associates, Inc.
Wisconsin Insurance World, Ltd.

By: 
Name: Lori M. Lieser
Title: Vice President

[Signature Page to Perfection Certificate]

TRADEMARK
REEL: 005062 FRAME: 0798

Schedule 1(a)

Legal Names, Etc.

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
401(k) Advisors, Inc.	Corporation	Yes	C2228050	33-0905143	California
401(k) Producer Services, Inc.	Corporation	Yes	C2997902	26-0341714	California
Administrative Systems, Inc.	Corporation	Yes	602257443	05-0555470	Washington
ADP/Statewide Insurance Agencies, Inc.	Corporation	Yes	0100612602	22-3345545	New Jersey
Alexander Benefits Consulting, LLC	Limited Liability Company	Yes	19981143041	84-1470310	Colorado
Alliance Capital Services Insurance Agency Inc.	Corporation	Yes	43065145	04-3065145	Massachusetts
Alterity Group, LLC	Limited Liability Company	Yes	L09000080514	27-0787824	Florida
Alternative Benefit Solutions, Inc.	Corporation	Yes	592293	56-2255874	North Carolina
American Benefits Insurance Corporation	Corporation	Yes	43216327	04-3216327	Massachusetts
American Financial Solutions, Inc.	Corporation	Yes	P00000090962	13-4141263	Florida
Anderson Consulting Group, Ltd.	Corporation	Yes	None	16-1559268	New York
Arnone, Lowth, Wilson & Leibowitz, Inc.	Corporation	Yes	None	22-3823810	New York
Arthur D. Shankman and Company, Inc.	Corporation	Yes	0100780828	13-4072132	New Jersey
AXIA Employee Benefit Insurance Services, Inc.	Corporation	Yes	C2171205	68-0435881	California
Balser Financial Corporation	Corporation	Yes	K846445	58-2446412	Georgia
Balser Technology Group, LLC	Limited Liability Company	Yes	08083630	58-2573728	Georgia
Beacon Retirement Planning Services, Inc.	Corporation	Yes	156286800	13-4095837	Texas

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
Benefit Fiduciary Corporation	Corporation	Yes	2266	05-0405393	Rhode Island
Benefit Plan Services, LLC	Limited Liability Company	Yes	08083625	58-2478945	Georgia
Benefit Planning Services, Inc.	Corporation	Yes	60454418	13-4058106	Illinois
Bernard R. Wolfe & Associates, Inc.	Corporation	Yes	D01342658	52-1278852	Maryland
BHR Financial Services, Inc.	Corporation	Yes	137120700	75-2614461	Texas
BMI Benefits, L.L.C.	Limited Liability Company	Yes	0600213347	20-1517991	New Jersey
Bob McCloskey Agency, LLC	Limited Liability Company	Yes	0600333754	26-3595081	New Jersey
Boston Insurance Trust, Inc.	Corporation	Yes	42782032	04-2782032	Massachusetts
Brokerage Design & Consultants, Inc.	Corporation	Yes	19911066749	84-1177614	Colorado
CBA Management, LLC	Limited Liability Company	Yes	698288	26-4094467	North Carolina
Charon Planning Corporation	Corporation	Yes	0100131320	13-4096969	New Jersey
Christie Financial Group, Inc.	Corporation	Yes	12J-345	55-0802254	Minnesota
Clippinger Financial Group, L.L.C.	Limited Liability Company	Yes	1997040623	35-2015501	Indiana
Compass Capital Management LLC	Limited Liability Company	Yes	534470	06-1449268	Connecticut
Complete Pension Services, Inc.	Corporation	Yes	C531-1994	88-0311232	Nevada
Consolidated Brokerage Services, Inc.	Corporation	Yes	3289968	52-2267034	Delaware
Consolidated Educational Services, Inc.	Corporation	Yes	0100522823	22-3192929	New Jersey
Contemporary Benefits Design, Inc.	Corporation	Yes	856306	20-5201844	North Carolina
Corporate Benefit Advisors, Inc.	Corporation	Yes	667821	04-3754674	North Carolina
Corporate Benefits Management, LLC	Limited Liability Company	Yes	None	57-1110264	South Carolina
Corporate Benefits, Inc.	Corporation	Yes	None	57-0754849	South Carolina
Creative Edge Concepts, LLC	Limited Liability Company	Yes	200336410091	71-0958202	California

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
Creative Edge Planning & Insurance Services, LLC	Limited Liability Company	Yes	200704810378	20-8598362	California
D A Financial Group of California	Corporation	Yes	C1177289	94-2918308	California
D/A Century Insurance Services, Inc.	Corporation	Yes	C1020715	94-2741175	California
D/A Financial Insurance Services, Inc.	Corporation	Yes	C2727150	20-2321312	California
Delott & Associates, Inc.	Corporation	Yes	63573876	20-1190827	Illinois
DiMeo Schneider & Associates, L.L.C.	Limited Liability Company	Yes	00022519	36-4001764	Illinois
DNA Brokerage, L.L.C.	Limited Liability Company	Yes	199314	42-1461971	Iowa
Dreyfuss & Birke Management Company, LLC	Limited Liability Company	Yes	None	76-0732158	New York
Dreyfuss & Birke, Ltd.	Corporation	Yes	None	13-3972961	New York
Driscoll Insurance Agency, Inc.	Corporation	Yes	C0811563	95-3095803	California
Dublin Insurance Services, Inc.	Corporation	Yes	C1743721	94-3204324	California
ECA Marketing, Inc.	Corporation	Yes	9K-432	41-1857064	Minnesota
Educators Preferred Corporation	Corporation	Yes	227302	38-2365785	Michigan
Eilers Financial Services, Inc.	Corporation	Yes	V666770	20-0130223	Vermont
Employers Preferred Corporation	Corporation	Yes	398965	38-3301442	Michigan
Estate & Corporate Advisors, Inc.	Corporation	Yes	8231302	86-0895748	Arizona
ethos Benefit Partners, inc.	Corporation	Yes	3045065	01-0589388	Pennsylvania
Excess Reinsurance Underwriters, Inc.	Corporation	Yes	1069620	22-2945293	Pennsylvania
FDR Financial Group, Inc.	Corporation	Yes	G56167	59-2355672	Florida
Financial Concepts of the Twin Cities, Inc.	Corporation	Yes	DC 4U-167	41-1505971	Minnesota

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
First Financial Resources Ltd.	Corporation	Yes	730851	02-0651439	Connecticut
First Global Financial & Insurance Services, Inc.	Corporation	Yes	C2157747	13-4054906	California
Fleischer-Jacobs & Associates, Inc.	Corporation	Yes	585079	51-0434666	Delaware
Golden & Cohen, LLC	Limited Liability Company	Yes	W05006697	52-2106671	Maryland
Harbor Management Company, LLC	Limited Liability Company	Yes	None	11-3469966	New York
Hartfield Louisville, LLC	Limited Liability Company	Yes	667809	26-0448949	Kentucky
HealyPartners, LLC	Limited Liability Company	Yes	4795990	27-2097093	Delaware
Highland Capital Brokerage, Inc.	Corporation	Yes	328476	52-2265514	Delaware
Highland Capital Brokerage, Inc.	Corporation	Yes	602151426	91-2157288	Washington
Highland Capital Holding Corporation	Corporation	Yes	2753070	72-1373566	Delaware
Howard M. Koff, Inc.	Corporation	Yes	C2157745	13-4052122	California
IKON US, Inc.	Corporation	Yes	P08000026812	26-2210306	Florida
Institutional Life Services, LLC	Limited Liability Company	Yes	4434398	26-1483465	Delaware
Institutional Life Services (Florida), LLC	Limited Liability Company	Yes	4596801	80-0257957	Delaware
Insurance & Investment Professionals, Inc.	Corporation	Yes	I018691	39-1949914	Wisconsin
International Risk – IRC, Inc.	Corporation	Yes	000698476	22-3806606	Massachusetts
IRC, Inc.	Corporation	Yes	1838903	74-2824053	New Mexico
JR Katz, Inc.	Corporation	Yes	60752044	13-4090309	Illinois
Lane McVicker, LLC	Limited Liability Company	Yes	None	13-4050891	New York
Lanning & Associates, Inc.	Corporation	Yes	3404258	11-3670607	Kansas
LBG Financial Advisors, Inc.	Corporation	Yes	2646812	23-2819381	Pennsylvania

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
Lenox Advisors, Inc.	Corporation	Yes	None	01-0576597	New York
Lenox Brokerage Insurance Services, Inc.	Corporation	Yes	None	46-1154013	New York
Lenox Long Term Care, LLC	Limited Liability Company	Yes	None	20-1483286	New York
Lenox Wealth Advisors, Inc.	Corporation	Yes	None	45-3021070	New York
Lifestyle Holding Company, Inc.	Corporation	Yes	5261367	46-1865389	Delaware
Lifestyle Settlements, LLC	Limited Liability Company	Yes	201235410057	20-3351529	California
Lincoln Benefits Group, Inc.	Corporation	Yes	0100360159	52-1548008	New Jersey
Linn & Associates, Inc.	Corporation	Yes	P97000073725	59-3469008	Florida
LMV Holdings, Inc.	Corporation	Yes	4016758	02-0747855	Delaware
Longnecker & Associates, Inc.	Corporation	Yes	800204955	37-1476678	Texas
LTCI Partners, LLC	Limited Liability Company	Yes	L034069	39-2020950	Wisconsin
Lucco Financial Partners, Inc.	Corporation	Yes	62107537	03-0417367	Illinois
M&M Brokerage Services, Inc.	Corporation	Yes	C2209088	74-2948803	California
Maguire Financial Advisors, LLC	Limited Liability Company	Yes	4258136	20-5951086	Delaware
Management Brokers, Inc.	Corporation	Yes	C2166195	13-4077404	California
Maschino, Hudelson & Associates, L.L.C.	Limited Liability Company	Yes	3500694927	82-0557866	Oklahoma
Massachusetts Business Association, L.L.C.	Limited Liability Company	Yes	3461254	30-0016950	Delaware
Meltzer Wealth Management, Inc.	Corporation	Yes	D06934756	22-3861715	Maryland
Michael G. Rudelson and Company	Corporation	Yes	155357600	13-4099304	Texas
Mitchell & Moroneso Insurance Services, Inc.	Corporation	Yes	105707400	74-2480281	Texas

