# TRADEMARK ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: **NEW ASSIGNMENT** 

NATURE OF CONVEYANCE: SECURITY INTEREST

### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
AQUION, INC.		12/04/2013	CORPORATION: DELAWARE

### **RECEIVING PARTY DATA**

Name:	JOHNSON BANK
Street Address:	1000 N Water St.
Internal Address:	Suite 1900
City:	Milwaukee
State/Country:	WISCONSIN
Postal Code:	53202
Entity Type:	Banking Corporation: WISCONSIN

## PROPERTY NUMBERS Total: 27

Property Type	Number	Word Mark
Registration Number:	0984487	AQUION
Registration Number:	4323447	AQUION
Registration Number:	4323448	AQUION
Registration Number:	3329425	ERIE WATER TREATMENT CONTROLS
Registration Number:	0715436	RAINSOFT
Registration Number:	1632154	RAINSOFT
Registration Number:	1950973	RAINSOFT
Registration Number:	2033664	RAINSOFT
Registration Number:	3083272	AQUA TRAVELER
Registration Number:	4113762	AIRMASTER DFS
Registration Number:	4113763	AIRMASTER ULTRA
Registration Number:	4192928	WE TAKE THE WORRY OUT OF WATER
Registration Number:	4208977	RAINSOFT
		TPADEMARK

Registration Number:	4229547	HYDREFINER
Registration Number:	4229550	ULTREFINER
Registration Number:	4234158	WE TAKE THE WORRY OUT OF WATERSINCE 1
Registration Number:	4313138	PURELY BETTER BUSINESS
Registration Number:	3081521	PROSYSTEMS
Registration Number:	1780155	PROSERIES
Registration Number:	3795277	PLUMBER'S DIRECT
Registration Number:	3830628	PLUMBER'S DIRECT
Registration Number:	3938533	CENTURION
Registration Number:	4157832	PROSYSTEMS
Serial Number:	85641179	TAKING THE WORRY OUT OF WATERSINCE 19
Serial Number:	85856507	PS
Serial Number:	85559112	MICREFINER
Serial Number:	85730878	FUSION

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Phone: 414-298-1000

Email: tmadmin@reinhartlaw.com

Correspondent Name: Daniel E. Kattman
Address Line 1: 1000 N Water St.
Address Line 2: Suite 1900

Address Line 4: Milwaukee, WISCONSIN 53202

ATTORNEY DOCKET NUMBER:	AQUION TM RECORDATION 2	
NAME OF SUBMITTER:	DANIEL E. KATTMAN	
Signature:	/dek/	
Date:	01/08/2014	

### Total Attachments: 7

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# NOTICE OF SECURITY INTEREST IN TRADEMARKS

THIS NOTICE OF SECURITY INTEREST IN TRADEMARKS is made by and between AQUION, INC., a Delaware corporation, with its principal place of business located at 2080 E. Lunt Avenue, Elk Grove Village, Illinois 60007 (the "Company") and JOHNSON BANK, as administrative agent (the "Agent") with a place of business and address at 333 East Wisconsin Avenue, Milwaukee, Wisconsin 53202.

The parties acknowledge and agree as follows:

- A. The Company, WATER INGENUITY HOLDINGS CORP., a Delaware corporation, and CLEARWATER TECH, L.L.C., a Delaware limited liability company, have executed a General Intangibles Security Agreement dated as of even date herewith (as it may be amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement");
- B. The Company has adopted, used and is using its respective trademarks listed on Exhibit A attached hereto (the "Trademarks"), which Trademarks are registered with or applications therefore are pending before, the United States Patent and Trademark Office;
- C. Pursuant to the Security Agreement, the Company hereby grants to the Agent a security interest in and to all of the Trademarks, and in and to all proceeds and benefits thereof; and
- D. Capitalized terms not defined herein shall have their meaning as set forth in the Security Agreement.

