

## TRADEMARK ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

ETAS ID: TM315720

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT		
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT OF THE ENTIRE INTEREST AND THE GOODWILL		
<b>CONVEYING PARTY DATA</b>			
<b>Name</b>	<b>Formerly</b>	<b>Execution Date</b>	<b>Entity Type</b>
ConvergEx Prime Services LLC		09/02/2014	LIMITED LIABILITY COMPANY: GEORGIA
<b>RECEIVING PARTY DATA</b>			
<b>Name:</b>	ConvergEx Execution Solutions LLC		
<b>Street Address:</b>	1633 Broadway		
<b>Internal Address:</b>	48th Floor		
<b>City:</b>	New York		
<b>State/Country:</b>	NEW YORK		
<b>Postal Code:</b>	10019		
<b>Entity Type:</b>	LIMITED LIABILITY COMPANY: DELAWARE		
<b>PROPERTY NUMBERS Total: 2</b>			
<b>Property Type</b>	<b>Number</b>	<b>Word Mark</b>	
<b>Registration Number:</b>	4037974	A SMARTER WAY TO PRIME	
<b>Registration Number:</b>	3564009	A BETTER WAY TO PRIME	
<b>CORRESPONDENCE DATA</b>			
<b>Fax Number:</b>	2124684888		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>			
<b>Phone:</b>	(212) 468-4800		
<b>Email:</b>	jkatz@dglaw.com		
<b>Correspondent Name:</b>	Jeffrey C. Katz		
<b>Address Line 1:</b>	Davis & Gilbert LLP, 1740 Broadway		
<b>Address Line 4:</b>	New York, NEW YORK 10019		
<b>ATTORNEY DOCKET NUMBER:</b>	027400-0001-000 (AGN JAC)		
<b>NAME OF SUBMITTER:</b>	Jeffrey C. Katz		
<b>SIGNATURE:</b>	/Jeffrey C. Katz/		
<b>DATE SIGNED:</b>	09/02/2014		
<b>Total Attachments: 1</b>			
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**TRADEMARK ASSIGNMENT**

WHEREAS, ConvergEx Prime Services LLC, a limited liability company of the State of Georgia (hereinafter, "Assignor"), located at 30000 Mill Creek Drive, Suite 200, Alpharetta, GA, 30022 is the owner of the marks listed below (the "Marks") and the United States Patent and Trademark Office Registrations for said Marks:

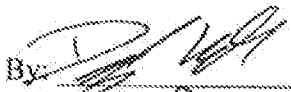
<u>Mark</u>	<u>Registration No.</u>	<u>Registration Date</u>
"A Smarter Way to Prime"	4,037,974	October 11, 2011
"A Better Way to Prime"	3,564,009	January 20, 2009

WHEREAS, ConvergEx Execution Solutions LLC, a limited liability company of the State of Delaware, located at 1633 Broadway, 48<sup>th</sup> Floor, New York, NY 10019 (hereinafter, "Assignee"), is desirous of acquiring said Marks and said registrations thereof.

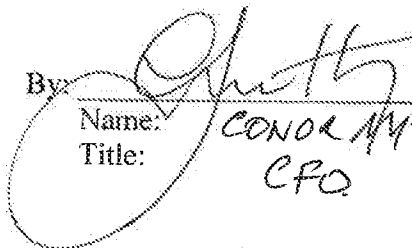
NOW, THEREFORE, for good and valuable consideration, receipt and sufficiency of which is hereby acknowledged, said Assignor does hereby assign, transfer, convey and deliver unto said Assignee all right, title and interest in and to said Marks, together with the goodwill of the business symbolized by the Marks, and the registrations thereof, and all rights to damages or profits, due or accrued, arising out of past infringement of said Marks or injury to said goodwill, and the right to sue for and recover the same in Assignee's own name.

IN WITNESS WHEREOF, this Assignment has been executed effective as of the 2<sup>nd</sup> day of September, 2014.

ConvergEx Prime Services LLC

By:   
Name: Douglas Nelson  
Title: CEO

ConvergEx Execution Solutions LLC

By:   
Name: CONOR MCCAEY  
Title: CFO