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
Monaghan, Tilghman & Hoyle, Inc.	Corporation	Yes	D05502661	13-4090536	Maryland
Mosse & Mosse Insurance Associates, LLC	Limited Liability Company	Yes	1072083	03-0435036	Massachusetts
National Enrollment Services, Inc.	Corporation	Yes	53549489	36-3314573	Illinois
National Financial Partners Corp.	Corporation	Yes	2939469	13-4029115	Delaware
National Madison Group, Inc.	Corporation	Yes	None	13-3235124	New York
Nemco Benefits, Inc.	Corporation	Yes	None	13-4074200	New York
Nemco Brokerage, Inc.	Corporation	Yes	None	22-3698782	New York
Nemco Group, LLC	Limited Liability Company	Yes	4780623	27-1765523	Delaware
NFP (BVI) Limited	Corporation	Yes	1546180	98-0633607	British Virgin Islands
NFP Brokerage Insurance Services, Inc.	Corporation	Yes	2992100	63-1216604	Delaware
NFP CM Insurance Agency, LLC	Limited Liability Company	Yes	4161388	20-4909145	Delaware
NFP Corporate Services (NY), LLC	Limited Liability Company	Yes	None	20-1941665	New York
NFP Executive Benefits, LLC	Limited Liability Company	Yes	4460757	26-1477174	Delaware
NFP Health Services Administrators, LLC	Limited Liability Company	Yes	5289611	46-2045156	Delaware
NFP IndeSuite, Inc.	Corporation	Yes	801137815	27-0583395	Texas
NFP Insurance Services, Inc.	Corporation	Yes	105707500	74-2470431	Texas
NFP Life Services, LLC	Limited Liability Company	Yes	4465059	26-1490324	Delaware
NFP Mid-Atlantic SG, LLC	Limited Liability Company	Yes	5112879	46-1569712	Delaware
NFP MIE, LLC	Limited Liability Company	Yes	4676927	26-4693565	Delaware
NFP of New York Insurance Agency, Inc.	Corporation	Yes	None	13-3923096	New York

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
NFP Property & Casualty Services, Inc.	Corporation	Yes	None	13-3616686	New York
NFP Resources V Insurance Agency, Inc.	Corporation	Yes	None	20-0710650	New York
NFP Resources VI Insurance Agency, Inc.	Corporation	Yes	199-329	63-1213944	Alabama
NFP Risk Management Services, Inc.	Corporation	Yes	None	11-3271101	New York
NFP Schechter Benefits, LLC	Limited Liability Company	Yes	D8033K	46-0731159	Michigan
NFP Structured Settlements, Inc.	Corporation	Yes	916688	25-1726718	Pennsylvania
NFP-CBI, LLC	Limited Liability Company	Yes	4691935	27-0285292	Delaware
NFP-National Account Services, Inc.	Corporation	Yes	12182266	20-3215976	Arizona
NFP/AMP Holdings, LLC	Limited Liability Company	Yes	L07000099038	26-1227122	Florida
Noabrand, Inc.	Corporation	Yes	D06173546	52-2293706	Maryland
Northeast Brokerage Services Insurance Agency, Inc.	Corporation	Yes	42678657	04-2678657	Massachusetts
Northeast Business Trust	Other	Yes	830978	51-6534065	Massachusetts
Northeast Insurance Broker Services, LLC	Limited Liability Company	Yes	L0000441	04-3377331	Vermont
NuVision Financial Corporation, Inc.	Corporation	Yes	258364	22-3886593	Georgia
Oklahoma Financial Center, Inc.	Corporation	Yes	1900484980	73-1377896	Oklahoma
P&A Capital Advisors, Inc.	Corporation	Yes	None	13-3608564	New York
Partners Holdings, Inc.	Corporation	Yes	2327044	74-2667927	Delaware
Partners Marketing Services of Pennsylvania, Inc.	Corporation	Yes	2711497	23-2865739	Pennsylvania
PartnersFinancial Insurance Agency, Inc.	Corporation	Yes	686183	04-5495827	Massachusetts
Patriot Intermediate Holdings B Corp.	Corporation	Yes	5313513	46-2704384	Delaware

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
Patriot Merger Corp.	Corporation	Yes	5313511	46-2710870	Delaware
Pen/Flex, Inc.	Corporation	Yes	C1573956	33-0461574	California
Peninsula Advisors, L.L.C.	Limited Liability Company	Yes	200113910032	33-0977063	California
Pennsylvania Business Review, Inc.	Corporation	Yes	3137874	38-3680370	Pennsylvania
Personal Capital Management, Inc.	Corporation	Yes	None	51-0434664	New York
Peysner & Alexander Management, Inc.	Corporation	Yes	None	13-4125221	New York
Plan Design Services, Inc.	Corporation	Yes	282663	56-1736593	North Carolina
Potomac Basin Group Associates, LLC	Limited Liability Company	Yes	4377363	26-0465451	Delaware
Preferred Benefits Group, Inc.	Corporation	Yes	0100574117	22-3274163	New Jersey
Pro Financial Services, LLC	Limited Liability Company	Yes	2604388	26-3596424	Illinois
Procured Risk Solutions LLC	Limited Liability Company	Yes	None	26-2796196	New York
Professional Benefits Solutions, Inc.	Corporation	Yes	D05067996	52-2115462	Maryland
Professional Outsourcing Solutions Corp.	Corporation	Yes	D12323820	26-1823870	Maryland
Professional Pensions, Inc.	Corporation	Yes	37621	06-0860933	Connecticut
Proviser Management Group, LLC	Limited Liability Company	Yes	L02000000824	01-0590880	Florida
Public Employers Benefit Association, L.L.C.	Limited Liability Company	Yes	B64592	38-3444112	Michigan
Quantum Care, Inc.	Corporation	Yes	0100592883	22-3312045	New Jersey
Quest Insurance Group, Inc.	Corporation	Yes	None	26-1138774	South Carolina
R.E. Lee Holdings, Inc.	Corporation	Yes	601511108	91-1621676	Washington
Randel L. Perkins Insurance Services, Inc.	Corporation	Yes	C0941443	95-3404369	California
RealCare Insurance Marketing, Inc.	Corporation	Yes	C1865294	68-0312508	California

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
Renaissance Bank Advisors, LLC	Limited Liability Company	Yes	7066783	20-8041150	Georgia
Renaissance Benefit Advisors, Inc.	Corporation	Yes	997839	03-0310230	Pennsylvania
Retirement Investment Advisors, Inc.	Corporation	Yes	1900505623	73-1394254	Oklahoma
Robert E. Lee (SCIP), Inc.	Corporation	Yes	601247496	91-1486231	Washington
Schmidt Financial Group, Inc.	Corporation	Yes	601995945	91-2009183	Washington
Scorica, Inc.	Corporation	Yes	65410D1	99-0251028	Hawaii
Shepard & Scott Corp.	Corporation	Yes	0100761174	22-3614394	New Jersey
Sontag Advisory LLC	Limited Liability Company	Yes	None	13-3831584	New York
Sontag Special Opportunities Advisor, LLC	Limited Liability Company	Yes	3901297	20-2045446	Delaware
Sontag Special Opportunities LLC	Limited Liability Company	Yes	3901293	20-2045483	Delaware
STA Benefits Holdings, LLC	Limited Liability Company	Yes	01519794	20-2924860	Illinois
STA Benefits, Ltd.	Limited Partnership	Yes	800136239	32-0041749	Texas
STA, Inc.	Corporation	Yes	800135012	74-3068158	Texas
Stallard Financial Strategies, Inc.	Corporation	Yes	800194708	41-2091482	Texas
Stephen Wolff, Inc.	Corporation	Yes	C1394326	33-0452842	California
The Bay Ridge Group, Inc.	Corporation	Yes	None	20-8799479	New York
The Benefits Solution Group, Inc.	Corporation	Yes	34916869D	72-1468180	Louisiana
The COMP Consulting Companies, LLC	Limited Liability Company	Yes	4258133	20-5951199	Delaware
The Financial Architects Partners, LLC	Limited Liability Company	Yes	118225	05-0517515	Rhode Island
The Hartfield Company, Inc.	Corporation	Yes	198706-299	35-1711392	Indiana
The Heartland Group, Inc.	Corporation	Yes	56254331	36-3748419	Illinois
The KNW Group, LLC	Limited Liability Company	Yes	31390-LLC	35-2179859	Minnesota

Legal Name	Type of Entity	Registered Organization (Yes/No)	Organizational Number	Federal Taxpayer Identification Number	State of Formation
The Management Compensation Group/Southeast, LLC	Limited Liability Company	Yes	08083627	30-0106494	Georgia
The Meltzer Group, Inc.	Corporation	Yes	D06334767	22-3811325	Maryland
The Spalding Financial Group, Inc.	Corporation	Yes	P99000024942	13-4057609	Florida
The Summit Group, Inc.	Corporation	Yes	2157605	23-2707222	Pennsylvania
Thoits Insurance Service, Inc.	Corporation	Yes	C1012882	94-2706681	California
Thorbahn and Associates Insurance Agency, Inc.	Corporation	Yes	43563135	04-3563135	Massachusetts
TMG Insurance Services Inc.	Corporation	Yes	420500	61-1312665	Kentucky
TMS-CBS RPG, LLC	Limited Liability Company	Yes	L-1638406-9	27-4388499	Arizona
Total Financial & Insurance Services, Inc.	Corporation	Yes	C2157746	13-4054908	California
Trinity Financial Services, L.L.C.	Limited Liability Company	Yes	3500597070	73-1533298	Oklahoma
UA Advisory Services, Inc.	Corporation	Yes	None	20-2211718	New York
UA Holdings, Inc.	Corporation	Yes	None	20-8739483	New York
United Advisors, LLC	Limited Liability Company	Yes	4117473	20-4421719	Delaware
United Businessman's Insurance Agency, Inc.	Corporation	Yes	42565953	04-2565953	Massachusetts
Van den Heuvel and Fountain, Inc.	Corporation	Yes	9092701000	22-1352159	New Jersey
Virtual Benefits Corporation	Corporation	Yes	D06783351	03-0461269	Maryland
Windsor Insurance Associates, Inc.	Corporation	Yes	C783423	95-3052446	California
Wisconsin Insurance World, Ltd.	Corporation	Yes	1W16702	39-1378003	Wisconsin

