

TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

ETAS ID: TM306636

SUBMISSION TYPE:	NEW ASSIGNMENT		
NATURE OF CONVEYANCE:	MERGER AND CHANGE OF NAME		
EFFECTIVE DATE:	07/12/2012		
CONVEYING PARTY DATA			
Name	Formerly	Execution Date	Entity Type
COLLABRX, INC.		07/12/2012	CORPORATION: DELAWARE
NEWLY MERGED ENTITY DATA			
Name	Execution Date	Entity Type	
TEGAL CORPORATION	07/12/2012	CORPORATION: DELAWARE	
MERGED ENTITY'S NEW NAME (RECEIVING PARTY)			
Name:	COLLABRX, INC.		
Street Address:	44 MONTGOMERY STREET		
Internal Address:	SUITE 800		
City:	SAN FRANCISCO		
State/Country:	CALIFORNIA		
Postal Code:	94104		
Entity Type:	CORPORATION: DELAWARE		
PROPERTY NUMBERS Total: 1			
Property Type	Number	Word Mark	
Registration Number:	4023477	COLLABRX	
CORRESPONDENCE DATA			
Fax Number:	4153622928		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
Phone:	415.362.3800		
Email:	officeactions@meyerip.com		
Correspondent Name:	SHELDON R. MEYER		
Address Line 1:	410 PACIFIC AVENUE		
Address Line 4:	SAN FRANCISCO, CALIFORNIA 94133		
ATTORNEY DOCKET NUMBER:	CLBRX-1002US0		
NAME OF SUBMITTER:	SHELDON R. MEYER		
SIGNATURE:	/sheldon r. meyer/		
DATE SIGNED:	06/04/2014		

OP \$40.00 4023477

Total Attachments: 13

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source=CollabRx, Inc. - 2 - Certificate of Amendment re Name Change (Sept 2012) (filed)#page1.tif

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Delaware

PAGE 1

The First State

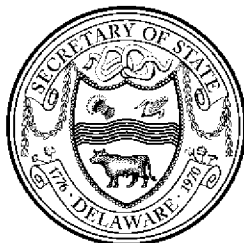
I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"CLBR ACQUISITION CORP.", A DELAWARE CORPORATION,
WITH AND INTO "COLLABRX, INC." UNDER THE NAME OF "COLLABRX, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWELFTH DAY OF JULY, A.D. 2012, AT 3:09 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

4471656 8100M

120830734




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9707617

DATE: 07-13-12

You may verify this certificate online
at corp.delaware.gov/authver.shtml

TRADEMARK
REEL: 005296 FRAME: 0222

STATE OF DELAWARE
CERTIFICATE OF MERGER OF
DOMESTIC CORPORATIONS

Pursuant to Section 251 of the Delaware General Corporation Law (the "DGCL"), the undersigned, a corporation incorporated and existing under and by virtue of the DGCL, does hereby certify that:

FIRST: The name and state of incorporation of each of the constituent corporations of the merger (the "Constituent Corporations") is as follows:

<u>Name</u>	<u>State of Incorporation</u>
CollabRx, Inc.	Delaware
CLBR Acquisition Corp.	Delaware

SECOND: The Agreement and Plan of Merger, dated as of June 29, 2012, by and among Tegal Corporation, a Delaware corporation ("Parent"), CLBR Acquisition Corp., a Delaware corporation and wholly-owned subsidiary of Parent ("Merger Sub"), CollabRx, Inc., a Delaware corporation (the "Company"), and the Stockholders' Representative identified therein, has been approved, adopted, certified, executed and acknowledged by each of the Constituent Corporations in accordance with the provisions of Section 251 of the DGCL.

THIRD: The surviving corporation shall be the Company (the "Surviving Corporation") and the name of the Surviving Corporation shall be CollabRx, Inc.

FOURTH: The certificate of incorporation of the Surviving Corporation shall be amended and restated in its entirety as set forth in Exhibit A attached hereto.

FIFTH: That the merger of Merger Sub with and into the Company shall become effective at the time of and on the date of filing of this Certificate of Merger with the Secretary of State of the State of Delaware.

SIXTH: A copy of the executed Agreement and Plan of Merger is on file at 169 University Ave., Palo Alto, CA 94301, the principal place of business of the Surviving Corporation.

SEVENTH: A copy of the Agreement and Plan of Merger will be furnished by the Surviving Corporation on request, without cost, to any stockholder of the Constituent Corporations.

[The remainder of this page is intentionally left blank.]

IN WITNESS WHEREOF, the Surviving Corporation has caused this Certificate of Merger to be executed in its corporate name on this 12th day of July, 2012.

CollabRx, Inc.

By: 

Name: James M. Karis

Title: Chief Executive Officer

[Signature Page to Certificate of Merger]

EXHIBIT A

AMENDED AND RESTATED CERTIFICATE OF INCORPORATION OF COLLABRX, INC.

ARTICLE I

The name of the corporation is CollabRx, Inc. (the “Company”).