[signature page follows]

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Dated as of	<u> Lecember </u>	
		AQUION, INC., a Delaware comporation
		BY Name: Michael Madsen
	,	Title: President
		JOHNSON BANK, as Administrative Agent
	i de la companya de La companya de la co	ву
		Name: Title:

Signature Page to Notice of Security Interest in Trademarks

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Dated as	of (A	eeember	
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AQUION, INC., a Delaware corporation

Name: Michael Madsen BA

Title: President

JOHNSON-BANK, as Aministrative Agent

Namé: Robert A. Nielsen Title: Senior Vice President

Signature Page to Notice of Security Interest in Trademarks

EXHIBIT A

# U.S. Registered Trademarks in the Name of Aquion.

TRADEMARK	REG. NO.	REG. DATE	NEXT ACTION	GOODS
AQUION	984,487	May 21,	Renewal Due:	Water softening conditioning units, primarily for
40407.02.0002		1974	May 21, 2014	domestic use
AOHION	4,323,447	April 23, 2013	Declaration of Use Due:	Technical support services, namely, technical advice related to the installation and maintenance
40407.01.0045		2013	April 23, 2019	of water treatment and conditioning equipment
40407.01.0042				Educational services, namely, providing live and on-line classes and seminars on the installation and maintenance of water treatment and conditioning equipment
AQUION	4,323,448	April 23,	Declaration of	Technical support services, namely, technical
40407.01.0046		2013	Use Due: April 23, 2019	advice related to the installation and maintenance of water treatment and conditioning equipment
				Educational services, namely, providing live and on-line classes and seminars on the installation and maintenance of water treatment and conditioning equipment
ERIE WATER	3,329,425	November	Declaration of	Control valve used for water filtering units,
TREATMENT CONTROLS		6, 2007	Use Due: November 6,	namely, iron filters, reverse osmosis filters, taste and odor filters; water treatment equipment;
Disclaims "WATER TREATMENT CONTROLS"			2013	namely, acid neutralizing units, water purifiers, and faucets which meter the flow of water; electrical ultraviolet light for use with or as water
40407.02.0016				purification units
RAINSOFT	715,436	May 16,	Renewal Due:	Water softeners and water filters
40407.02.0001	***************************************	1961	May 16, 2021	
RAINSOFT	1,632,154	January 22,	Renewal Due:	Chemical feed pumps
40407.02.0003		1991	91 January 22,   2021	Water filters, namely, iron filters, sediment filters, reverse osmosis filters; water treatment equipment, namely, acid neutralizing units, water purifiers, faucets which meter the flow of water
RAINSOFT	1,950,973	January 23,	Renewal Due:	Water-retaining metal tank used in association
40407.02.0007	1996	January 23, 2016	with water treatment equipment  Electrical ultraviolet light for use with or as water purification units	
RAINSOFT	2,033,664	January 28,	Renewal Due:	Soaps and detergents, namely, skin bar soap,
40407.02.0008		1997	January 28, 2017	liquid hand soap, hair shampoo, automatic dishwasher detergent, liquid dish soap and laundry

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TRADEMARK	REG. NO.	REG. DATE	NEXT ACTION	GOODS
<u></u>				detergent
AQUA TRAVELER	3,083,272	April 18,	Renewal Due:	Water conditioning and treatment devices,
Disclaims "AQUA"		2006	April 18, 2016	namely, water purifiers for use with recreational vehicles
40407.02.0015				, onlows
AIRMASTER DFS	4,113,762	March 20,	Declaration of	Air purification units
40407.01.0022		2012	Use Due: March 20, 2018	
AIRMASTER ULTRA	4,113,763	March 20,	Declaration of	Air purification units
40407.01.0023		2012	Use Due: March 20, 2018	
WE TAKE THE WORRY OUT OF WATER	4,192,928	August 21, 2012	Declaration of Use Due: August 21,	Technical support services, namely, technical advice related to the installation and maintenance of water treatment and conditioning equipment
40407.01.0037		2018	Educational services, namely, providing live and on-line classes and seminars on the installation and maintenance of water treatment and conditioning equipment	
RainSoft	4,208,977	September 18, 2012	Declaration of Use Due: September 18,	Soaps and detergents, namely skin bar soap, liquid hand soap, hair shampoo, automatic dishwasher detergent, liquid dish soap and laundry detergent
40407.01.0040			2018	Water-retaining metal tank used in association with water treatment equipment
			:	Chemical feed pumps
				Water treatment equipment, namely, water softeners and water filters; water filters, namely, iron filters, sediment filters, reverse osmosis filters, taste and odor filters; water treatment equipment, namely, acid neutralizing units, water purifiers, and faucets which meter the flow of water; electrical ultraviolet light for use with or as water purification units; water softening conditioning units, primarily for domestic use; water conditioning systems composed primarily of water filtration units and water conditioning units for domestic, commercial, and industrial use
HYDREFINER	4,229,547	October 23,	Declaration of	Water treatment equipment, namely, water
40407.01.0065	<b>Vancous de la compansión de la compansi</b>	2012	Use Due: October 23, 2018	filtration units
ULTREFINER	4,229,550	October 23,	Declaration of	Water treatment equipment, namely, water