Schedule 1(b)

Changes in Corporate Identity

	Loan Party	Non-Loan Party to Action	Action	Date of Action	Organizational No. / Federal Taxpayer ID No. / Jurisdiction of non-Loan Party to Action
1.	Patriot Merger Corp.	National Financial Partners Corp.	Merger of Patriot Merger Corp. with and into National Financial Partners Corp.	The date hereof	N/A – see Schedule 1(a)
2.	CBA Management, LLC	CBA Management, Inc.	Conversion to limited liability company	January 1, 2009	47107/South Carolina
3.	D A Financial Group of California	Babybenefits, Inc., Ryan Family Trust udt 5-25-2000 and John M. Ryan	Acquisition	March 1, 2013	C2950195/California
4.	Corporate Benefit Advisors, Inc.	Capitol Employee Benefits Advisors, Inc., Capitol Risk, Inc., William E. Webb, Jr. and Chandler H. Bumgarner	Acquisition	September 5, 2012	Capitol Employee Benefit Advisors, Inc. - 0385818/ North Carolina Capitol Risk, Inc. – 0619863/North Carolina
		Samuel S. McNinch	Acquisition	November 10, 2012	N/A
5.	National Financial Partners Corp.	D A Financial Group of California, D/A Century Insurance Services, Inc. and AXIA Employee Benefit Insurance Services, Inc.	Acquisition	September 1, 2011	N/A - see Schedule 1(a)
		Thoits Insurance Service, Inc.	Acquisition	April 2, 2013	N/A -see Schedule 1(a)
		UA Holdings, Inc.	Acquisition	April 1, 2013	N/A - see Schedule 1(a)

	Loan Party	Non-Loan Party to Action	Action	Date of Action	Organizational No. / Federal Taxpayer ID No. / Jurisdiction of non-Loan Party to Action
6.	Potomac Basin Group Associates, LLC	Avedon Group Ltd.	Acquisition	October 3, 2011	D03476470/Maryland
7.	Benefit Planning Services, Inc.	Ned Burns	Acquisition	January 1, 2011	N/A
8.	Golden & Cohen, LLC	Insurance Coverage Specialists, Inc.	Acquisition	August 5, 2010	P98000099577/Florida
9.	Corporate Benefits, Inc.	Corporate Benefits Management, LLC ¹	Acquisition	May 18, 2010	N/A - see Schedule 1(a)
10.	The Meltzer Group, Inc.	Richard C. Dipippo	Acquisition	August 1, 2008	N/A
		Health Insurance Specialists, Inc. and Jon Belinkie	Acquisition	November 7, 2008	D02660017/Maryland
11.	Lenox Advisors, Inc.	Alan Fabricant	Acquisition	October 14, 2008	N/A
		Russell Rolnick	Acquisition	December 1, 2008	N/A
		James Ryan	Acquisition	December 14, 2010	N/A
12.	Renaissance Benefit Advisors, Inc.	Charon Planning Corporation	Contribution	July 8, 2008	N/A - see Schedule 1(a)
13.	Benefit Plan Services, LLC	Benefit Plan Services, Inc.	Conversion to LLC	November 4, 2008	1096581/Georgia
14.	Pro Financial Services, LLC	Pro Financial Services, Inc.	Merger	August 27, 2009	53010342/Illinois

¹ Corporate Benefits, Inc. purchased 50% of the membership interest of NFP-CBI, LLC from Corporate Benefits Management, LLC.

	Loan Party	Non-Loan Party to Action	Action	Date of Action	Organizational No. / Federal Taxpayer ID No. / Jurisdiction of non-Loan Party to Action
15.	Thorbahn and Associates Insurance Agency, Inc.	PRW Associates Insurance Agency, LLC and PRW Associates Insurance Agency, Inc.	Asset Transfer	March 21, 2012	PRW Associates Insurance Agency, LLC - 001072069/Massachusetts PRW Associates Insurance Agency, Inc. - 134061200/Massachusetts
16.	401(k) Advisors, Inc.	401kExchange, Inc. and Defined Contribution Plans, Inc.	Acquisition	February 10, 2011	401kExchange, Inc. – 2843942/Delaware Defined Contribution Plans, Inc. – 3827815/Delaware
17.	NFP Property & Casualty Services, Inc.	S&V Insurance Group LLC, TMS Ventures, L.L.C., Michael D. Lapre Family Trust, Terrence M. Scali	Acquisition	July 1, 2011	S&V Insurance Group LLC – L-1200436-0/Arizona TMS Ventures, L.L.C. - L-1085397-2/Arizona
		Timothy J. Driscoll and Catherine R. Driscoll 1989 Trust, dated May 12, 1989 and Timothy Driscoll	Acquisition	February 1, 2012	N/A
		Carol Shillingburg, d/b/a Ameribonds Surety & Insurance Services	Acquisition	March 28, 2012	N/A
		Absolute Insurance Service, Inc. and Stephen LeMond	Acquisition	July 2, 2012	C1985-1991/Nevada
		Wells Fargo Insurance Services USA, Inc.	Acquisition	September 1, 2012	0348362/North Carolina

	Loan Party	Non-Loan Party to Action	Action	Date of Action	Organizational No. / Federal Taxpayer ID No. / Jurisdiction of non-Loan Party to Action
		Trident III, L.P., Trident III Professionals Fund, L.P., Trident LMV Holdings, Inc., Keith McVicker, Michael Carmody, Gilbert Lai, Brett Woodward and Amy Ciasulli	Acquisition	October 1, 2012	Trident III, L.P. - Cayman Islands Trident III Professionals Fund, L.P. - Cayman Islands LMV Holdings, Inc. (f/k/a Trident LMV Holdings, Inc.) is a Loan Party. See Schedule 1(a)
		Assured NL Insurance Agency, Inc.	Acquisition	March 11, 2013	Not Available
		Clarence W. Webber, III	Stock purchase (Quest Insurance Group, Inc.)	March 1, 2013	249-65-8800
18.	NFP Securities, Inc.	The Fusion Financial Group, LLC ²	Acquisition	July 2, 2012	2839972/New York
19.	NFP Corporate Services (NY), LLC	William Austin, Jordan Gray, Markum McCormick, Chad Rowley and Kim Davis	Acquisition	January 3, 2012	N/A
		National Financial Partners Corp. ³	Assignment	April 1, 2012	N/A
		Robert Burns and John Whittemore	Acquisition	September 4, 2012	N/A
20.	NFP Mid-Atlantic SG, LLC	National Financial Partners Corp. ⁴	Assignment	April 1, 2012	N/A

² Assets acquired by National Financial Partners Corp. and then transferred to NFP Securities, Inc.

³ National Financial Partners Corp. acquired membership interests of Nemco Group, LLC and then transferred to NFP Corporate Services (NY), LLC.

⁴ National Financial Partners Corp. transferred membership interests/capital stock of Golden & Cohen, LLC, Noabrand, Inc. and Potomac Basin Group Associates, LLC to NFP Mid-Atlantic SG, LLC.

	Loan Party	Non-Loan Party to Action	Action	Date of Action	Organizational No. / Federal Taxpayer ID No. / Jurisdiction of non-Loan Party to Action
21.	NFP Life Services, LLC	GS Re Holdings Inc. Genworth Institutional Life Services, Inc.	Acquisition	December 23, 2009	GS Re Holdings Inc. - 4229760/Delaware Genworth Institutional Life Services, Inc. - 4467047/Delaware
		GS Re Holdings Inc. Genworth Institutional Life Services, Inc.	Acquisition	December 23, 2009	GS Re Holdings Inc. - 4229760/Delaware Genworth Institutional Life Services, Inc. - 4467047/Delaware
		GS Re Holdings Inc. Genworth Institutional Life Services, Inc.	Acquisition	May 18, 2010	GS Re Holdings Inc. - 4229760/Delaware Genworth Institutional Life Services, Inc. - 4467047/Delaware
22.	NFP MIE, LLC	MIE Financial Services, LLC	NFP-MIE, LLC owns 49% of the equity interests of MIE Financial Services, LLC pursuant to an Operating Agreement	December 31, 2009	4666918/Delaware
		MIE Investors, LLC	Acquisition	July 27, 2011	5003922/Delaware
		MIE Holdings, LLC	Contribution	July 27, 2011	5003920/Delaware
23.	NFP-CBI, LLC	Benefits Plus, Inc. and John H. Young	Acquisition	August 20, 2009	201717/South Carolina
		Hayden Hays	Acquisition	August 27, 2009	N/A

	Loan Party	Non-Loan Party to Action	Action	Date of Action	Organizational No. / Federal Taxpayer ID No. / Jurisdiction of non-Loan Party to Action
24.	NFP/AMP Holdings, LLC	Udell Associates, LLC and Udell Associates, Inc.	Contribution	October 12, 2012	Udell Associates, LLC - L12000117112/Florida Udell Associates, Inc. - P99000023357/Florida
25.	NFP Schechter Benefits, LLC	Robert Schechter & Associates, Inc.	Assignment	December 18, 2012	53904A/Michigan
26.	Massachusetts Business Association, L.L.C.	Jeffrey H. Rich, Laura L. Wood, Ronald H. Jarvis and Robert A. Rich	Acquisition	January 31, 2008	N/A

Schedule 1(c)

Other Names

Entity Name	Former Name
Arnone, Lowth, Wilson & Liebowitz, Inc.	Arnone, Lowth, Fanning, Wilson & Leibowitz, Inc.
Bob McCloskey Agency, LLC	BMA Merger Co., LLC
CBA Management, LLC	CBA Management, Inc.
ethos Benefit Partners, inc.	Benefit Associates, Inc.
Lenox Wealth Advisors, Inc.	Lenox Wealth Management, Inc.
Lifestyle Settlements, LLC	Lifestyle Settlements, Inc.
LMV Holdings, Inc.	Trident LMV Holdings, Inc.
Mosse & Mosse Insurance Associates, LLC	Mosse & Mosse Insurance Associates, Inc.
Nemco Benefits, Inc.	Kolinsky Hill Financial Group, Inc.
NFP Corporate Services (NY), LLC	AGS Benefits Group, LLC
NFP Executive Benefits, LLC	NFP Executive Services, LLC
NFP Property & Casualty Services, Inc.	Preferred Services Group of N.Y., Ltd.
NFP Risk Management Services, Inc.	Harbor Group Ltd.

