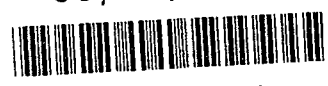




06/05/2014

DEPARTMENT OF COMMERCE
Patent and Trademark Office

RECORDATION TRADEMARK



103667811

To the Director of the U. S. Patent and Trademark Office: For _____ documents or the new address(es) below.

06/05/14

1. Name of conveying party(ies):
 HORIZON TECHNOLOGY FINANCE CORPORATION

Individual(s) Association
 Partnership Limited Partnership
 Corporation- State: Delaware
 Other _____

Citizenship (see guidelines) Delaware

Additional names of conveying parties attached? Yes No

2. Name and address of receiving party(ies) Yes
 Additional names, addresses, or citizenship attached? No

Name: HORIZON FUNDING TRUST 2013-1

Street Address: 312 Farmington Avenue

City: Farmington
 State: CT

Country: USA Zip: 06032

Individual(s) Citizenship _____
 Association Citizenship _____
 Partnership Citizenship _____
 Limited Partnership Citizenship _____
 Corporation Citizenship _____
 Other Statutory Trust Citizenship Delaware

If assignee is not domiciled in the United States, a domestic representative designation is attached: Yes No
 (Designations must be a separate document from assignment)

3. Nature of conveyance/Execution Date(s) :

Execution Date(s) June 28, 2013

Assignment Merger
 Security Agreement Change of Name
 Other _____

4. Application number(s) or registration number(s) and identification or description of the Trademark.

A. Trademark Application No.(s) Text
 B. Trademark Registration No.(s)
4201944 4038360 4158728 3235305

Additional sheet(s) attached? Yes No

C. Identification or Description of Trademark(s) (and Filing Date if Application or Registration Number is unknown):

5. Name & address of party to whom correspondence concerning document should be mailed:
 Name: Horizon Technology Finance Corporation

Internal Address: 312 Farmington Avenue

Street Address: _____

City: Farmington
 State: CT Zip: 06032

Phone Number: 860-676-8654

Docket Number: _____

Email Address: eric@horizontechfinance.com

6. Total number of applications and registrations involved: 4

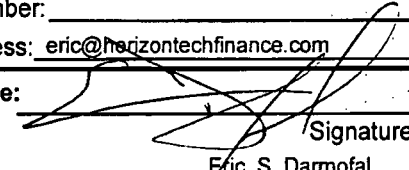
7. Total fee (37 CFR 2.6(b)(6) & 3.41) \$115.00

Authorized to be charged to deposit account
 Enclosed

8. Payment Information:

06/05/2014 KNGUYEN1 00000004 4201944

Deposit Account Number _____ 40.00 OP
02 FC: A522
 Authorized User Name _____ 75.00 OP

9. Signature:  _____ May 21, 2014

Signature Date

Eric S. Darmofal

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Branch, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

ASSIGNMENT

June 28, 2013

In accordance with the Sale and Contribution Agreement (the "Agreement"), dated as of June 28, 2013, made by and between the undersigned, Horizon Technology Finance Corporation, as the Seller, and Horizon Funding 2013-1 LLC, as the Trust Depositor (the "Trust Depositor"), as assignee thereunder, the undersigned does hereby sell, transfer, convey and assign, set over and otherwise convey to the Issuer, on behalf of the Trust Depositor, all of the Seller's right, title and interest in and to the following:

(1) the Loans listed on Schedule A of the Agreement and all monies due, to become due or paid in respect thereof accruing on and after the Cutoff Date and all Insurance Proceeds, Liquidation Proceeds and other recoveries thereon, in each case as they arise after the Cutoff Date;

(2) all security interests and Liens and Related Property subject thereto from time to time purporting to secure payment by Obligors under such Loans;

(3) all guaranties, indemnities and warranties, and other agreements or arrangements of whatever character from time to time supporting or securing payment of such Loans;

(4) the Transaction Accounts, together with all cash and investments in each of the foregoing;

(5) all collections and records (including Computer Records) with respect to the foregoing;

(i) all documents relating to the applicable Loan Files; and

(ii) all income, payments, proceeds and other benefits of any and all of the foregoing, including but not limited to, all accounts, cash and currency, chattel paper, electronic chattel paper, tangible chattel paper, copyrights, copyright licenses, equipment, fixtures, general intangibles, instruments, commercial tort claims, deposit accounts, inventory, investment property, letter of credit rights, software, supporting obligations, accessions, and other property consisting of, arising out of, or related to the foregoing, but excluding any Excluded Amount with respect thereto.

