

TRADEMARK ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	MERGER		
EFFECTIVE DATE:	07/02/2007		
CONVEYING PARTY DATA			
	Name	Formerly	Execution Date
	R.J. O'Brien & Associates, Inc.		06/28/2007
			Entity Type
			CORPORATION: ILLINOIS
RECEIVING PARTY DATA			
Name:	R. J. O'Brien & Associates, LLC		
Street Address:	222 S. Riverside Plaza		
Internal Address:	9th Floor		
City:	Chicago		
State/Country:	ILLINOIS		
Postal Code:	60606		
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE		
PROPERTY NUMBERS Total: 1			
	Property Type	Number	Word Mark
	Registration Number:	3502238	RJO VANTAGE
CORRESPONDENCE DATA			
Fax Number:	3123214299		
	<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	312-321-4200		
Email:	officeactions@brinksgilson.com		
Correspondent Name:	Scott J. Slavick		
Address Line 1:	P. O. Box 10395		
Address Line 4:	Chicago, ILLINOIS 60610		
NAME OF SUBMITTER:	Scott J. Slavick		
Signature:	/Scott J. Slavick/		

CH \$40.00 3502238

Date:

02/11/2014

Total Attachments: 16

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Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"R.J. O'BRIEN & ASSOCIATES, INC.", AN ILLINOIS CORPORATION, WITH AND INTO "R.J. O'BRIEN & ASSOCIATES, LLC" UNDER THE NAME OF "R.J. O'BRIEN & ASSOCIATES, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWENTY-EIGHTH DAY OF JUNE, A.D. 2007, AT 6:39 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE SECOND DAY OF JULY, A.D. 2007.

4361383 8100M

070765432



Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5806678

DATE: 06-29-07

TRADEMARK
REEL: 005214 FRAME: 0568



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

JUNE 29, 2007

3837-062-6

CORP-LINK SERVICES, INC.
118 W EDWARDS ST STE 200
SPRINGFIELD IL 62704

RE R. J. O'BRIEN & ASSOCIATES INC.

DEAR SIR OR MADAM:

ENCLOSED YOU WILL FIND ARTICLES OF MERGER REGARDING THE ABOVE CORPORATION. THE FILING FEE HAS BEEN RECEIVED AND CREDITED.

THIS DOCUMENT MUST BE RECORDED IN THE OFFICE OF THE COUNTY RECORDER OF THE COUNTY IN WHICH THE REGISTERED OFFICE OF THE MERGING CORPORATION IS LOCATED.

SICERELY,

A handwritten signature in cursive script that reads "Jesse White".

JESSE WHITE
SECRETARY OF STATE
DEPARTMENT OF BUSINESS SERVICES
CORPORATION DIVISION
TELEPHONE (217) 782-6961

Springfield, Illinois 62736

TRADEMARK
REEL: 005214 FRAME: 0569

CERTIFICATE OF MERGER
OF
R.J. O'BRIEN & ASSOCIATES, INC.,
an Illinois corporation.
WITH AND INTO
R.J. O'BRIEN & ASSOCIATES, LLC,
a Delaware limited liability company

IT IS HEREBY CERTIFIED THAT:

1. The constituent business entities participating in the merger herein certified (the "Merger") are:

(i) R.J. O'Brien & Associates, Inc., which is incorporated under the laws of the State of Illinois ("RJOA INC"); and

(ii) R.J. O'Brien & Associates, LLC, which is organized under the laws of the State of Delaware ("RJOA LLC", also sometimes hereinafter referred to as the "Survivor").

2. An Agreement and Plan of Merger has been approved, adopted, certified, executed, and acknowledged by RJOA LLC in accordance with the provisions of subsection (b) of Section 18-209 of the Delaware Limited Liability Company Act, as amended (the "Delaware LLC Act") and by RJOA INC in accordance with the provisions of Section 11.39 of the Illinois Business Corporation Act of 1983, as amended.

3. The name of the surviving limited liability company in the Merger is R.J. O'Brien & Associates, LLC, which will continue its existence as Survivor under its present name upon the effective date of the Merger pursuant to the provisions of the Delaware LLC Act.