NFP Structured Settlements, Inc.	National Settlement Consultants of Pennsylvania, Inc.
NFP-National Account Services, Inc.	Comrisk Specialty Products, Inc.
Pro Financial Services, LLC	PFS Merger, LLC
Renaissance Benefit Advisors, Inc.	Brown Bridgman & Company
UA Advisory Services, Inc.	The Bay Ridge Group Advisory Services, Inc.
UA Holdings, Inc.	The Bay Ridge Group Management Company, Inc.

Schedule 2

Chief Executive Offices

Loan Party	Address	County	State
401(k) Advisors, Inc.	120 Vantis, Suite 400, Aliso Viejo California 92656, United States	Orange	California
401(k) Producer Services, Inc.	120 Vantis, Suite 400, Aliso Viejo California 92656, United States	Orange	California
Administrative Systems, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
ADP/Statewide Insurance Agencies, Inc.	325 Columbia Turnpike, Florham Park, New Jersey 07932, United States	Morris	New Jersey
Alexander Benefits Consulting, LLC	1099 Eighteenth Avenue, Suite 2040 Denver, Colorado 80202, United States	Denver	Colorado
Alliance Capital Services Insurance Agency, Inc.	The Old Carriage House, 224 Hinckley Road Milton, Massachusetts 02186, United States	Norfolk	Massachusetts
Alterity Group, LLC	8600 College Parkway, Suite 310 Fort Meyers, Florida 33919, United States	Lee	Florida
Alternative Benefit Solutions, Inc.	2115 Rexford Road, Suite 224 Charlotte, North Carolina 28211, United States	Mecklenburg	North Carolina
American Benefits Insurance Corporation	320 Riverside Box 120 Northampton, Massachusetts 01601, United States	Hampshire	Massachusetts
American Financial Solutions, Inc.	611 Druid Road East, Suite 105 Clearwater, Florida 33756, United States	Pinellas	Florida
Anderson Consulting Group, Ltd.	45 Hale Street, Suite 1, Norwich New York 13815, United States	Chenango	New York
Arnone, Lowth, Wilson & Leibowitz, Inc.	105 Broad Hollow Road, Melville New York 11747, United States	Suffolk	New York
Arthur D. Shankman and Company, Inc.	101 Eisenhower Parkway, P.O. Box 779 Roseland, New Jersey 07068, United States	Essex	New Jersey
AXIA Employee Benefit Insurance Services, Inc.	3470 Mt. Diablo Blvd., Suite A100 & A125 Lafayette, California 04549, United States	Contra Costa	California
Balser Financial Corporation	3445 Peachtree Road NE, Suite 200 Atlanta, Georgia 30326, United States	Fulton	Georgia
Balser Technology Group, LLC	3445 Peachtree Road NE, Suite 200 Atlanta, Georgia 30326, United States	Fulton	Georgia
Beacon Retirement Planning Services, Inc.	17300 Preston Rd., Suite 120 Dallas, Texas 75252, United States	Collin	Texas
Benefit Fiduciary Corporation	10 Research Parkway Wallingford, Connecticut 06492, United States	New Haven	Connecticut
Benefit Plan Services, LLC	3445 Peachtree Road NE, Suite 200 Atlanta, Georgia 30326, United States	Fulton	Georgia
Benefit Planning Services, Inc.	929 South Alpine Road Suite 402 Rockford, Illinois 61108, United States	Winnebago	Illinois
Bernard R. Wolfe & Associates, Inc.	5550 Friendship Blvd., Suite 570 Chevy Chase, Maryland 20815, United States	Montgomery	Maryland

Loan Party	Address	County	State
BHR Financial Services, Inc.	2201 Civic Circle, Suite 1000 Amarillo, Texas 79109, United States	Potter	Texas
BMI Benefits, L.L.C.	P.O. Box 511, 76 Main Street Matawan, New Jersey 07747, United States	Monmouth	New Jersey
Bob McCloskey Agency, LLC	P.O. Box 511, 76 Main Street Matawan, New Jersey 07747, United States	Monmouth	New Jersey
Boston Insurance Trust, Inc.	10 Research Parkway Wallingford, Connecticut 06492, United States	New Haven	Connecticut
Brokerage Design & Consultants, Inc.	8983 Little Raven Trail Longmont, Colorado 80503, United States	Boulder	Colorado
CBA Management, LLC	The Roxborough Building 1901 Roxborough Road, Suite 315 Charlotte, North Carolina 28211, United States	Mecklenburg	North Carolina
Charon Planning Corporation	2600 Kelly Road, Suite 300 Warrington, Pennsylvania 18976, United States	Bucks	Pennsylvania
Christie Financial Group, Inc.	IDS Center, 80 South Eighth Street, Suite 391 Minneapolis, Minnesota 55402, United States	Hennepin	Minnesota
Clippinger Financial Group, L.L.C.	915 Main Street, Suite 500 Evansville, Indiana 47708, United States	Vanderburgh	Indiana
Compass Capital Management LLC	Two Pomperaug Office Park, Suite 301 Southbury, Connecticut 06488, United States	New Haven	Connecticut
Complete Pension Services, Inc.	5628 Copley Drive San Diego, California 92111, United States	San Diego	California
Consolidated Brokerage Services, Inc.	20 Commerce Drive Cranford, New Jersey 07016, United States	Union	New Jersey
Consolidated Educational Services, Inc.	20 Commerce Drive Cranford, New Jersey 07016, United States	Union	New Jersey
Contemporary Benefits Design, Inc.	1956 Wellness Blvd. Monroe, North Carolina 28110, United States	Union	North Carolina
Corporate Benefit Advisors, Inc.	The Roxborough Building, 1901 Roxborough Road, Suite 315 Charlotte, North Carolina 28211, United States	Mecklenburg	North Carolina
Corporate Benefits Management, LLC	109 Laurens Road, Building 1, Suite A Greenville, South Carolina 29607, United States	Greenville	South Carolina
Corporate Benefits, Inc.	109 Laurens Road, Building 1, Suite A Greenville, South Carolina 29607, United States	Greenville	South Carolina
Creative Edge Concepts, LLC	310 N. Westlake Blvd. Suite 270 Westlake Village, California 91362, United States	Ventura	California
Creative Edge Planning & Insurance Services, LLC	310 N. Westlake Blvd. Suite 270, Westlake Village, California 91362, United States	Ventura	California
D A Financial Group of California	3470 Mt. Diablo Blvd., Suite A100 & A125 Lafayette, California 04549, United States	Contra Costa	California
D/A Century Insurance Services, Inc.	3470 Mt. Diablo Blvd., Suite A100 & A125 Lafayette, California 04549, United States	Contra Costa	California
D/A Financial Insurance Services, Inc.	3470 Mt. Diablo Blvd., Suite A100 & A125 Lafayette, California 04549, United States	Contra Cost	California

Loan Party	Address	County	State
Delott & Associates, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
DiMeo Schneider & Associates, L.L.C.	500 West Madison Street, Suite 3855 Chicago, Illinois 60661, United States	Cook	Illinois
DNA Brokerage, L.L.C.	1011 Office Park Rd. #4 West Des Moines, Iowa 50265, United States	Polk	Iowa
Dreyfuss & Birke Management Company, LLC	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
Dreyfuss & Birke, Ltd.	340 Madison Avenue, 21st Floor New York, New York 10173, United States	New York	New York
Driscoll Insurance Agency, Inc.	17782 East 17th Street, Suite 104 & 105 Tustin, California 92780, United States	Orange	California
Dublin Insurance Services, Inc.	6680 Sierra Lane Dublin, California 94568, United States	Alameda	California
ECA Marketing, Inc.	11095 Viking Drive, Suite 230 Eden Prairie, Minnesota 55344, United States	Hennepin	Minnesota
Educators Preferred Corporation	26877 Northwestern Hwy., Suite 305 Southfield, Michigan 48034-8417, United States	Oakland	Michigan
Eilers Financial Services, Inc.	Mad River Green, Suite 2, Waitsfield, Vermont 05673, United States	Washington	Vermont
Employers Preferred Corporation	26877 Northwestern Highway, #305 Southfield, Michigan 48034-8417, United States	Oakland	Michigan
Estate & Corporate Advisors, Inc.	333 N. Wilmot Road, Suite 340 Tucson, Arizona 85711, United States	Pima	Arizona
ethos Benefit Partners, inc.	Parkview Tower, 1150 First Avenue, Suite 100 King of Prussia, Pennsylvania 19406, United States	Montgomery	Pennsylvania
Excess Reinsurance Underwriters, Inc.	307 S. Evergreen Avenue, Suite 101 Woodbury, NJ 08096, United States	Gloucester	New Jersey
FDR Financial Group, Inc.	4000 Hollywood Blvd. Suite 495 South Hollywood, Florida 33021, United States	Broward	Florida
Financial Concepts of the Twin Cities, Inc.	9655 Schmidt Lake Road, Plymouth, Minnesota 55442, United States	Hennepin	Minnesota
First Financial Resources Ltd.	125 Mason Street Greenwich, Connecticut 06830, United States	Fairfield	Connecticut
First Global Financial & Insurance Services, Inc.	11835 West Olympic Blvd. Suite 600 Los Angeles, California 90064, United States	Los Angeles	California
Fleischer-Jacobs & Associates, Inc.	620 Hinesburg Road, PO Box 2343 South Burlington, Vermont 05407-2343 United States	Chittenden	Vermont
Golden & Cohen, LLC	704 Quince Orchard Rd, Suite 250 Gaithersburg, Maryland 20878, United States	Montgomery	Maryland
Harbor Management Company, LLC	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
Hartfield Louisville, LLC	3240 Office Pointe Suite 101 Louisville, Kentucky 40220, United States	Jefferson	Kentucky