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TRADEMARK	REG. NO.	REG. DATE	NEXT ACTION	GOODS
40407.01,0063		2012	Use Due: October 23, 2018	filtration units
WE TAKE THE WORRY OUT OF WATERSINCE 1953 40407.01.0073	4,234,158	October 30, 2012	Declaration of Use Due: October 30, 2018	Water treatment equipment, namely, water filtration units
PURELY BETTER BUSINESS 40407,01.0084	4,313,138	April 2, 2013	Declaration of Use Due: April 2, 2019	Technical support services, namely, technical advice relating to the insulation and maintenance of water treatment and conditioning equipment
101011011001				Educational services, namely, providing live and online classes and seminars on the insulation and maintenance of water treatment and conditioning equipment
ProSystems 40407.02.0014	3,081,521	April 18, 2006	Renewal Due: April 18, 2016	Water treatment and water conditioning systems composed primarily of water filtration units and water conditioning units for domestic, commercial and industrial use
ProSeries	1780155	July 6, 1993	Renewal Due: July 6, 2023	Water Purification Units.
PLUMBER'S DIRECT 40407.01.0021	3,795,277	May 25, 2010	Declaration of Use Due: May 25, 2016	Business consultation services for plumbers to provide consumer information regarding water treatment services and water treatment products  Training consultation services for plumbers, namely, providing training information regarding the use and operation of water treatment products
PLUMBER'S DIRECT & Design	3,830,628	August 10, 2010	Declaration of Use Due: August 10, 2016	Business consultation services for plumbers to provide consumer information regarding water treatment services and water treatment products  Training consultation services for plumbers, namely, providing training information regarding the use and operation of water treatment products
CENTURION 40407.01.0014	3,938,533	March 29, 2011	Declaration of Use Due: March 29, 2017	Water treatment products, namely, water conditioning units and water filters
PROSYSTEMS	4,157,832	June 12,	Declaration of Use Due: June	Water treatment and water conditioning systems composed primarily of water filtration units and

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TRADEMARK	REG. NO.	REG. DATE	NEXT ACTION	GOODS
40407.01.0042		2012	12, 2017	water conditioning units for domestic, commercial, and industrial use
				Technical consulting services, namely, technical advice related to the installation, maintenance and related operation of water treatment and conditioning equipment
				Educational services, namely, training in the use, importance, installation, maintenance and related operation of water treatment and conditioning equipment
TAKING THE WORRY OUT OF	4351488	June 11, 2013	Declaration of Use Due: June	Water treatment equipment, namely, water filtration units
WATERSINCE 1953 40407.01.0074		11, 2019	Technical support services, namely, technical advice related to the installation and maintenance of water treatment and conditioning equipment	
				Educational services, namely, providing live and on-line classes and seminars on the installation and maintenance of water treatment and conditioning equipment
	4428223	November 05, 2013	Declaration of Use Due: November 05, 2019	Water treatment and water conditioning systems composed primarily of water filtration units and water conditioning units for domestic, commercial, and industrial use
			:	Consulting services relating to the importance of installation and maintenance of water treatment and conditioning equipment
40407.01.0041	G. A. C.			Educational services relating to the importance of installation and maintenance of water treatment and conditioning equipment

# Pending U.S. Trademark Applications in the Name of Aquion.

TRADEMARK	SERIAL NO.	FILING DATE	STATUS	GOODS
MICREFINER 40407.01.0064	85/559,112	March 2, 2012	Statement of Use or Third Extension of Time Request Due  April 2, 2014	Water treatment equipment, namely, water filtration units
FUSION 40407.01.0083	85/730,878	September 17, 2012	Statement of Use or Second Extension of Time Request Due May 28, 2014	Saltless water conditioning equipment for residential use

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TRADEMARK REEL: 005189 FRAME: 0225

RECORDED: 01/08/2014