4. The Certificate of Formation of RJOA LLC, as now in force and effect, shall continue to be the Certificate of Formation of the Survivor until amended and changed pursuant to the provisions of the Delaware LLC Act.

5. The executed Agreement and Plan of Merger between RJOA LLC and RJOA INC is on file at the principal place of business of RJOA LLC, the address of which is 222 South Riverside Plaza, Suite 900, Chicago, IL 60606.

6. A copy of the aforesaid Agreement and Plan of Merger will be furnished by the Survivor, on request, and without cost, to any shareholder of RJOA INC or any member of RJOA LLC.

7. The Merger shall be effective at 5:01 P.m. Central Daylight Time on July 2, 2007.

Executed on this 27th day of June, 2007.

R.J. O'BRIEN & ASSOCIATES, LLC

By: 
Name: Gerald F. Corcoran
Title: Manager

[Signature page to R.J. O'Brien & Associates Certificate of Merger]

60571051

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5. Plan of merger was approved, as to each Limited Liability Company, in compliance with the laws of the state under which it is organized, and (b) as to each Illinois corporation, as follows

Mark an "X" in one box only for each Illinois Corporation.

Name of Corporation:	By the shareholders, a resolution of the board of directors having been duly adopted and submitted to a vote at a meeting of shareholders. Not less than the minimum number of votes required by statute and by the Articles of incorporation voted in favor of the action taken. (§11.20)	By written consent of the shareholders having not less than the minimum number of votes required by statute and by the Articles of incorporation. Shareholders who have not consented in writing have been given notice in accordance with §7.10 (§11.20)	By written consent of ALL the shareholders entitled to vote on the action, in accordance with §7.10 and §11.20.
R. J. O'Brien & Associates, Inc.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

6. Not applicable if survivor is an Illinois Corporation or an Illinois Limited Liability Company.

It is agreed that, upon and after the filing of Articles of Merger by the Secretary of State of the State of Illinois:

- a. The surviving Limited Liability Company may be served with process in the State of Illinois in any proceeding for the enforcement of any obligation of any Corporation organized under the laws of the State of Illinois which is a party to the merger and in any proceeding for the enforcement of the rights of a dissenting shareholder of any such Corporation organized under the laws of the State of Illinois against the surviving Limited Liability Company.
- b. The Secretary of State of the State of Illinois shall be and hereby is irrevocably appointed as the agent of the surviving Limited Liability Company to accept service of process in any such proceedings, and
- c. The surviving Limited Liability Company will promptly pay to the dissenting shareholders of any Corporation organized under the laws of the State of Illinois which is a party to the merger the amount, if any, to which they shall be entitled under the provisions of The Business Corporation Act of 1983 of the State of Illinois with respect to the rights of dissenting shareholders.

7. a. The undersigned Corporations have caused this statement to be signed by their duly authorized officers, each of whom affirms, under penalties of perjury, that the facts stated herein are true and correct. All signatures must be in BLACK INK.

Dated June 27, 2007 R. J. O'Brien & Associates, inc.
Month & Day Year Exact Name of Corporation
G. F. Corcoran
Any Authorized Officer's Signature
Gerald F. Corcoran, CEO & Secretary
Name and Title (type or print)

Dated _____, _____
Month & Day Year Exact Name of Corporation

Any Authorized Officer's Signature

Name and Title (type or print)

7. b. The undersigned Limited Liability Companies have caused this statement to be signed by their duly authorized person, who affirms, under penalties of perjury, that the facts stated herein are true and correct. All signatures must be in BLACK INK.

Dated June 27, 2007 R.J. O'Brien & Associates, LLC
Month & Day Year Exact Name of Limited Liability Company
G. F. Corcoran
Signature
Gerald F. Corcoran, Manager
Name and Title (type or print)

Dated _____, _____
Month & Day Year Exact Name of Limited Liability Company

Signature

Name and Title (type or print)

AGREEMENT AND PLAN OF MERGER

AGREEMENT AND PLAN OF MERGER dated as of June 28, 2007, by and between R.J. O'Brien & Associates, LLC, a Delaware limited liability company ("RJOA LLC"), and R. J. O'Brien & Associates, Inc. an Illinois corporation ("RJOA INC", and RJOA LLC, are hereinafter sometimes referred to individually as a "Constituent Entity" and collectively as the "Constituent Entities").