Loan Party	Address	County	State
HealyPartners, LLC	One Lincoln Park 8401 North Central Expressway, Suite 645 Dallas, Texas 75225, United States	Dallas	Texas
Highland Capital Brokerage, Inc.	3535 Grandview Parkway, Suite 600 Birmingham, Alabama 35243, United States	Jefferson	Alabama
Highland Capital Brokerage, Inc.	3535 Grandview Parkway, Suite 600 Birmingham, Alabama 35243, United States	Jefferson	Alabama
Highland Capital Holding Corporation	3535 Grandview Parkway, Suite 600 Birmingham, Alabama 35243, United States	Jefferson	Alabama
Howard M. Koff, Inc.	2659 Townsgate Road, Suite 244 Westlake Village, California 91361, United States	Ventura	California
IKON US, Inc.	7074 West 29 Way Hialeah, FL 33018, United States	New York	Florida
Institutional Life Services, LLC	340 Madison Avenue, 21st Floor New York, New York 10173, United States	New York	New York
Institutional Life Services (Florida), LLC	340 Madison Avenue, 21st Floor New York, New York 10173, United States	New York	New York
Insurance & Investment Professionals, Inc.	1111 Deming Way Madison, Wisconsin 53717, United States	Dane	Wisconsin
International Risk - IRC, Inc.	One Corporation Way, Suite 230 Peabody, Massachusetts 01960, United States	Essex	Massachusetts
IRC, Inc.	One Corporation Way, Suite 230, Peabody, Massachusetts 01960, United States	Essex	Massachusetts
JR Katz, Inc.	One Northbrook Place, 5 Revere Drive, Suite 130 Northbrook, Illinois 60062, United States	Cook	Illinois
Lane McVicker, LLC	40 Wall Street, 30th Floor, Suite 3000 New York, New York 10005, United States	New York	New York
Lanning & Associates, Inc.	7645 Pinery Circle Colorado Springs, Colorado 80908, United States	El Paso	Colorado
LBG Financial Advisors, Inc.	550 Pinetown Road, Suite 270 Fort Washington, Pennsylvania 19034, United States	Montgomery	Pennsylvania
Lenox Advisors, Inc.	530 5th Avenue, 11th Floor New York, New York 10036, United States	New York	New York
Lenox Brokerage Insurance Services, Inc.	281 Tresser Blvd., Suite 1004 Stamford, Connecticut 06901, United States	Fairfield	Connecticut
Lenox Long Term Care, LLC	530 5th Avenue, 11th Floor New York, New York 10036, United States	New York	New York
Lenox Wealth Advisors, Inc.	530 5th Avenue, 11th Floor New York, New York 10036, United States	New York	New York
Lifestyle Holding Company, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
Lifestyle Settlements, LLC	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
Lincoln Benefits Group, Inc.	550 Pinetown Road, Suite 270 Fort Washington, Pennsylvania 19034, United States	Montgomery	Pennsylvania

Loan Party	Address	County	State
Linn & Associates, Inc.	4535 Central Avenue St. Petersburg, Florida 33713, United States	Pinellas	Florida
LMV Holdings, Inc.	40 Wall Street, 30th Floor, Suite 3000 New York, New York 10005, United States	New York	New York
Longnecker & Associates, Inc.	11011 Jones Road, Suite 200 Houston, Texas 77070, United States	Harris	Texas
LTCI Partners, LLC	2924 Marketplace Drive, Suite 102 Madison, Wisconsin 53719, United States	Dane	Wisconsin
Lucco Financial Partners, Inc.	120 Executive Drive Highland, Illinois 62249, United States	Madison	Illinois
M&M Brokerage Services, Inc.	555 Madison Avenue, 21st Floor New York, New York 10022, United States	New York	New York
Maguire Financial Advisors, LLC	2575 East Camelback Road, Suite 700 Phoenix, Arizona 85016, United States	Maricopa	Arizona
Management Brokers, Inc.	9301 Wilshire Blvd. Suite 615 Beverly Hills, California 90210, United States	Los Angeles	California
Maschino, Hudelson & Associates, L.L.C.	4811 Gaillardia Parkway, Suite 300 Oklahoma City, Oklahoma 73013, United States	Oklahoma	Oklahoma
Massachusetts Business Association, L.L.C.	135 Wood Road Braintree, Massachusetts 02184, United States	Norfolk	Massachusetts
Meltzer Wealth Management, Inc.	6500 Rock Spring Drive, Suite 410 Bethesda, Maryland 20817, United States	Montgomery	Maryland
Michael G. Rudelson and Company	414 Avondale Street Houston, Texas 77006, United States	Harris	Texas
Mitchell & Moroneso Insurance Services, Inc.	306 West 7th Street Suite 888 Fort Worth, Texas 76102, United States	Tarrant	Texas
Monaghan, Tilghman & Hoyle, Inc.	1104 Kenilworth Drive, Suite 301 Baltimore, Maryland 21204, United States	Baltimore	MD
Mosse & Mosse Insurance Associates, LLC	50 Salem Street Bldg. B Lynnfield, Massachusetts 01940, United States	Essex	Massachusetts
National Enrollment Services, Inc.	450 Skokie Blvd., Suite 702 Northbrook, Illinois 60062, United States	Cook	Illinois
National Financial Partners Corp.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
National Madison Group, Inc.	340 Madison Avenue, 21st Floor, New York, New York 10173, United States	New York	New York
Nemco Benefits, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
Nemco Brokerage, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
Nemco Group, LLC	340 Madison Avenue, 21st Floor New York, New York 10173, United States	New York	New York
NFP (BVI) Limited	1250 Capital of Texas Highway, Bldg. 2, Suite 125 Austin, Texas 78746, United States	Travis	Texas
NFP Brokerage Insurance Services, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York

Loan Party	Address	County	State
NFP CM Insurance Agency, LLC	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
NFP Corporate Services (NY), LLC	340 Madison Avenue, 21st Floor New York, New York 10173, United States	New York	New York
NFP Executive Benefits, LLC	3445 Peachtree Road NE, Suite 200 Atlanta, Georgia 30326, United States	Atlanta	Georgia
NFP Health Services Administrators, LLC	135 Wood Road Braintree, MA 02184, United States	Norfolk	Massachusetts
NFP IndeSuite, Inc.	1250 Capital of Texas Highway, Bldg. 2, Suite 125 Austin, Texas 78746, United States	Travis	Texas
NFP Insurance Services, Inc.	1250 Capital of Texas Highway, Bldg. 2, Suite 125 Austin, Texas 78746, United States	Travis	Texas
NFP Life Services, LLC	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
NFP Mid-Atlantic SG, LLC	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
NFP MIE, LLC	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
NFP of New York Insurance Agency, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
NFP Property & Casualty Services, Inc.	340 Madison Avenue, 21st Floor New York, New York 10173, United States	New York	New York
NFP Resources V Insurance Agency, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
NFP Resources VI Insurance Agency, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
NFP Risk Management Services, Inc.	340 Madison Avenue, 21st Floor New York, New York 10173, United States	New York	New York
NFP Schechter Benefits, LLC	28555 Orchard Lake Road, Suite 110 Farmington Hills, Michigan 48334, United States	Oakland	Michigan
NFP Structured Settlements, Inc.	Two Gateway Center, Suite 300, 603 Stanwix Street Pittsburgh, Pennsylvania 15222, United States	Allegheny	Pennsylvania
NFP-CBI, LLC	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
NFP-National Account Services, Inc.	7272 E. Indian School Road, Suite 220 Scottsdale, Arizona 85251, United States	Maricopa	Arizona
NFP/AMP Holdings, LLC	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
Noabrand, Inc.	704 Quince Orchard Rd, Suite 250 Gaithersburg, Maryland 20870, United States	Montgomery	Maryland
Northeast Brokerage Services Insurance Agency, Inc.	574 Boston Road Billerica, Massachusetts 01822, United States	Middlesex	Massachusetts
Northeast Business Trust	574 Boston Road Billerica, Massachusetts 01822, United States	Middlesex	Massachusetts

Loan Party	Address	County	State
Northeast Insurance Broker Services, LLC	620 Hinesburg Road, P.O. Box 2343 South Burlington, Vermont 05407-2343 United States	Chittenden	Vermont
NuVision Financial Corporation, Inc.	2435 Wall Street, Ste. 101, PO Box 80580 Conyers, Georgia 30013-8580, United States	Rockdale	Georgia
Oklahoma Financial Center, Inc.	12436 St. Andrews Drive Oklahoma City, Oklahoma 73120, United States	Oklahoma	Oklahoma
P&A Capital Advisors, Inc.	500 Fifth Avenue, Suite 2700 New York, New York 10110, United States	New York	New York
Partners Holdings, Inc.	1250 Capital of Texas Highway, Bldg. 2, Suite 125 Austin, Texas 78746, United States	Travis	Texas
Partners Marketing Services of Pennsylvania, Inc.	500 West Madison Street, Suite 2400 Chicago, Illinois 60661, United States	Cook	Illinois
Partners Financial Insurance Agency, Inc.	67 Broad Street Boston, Massachusetts 02109, United States	Suffolk	Massachusetts
Patriot Intermediate Holdings B Corp.	70 W. Madison Ave. Suite 4600 Chicago, Illinois 60602, United States	Cook	Illinois
Patriot Merger Corp.	70 W. Madison Ave. Suite 4600 Chicago, Illinois 60602, United States	Cook	Illinois
Pen/Flex, Inc.	5628 Copley Drive, San Diego, California 92111, United States	San Diego	California
Peninsula Advisors, L.L.C.	40 Via Tiberius Way Henderson, Nevada 89011, United States	Clark	Nevada
Pennsylvania Business Review, Inc.	108 Hampton Lane Blue Bell, Pennsylvania 19422, United States	Montgomery	Pennsylvania
Personal Capital Management, Inc.	122 East 42nd Street, Ste. 1004 New York, New York 10168, United States	New York	New York
Peyser & Alexander Management, Inc.	500 Fifth Avenue Suite 2700 New York, New York 10110, United States	New York	New York
Plan Design Services, Inc.	2115 Rexford Road, Suite 224 Charlotte, North Carolina 28211, United States	Mecklenburg	North Carolina
Potomac Basin Group Associates, LLC	4740 Corridor Place Beltsville, Maryland 20705, United States	Prince George's	Maryland
Preferred Benefits Group, Inc.	39-40 Broadway Fairlawn, New Jersey 07410, United States	Bergen	New Jersey
Pro Financial Services, LLC	500 West Madison Street, Suite 2660 Chicago, Illinois 60661, United States	Cook	Illinois
Procured Risk Solutions LLC	41 Madison Avenue, 41st Floor New York, NY 10010, United States	New York	New York
Professional Benefits Solutions, Inc.	14504 Greenview Drive, Suite 506 Laurel, Maryland 20708, United States	Prince George's	Maryland
Professional Outsourcing Solutions Corp.	14504 Greenview Drive, Suite 506 Laurel, Maryland 20708, United States	Prince George's	Maryland
Professional Pensions, Inc.	10 Research Parkway Wallingford, Connecticut 06492, United States	New Haven	Connecticut
Provis Management Group, LLC	611 Druid Road, Suite 105 Clearwater, Florida 33756, United States	Pinellas	Florida

