

## TRADEMARK ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

|   |   |                |                       |
|---|---|----------------|-----------------------|
| SUBMISSION TYPE:  | CORRECTIVE ASSIGNMENT   |                |                       |
| NATURE OF CONVEYANCE:   | Corrective Assignment to correct the corporate identity of receiving party to read Delaware Corporation previously recorded on Reel 003679 Frame 0951. Assignor(s) hereby confirms the receiving party was wrongly identified as NY Corporation on cover sheet. |                |                       |
| CONVEYING PARTY DATA  |   |                |                       |
| Name  | Formerly  | Execution Date | Entity Type           |
| Oppenheimer & Co. Inc.  |   | 12/10/2007     | CORPORATION: NEW YORK |
| RECEIVING PARTY DATA  |   |                |                       |
| Name:   | Viner Finance Inc.  |                |                       |
| Street Address:   | 125 Broad Street  |                |                       |
| City:   | New York  |                |                       |
| State/Country:  | NEW YORK  |                |                       |
| Postal Code:  | 10004   |                |                       |
| Entity Type:  | CORPORATION: DELAWARE   |                |                       |
| PROPERTY NUMBERS Total: 1   |   |                |                       |
| Property Type   | Number  | Word Mark      |                       |
| Registration Number:  | 3260826   | OPPENHEIMER    |                       |
| CORRESPONDENCE DATA   |   |                |                       |
| Fax Number:   | 2125750671  |                |                       |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i> |   |                |                       |
| Phone:  | 212-790-9200  |                |                       |
| Email:  | trademark@ccll.com  |                |                       |
| Correspondent Name:   | Deborah K. Squiers  |                |                       |
| Address Line 1:   | 1133 Avenue of the Americas   |                |                       |
| Address Line 4:   | New York, NEW YORK 10036  |                |                       |
| ATTORNEY DOCKET NUMBER:   | 11900-000 DKS/FM  |                |                       |
| NAME OF SUBMITTER:  | DEBORAH K SQUIERS   |                |                       |
| Signature:  | /Deborah K. Squiers/  |                |                       |

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Date:

07/25/2013

**Total Attachments: 4**

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# TRADEMARK ASSIGNMENT

Electronic Version v1.1  
 Stylesheet Version v1.1

|  |  |                |                       |
|--|--|----------------|-----------------------|
| SUBMISSION TYPE:   | NEW ASSIGNMENT                               |                |                       |
| NATURE OF CONVEYANCE:  | ASSIGNS THE ENTIRE INTEREST AND THE GOODWILL |                |                       |
| CONVEYING PARTY DATA   |  |                |                       |
| Name   | Formerly                                     | Execution Date | Entity Type           |
| Oppenheimer & Co. Inc.   |  | 12/10/2007     | CORPORATION: NEW YORK |
| RECEIVING PARTY DATA   |  |                |                       |
| Name:  | Viner Finance Inc.                           |                |                       |
| Street Address:  | 125 Broad Street                             |                |                       |
| Internal Address:  | 16th Floor                                   |                |                       |
| City:  | New York                                     |                |                       |
| State/Country:   | NEW YORK                                     |                |                       |
| Postal Code:   | 10004  |                |                       |
| Entity Type:   | CORPORATION: NEW YORK                        |                |                       |
| PROPERTY NUMBERS Total: 1  |  |                |                       |
| Property Type  | Number                                       | Word Mark      |                       |
| Registration Number:   | 3260826                                      | OPPENHEIMER    |                       |
| CORRESPONDENCE DATA  |  |                |                       |
| Fax Number:  | (212)668-5042                                |                |                       |
| <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i> |  |                |                       |
| Phone:   | (212) 668-8027                               |                |                       |
| Email:   | elizabeth.harnisch@opco.com                  |                |                       |
| Correspondent Name:  | Elizabeth Harnisch, Oppenheimer & Co.        |                |                       |
| Address Line 1:  | 125 Broad Street                             |                |                       |
| Address Line 2:  | 16th Floor                                   |                |                       |
| Address Line 4:  | New York, NEW YORK 10004                     |                |                       |
| NAME OF SUBMITTER:   | Elizabeth Harnisch                           |                |                       |
| Signature:   | /eh/   |                |                       |
| Date:  | 12/17/2007                                   |                |                       |

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**TRADEMARK**  
**REEL: 005078 FRAME: 0289**

Total Attachments: 2

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## TRADEMARK ASSIGNMENT

This Assignment Agreement, dated as of December 10, 2007 and to be deemed effective as of July 31, 2006, is by and between Oppenheimer & Co. Inc., a New York corporation with a place of business at 125 Broad Street, New York, New York 10004 ("Assignor") and Viner Finance Inc., a Delaware corporation with a place of business at 125 Broad Street, New York, New York 10004 ("Assignee").

WHEREAS, Assignor is the owner of the mark OPPENHEIMER and the corresponding stylized mark, Registration No. 3,260,826 (hereinafter the "Registration"); and

WHEREAS, Assignee desires to acquire all of the Assignor's right, title and interest in and to the Registration.

In consideration of the foregoing and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor assigns to Assignee, all of Assignor's right, title, and interest in and to the Registration, together with the business, or portion thereof, to which the Registration pertains, the goodwill symbolized by use of the Registration, and the right to sue third parties for and recover damages from past and future infringement of the Registration.

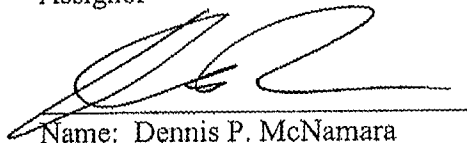
All covenants and obligations herein shall be binding on and inure to the benefit of the Assignor, Assignee and their respective successors and permitted assigns.

Assignor hereby authorizes the Commissioner of Patents and Trademarks of the United States, and any official of any other country empowered to issue trademark registrations, to record this Assignment, and to issue or transfer said Registration to the Assignee as owner of all right, title and interest therein, or otherwise as the Assignee may direct, in accordance with the terms of this Assignment.

IN WITNESS WHEREOF, this Assignment has been executed by the parties on the day  
and year written above.

**Oppenheimer & Co. Inc.**

Assignor

A handwritten signature in black ink, appearing to be "Dennis P. McNamara", written over a horizontal line.

Name: Dennis P. McNamara

Title: Executive Vice President  
General Counsel

**Viner Finance Inc.**

Assignee

A handwritten signature in black ink, appearing to be "Dennis P. McNamara", written over a horizontal line.

Name: Dennis P. McNamara

Title: Secretary