WITNESSETH:

WHEREAS, the Board of Directors of RJOA INC has determined that the merger of RJOA INC with and into RJOA LLC is advisable and in the best interests of RJOA INC and has accepted, adopted and approved the execution, delivery and performance by RJOA INC of this Agreement and Plan of Merger and the transactions contemplated hereby in their entirety;

WHEREAS, the sole shareholder of RJOA INC has authorized and approved this Agreement and Plan of Merger; and

WHEREAS, the sole member of RJOA LLC has determined that the merger of RJOA LLC with RJOA INC is advisable and in the best interests of RJOA LLC and has accepted, adopted and approved the execution, delivery and performance by RJOA LLC of this Agreement and Plan of Merger and the transactions contemplated hereby in their entirety.

NOW, THEREFORE, in consideration of the mutual agreements hereinafter set forth, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties hereby agree that RJOA INC shall be merged with and into RJOA LLC and that the terms and conditions thereof are and shall be as follows:

ARTICLE I

Merger

1.1 On the Effective Date (as defined below), RJOA INC shall be merged with and into RJOA LLC, with RJOA LLC continuing as the surviving entity (the "Surviving Entity"). This Agreement and Plan of Merger shall be submitted to the shareholders or members, as the case may be, of each of the Constituent Entities, as provided by law, and shall take effect and be deemed and be taken to be the Agreement and Plan of Merger of the Constituent Entities upon the approval or adoption thereof by the shareholders or members of each Constituent Entity in accordance with the laws of the respective jurisdiction. The merger of RJOA INC with and into RJOA LLC shall be effective at 5:01 p.m. Central Daylight Time on July 2, 2007 (such date and time shall be hereinafter referred to as the "Effective Date").

1.2 On the Effective Date:

(a) RJOA LLC, as the Surviving Entity, shall possess all the rights, privileges, powers and franchises of a public as well as of a private nature, and shall be subject to all the restrictions, disabilities and duties of each of the Constituent Entities; and all property, real, personal and mixed, and all debts due on whatever account, including subscriptions to shares of capital stock, and all other choses in action and all and every other interest of, or belonging to, or due to each of the Constituent Entities, shall be vested in the Surviving Entity without further act or deed.

(b) All the liabilities and obligations of each of the Constituent Entities shall attach to and become liabilities and obligations of the Surviving Entity and the Surviving Entity shall be liable and responsible for all such liabilities and obligations; and any claim existing or action or proceeding pending by or against any of the Constituent Entities may be prosecuted to judgment as if the merger had not taken place, or the Surviving Entity may be substituted in its place and neither the rights of creditors nor any liens upon the property of any of the Constituent Entities shall be impaired by the merger.

(c) The purposes for which the Surviving Entity is formed and the nature of the business to be transacted by it shall be as set forth in the Limited Liability Company Agreement of RJOA LLC, and the Surviving Entity shall continue with all the rights, privileges, immunities and powers, and subject to all the duties and liabilities, of a limited liability company organized under the Delaware Limited Liability Company Act.

ARTICLE II

Certificate of Formation, Limited Liability Company Agreement, and
Sole Member of the Surviving Entity

2.1 The Certificate of Formation of the Surviving Entity in effect on the Effective Date shall be the Certificate of Formation of RJOA LLC in effect on the Effective Date, and it shall thereafter continue to be the Certificate of Formation of the Surviving Entity until duly amended or changed in accordance with the provisions of the Delaware Limited Liability Company Act.

2.2 The limited liability company agreement of the Surviving Entity in effect on the Effective Date shall be the limited liability company agreement of RJOA LLC in effect on the Effective Date, and it shall thereafter continue to be the limited liability company agreement of the Surviving Entity until duly altered, amended or repealed as provided by law or such limited liability company agreement.

2.3 The sole member of RJOA LLC on the Effective Date will continue as the sole member of the Surviving Entity pursuant to the limited liability company agreement of the Surviving Entity.