Capitalized terms used herein have the meaning given such terms in the Agreement.

This Assignment is made pursuant to and in reliance upon the representations and warranties on the part of the undersigned contained in Article III of the Agreement and no others.

IN WITNESS WHEREOF, the undersigned has caused this Assignment to be duly executed on the date written above.

HORIZON TECHNOLOGY FINANCE CORPORATION, as the Seller

By: Robert D. Pomeroy, Jr.
Name: ROBERT D. POMEROY, JR.
Title: CEO

HORIZON FUNDING 2013-1 LLC, as the Trust Depositor

By: Robert D. Pomeroy, Jr.
Name: ROBERT D. POMEROY, JR.
Title: CEO

ASSIGNMENT

June 28, 2013

Pursuant to and in accordance with the Sale and Servicing Agreement (such agreement as amended, modified, waived, supplemented or restated from time to time, the "Agreement"), dated as of June 28, 2013, made by and among Horizon Funding 2013-1 LLC, as the trust depositor (the "Trust Depositor" or "Assignor"), Horizon Technology Finance Corporation, as the seller and as the servicer, U.S. Bank National Association, as the trustee, backup servicer, custodian and securities intermediary and Horizon Funding Trust 2013-1, as the issuer (the "Issuer" or "Assignee"), the undersigned does hereby sell, transfer, assign, set over and otherwise convey to the Issuer all right, title and interest of the Trust Depositor in and to the following: (i) the Initial Loans listed in the initial List of Loans and all monies due, to become due or paid in respect thereof accruing on and after the Cutoff Date and all Insurance Proceeds, Liquidation Proceeds and other recoveries thereon, in each case as they arise after the Cutoff Date; (ii) all security interests and Liens and Related Property subject thereto from time to time purporting to secure payment by Obligor under such Loans; (iii) all guaranties, indemnities and warranties, and other agreements or arrangements of whatever character from time to time supporting or securing payment of such Loans; (iv) the Transaction Accounts, together with all cash and investments in each of the foregoing; (v) all collections and records (including Computer Records) with respect to the foregoing; (vi) all documents relating to the applicable Loan Files; and (vii) all income, payments, proceeds and other benefits of any and all of the foregoing, including but not limited to, all accounts, cash and currency, chattel paper, electronic chattel paper, tangible chattel paper, copyrights, copyright licenses, equipment, fixtures, general intangibles, instruments, commercial tort claims, deposit accounts, inventory, investment property, letter of credit rights, software, supporting obligations, accessions, and other property consisting of, arising out of, or related to the foregoing, *provided, however*, that all right, title and interest, if any, of Horizon Funding 2013-1 LLC in and to each Excluded Amount and any proceeds of any Excluded Amount shall be excluded from the foregoing transfer by Horizon Funding 2013-1 LLC.

This Assignment shall be governed by the laws of the State of New York applicable to agreements made and to be performed therein. Capitalized terms used herein have the meaning given such terms in the Agreement.

[Remainder of Page Intentionally Left Blank]

IN WITNESS WHEREOF, the undersigned have caused this Assignment to be duly executed as of the date first written above.

**HORIZON FUNDING 2013-1 LLC, as
Assignor**

By: ROBERT D. POMEROY, Jr.
Name: ROBERT D. POMEROY, Jr.
Title: CEO

[Horizon Funding Trust 2013-1 Sale and Servicing Agreement – Assignment]