ARTICLE II

The address of the Company’s registered office in the State of Delaware is Corporation Trust Center, 1209 Orange Street, in the City of Wilmington, County of New Castle, Delaware 19801. The name of the registered agent at such address is The Corporation Trust Company.

ARTICLE III

The purpose of the Company is to engage in any lawful act or activity for which corporations may be organized under the Delaware General Corporation Law, as the same exists or as may hereafter be amended from time to time.

ARTICLE IV

This Company is authorized to issue one class of shares to be designated Common Stock. The total number of shares of Common Stock the Company has authority to issue is 100 with par value of \$0.001 per share.

ARTICLE V

In furtherance and not in limitation of the powers conferred by statute, the board of directors of the Company is expressly authorized to make, alter, amend or repeal the bylaws of the Company.

ARTICLE VI

Elections of directors need not be by written ballot unless otherwise provided in the bylaws of the Company.

ARTICLE VII

To the fullest extent permitted by the Delaware General Corporation Law as the same exists or as may hereafter be amended, a director of the Company shall not be personally liable to the Company or its stockholders for monetary damages for a breach of fiduciary duty as a director. If the Delaware General Corporation Law is amended to authorize corporate action further eliminating or limiting the personal liability of directors, then the liability of a director of the Company shall be eliminated or limited to the fullest extent permitted by the Delaware General Corporation Law, as so amended.
The Company shall have the power to indemnify, to the extent permitted by the Delaware General Corporation Law, as it presently exists or may hereafter be amended from time to time, any person who

was or is a party or is threatened to be made a party to any threatened, pending or completed action, suit or proceeding, whether civil, criminal, administrative or investigative (a "Proceeding") by reason of the fact that he or she is or was a director, officer, employee or agent of the Company or is or was serving at the request of the Company as a director, officer, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, including service with respect to employee benefit plans, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by such person in connection with any such Proceeding.

Neither any amendment nor repeal of this Article, nor the adoption of any provision of this Company's Certificate of Incorporation inconsistent with this Article, shall eliminate or reduce the effect of this Article, in respect of any matter occurring, or any action or proceeding accruing or arising or that, but for this Article, would accrue or arise, prior to such amendment, repeal or adoption of an inconsistent provision.

ARTICLE VIII

Except as provided in ARTICLE VII above, the Company reserves the right to amend, alter, change or repeal any provision contained in this Certificate of Incorporation, in the manner now or hereafter prescribed by statute, and all rights conferred upon stockholders herein are granted subject to this reservation.

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "COLLABRX, INC.", CHANGING ITS NAME FROM "COLLABRX, INC." TO "CLRX, INC.", FILED IN THIS OFFICE ON THE TWENTIETH DAY OF SEPTEMBER, A.D. 2012, AT 2:21 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

4471656 8100

121050231



You may verify this certificate online
at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9860006

DATE: 09-20-12

TRADEMARK
REEL: 005296 FRAME: 0227

**CERTIFICATE OF AMENDMENT
OF THE
AMENDED AND RESTATED
CERTIFICATE OF INCORPORATION
OF
COLLABRX, INC.**

It is hereby certified that:

1. The name of the corporation is CollabRx, Inc. (the "Company"), a corporation duly organized and existing under the General Corporation Law of the State of Delaware (the "DGCL").
2. The Amended and Restated Certificate of Incorporation of the Company, as amended, is hereby amended by deleting Article 1 thereof and inserting in lieu of said Article the following new Article:

"ARTICLE I.

The name of the corporation is CLRX, Inc. (the "Company")."

3. The amendment of the Amended and Restated Certificate of Incorporation of the Company herein certified has been duly adopted by the Board of Directors of the Company in accordance with the provisions of Section 242 of the DGCL, and by written consent of the sole stockholder of the Company in accordance with the applicable provisions of Sections 228 and 242 of the DGCL.

IN WITNESS WHEREOF, the Company has caused this Certificate of Amendment of the Amended and Restated Certificate of Incorporation to be executed by its duly authorized officer this 20th day of September, 2012.

COLLABRX, INC.

By: _____

Name: Thomas R. Mika

Title: President and Co-Chief Executive Officer

LHBC4460842.1

*State of Delaware
Secretary of State
Division of Corporations
Delivered 02:24 PM 09/20/2012
FILED 02:21 PM 09/20/2012
SRV 121050231 - 4471656 FILE*

**TRADEMARK
REEL: 005296 FRAME: 0228**

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "TEGAL CORPORATION", CHANGING ITS NAME FROM "TEGAL CORPORATION" TO "COLLABRX, INC.", FILED IN THIS OFFICE ON THE TWENTY-FIFTH DAY OF SEPTEMBER, A.D. 2012, AT 3:28 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

2545851 8100

121066539

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Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 9871285

DATE: 09-25-12

TRADEMARK
REEL: 005296 FRAME: 0229

CERTIFICATE OF AMENDMENT
TO
CERTIFICATE OF INCORPORATION
OF
TEGAL CORPORATION

It is hereby certified that:

1. The name of the corporation is Tegal Corporation (the "Corporation"), a corporation duly organized and existing under the General Corporation Law of the State of Delaware (the "DGCL").