Loan Party	Address	County	State
Public Employers Benefit Association, L.L.C.	26877 Northwestern Hwy., Suite 305 Southfield, Michigan 48034, United States	Oakland	Michigan
Quantum Care, Inc.	307 S. Evergreen Avenue, Suite 101 Woodbury, NJ 08096, United States	Gloucester	New Jersey
Quest Insurance Group, Inc.	1433 Boiling Springs Road Spartanburg, South Carolina 29303, United States	Spartanburg	South Carolina
R.E. Lee Holdings, Inc.	1601 Fifth Avenue, Suite 1800 Seattle, Washington, 98101, United States	Kings	Washington
Randel L. Perkins Insurance Services, Inc.	3655 Nobel Drive, Suite 360 San Diego, California 92122, United States	San Diego	California
RealCare Insurance Marketing, Inc.	19310 Sonoma Highway, Suite A Sonoma, California 95476, United States	Sonoma	California
Renaissance Bank Advisors, LLC	One Overton Park, 3625 Cumberland Blvd., Suite 700 Atlanta, Georgia 30339, United States	Cobb	Georgia
Renaissance Benefit Advisors, Inc.	2500 York Road, Suite 210 Jamison, Pennsylvania 18929, United States	Bucks	Pennsylvania
Retirement Investment Advisors, Inc.	3001 United Founders Blvd., Suite A Oklahoma City, Oklahoma 73112, United States	Oklahoma	Oklahoma
Robert E. Lee (SCIP), Inc.	3535 Grandview Parkway, Suite 600 Birmingham, Alabama 35243, United States	Jefferson	Alabama
Schmidt Financial Group, Inc.	620 Kirkland Way, Suite 205 Kirkland, Washington 98033, United States	Kings	Washington
Scorica, Inc.	65-1230 Mamalahoa Highway, Suite A20 Kamuela, Hawaii 96743, United States	Hawaii	Hawaii
Shepard & Scott Corp.	340 Madison Avenue, 21st Floor New York, New York 10173, United States	New York	New York
Sontag Advisory LLC	261 Madison Avenue, 14th Floor New York, New York 10016, United States	New York	New York
Sontag Special Opportunities Advisor, LLC	261 Madison Avenue, 14th Floor New York, New York 10016, United States	New York	New York
Sontag Special Opportunities LLC	261 Madison Avenue, 14th Floor New York, New York 10016, United States	New York	New York
STA Benefits Holdings, LLC	500 West Madison Street, Suite 2400 Chicago, Illinois 60661, United States	Cook	Illinois
STA Benefits, Ltd.	6010 Highway 191, Suite 210 Odessa, Texas 79762, United States	Ector	Texas
STA, Inc.	6010 Highway 191, Suite 210 Odessa, Texas 79762, United States	Ector	Texas
Stallard Financial Strategies, Inc.	8080 North Central Expressway Suite 1500 Dallas, Texas 75243, United States	Dallas	Texas
Stephen Wolff, Inc.	340 Madison Avenue, 20th Floor New York, New York 10173, United States	New York	New York
The Bay Ridge Group, Inc.	1405 Davis Avenue Endwell, New York 13760, United States	Broome	New York

Loan Party	Address	County	State
The Benefits Solution Group, Inc.	8702 Jefferson Highway, Suite A Baton Rouge, Louisiana 70809-2233, United States	East Baton Rouge Parish	Louisiana
The COMP Consulting Companies, LLC	2575 East Camelback Road, Suite 700 Phoenix, Arizona 85016, United States	Maricopa	Arizona
The Financial Architects Partners, LLC	111 Huntington Avenue, Suite 710 Boston, Massachusetts 02199, United States	Suffolk	Massachusetts
The Hartfield Company, Inc.	802 East Market Street New Albany, Indiana 47150, United States	Floyd	Indiana
The Heartland Group, Inc.	100 Field Drive, Suite 140 Lake Forest, Illinois 60045, United States	Lake	Illinois
The KNW Group, LLC	4350 Baker Road Minnetonka, Minnesota 55343, United States	Hennepin	Minnesota
The Management Compensation Group/Southeast, LLC	3445 Peachtree Road NE, Suite 200 Atlanta, Georgia 30326, United States	Fulton	Georgia
The Meltzer Group, Inc.	6500 Rock Spring Drive, Suite 500, Bethesda, Maryland 20817, United States	Montgomery	Maryland
The Spalding Financial Group, Inc.	100 Xanadu Place, Jupiter, Florida 33477, United States	Palm Beach	Florida
The Summit Group, Inc.	2002 Renaissance Blvd., Suite 220 King of Prussia, Pennsylvania, 19406, United States	Montgomery	Pennsylvania
Thoits Insurance Service, Inc.	160 West Santa Clara Street, 12th Floor San Jose, California 95113, United States	Santa Clara	California
Thorbahn and Associates Insurance Agency, Inc.	300 Crown Colony Drive, 1st Floor Quincy, Massachusetts 02169, United States	Norfolk	Massachusetts
TMG Insurance Services Inc.	6500 Rock Spring Drive, Suite 500 Bethesda, Maryland 20817, United States	Montgomery	Maryland
TMS-CBS RPG, LLC	8201 N. Hayden Road Scottsdale, Arizona, 85258, United States	Maricopa	Arizona
Total Financial & Insurance Services, Inc.	11835 West Olympic Blvd. Suite 600 Los Angeles, California 90064, United States	Los Angeles	California
Trinity Financial Services, L.L.C.	12436 St. Andrews Drive Oklahoma City, Oklahoma 73120, United States	Oklahoma	Oklahoma
UA Advisory Services, Inc.	1405 Davis Avenue Endwell, New York 13760, United States	Broome	New York
UA Holdings, Inc.	1405 Davis Avenue Endwell, New York 13760, United States	Broome	New York
United Advisors, LLC	16 South Front Street Wilmington, North Carolina 28401, United States	New Hanover	North Carolina
United Businessman's Insurance Agency, Inc.	135 Wood Road Braintree, Massachusetts 02184, United States	Norfolk	Massachusetts
Van den Heuvel and Fountain, Inc.	325 Columbia Turnpike Florham Park, New Jersey 07932, United States	Morris	New Jersey
Virtual Benefits Corporation	14504 Greenview Drive, Suite 506 Laurel, Maryland 20708, United States	Prince George's	Maryland

Loan Party	Address	County	State
Windsor Insurance Associates, Inc.	20301 Ventura Blvd., Suite 225 Woodland Hills, California 91364, United States	Los Angeles	California
Wisconsin Insurance World, Ltd.	2924 Marketplace Drive, Suite 102 Madison, Wisconsin 53719, United States	Dane	Wisconsin

Schedule 3

Transactions Other Than in the Ordinary Course of Business

None.

Schedule 4(a)

Material Owned Real Property

None.

Schedule 4(b)

Third Party Occupancy Arrangements with respect to Material Owned Real Property

None.

