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TRADEMARK ASSIGNMENT

Electronic Version v1.1 Stylesheet Version v1.1 02/05/2014

SUBMISSION TYPE:

103665870

INEVY ASSIGNMENT

NATURE OF CONVEYANCE:

RELEASE BY SECURED PARTY

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
NTX Capital, LLC		101/17 <i>1</i> 7/2014	LIMITED LIABILITY COMPANY: DELAWARE

# **RECEIVING PARTY DATA**

Name:	TradeKing Group, Inc.		
Street Address:	13024 Ballantyne Corp. Place, Suite 500		
City:	Charlotte		
State/Country:	NORTH CAROLINA		
Postal Code:	28277		
Entity Type:	CORPORATION: DELAWARE		

## PROPERTY NUMBERS Total: 4

Property Type	Number	Word Mark	
Registration Number:	3326096	TRADEKING	
Registration Number:	3684399	THE OPTIONS PLAYBOOK	
Registration Number:	3570763	THE OPTIONS PLAYBOOK	
Registration Number:	3865888	THE OPTIONS PLAYBOOK	

### CORRESPONDENCE DATA

Fax Number:

8586385130

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

via US Mail.

Phone:

858-677-1400

Email:

susan.reynholds@dlapiper.com.

Correspondent Name:

DLA Piper LLP (US)

Address Line 1:

4365 Executive Drive, Suite 1100

Address Line 4:

San Diego, CALIFORNIA 92121

ATTORNEY DOCKET NUMBER:

329034-617

**TRADEMARK** REEL: 005208 FRAME: 0272

NAME OF SUBMITTER:	Troy Zander
Signature:	/s/ Troy Zander
Date:	01/22/2014
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TRADEMARK REEL: 005208 FRAME: 0273

#### REASSIGNMENT AND RELEASE OF SECURITY INTEREST

This Reassignment and Release of Security Interest is executed as of January 17, 2014 by NXT CAPITAL, LLC, a Delaware limited liability company ("Assignor") for the benefit of TRADEKING GROUP, INC., a Delaware corporation ("Assignee").

#### RECITALS

- A. WHEREAS, Assignee assigned certain interests in the intellectual property described on Exhibit A (the "IP") to Velocity Financial Group II, LLC, a Delaware limited liability company ("Velocity") pursuant to a certain Trademark Grant of Security Interest dated September 27, 2011 and recorded with the U.S. Patent and Trademark Office; and
- B. WHEREAS, Velocity subsequently assigned all of its security interest in all right, title and interest of Assignee in and to the IP pursuant to a certain Assignment of Collateral Interest in Patents and Trademarks dated February 15, 2012 and recorded with the U.S. Patent and Trademark Office; and
- C. WHEREAS, Assignor wishes to release and reassign all interest that Assignor may have in the IP.

#### **AGREEMENT**

ASSIGNOR:

Now, therefore, Assignor agrees that it releases its security interest in the IP and reassigns to Assignee, without warranty or recourse, all interest of Assignor in the IP.

Address:

191 N. Wacker Drive
Suite 1200
Chicago, IL 60606

Title: PATRICK D. KOEKL DIRECTOR
DUCY AUTHORIZED SIFARTORY

ASSIGNEE:

Address: TRADEKING GROUP, INC.

13024 Ballantyne Corp. Place
Suite 500
Charlotte, NC 28277

Title: CFO

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# EXHIBIT A

## Trademarks

	Registration			
Name	Date Issued	Number	Status	
TradeKing	10/30/2007	3326096	Registered	
The Options Playbook	09/15/2009	3684399	Registered	
The Options Playbook	02/03/2009	3570763	Registered	
The Options Playbook	09/15/2010	3865888	Registered	

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**TRADEMARK REEL: 005208 FRAME: 0275** 

**RECORDED: 01/22/2014**