2. The Certificate of Incorporation of the Corporation, as amended, is hereby amended by deleting Article FIRST thereof and inserting in lieu of said Article the following new Article FIRST:

"FIRST: The name of the corporation (hereinafter the "Corporation") is CollabRx, Inc."

3. The amendment of the Certificate of Incorporation herein certified has been duly adopted by the Board of Directors and the stockholders of the Corporation in accordance with the provisions of Section 242 of the DGCL.

IN WITNESS WHEREOF, the Corporation has caused this Certificate of Amendment to Certificate of Incorporation to be executed by its duly authorized officer this 25th day of September, 2012.

TEGAL CORPORATION

By: 

Name: Thomas R. Mika

Title: President and Co-Chief Executive Officer

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:


"CLRX, INC.", A DELAWARE CORPORATION,
WITH AND INTO "COLLABRX, INC." UNDER THE NAME OF "COLLABRX, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE TWELFTH DAY OF JULY, A.D. 2013, AT 9:29 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

2545851 8100M

130875147




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0583913

DATE: 07-15-13

You may verify this certificate online
at corp.delaware.gov/authver.shtml

TRADEMARK
REEL: 005296 FRAME: 0231

CERTIFICATE OF OWNERSHIP AND MERGER

MERGING

CLRXX, INC.

WITH AND INTO

COLLABRX, INC.

(Pursuant to Section 253 of the General Corporation Law of Delaware)

COLLABRX, INC. (the "Company"), a corporation organized and existing under the laws of the State of Delaware, DOES HEREBY CERTIFY:

FIRST: That the Company was incorporated pursuant to the Delaware General Corporation Law (the "DGCL") on September 20, 1995 under the name Tegal Corporation;

SECOND: That the Company owns one hundred percent (100%) of the issued and outstanding shares of capital stock of CLRXX, Inc. (the "Subsidiary"), a Delaware corporation;

THIRD: That the Company by the following resolutions of its Board of Directors, duly adopted by written consent of the Board of Directors on February 14, 2013, did determine to merge the Subsidiary with and into the Company:

WHEREAS, the Company owns all of the issued and outstanding capital stock of CLRXX, Inc., a corporation organized and existing under the laws of the State of Delaware (the "Subsidiary"); and

WHEREAS, the Company desires to merge into itself the Subsidiary, and to be possessed of all the estate, property, rights, privileges and franchises of the Subsidiary (the "Merger").

NOW, THEREFORE, BE IT RESOLVED, that the Merger is hereby authorized and approved;

FURTHER RESOLVED, that, as a result of the Merger, the Company shall be the surviving corporation possessed of all the estate, property, rights, privileges and franchises of the Subsidiary, and the Company shall assume all of the liabilities and obligations of the Subsidiary pursuant to and in the manner prescribed by Section 253 of the DGCL;

FURTHER RESOLVED, that the President and Chief Executive Officer and any other proper officer of the Company (the "Authorized Officers") be, and each of them hereby is, authorized, empowered and directed in the name and on behalf of the Company to execute and file or cause to be filed with the Secretary of State of the State of Delaware a Certificate of Ownership and Merger embodying these resolutions as required by Section 253 of the DGCL and any and all additional documents and instruments required to be filed therewith;

FURTHER RESOLVED, that the Merger shall be effective upon the filing of

the Certificate of Ownership and Merger, or at such later date provided therein, with the Secretary of State of the State of Delaware;

FURTHER RESOLVED, that by virtue of the Merger and without any action on the part of the holder thereof, each then outstanding share of capital stock of the Subsidiary shall be canceled and no consideration shall be issued in respect thereof;

FURTHER RESOLVED, that the Authorized Officers of the Company be, and each of them hereby is, authorized, empowered and directed, in the name and on behalf of the Company, to execute and deliver any and all other documents, papers or instruments and to do or cause to be done any and all such acts and things as they, or any of them may deem necessary, appropriate or desirable in order to enable the Company fully and promptly to carry out the purposes and intents of the foregoing resolutions; and

FURTHER RESOLVED, that, anything in these resolutions or elsewhere to the contrary notwithstanding, the Merger may be amended or terminated and abandoned by the Company at any time prior to the date of filing of the Certificate of Ownership and Merger with the Secretary of State of the State of Delaware.

FOURTH: That the Certificate of Incorporation and the Bylaws of the Company, which is the surviving corporation, shall continue in full force and effect as the Certificate of Incorporation and the Bylaws of the surviving corporation; and

FIFTH: The Merger shall become effective upon the filing of this Certificate of Ownership and Merger with the Secretary of State of the State of Delaware.

IN WITNESS WHEREOF, the Company has executed this Certificate of Ownership and Merger
this 14th day of February, 2013.

COLLABRX, INC.

By: 
Name: Thomas R. Mika
Title: President and Chief Executive Officer