Schedule 5

(a) Equity Interests of Loan Parties

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
1842524 Ontario Limited	NFP Canada Corp.	Certificate not pledged as collateral	10,000 / 100%	0%
2171817 Ontario Inc.	National Financial Partners Corp.	C-4	.65 / 65%	65%
		C-5	.35 / 35%	0%
401(k) Advisors, Inc.	National Financial Partners Corp.	15	650,000 / 100%	100%
401(k) Producer Services, Inc.	National Financial Partners Corp.	1	1,000 / 100%	100%
Administrative Systems, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
ADP/Statewide Insurance Agencies, Inc.	National Financial Partners Corp.	N-1	100/ 100%	100%
Alexander Benefits Consulting, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Alliance Capital Services Insurance Agency Inc.	National Financial Partners Corp.	1-R	1,000 / 100%	100%
Alterity Group, LLC	NFP Corporate Services (NY), LLC	Not Certificated	100%	100%
Alternative Benefit Solutions, Inc.	National Financial Partners Corp.	1	500 / 100%	100%
American Benefits Insurance Corporation	National Financial Partners Corp.	2	15,000 / 100%	100%
American Financial Solutions, Inc.	National Financial Partners Corp.	C-3	1,000 / 100%	100%
Anderson Consulting Group, Ltd.	The Bay Ridge Group, Inc.	2	200 / 100%	100%
Arnone, Lowth, Wilson & Leibowitz, Inc.	National Financial Partners Corp.	3	1,000 / 100%	100%
Arthur D. Shankman and Company, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
AXIA Employee Benefit Insurance Services, Inc.	National Financial Partners Corp.	N1	2,000 / 100%	100%
Balser Financial Corporation	National Financial Partners Corp.	A-4	92,899 / 100%	100%
Balser Technology Group, LLC	National Financial Partners Corp.	Not Certificated	100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
The Bay Ridge Group, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Beacon Retirement Planning Services, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
Benefit Fiduciary Corporation	Professional Pensions, Inc.	2	100 / 100%	100%
Benefit Plan Services, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Benefit Planning Services, Inc.	National Financial Partners Corp.	N2	1,000 / 100%	100%
The Benefits Solution Group, Inc.	National Financial Partners Corp.	1	2,000 / 100%	100%
Bernard R. Wolfe & Associates, Inc.	National Financial Partners Corp.	8	2,600 / 100%	100%
BHR Financial Services, Inc.	National Financial Partners Corp.	1	570 / 100%	100%
BMI Benefits, L.L.C.	National Financial Partners Corp.	Not Certificated	100%	100%
Bob McCloskey Agency, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Boston Insurance Trust, Inc.	Professional Pensions, Inc.	2	100 / 100%	100%
Brady Financial Group	NFP Canada Corp. (70%) Kevin Brady (30%)	Certificate not pledged as collateral	100%	0%
Brokerage Design & Consultants, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
CBA Management, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Charon Planning Corporation	National Financial Partners Corp.	N1	1,000 / 100%	100%
Christie Financial Group, Inc.	Highland Capital Brokerage, Inc. (DE)	4	1,000 / 100%	100%
Clippinger Financial Group, L.L.C.	National Financial Partners Corp.	Not Certificated	100%	100%
The COMP Consulting Companies, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Compass Capital Management LLC	National Financial Partners Corp.	Not Certificated	100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
Complete Pension Services, Inc.	National Financial Partners Corp.	4	1,000 / 100%	100%
Consolidated Brokerage Services, Inc.	Highland Capital Brokerage, Inc. (DE)	3	1,000 / 100%	100%
Consolidated Educational Services, Inc.	Consolidated Brokerage Services, Inc.	3	100 / 100%	100%
Contemporary Benefits Design, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Corporate Benefit Advisors, Inc.	National Financial Partners Corp.	1	1,000 / 100%	100%
Corporate Benefits Management, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Corporate Benefits, Inc.	National Financial Partners Corp.	8	51,000 / 100%	100%
Creative Edge Concepts, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Creative Edge Planning & Insurance Services, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
D A Financial Group of California	National Financial Partners Corp.	N1	10,000 / 100%	100%
D/A Century Insurance Services, Inc.	National Financial Partners Corp.	N1	1,200 / 100%	100%
D/A Financial Insurance Services, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
Delott & Associates, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
DiMeo Schneider & Associates L.L.C.	National Financial Partners Corp.	Not Certificated	100%	100%
DNA Brokerage, L.L.C.	ECA Marketing, Inc.	Not Certificated	100%	100%
DOT Integrated Financial Corp.	NFP Canada Corp.	Certificate not pledged as collateral	100 / 100%	0%
Dreyfuss & Birke, Ltd.	National Financial Partners Corp.	1	100 / 100%	100%
Dreyfuss & Birke Management Company, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Driscoll Insurance Agency, Inc.	NFP Property & Casualty Services, Inc.	2	5,000 / 100%	100%
Dublin Insurance Services, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
ECA Marketing, Inc.	National Financial Partners Corp.	11	2,169 / 100%	100%
Educators Preferred Corporation	National Financial Partners Corp.	10, 11, 12	2,500 / 100%	100%
Eilers Financial Services, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Employers Preferred Corporation	National Financial Partners Corp.	11,12,13	1,000 / 100%	100%
Estate & Corporate Advisors, Inc.	Michael G. Rudelson and Company	1	1,000 / 100%	100%
ethos Benefit Partners, inc.	National Financial Partners Corp.	3	1,000 / 100%	100%
Excess Reinsurance Underwriters, Inc.	National Financial Partners Corp.	V1, NV2, NV3, NV5, NV6	60,000 / 100%	100%
Executive Services Securities, LLC	National Financial Partners Corp.	Not Certificated	100%	0%
FDR Financial Group, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
The Financial Architects Partners, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Financial Concepts of the Twin Cities, Inc.	National Financial Partners Corp.	N2	100 / 100%	100%
First Financial Resources Ltd.	National Financial Partners Corp.	1	20,000 / 100%	100%
First Global Financial & Insurance Services, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
Fleischer-Jacobs & Associates, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Golden & Cohen, LLC	NFP Mid-Atlantic SG, LLC	Not Certificated	100%	100%
Harbor Management Company, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
The Hartfield Company, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
Hartfield Louisville, LLC	The Hartfield Company, Inc.	Not Certificated	100%	100%
HealyPartners, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
The Heartland Group, Inc.	National Financial Partners Corp.	12	110 / 100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
Highland Capital Brokerage, Inc. (Delaware)	Highland Capital Holding Corporation	2	1,000 / 100%	100%
Highland Capital Brokerage, Inc. (Washington)	Highland Capital Brokerage, Inc. (Delaware)	1	1,000 / 100%	100%
Highland Capital Holding Corporation	National Financial Partners Corp.	H-271	100 / 100%	100%
Howard M. Koff, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
IKON Communications, Inc.	National Financial Partners Corp.	5	32.5 / 65%	65%
		6	17.5 / 35%	0%
IKON Financial, Inc.	National Financial Partners Corp.	4	650 / 65%	65%
		5	350 / 35%	0%
IKON Insurance, Inc.	National Financial Partners Corp.	12	174.2 / 65%	65%
		13	93.8 / 35%	0%
IKON Management Services LLC	National Financial Partners Corp.	Not Certificated	100%	65%
IKON Solutions, Inc.	National Financial Partners Corp.	8	81.25 / 65%	65%
		9	43.75 / 35%	0%
IKON US, Inc.	National Financial Partners Corp.	1	1,000 / 100%	100%
Institutional Life Services (Florida), LLC	NFP Life Services, LLC	Not Certificated	100%	100%
Institutional Life Services, LLC	NFP Life Services, LLC	Not Certificated	100%	100%
Insurance & Investment Professionals, Inc.	National Financial Partners Corp.	2	100 / 100%	100%
International Risk - IRC, Inc.	IRC, Inc.	C-4	1,000 / 100%	100%
IRC, Inc.	National Financial Partners Corp.	2	100 / 100%	100%
JR Katz, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
The KNW Group, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Lane McVicker, LLC	LMV Holdings, Inc.	Not Certificated	100%	100%
Lanning & Associates, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
LBG Financial Advisors, Inc.	National Financial Partners Corp.	8	100 / 100%	100%
Lenox Advisors, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Lenox Brokerage Insurance Services, Inc.	Lenox Advisors, Inc.	1	1,000 / 100%	100%
Lenox Long Term Care, LLC	Lenox Advisors, Inc.	Not Certificated	100%	100%
Lenox Wealth Advisors, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Lifestyle Holding Company, Inc.	National Financial Partners Corp.	1	1,000 / 100%	100%
Lifestyle Settlements, LLC	Lifestyle Holding Company, Inc.	Not Certificated	100%	100%
Lincoln Benefits Group, Inc.	National Financial Partners Corp.	24	1,380 / 100%	100%
Linn & Associates, Inc.	National Financial Partners Corp.	3, 4	100 / 100%	100%
LMV Holdings, Inc.	NFP Property & Casualty Services, Inc.	N-1	1,000 / 100%	100%
Longnecker & Associates, Inc.	National Financial Partners Corp.	4 9	1 Voting / 100% 75,000 Non-Voting / 100%	100%
LTCI Partners, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Lucco Financial Partners, Inc.	National Financial Partners Corp.	4	500 / 100%	100%
M&M Brokerage Services, Inc.	National Financial Partners Corp.	3	100 / 100%	100%
Maguire Financial Advisors, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Management Brokers, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
The Management Compensation Group/Southeast, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Maschino, Hudelson & Associates, L.L.C.	National Financial Partners Corp.	Not Certificated	100%	100%
Massachusetts Business Association, L.L.C.	National Financial Partners Corp.	Not Certificated	100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
The Meltzer Group, Inc.	National Financial Partners Corp.	C-3	1,000 / 100%	100%
Meltzer-Karlin Property and Casualty, Inc.	The Meltzer Group, Inc.	16	68,700 / 80%	0%
Meltzer Wealth Management, Inc.	The Meltzer Group, Inc.	1	1,000 / 100%	100%
Michael G. Rudelson and Company	National Financial Partners Corp.	3	1,000 / 100%	100%
Mitchell & Moroneso Insurance Services, Inc.	National Financial Partners Corp.	B-16 C-4 D-3	1,635 Class B / 100% 964 Class C / 100% 240 Class D / 100%	100%
Monaghan, Tilghman & Hoyle, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
Mosse & Mosse Insurance Associates, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
National Enrollment Services, Inc.	National Financial Partners Corp.	1, 2	100 / 100%	100%
National Financial Partners Corp.	Patriot Intermediate Holdings B Corp. ¹	1	10 / 100%	100%
National Madison Group, Inc.	National Financial Partners Corp.	1, 2	200 / 100%	100%
Nemco Benefits, Inc.	National Financial Partners Corp.	N3	1,000 / 100%	100%
Nemco Brokerage, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
Nemco Group, LLC	NFP Corporate Services (NY), LLC. (96%) Dreyfuss & Birke, Ltd. (4%)	Not Certificated	100%	100%
NFP (BVI) Limited	NFP Insurance Services, Inc.	2	1,625 / 65%	65%
		3	875 / 35%	0%
NFP Brokerage Insurance Services, Inc.	National Financial Partners Corp.	3	1,000 / 100%	100%
NFP Canada Corp.	2171817 Ontario Inc.	Certificate not pledged as collateral	105,100 / 100%	0%
NFP CM Insurance Agency, LLC	National Financial Partners Corp.	Not Certificated	100%	100%

¹ Immediately after effectiveness of the Closing Date Merger.