ARTICLE III

Capitalization

3.1 The capitalization of the Constituent Entities immediately prior to the merger becoming effective is as follows:

(a) RJOA INC is authorized to issue ten thousand (10,000) shares of common stock with each share having a par value of \$2,750.00 per share, of which six hundred and thirty (630) shares are issued and outstanding ("RJOA INC Stock"). Four hundred and sixteen (416) shares are owned by R. J. O'Brien Holdings, Inc. ("Holdings"), and two hundred and fourteen (214) shares are held in the treasury of RJOA INC.

(b) One hundred percent (100%) of the membership interests of RJOA LLC ("RJOA LLC Interests") are owned by Holdings.

ARTICLE IV

Manner of Conversion of RJOA INC Stock and RJOA LLC Interests

4.1 Upon the merger becoming effective as provided herein:

(a) Each RJOA LLC Interest outstanding immediately prior to the merger becoming effective shall be an identical outstanding interest of the Surviving Entity. The members of RJOA LLC immediately prior to the merger becoming effective shall continue as members of the Surviving Entity upon the merger becoming effective.

(b) Each share of RJOA INC Stock issued and outstanding immediately prior to the merger becoming effective shall be cancelled and no further consideration shall be given therefor.

ARTICLE V

Termination

6.1 This Agreement and Plan of Merger may be terminated and abandoned at any time before the filing of the Articles of Merger by the mutual consent of the Constituent Entities.

ARTICLE VI

Miscellaneous

7.1 This Agreement and Plan of Merger (a) shall be governed by and construed in accordance with the laws of the respective jurisdictions of the Constituent Entities, (b) shall not be waived, except by an instrument in writing, signed by the party to be charged, and (c) shall inure to the benefit of and be binding upon the respective successors and assigns of the parties hereto.

7.2 This Agreement and Plan of Merger may be executed in any number of counterparts, each of which shall be deemed to be an original, but all of which together shall constitute one and the same instrument. Facsimile signatures may be relied upon as originals.

7.3 The parties hereto each agree to do, execute, acknowledge and deliver all such further acts, instruments and assurances, and to take all such further action, including, without limitation, the execution and filing of such instruments in the States of Illinois and Delaware and any other state as shall be necessary or desirable to carry out this Agreement and Plan of Merger and to consummate and effect the merger contemplated hereby.

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF FORMATION OF "R.J. O'BRIEN & ASSOCIATES, LLC", FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF JUNE, A.D. 2007, AT 1:31 O'CLOCK P.M.



4361383 8100

070762581

Harriet Smith Windsor

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5801923

DATE: 06-28-07

TRADEMARK
REEL: 005214 FRAME: 0579

CERTIFICATE OF FORMATION

OF

R.J. O'BRIEN & ASSOCIATES, LLC

- FIRST:** The name of the limited liability company is R.J. O'Brien & Associates, LLC (the "Company").
- SECOND:** The address of the Company's registered office in the State of Delaware is Corporation Trust Center, 1209 Orange Street, Wilmington, Delaware 19801, County of New Castle. The name of the Company's registered agent for service of process in the State of Delaware at such address is The Corporation Trust Company.
- THIRD:** The debts, obligations and liabilities of the Company, whether arising in contract, tort or otherwise, shall be solely the debts, obligations and liabilities of the Company; and no member or manager of the Company shall be obligated personally for any such debt, obligation or liability of the Company solely by reason of being a member or acting as a manager of the Company.
- FOURTH:** This Certificate of Formation is effective upon filing.
- FIFTH:** The Company shall have perpetual existence.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Formation of R. J. O'Brien & Associates, LLC this 21st day of June, 2007.

By: _____

Gerald F. Corcoran, Authorized Person



OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

JULY 09, 2007

0225800-5

C T CORPORATION SYSTEM
208 SO LASALLE ST, SUITE 814
CHICAGO, IL 60604-1101

RE R.J. O'BRIEN & ASSOCIATES, LLC

DEAR SIR OR MADAM:

IT IS OUR PLEASURE TO APPROVE YOUR REQUEST TO TRANSACT BUSINESS IN THE STATE OF ILLINOIS. ENCLOSED PLEASE FIND AN APPROVED APPLICATION OF ADMISSION.

THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF ITS ANNIVERSARY MONTH (MONTH OF QUALIFICATION) NEXT YEAR. A PRE-PRINTED ANNUAL REPORT FORM WILL BE SENT TO THE REGISTERED AGENT AT THE ADDRESS SHOWN ON THE RECORDS OF THIS OFFICE APPROXIMATELY 60 DAYS PRIOR TO ITS ANNIVERSARY MONTH.

MANY SERVICES ARE NOW AVAILABLE ON-LINE AT WWW.CYBERDRIVEILLINOIS.COM. AMONG OTHER SERVICES AT THIS SITE, YOU MAY CHECK THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE PREVIOUS PARAGRAPH.

SINCERELY YOURS,

A handwritten signature in cursive script that reads "Jesse White".

JESSE WHITE
SECRETARY OF STATE

DEPARTMENT OF BUSINESS SERVICES
LIMITED LIABILITY COMPANY DIVISION
TELEPHONE (217)524-8008

JW:LLC

Form **LLC-45.5**

April 2007

Secretary of State Jesse White
Department of Business Services
Limited Liability Division
501 S. Second St., Rm. 351
Springfield, IL 62756
217-524-8008
www.cyberdriveillinois.com

Payment must be made by certified check, cashier's check, Illinois attorney's C.P.A.'s check or money order payable to Secretary of State.

Illinois
Limited Liability Company Act
Application for Admission
to Transact Business

SUBMIT IN DUPLICATE

Must be typewritten.

This space for use by Secretary of State.

Filing Fee: \$500

Penalty: \$

Approved: *jd*

FILE # *0225-800-5*

This space for use by Secretary of State.

FILED

JUL 09 2007

JESSE WHITE
SECRETARY OF STATE

1. Limited Liability Company Name: R.J. O'BRIEN & ASSOCIATES, LLC
Must comply with Section 1-10 of ILLCA or item 2 below also applies.

2. Assumed Name: _____
By electing this Assumed Name, the Limited Liability Company hereby agrees not to use its Company Name in the transaction of business in Illinois. Form LLC-120 is attached.

3. Jurisdiction of Organization: Delaware

4. Date of Organization: 06/28/07

5. Period of Duration: perpetual

Address, including County, of the Office required to be maintained in the jurisdiction of its organization or, if not required, of the Principal Place of Business: (P.O. Box alone or c/o is unacceptable.)

Corporation Trust Center	1209 Orange Street	
Number	Street	Suite #
Wilmington, Delaware	19801	New Castle
City/State	ZIP Code	County

7. Registered Agent: CT Corporation System

Registered Office:	208 South LaSalle Street, Suite 814
(P.O. Box alone or c/o is unacceptable.)	Number Street Suite #
Chicago	Cook Illinois 60604
City	County ZIP Code

8. If applicable, Date on which Company first conducted business in Illinois: upon filing

(continued on back)

0225-800-5
07/09/07

LLC-45.5

9. Purpose(s) for which the Company is Organized and Proposes to Conduct Business in Illinois: (Include Business Code # from IRS Form 1065.)

Any and all lawful businesses for which limited liability companies may be formed under the laws of the State of Delaware ^{and} that are permissible under the laws of the State of Illinois

10. The Limited Liability Company: (check one)

is managed by a manager or managers

has management vested in the member or members

11. The Illinois Secretary of State is hereby appointed the agent of the Limited Liability Company for service of process under the circumstances set forth in subsection (b) of Section 1-50 of the Illinois Limited Liability Company Act.

This application is accompanied by a Certificate of Good Standing or Existence, as well as a copy of the Articles of Organization, as amended, duly authenticated within the last 60 days, by the officer of the state or country wherein the LLC is formed.

13. If the period of duration is a date certain and is not stated in the Articles of Organization from the domestic state, a copy of that page from the Operating Agreement stating the date also must be submitted.

14. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this application for admission to transact business is to the best of my knowledge and belief, true, correct and complete.

Dated July 9, 2007
G. Corcoran
Signature (Must comply with Section 5-45 of ILCA.)
Gerald F. Corcoran, Manager
Name and Title (type or print)

If applicant is a Company or other Entity, state Name of Company and Indicate whether it is a member or manager of the LLC. Please refer to Sections 178.20(d) of the Administrative Rules.