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
NFP Corporate Services (NY), LLC	National Financial Partners Corp.	Not Certificated	100%	100%
NFP Executive Benefits, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
NFP Health Services Administrators, LLC	Massachusetts Business Association, L.L.C.	Not Certificated	100%	100%
NFP IndeSuite, Inc.	National Financial Partners Corp.	1	1,000 / 100%	100%
NFP Insurance Services, Inc.	National Financial Partners Corp.	6	201 / 100%	100%
NFP Life Services, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
NFP Mid-Atlantic SG, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
NFP MIE, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
NFP of New York Insurance Agency, Inc.	National Financial Partners Corp.	1	1,000 / 100%	100%
NFP Property & Casualty Services, Inc.	National Financial Partners Corp.	10	100 / 100%	100%
NFP Resources V Insurance Agency, Inc.	National Financial Partners Corp.	1	1,000 / 100%	100%
NFP Resources VI Insurance Agency, Inc.	National Financial Partners Corp.	3	1,000 / 100%	100%
NFP Risk Management Services, Inc.	National Financial Partners Corp.	3	100 / 100%	100%
NFP Schechter Benefits, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
NFP Securities, Inc.	National Financial Partners Corp.	2	100 / 100%	0%
NFP Structured Settlements, Inc.	National Financial Partners Corp.	10	200 / 100%	100%
NFP/AMP Holdings, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
NFP-CBI, LLC	Corporate Benefits, Inc.	Not Certificated	100%	100%
NFP-National Account Services, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Noabrand, Inc.	NFP Mid-Atlantic SG, LLC	2	10 / 100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
Northeast Brokerage Services Insurance Agency, Inc.	Massachusetts Business Association, L.L.C.	5, 6	500 / 100%	100%
Northeast Business Trust	Massachusetts Business Association, L.L.C.	20, 22, 25, 26, 27, 28	20,000 Beneficial Interests / 100%	100%
Northeast Insurance Broker Services, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
NuVision Financial Corporation, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Oklahoma Financial Center, Inc.	Partners Marketing Services of Pennsylvania, Inc.	5	3,000 / 100%	100%
P&A Capital Advisors, Inc.	National Financial Partners Corp.	3	20 / 100%	100%
Partners Holdings, Inc.	National Financial Partners Corp.	212	1,000 / 100%	100%
Partners Marketing Services of Pennsylvania, Inc.	National Financial Partners Corp.	1	100 / 100%	100%
PartnersFinancial Insurance Agency, Inc.	NFP Corporate Services (NY), LLC	1, 2, 5	8,194 / 100%	100%
Patriot Intermediate Holdings B Corp.	Patriot Intermediate Holdings A Corp.	1	10 / 100%	0%
Patriot Merger Corp.	Patriot Intermediate Holdings B Corp.	1	10 / 100%	100%
Pen/Flex, Inc.	National Financial Partners Corp.	3, 4, 7, 8, 9	40,000 / 100%	100%
Peninsula Advisors, L.L.C.	National Financial Partners Corp.	Not Certificated	100%	100%
Pennsylvania Business Review, Inc.	National Financial Partners Corp.	1	100 / 100%	100%
Personal Capital Management, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Peyser & Alexander Management, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
Plan Design Services, Inc.	National Financial Partners Corp.	2	100,000 / 100%	100%
Potomac Basin Group Associates, LLC	NFP Mid-Atlantic SG, LLC	Not Certificated	100%	100%
Preferred Benefits Group, Inc.	National Financial Partners Corp.	2, 3	200 / 100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
Pro Financial Services, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Procured Risk Solutions LLC	NFP Corporate Services (NY), LLC	Not Certificated	100%	100%
Professional Benefits Solutions, Inc.	National Financial Partners Corp.	1, 2, 3, 4, 5, 6	3,000 / 100%	100%
Professional Outsourcing Solutions Corp.	Professional Benefits Solutions, Inc.	1	1,000 / 100%	100%
Professional Pensions, Inc.	National Financial Partners Corp.	45	2,633 / 100%	100%
Provis Management Group, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Public Employers Benefit Association, L.L.C.	National Financial Partners Corp.	Not Certificated	100%	100%
Quantum Care, Inc.	National Financial Partners Corp.	2, 3, 5, 6, 7	100 / 100%	100%
Quest Insurance Group, Inc.	NFP Property & Casualty Services, Inc.	2	500 / 100%	100%
R.E. Lee Holdings, Inc.	Highland Capital Holding Corporation	18	479,393 / 100%	100%
Randel L. Perkins Insurance Services, Inc.	National Financial Partners Corp.	N1	100 / 100%	100%
RealCare Insurance Marketing, Inc.	National Financial Partners Corp.	1	20 / 100%	100%
Renaissance Bank Advisors, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Renaissance Benefit Advisors, Inc.	National Financial Partners Corp.	N2	1,000 / 100%	100%
Retirement Investment Advisors, Inc.	National Financial Partners Corp.	3, 4	5,000 / 100%	100%
Robert E. Lee (SCIP), Inc.	Highland Capital Holding Corporation	4	100 / 100%	100%
Robert E. Lee of Southern California Insurance Agency	Robert E. Lee (SCIP), Inc. (49%) RE. Lee Holdings, Inc. (2%) Dennis Cunningham (49%) (outside partner)	Not Certificated	51%	0%
Schmidt Financial Group, Inc.	National Financial Partners Corp.	1	1,000 / 100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
Scorica, Inc.	National Financial Partners Corp.	6, 7	1,000 / 100%	100%
Shepard & Scott Corp.	Dreyfuss & Birke, Ltd.	1, 2	100 / 100%	100%
Sontag Advisory LLC	National Financial Partners Corp.	Not Certificated	100%	100%
Sontag Special Opportunities Advisor, LLC	Sontag Advisory LLC	Not Certificated	100%	100%
Sontag Special Opportunities LLC	Sontag Advisory LLC	Not Certificated	100%	100%
The Spalding Financial Group, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
STA Benefits Holdings, LLC	National Financial Partners Corp.	Not Certificated	100%	100%
STA Benefits, Ltd.	STA Benefits Holdings, LLC (99%) (LP) STA, Inc. (1%) (GP)	Not Certificated	100%	100%
STA, Inc.	National Financial Partners Corp.	2	1,000 / 100%	100%
Stallard Financial Strategies, Inc.	National Financial Partners Corp.	1	1,000 / 100%	100%
Stephen Wolff, Inc.	National Financial Partners Corp.	5	5,100 / 100%	100%
The Summit Group, Inc.	Highland Capital Brokerage, Inc. (Delaware)	7	100 / 100%	100%
Thoits Insurance Service, Inc.	National Financial Partners Corp.	51	9,651.5828 / 100%	100%
Thorbahn and Associates Insurance Agency, Inc.	National Financial Partners Corp.	4	1,000 / 100%	100%
TMG Insurance Services Inc.	The Meltzer Group, Inc.	4	100 / 100%	100%
TMS-CBS RPG, LLC	NFP Property & Casualty Services, Inc.	Not Certificated	100%	100%
Total Financial & Insurance Services, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
Trinity Financial Services, L.L.C.	Oklahoma Financial Center, Inc.	Not Certificated	100%	100%
UA Advisory Services, Inc.	National Financial Partners Corp.	3	2,000 / 100%	100%

Current Legal Entities Owned	Record Owner	Certificate No. (to the extent certificated)	No. Shares (to the extent certificated) / Interest Owned	Percent Pledged
UA Holdings, Inc.	National Financial Partners Corp.	1, 2, 3, 4	304 / 100%	100%
United Advisors, LLC	The Bay Ridge Group, Inc.	Not Certificated	100%	100%
United Businessman's Insurance Agency, Inc.	Massachusetts Business Association, L.L.C.	5	500 / 100%	100%
Van den Heuvel & Fountain, Inc.	National Financial Partners Corp.	N-1	1,500 / 100%	100%
Virtual Benefits Corporation	National Financial Partners Corp.	3	625 / 100%	100%
Windsor Insurance Associates, Inc.	National Financial Partners Corp.	N1	1,000 / 100%	100%
Wisconsin Insurance World, Ltd.	National Financial Partners Corp.	5	240 / 100%	100%

(b) Other Equity Interests

Current Legal Entities Owned	Record Owner	Certificate No.	No. Shares/ Interest	Percent Pledged
2363204 Ontario Inc.	NFP Canada Corp. (50%) Mark Waxman (50%) (outside partner)	Certificate not pledged as collateral	50%	0%
M Financial Holdings Incorporated	The Management Compensation Group/Southeast, LLC	Certificate not pledged as collateral	439,468	0%
MIE Holdings, LLC ²	NFP MIE, LLC (20.9%)	Not Certificated	23,028 of 110,000 Units / 20%	0%
National Madison-Robinson, LLC	National Madison Group, Inc. (50%) Robinson Financial Group, Inc. (50%)	1	50%	0%
The Producers Group Advantage LLC	Arnone, Lowth, Wilson & Leibowitz, Inc. (33.33%)	Not Certificated	33.33%	0%

² In addition, MIE Holdings, LLC owns 100% of the equity of MIE Financial Services, LLC.

Schedule 6

Instruments and Tangible Chattel Paper

1. Promissory Notes:

The Intercompany Note, which has been pledged under the Security Agreement.

2. Chattel Paper:

None.

Schedule 7(a)



Patents and Trademarks

UNITED STATES PATENTS:

None.

UNITED STATES TRADEMARKS:

Registrations:

Trademark	Owner	Registration No.	Registration Date
NFP	National Financial Partners Corp.	2422912	January 23, 2001
NFP NATIONAL FINANCIAL PARTNERS	National Financial Partners Corp.	2742420	July 29, 2003
NFP	National Financial Partners Corp.	4094884	February 7, 2012
NFP	National Financial Partners Corp.	4094885	February 7, 2012
NFP	National Financial Partners Corp.	4097432	February 14, 2012
NFP	National Financial Partners Corp.	4097433	February 14, 2012
National Financial Partners	National Financial Partners Corp.	4100472	February 21, 2012
Access. Insight. Advantage	National Financial Partners Corp.	4211885	September 25, 2012
	National Financial Partners Corp.	4094887	February 7, 2012
	National Financial Partners Corp.	4094886	February 7, 2012
Partner. Preserve. Prosper	National Financial Partners Corp.	4094883	February 7, 2012
PartnersFinancial	National Financial Partners Corp.	4094882	February 7, 2012
Lane McVicker	Lane McVicker, LLC	3461249	July 8, 2008
VivaWell Living Healthier Step By Step	Nemco Brokerage, Inc.	3907612	January 18, 2011
Personal Financial Officer PFO	Provis Management Group, LLC	2843333	May 18, 2004
PROVISE	Provis Management Group, LLC	1767650	April 27, 1993
Dreams, Dollars & Reality	Provis Management Group, LLC	1799655	October 19, 1993
NMG	National Madison Group, Inc.	2080894	July 22, 1997
National Madison Group	National Madison Group, Inc.	2204722	November 24, 1998
The Chief Plan	National Madison Group, Inc.	3793063	May 25, 2010

Trademark	Owner	Registration No.	Registration Date
Lenox Advisors	Lenox Advisors, Inc.	4117333	March 27, 2012
Lenox Virtual HR Manager	Lenox Advisors, Inc.	3414892	April 22, 2008

Applications:

Trademark	Owner	Serial No.	Filing Date
Benefits Partners	National Financial Partners Corp.	76712197	August 13, 2012

Schedule 7(b)

Copyrights

UNITED STATES COPYRIGHTS

None.

Schedule 8

Commercial Tort Claims

None.

Schedule 9
Letter of Credit Rights

None.